

*Real Time Emission Measurements  
Using FTIR Spectroscopy  
(EPA Method 320)*

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December 8, 2010

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# *Presentation Outline*

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- What is Spectroscopy?
- What is FTIR?
- FTIR Applications
- EPA Method 320

# *What is Spectroscopy?*

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**Spectroscopy is the study of the interaction  
between  
light and matter**

# *How is this interaction studied?*

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- Light absorption (most common - FTIR)
- Emission
- Fluorescence
- Light Scattering (e.g., Raman)
- All methods look at light intensity versus wavelength using a spectrometer

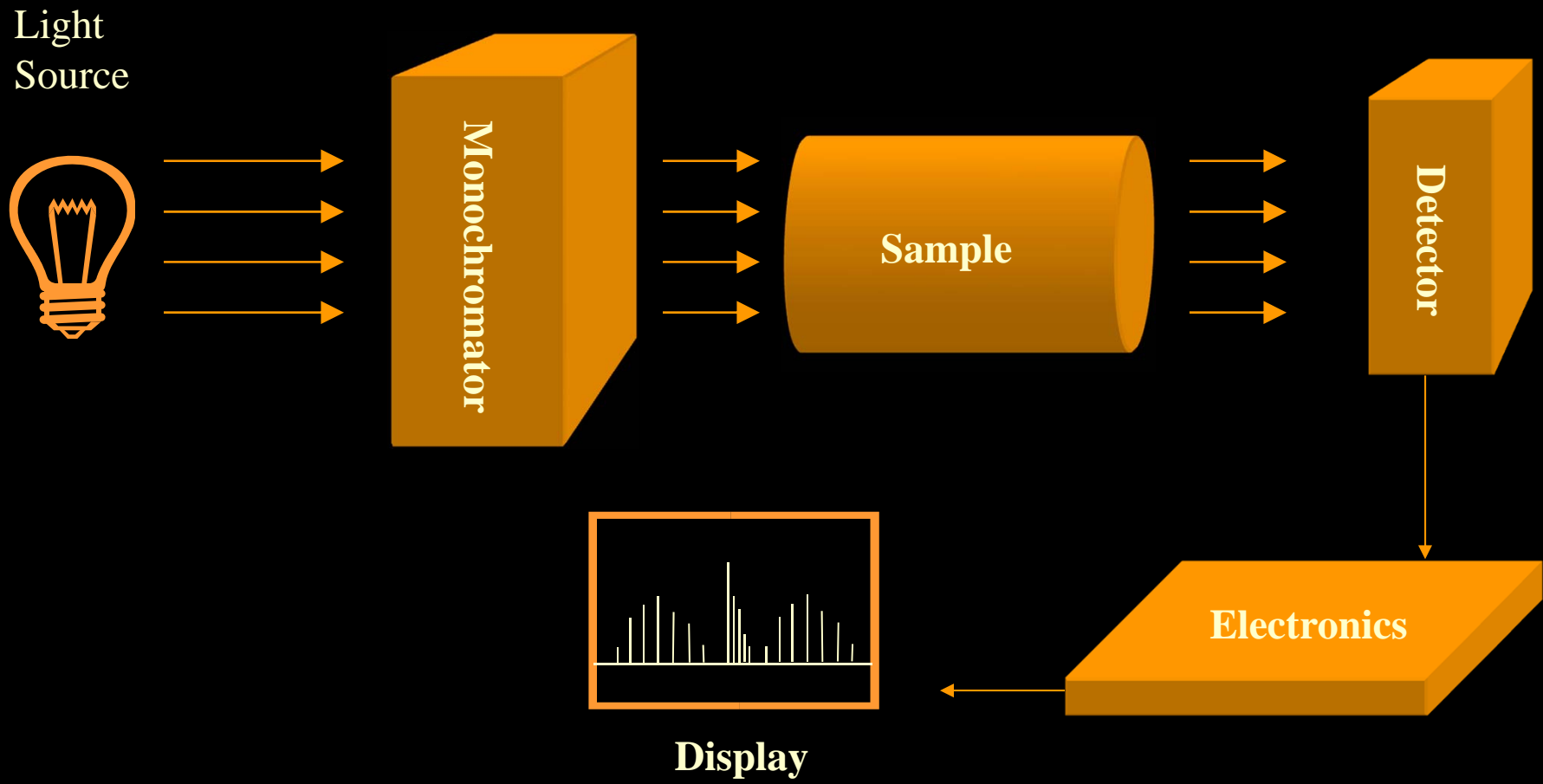
# *Spectrometer Components*

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- Light Source
- Wavelength Selection Device
- Sample Compartment
- Detector (photoconductive – MCT or pyroelectric - DTGS)
- Signal Processing Electronics

# A Simple Absorption Spectrometer

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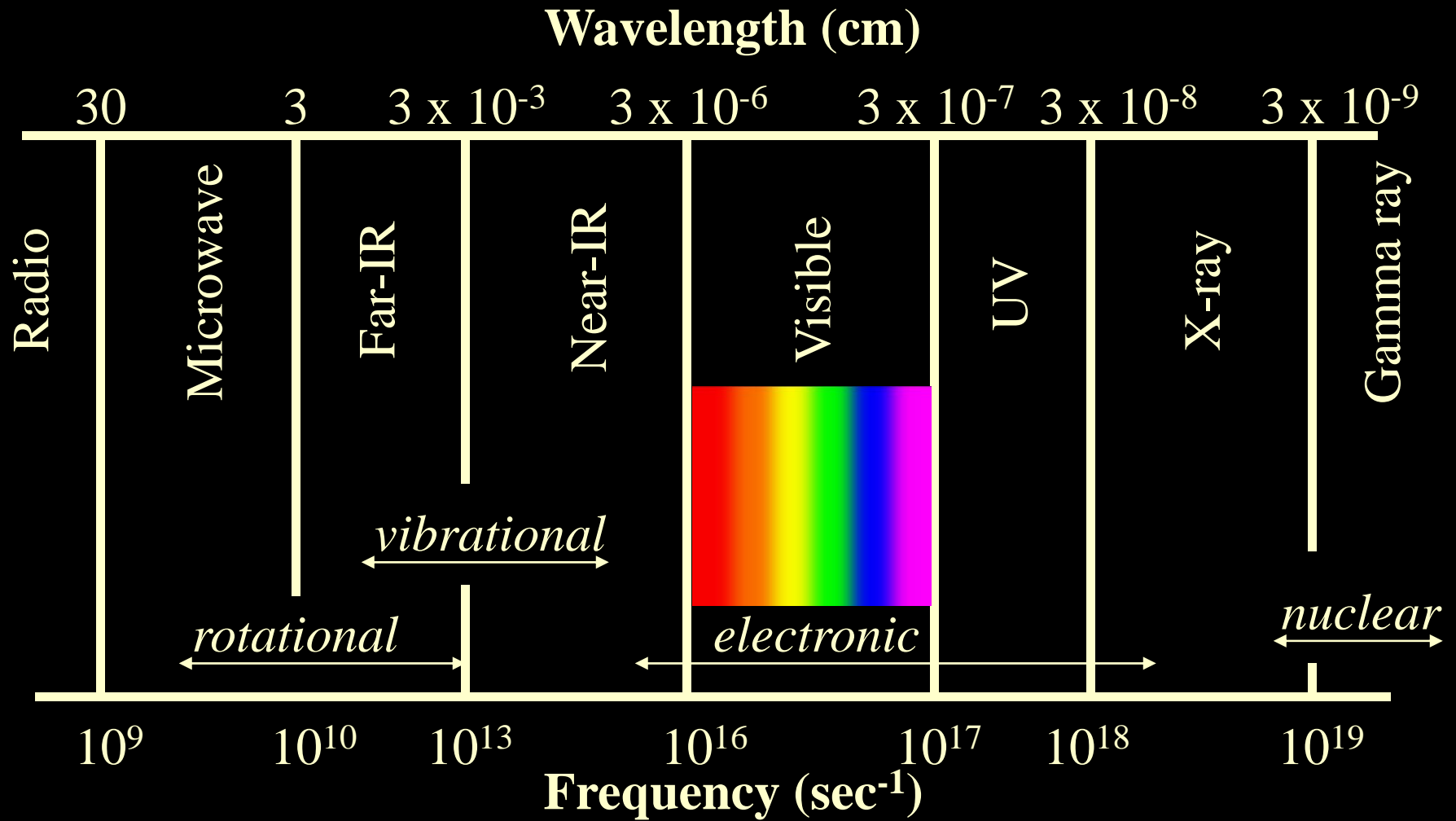


*What is the basic response of a spectrometer?*

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**Light intensity versus wavelength**

# Electromagnetic Spectrum





# *Infrared Spectroscopy*

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- IR spectroscopy is widely used for quantitative analysis
- All molecular species except “homonuclear diatomics” (e.g., O<sub>2</sub>, H<sub>2</sub>, N<sub>2</sub>, etc.) are detectable
- IR light absorption due to changes in rotational and vibrational energy in molecule

# *What is a Spectrum?*

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**The spectrometer response versus wavelength**

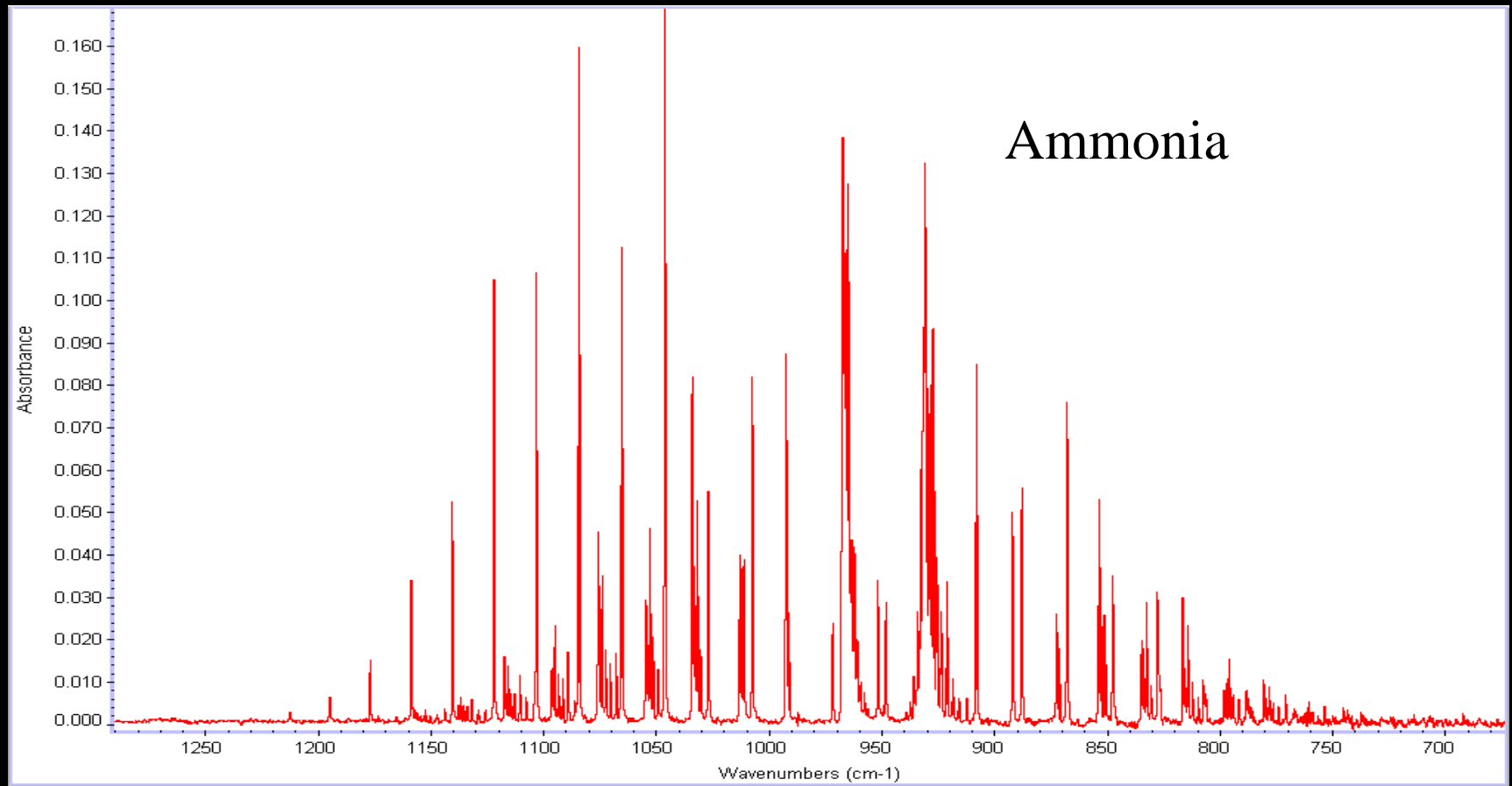
# *What use is a spectrum?*

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- It provides identification and quantification information
- No two chemical species exhibit the same spectrum
- The components in a mixture can be identified

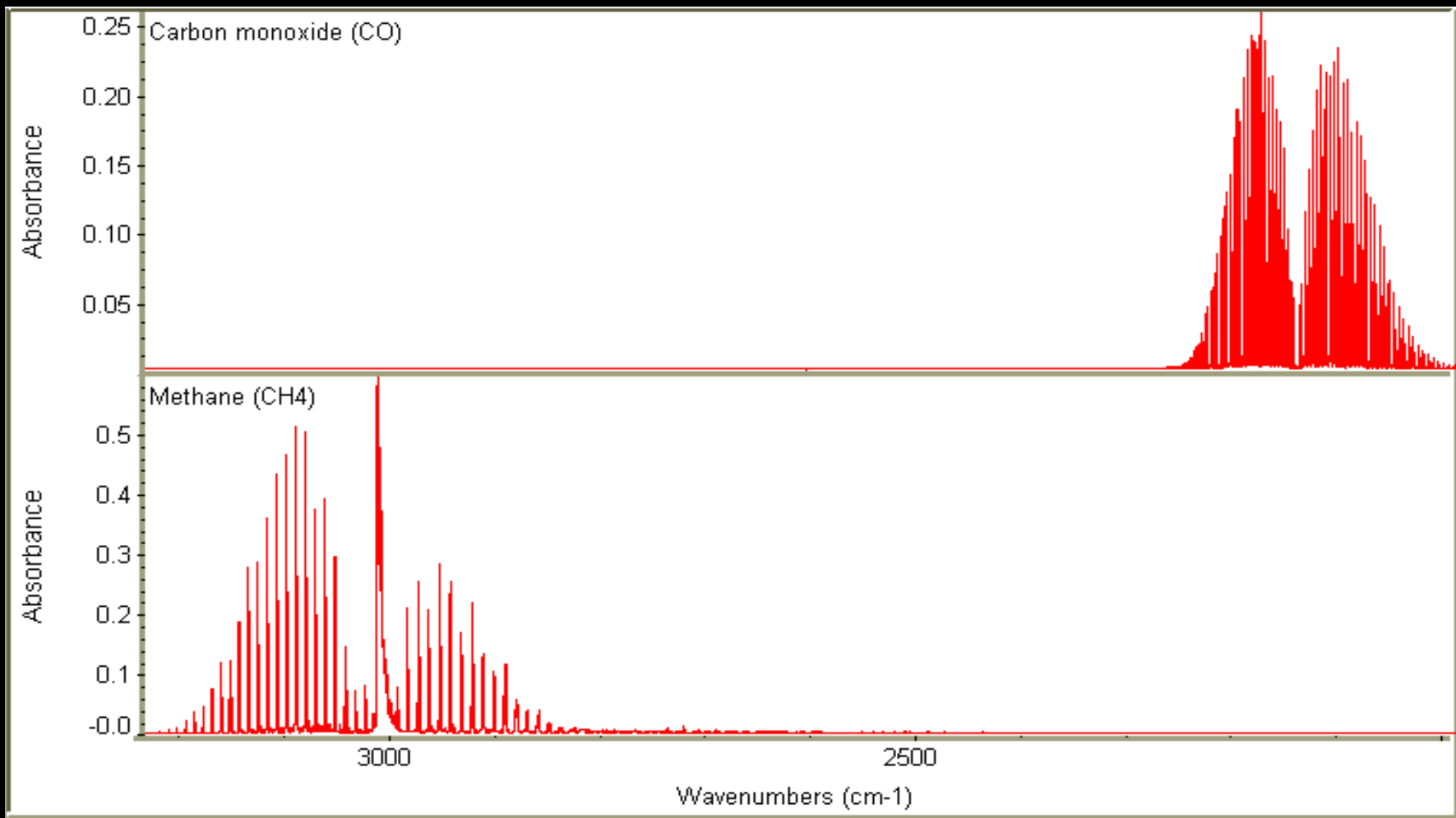
# Anatomy of a Spectrum

Spectrometer Response

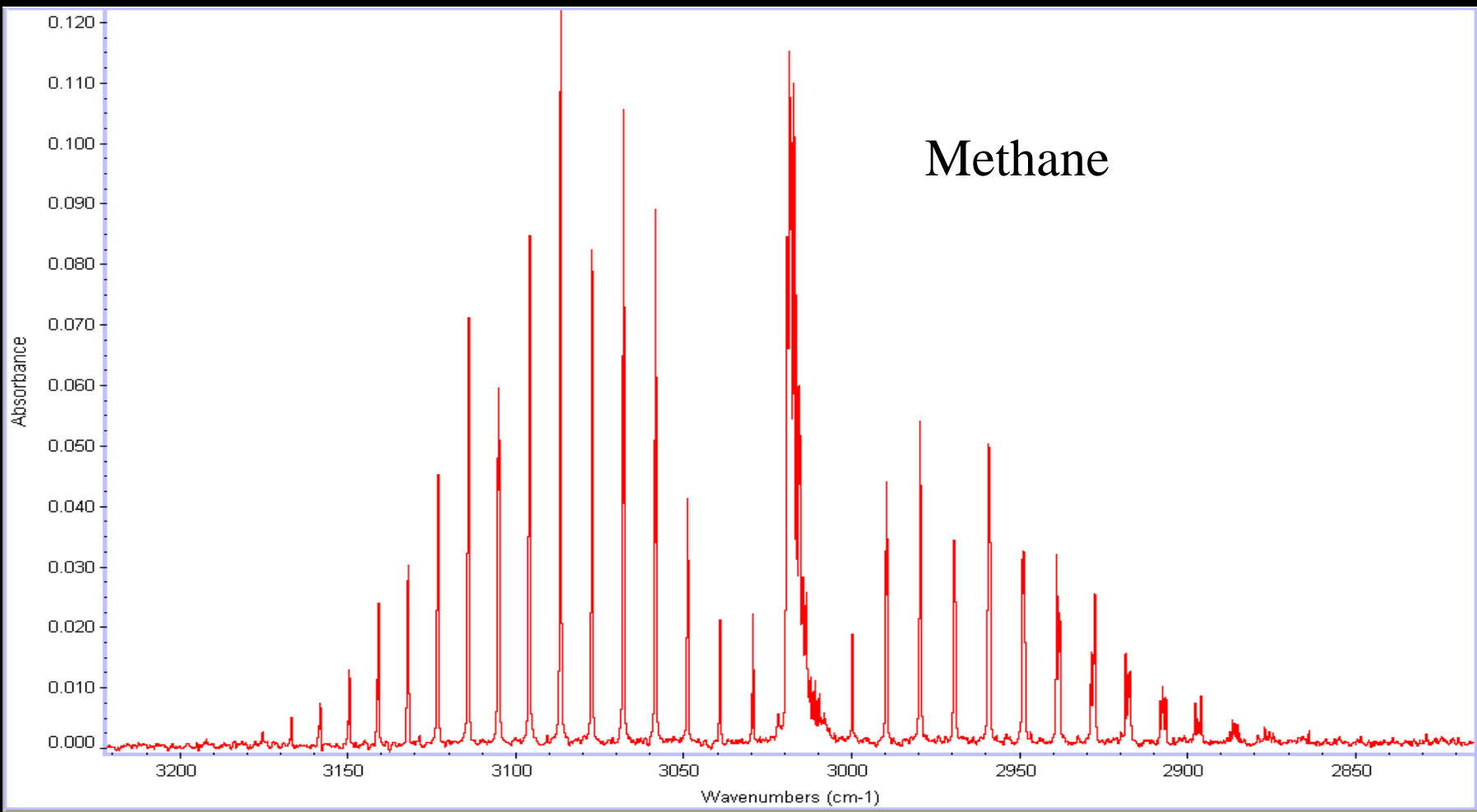


Wavelength

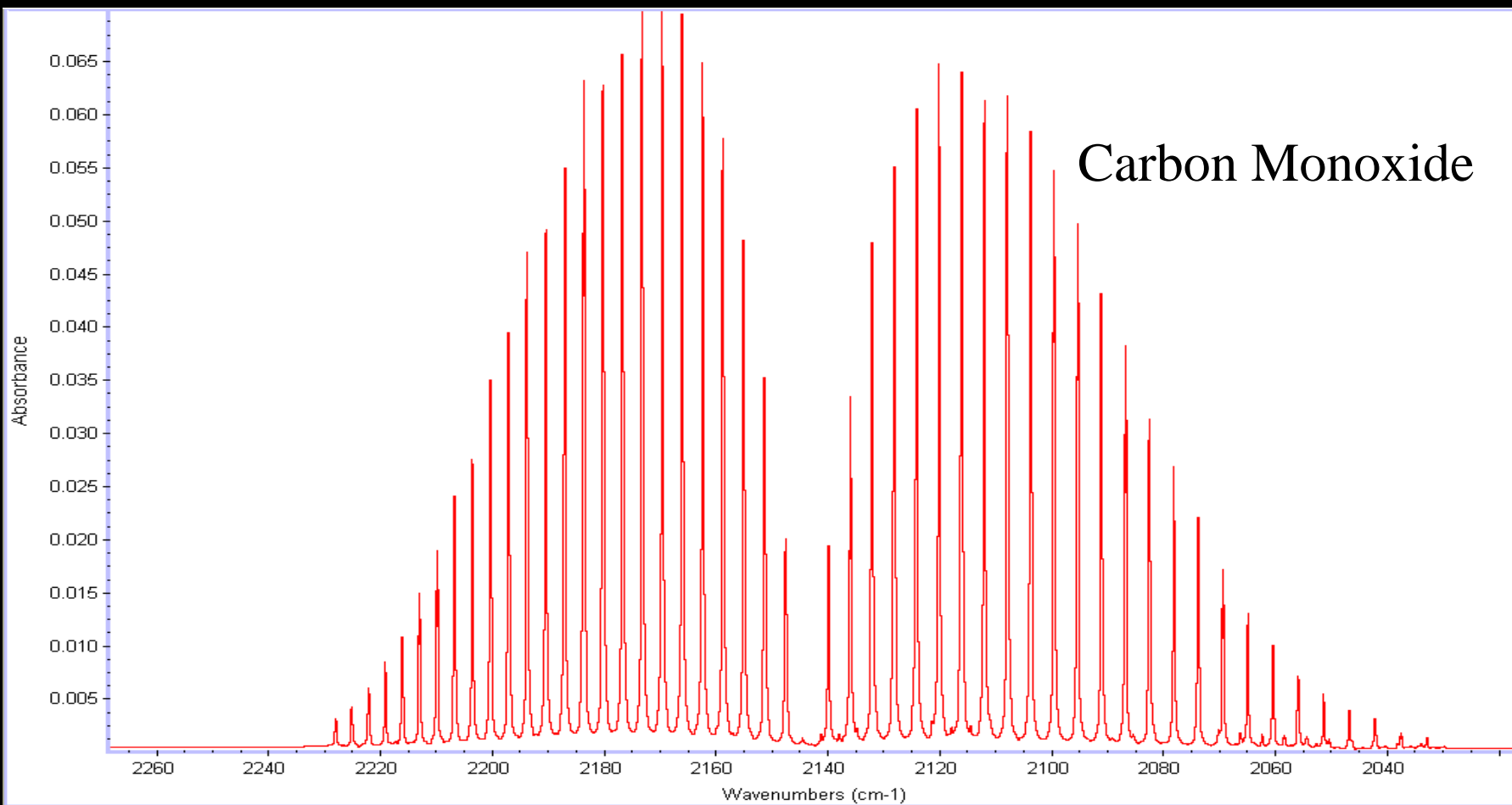
# Example Spectra of CH<sub>4</sub> and CO



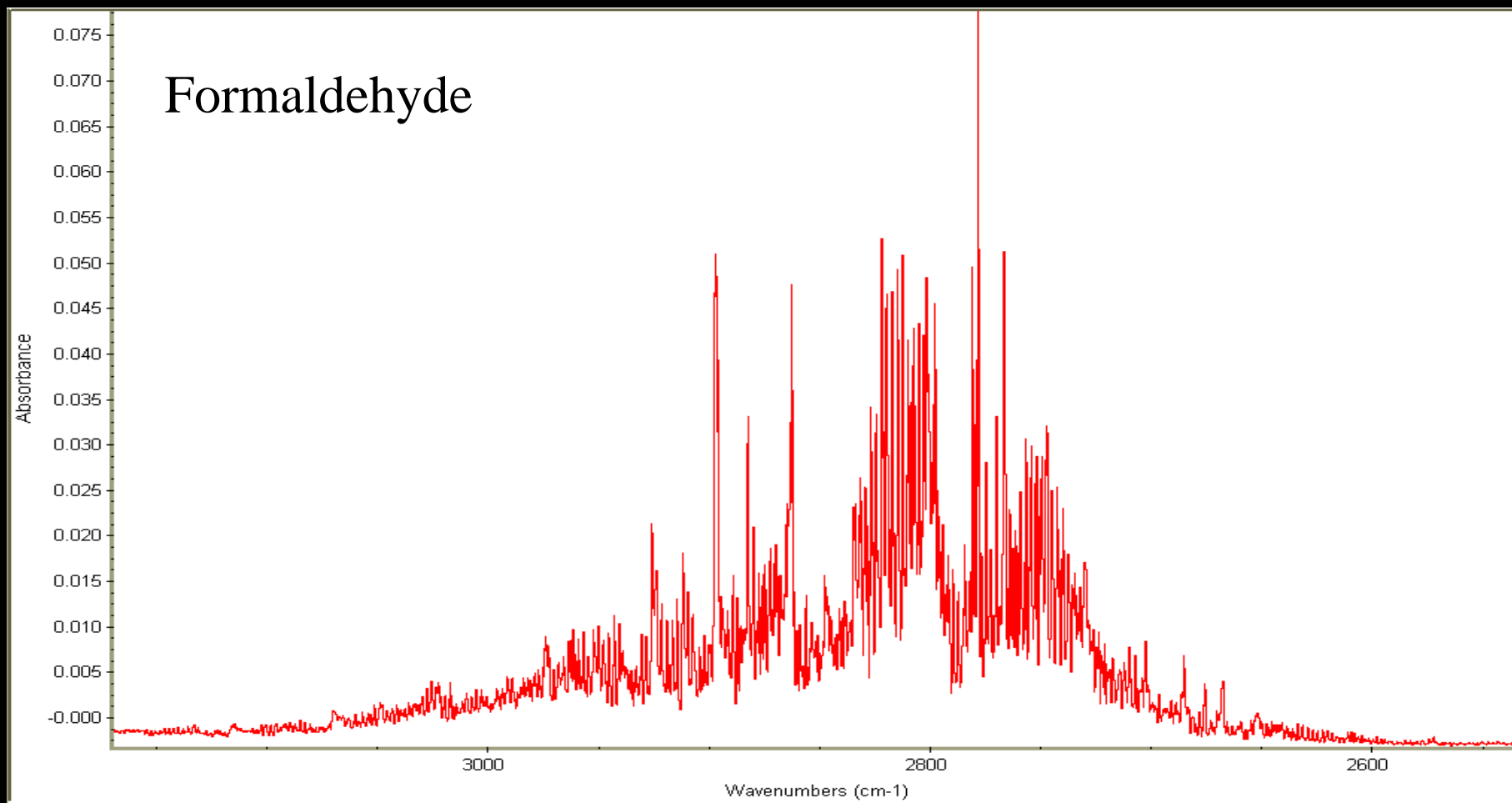
# Example Spectrum – CH<sub>4</sub>



# Example Spectrum - CO



# Example Spectrum – $H_2CO$





# *Reference Spectrum*

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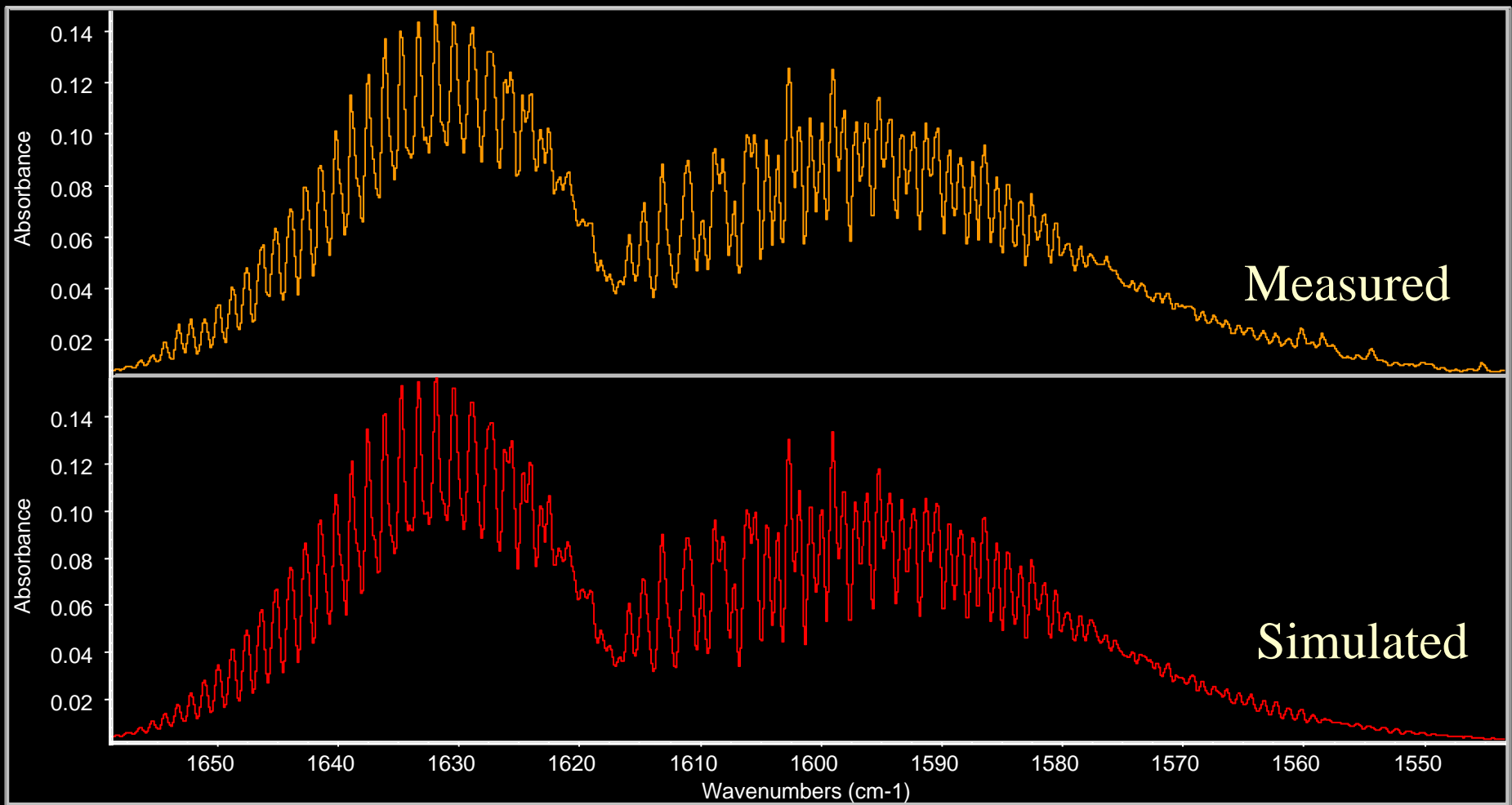
- A reference spectrum is a spectrum of a pure chemical compound measured under controlled laboratory conditions
- Usually utilized as an absorbance spectrum
- Required for quantitative analysis
- “Calibrates” spectrometer for given compound

# *Reference Spectrum Verification*

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- Can be verified using quantum-mechanical (QM) simulations of spectra
- QM simulations are highly accurate and noiseless
- Impurities in gas standard can be identified
- Can also verify proper spectrometer operation

# *NO<sub>2</sub> Synthetic and Actual Spectra*



# *What is FTIR?*

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- Fourier Transform Infrared Spectroscopy
- Measures amount of light absorbed by sample
- Available since late 1960's
- Application to field since 1970's

# *FTIR Background*

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- FTIR is a modern spectroscopic method which operates in the IR (molecular vibrations and rotations)
- The “FT” in FTIR gives the wavelength selection method (Fourier Transformation)
- Prior to FTIR, grating and prism spectrometers were used

# *FTIR Background, continued*

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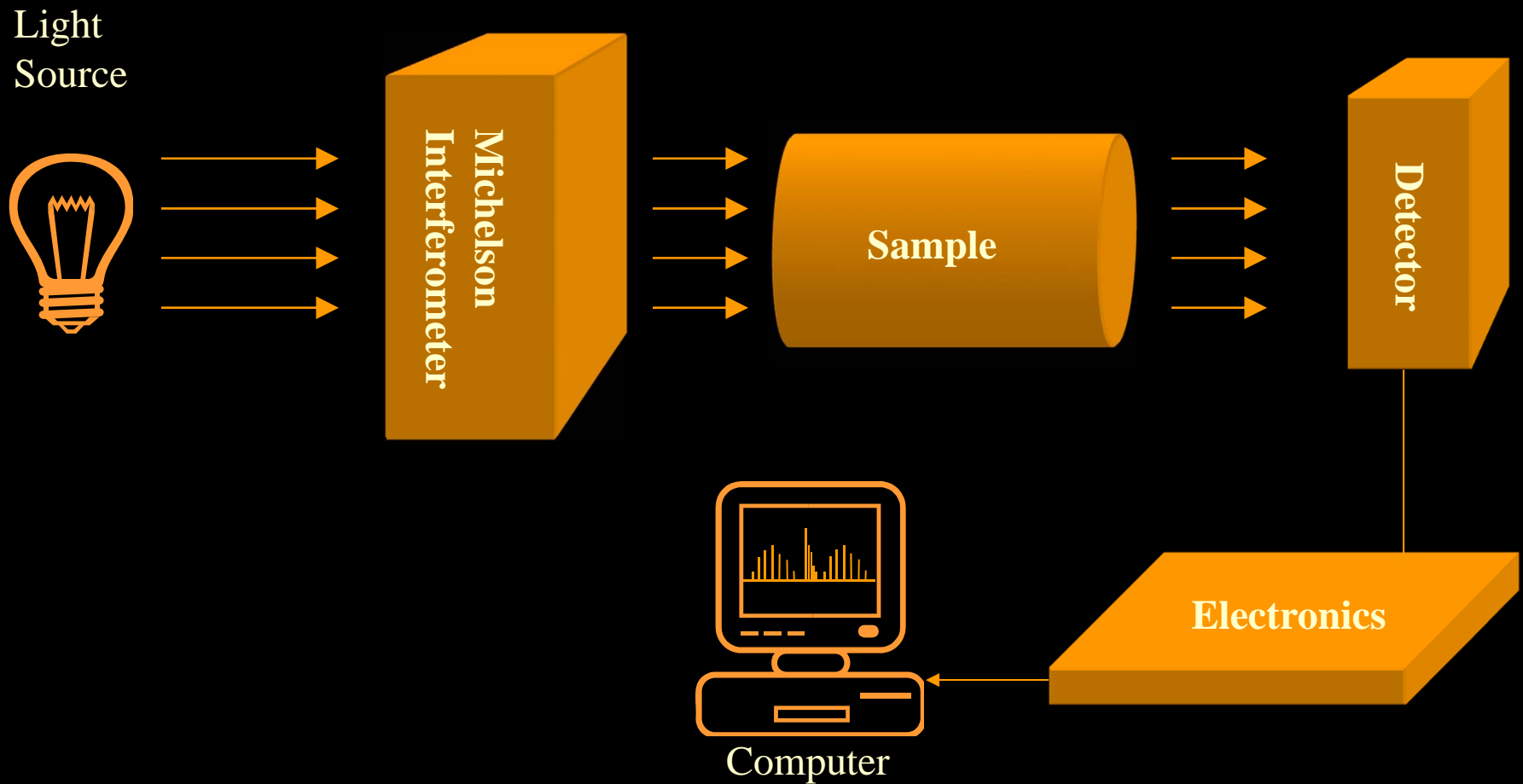
- FTIR is versatile: can choose many spectral collection parameters unlike any other IR method
- Signal to noise advantage : Fellgett
- Data is subject to Digital Signal Processing (DSP) algorithms
- FTIR is fast: ~ 1 spectrum per second typical

# *Advantages of FTIR*

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- Real-time accurate and precise emission data
- Lowest cost per analyte data point
- Off-site re-analysis of spectra for other species not originally targeted

# *A Simple FTIR Spectrometer*





# *Identification and Quantitative Analysis*

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- Identification is achieved by a combination of sample chemistry knowledge and in identifying spectral features
- Quantification is carried out by mathematical comparison with reference spectra
- Quantification method depends on application

# Quantifying Spectra

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- Most common method is called Classical Least Squares (CLS) or Multivariate least squares
- Partial Least Squares
- Neural Networks
- K- or P- Matrix Method
- Principal Component Regression
- Beer's Law

# *FTIR Sensitivity*

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- Minimum Detection Limits (MDL) depend primarily on the signal-to-noise ratio (SNR) of the measurement
- Absorption signal can be increased by using a greater optical pathlength
- Noise can be minimized by averaging multiple spectra

# *Classical Least Squares*

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- CLS finds the best combination of reference spectra to match the corresponding features in the sample spectrum
- CLS reports an estimated error of analysis for each analyte – can be utilized for MDL measurements
- CLS requires one knows the identity of all detectable species in sample for best results

# Common Interfering Species

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- Water Vapor
- Carbon Dioxide
- Handled by:
  - Choice of analysis region which contains relatively few and weaker spectral lines of water vapor and carbon dioxide
  - “Windowing” of analysis region
  - Shorter pathlength
  - Sample conditioning

# *Linearity of Spectral Response*

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- Due to finite instrumental resolution, moderate strength ( $A > 0.1$ ) narrow spectral lines begin to exhibit non-linear response.
- Easily modeled and corrected
- Can be modeled from simulated spectra
- Transparent to user
- Not related to detector non-linearity

# *Collecting Field FTIR Spectra*

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- Spectral data is collected continuously
- Usually a pre-defined number of interferograms are averaged to form a composite which is then processed
- Archived spectral data can be processed later for other species not originally targeted
- Real-time results for multiple species

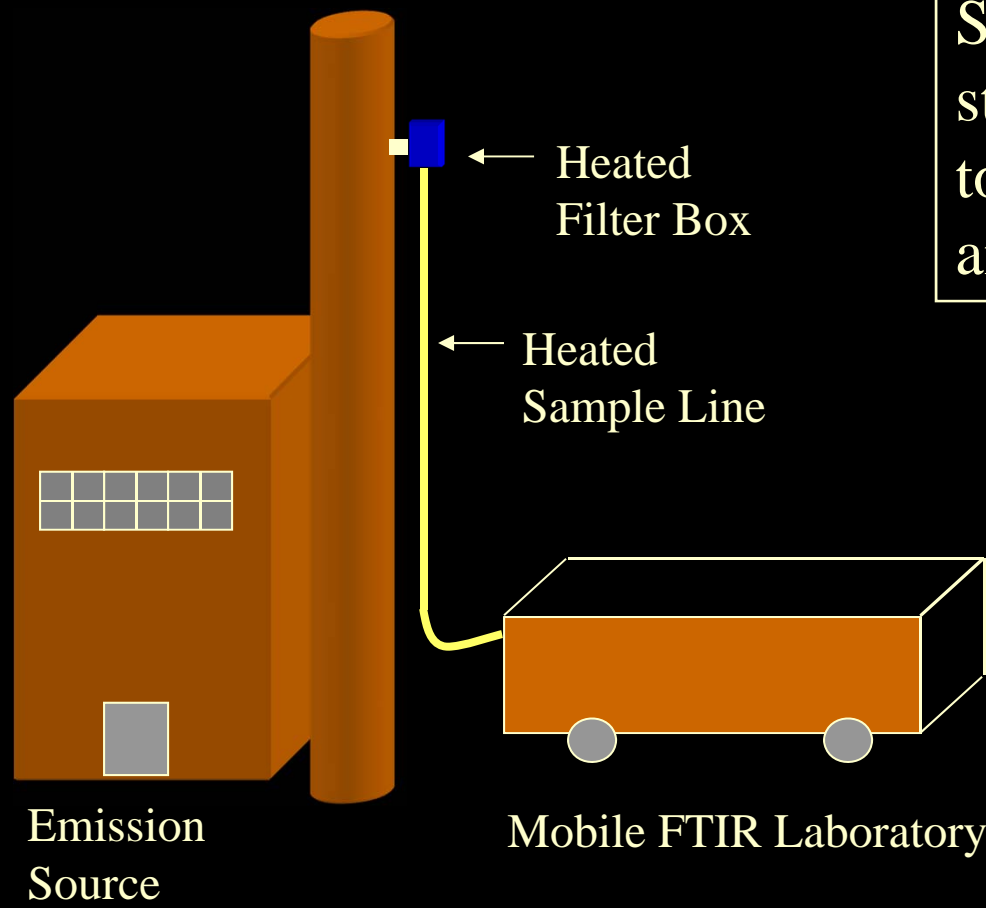
# *Application of FTIR in the field*

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- Point Source (i.e., “stack”) Characterization
- Process Optimization
- Ambient Air
- Area Source Characterization and Emission Rates
- Mobile Sources



# Source Characterization



Sample is extracted from stack and transported down to the mobile laboratory for analysis

# *Process Optimization*

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Duct or pipe internal to process



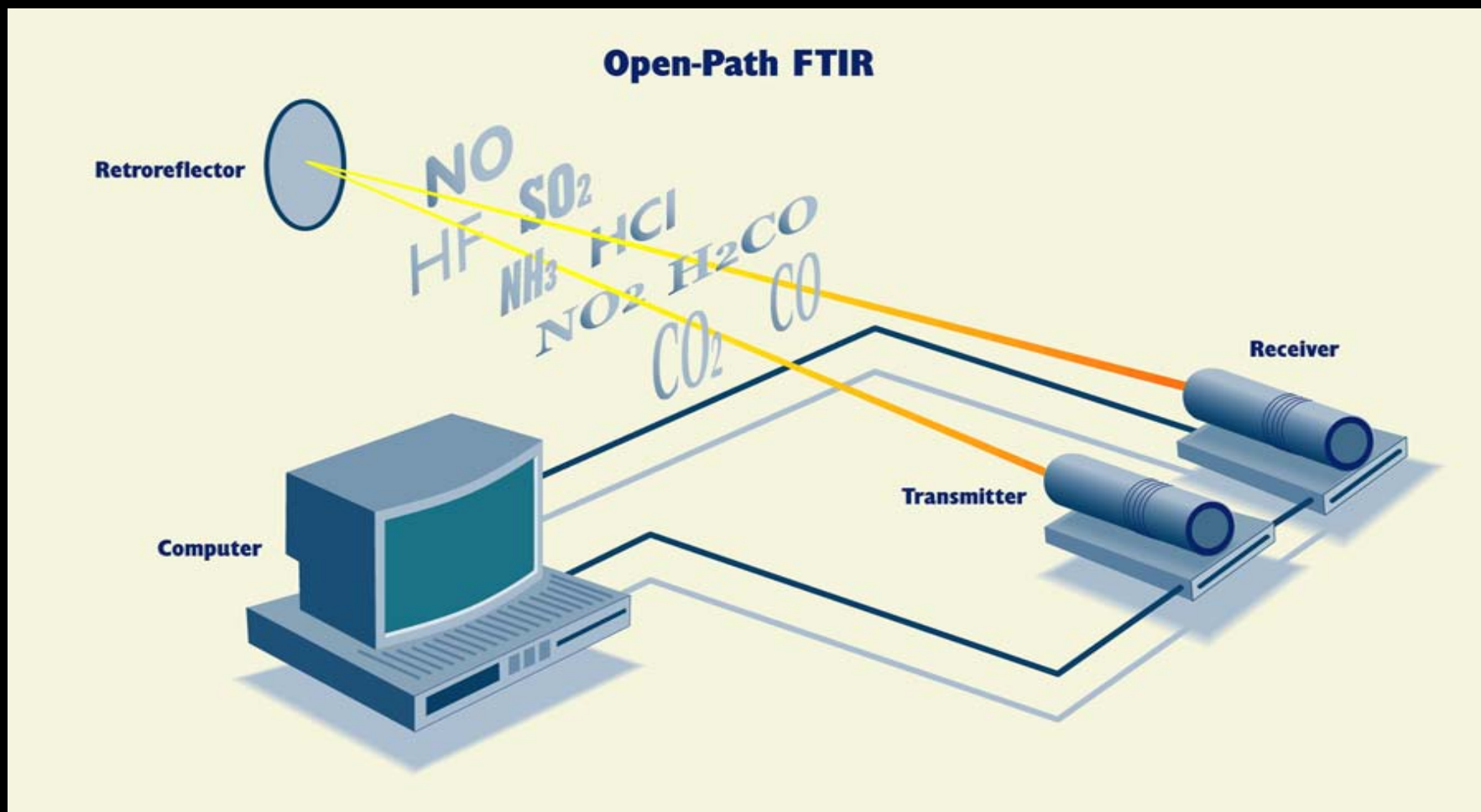
Sample  
Port



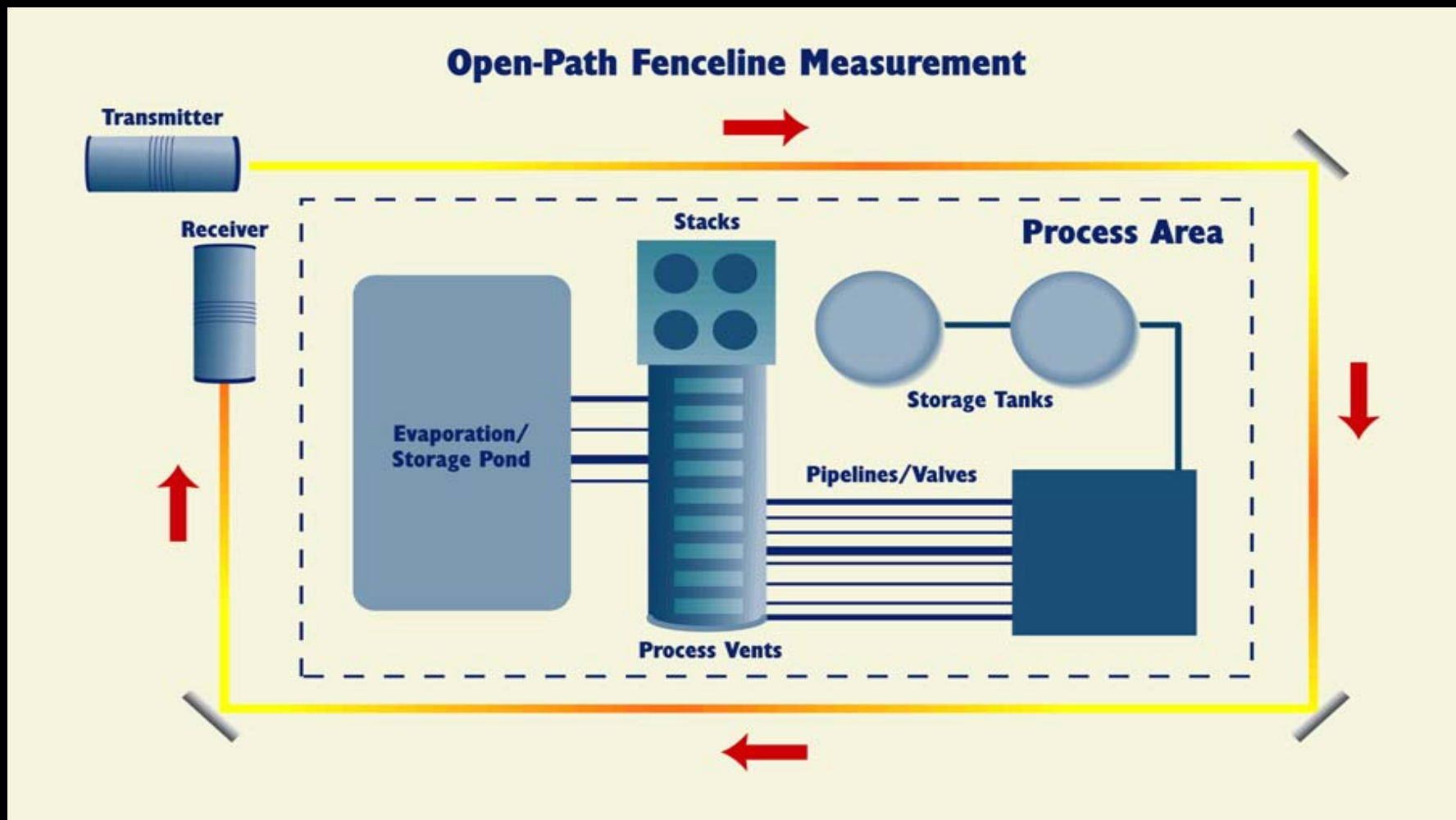
To FTIR  
System

Adjustments to process can be made to produce optimum concentrations of analytes with real-time response

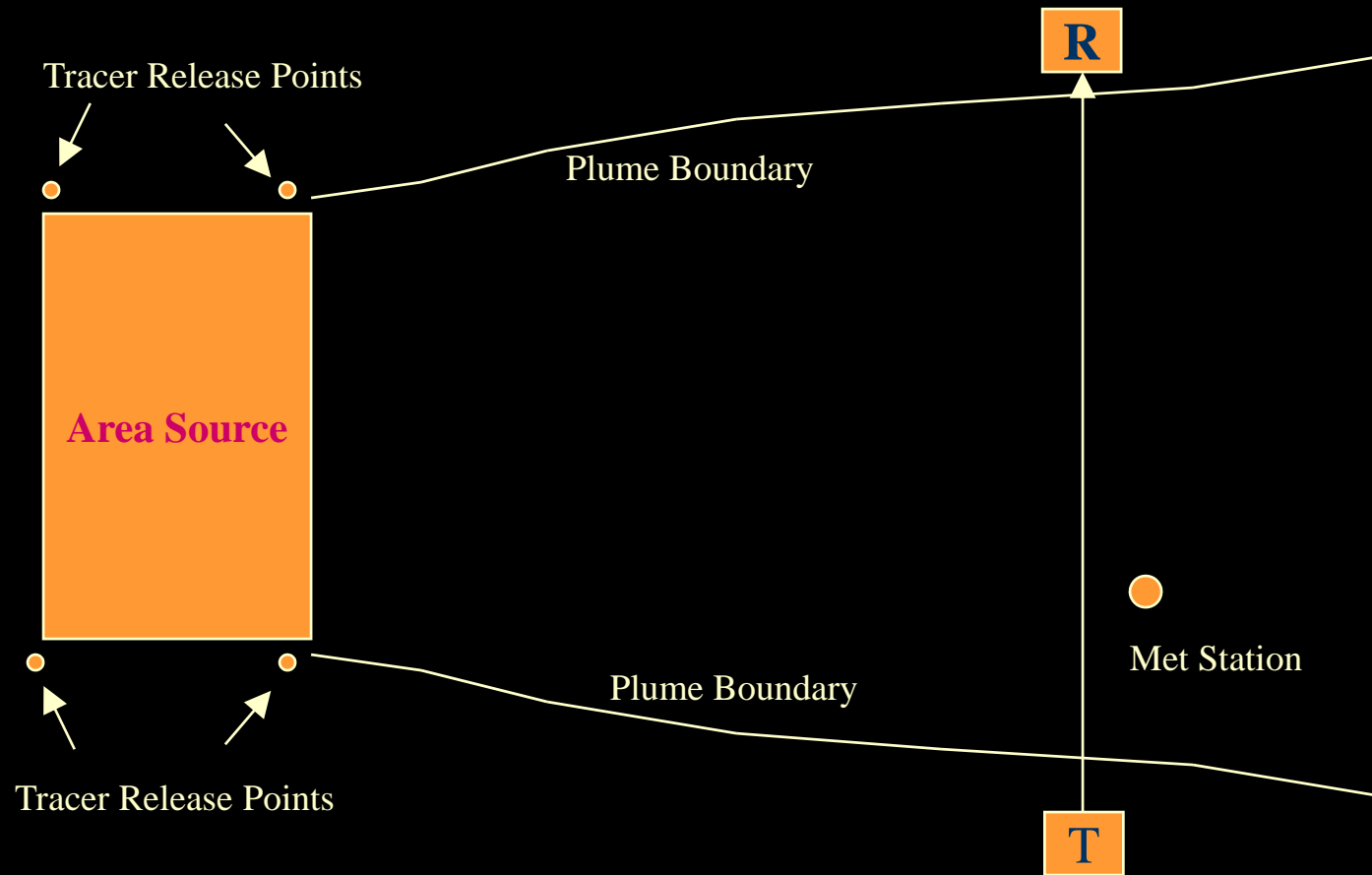
# Open-Path FTIR



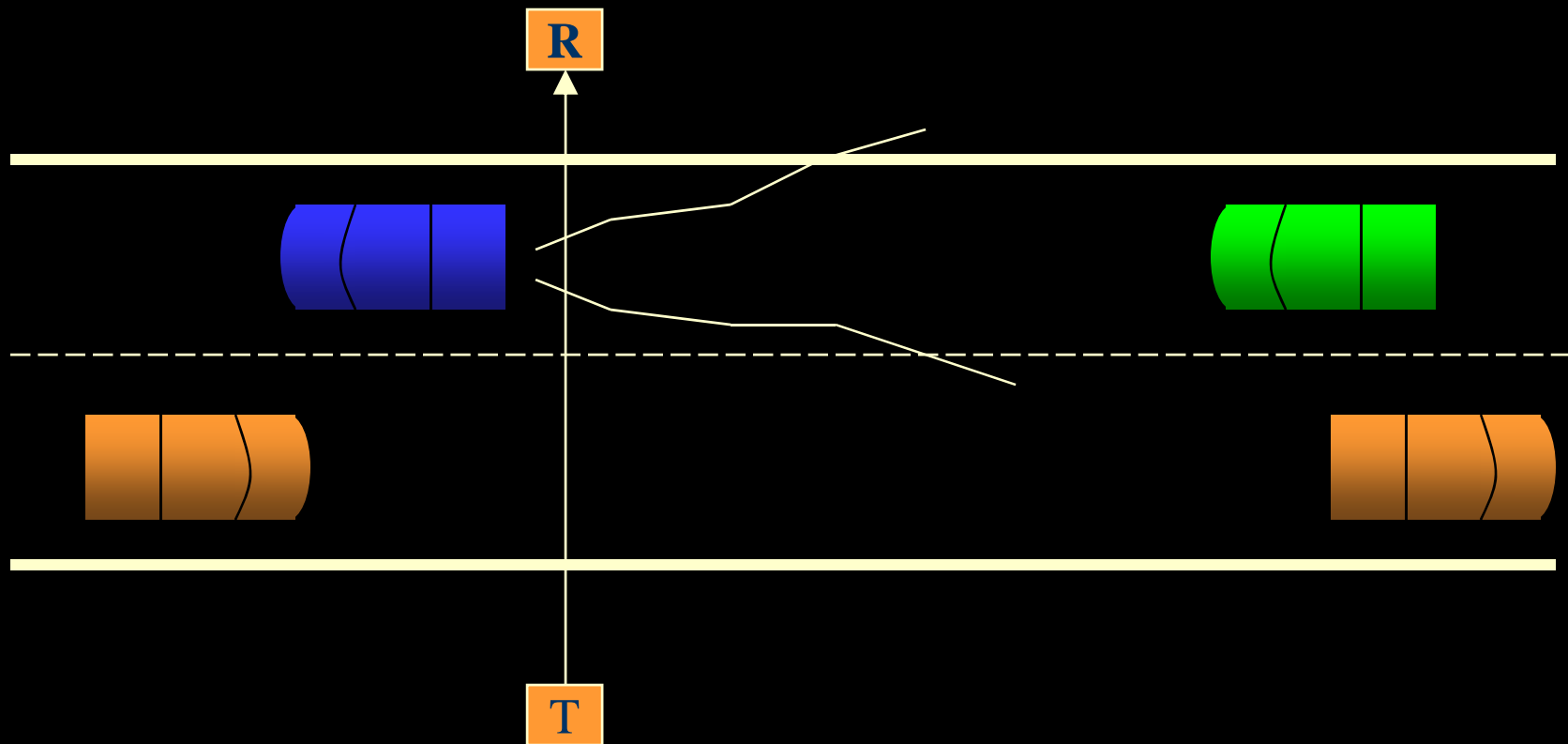
# Open-Path Fenceline Measurement



# Area Source Characterization and Emission Rate Determination



# Mobile Sources



# *Application to Emission Measurements*

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- FTIR in regular field use for about 20 years
- Validated (via EPA Method 301) for many source categories
- Formal test procedures: EPA Method 320, ASTM D6348 – 03
- ASTM method acceptable alternative to M320 if ASTM method QA is conducted

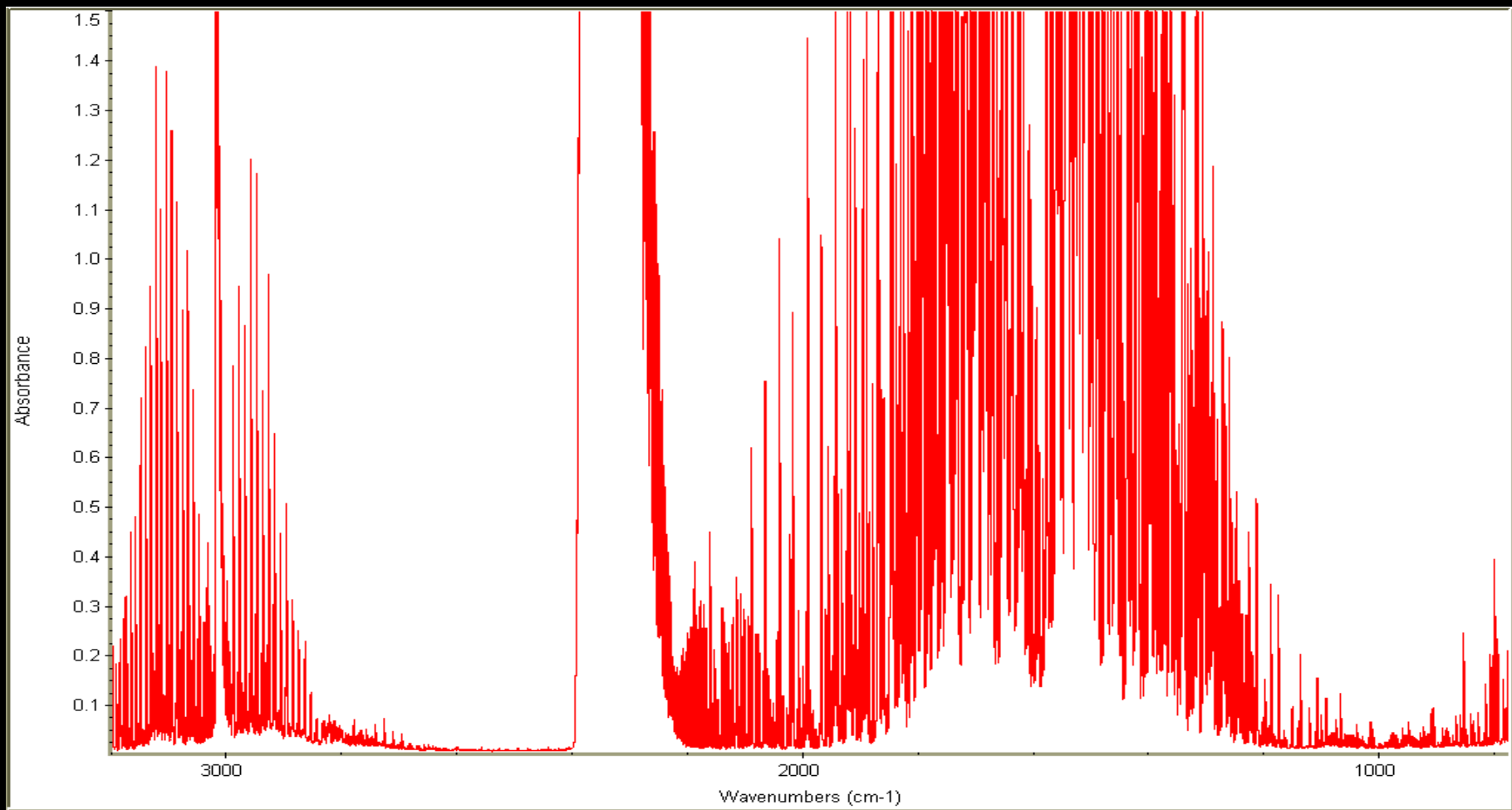
# *Typical FTIR Emission Measurement Applications*

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- Compliance Testing
- Control device testing (e.g., scrubbers, bag houses, oxidizers, catalysts, etc.)
- Research
- Emissions / process optimization
- Real time gaseous fuel / feedstock analysis



# *Example Combustion Spectrum*



# *Typical FTIR Detection Limits Combustion Sample Matrix*

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- Formaldehyde: 0.05 ppmv
- NO: 1 ppmv
- NO<sub>2</sub>: 0.3 ppmv
- CO: 0.05 ppmv
- Generally a function of optical pathlength, but also dependent on measurement time (1 minute shown) and sample matrix

# *EPA Method 320*

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- Formal Emissions Test Procedure
- Can be applied to any source category with successful validation (i.e., “self-validating”)
- QA/QC via direct instrument and system challenges
- System challenged with key species most difficult to measure

## *M320, continued*

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- Supporting calculations from actual data are required (Appendices of Protocol)
- Calculations based on spectral band areas; CLS can directly report measurement uncertainties
- Supporting FTIR protocol document available

# *M320: First Test of Source*

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Must conduct Method 301 validation by  
dynamic spiking of all target analytes

# *M320 QA/QC*

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- Involves instrumental and system challenges
- Instrumental: Measurement of a “Calibration Transfer Standard” (CTS) and zero gas measurement for noise / baseline drift
- System: Dynamic spiking before (and after) each testing run - sampling system response time
- Sampling system integrity checks
- Spike should be key species that is most difficult to measure (due to sample matrix or physical properties)

# *Instrumental Checks*

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- Detector Linearity (procedure in sect. 8.3.3 most common)
- Optical Pathlength: compare CTS spectrum to CTS of known pathlength
- Cell Leak Check (< 4% of cell volume in measurement period)
- CTS measurements (pre and post)
- Noise / baseline test (zero spectrum)

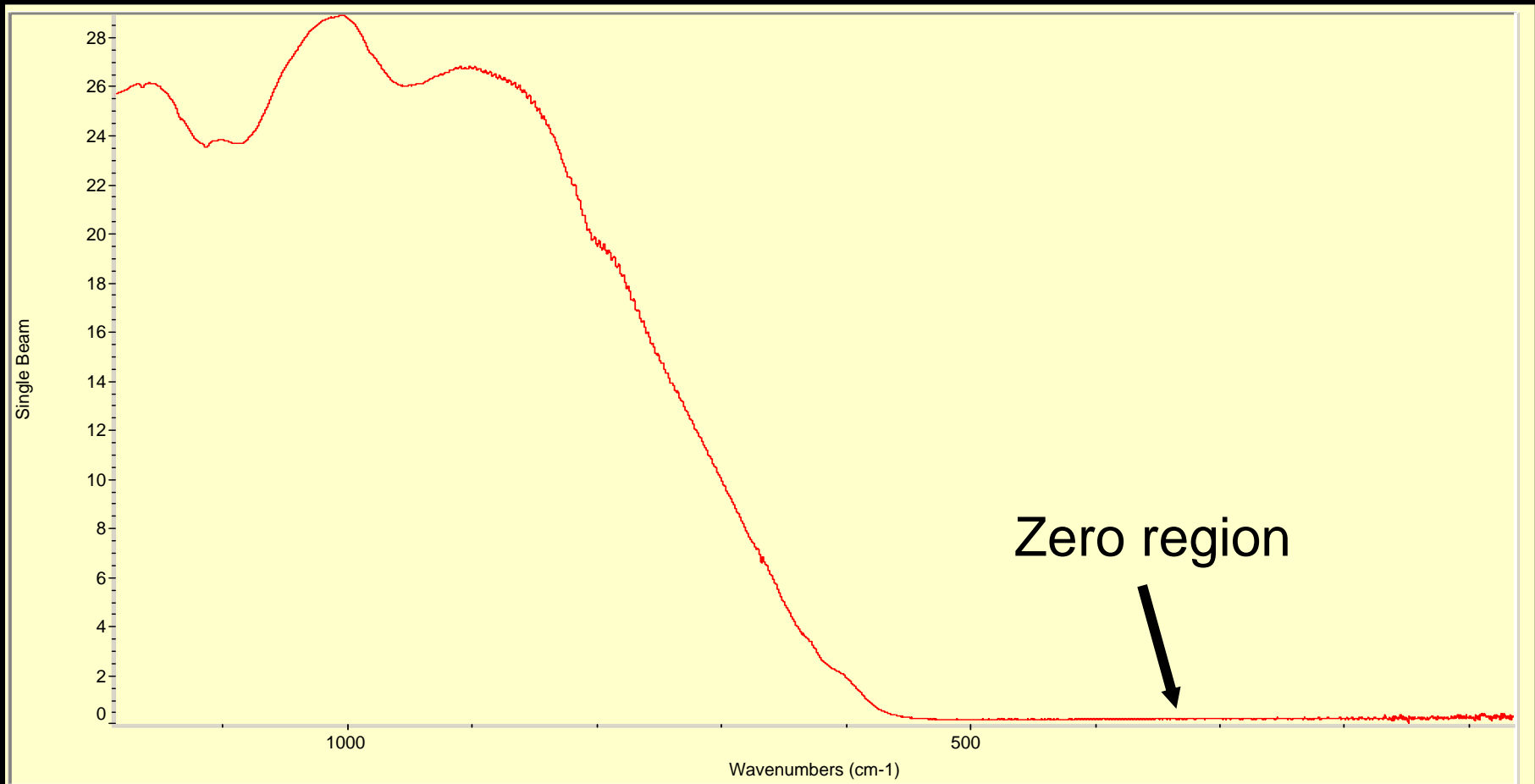
# *Detector Linearity*

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- Once set, rarely requires readjustment
- In test report, a statement that confirms that this was completed is usually considered sufficient
- Can be checked in spectral data by examination of spectrum from 0-500 wavenumbers (should be zero with superimposed noise)



# Linearized Detector Spectrum



# *Pathlength Check*

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- Measurement of a CTS standard and compared to lab CTS spectrum (appendix H of protocol)
- Tolerance: Within 5 percent of stated (“approximated”) pathlength
- Mathematically identical to analyzing CTS standard and quantifying with stated pathlength: agreement to within 5 percent of certified CTS concentration
- Result reported as either actual measured pathlength or % recovery of CTS standard

# Cell Leak Check

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- Depends on sampling method
- Batch-type sampling (evacuate and fill):
  - Evacuate cell and measure pressure change in 2 minutes
  - Correct to sampling time
  - $\leq 4\%$  volume leak rate in sample period acceptable
  - Repeat with cell pressurized 100 mmHg above ambient

# Cell Leak Check

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- Continuous sampling (purging) method:
  - Pressurize 100 mmHg above ambient
  - Measure pressure change (loss) in 2 minute period
  - Correct pressure change to sample period
  - $\leq 4\%$  volume leak rate in sample period acceptable

# *Baseline and Noise Spectrum*

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- Measurement of zero gas under identical sampling conditions
- Check for baseline drift
- Greater than 0.02 A (5% T) change in baseline requires new background
- S/N ratio must be 10 or greater for minimum analyte peak absorbance
- Modern instruments rarely require new background or baseline corrections
- NEA:  $< 1 \times 10^{-4}$  in modern instrumentation

# *Calibration Transfer Standard*

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- Preserves instrument frequency and intensity calibration at time of reference spectrum measurement
- Ethylene used, but CO, CO<sub>2</sub>, CH<sub>4</sub> mixture also used with proliferation of narrow spectral lines in most commonly used spectral regions
- Other species with broad spectral bands used
- Used as an instrumental diagnostic

# System Checks

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- System Leak Check (< 200 mL/min)
- Dynamic Spiking: System response time and analyte measurement assessment in actual sample matrix

# *Dynamic Spiking*

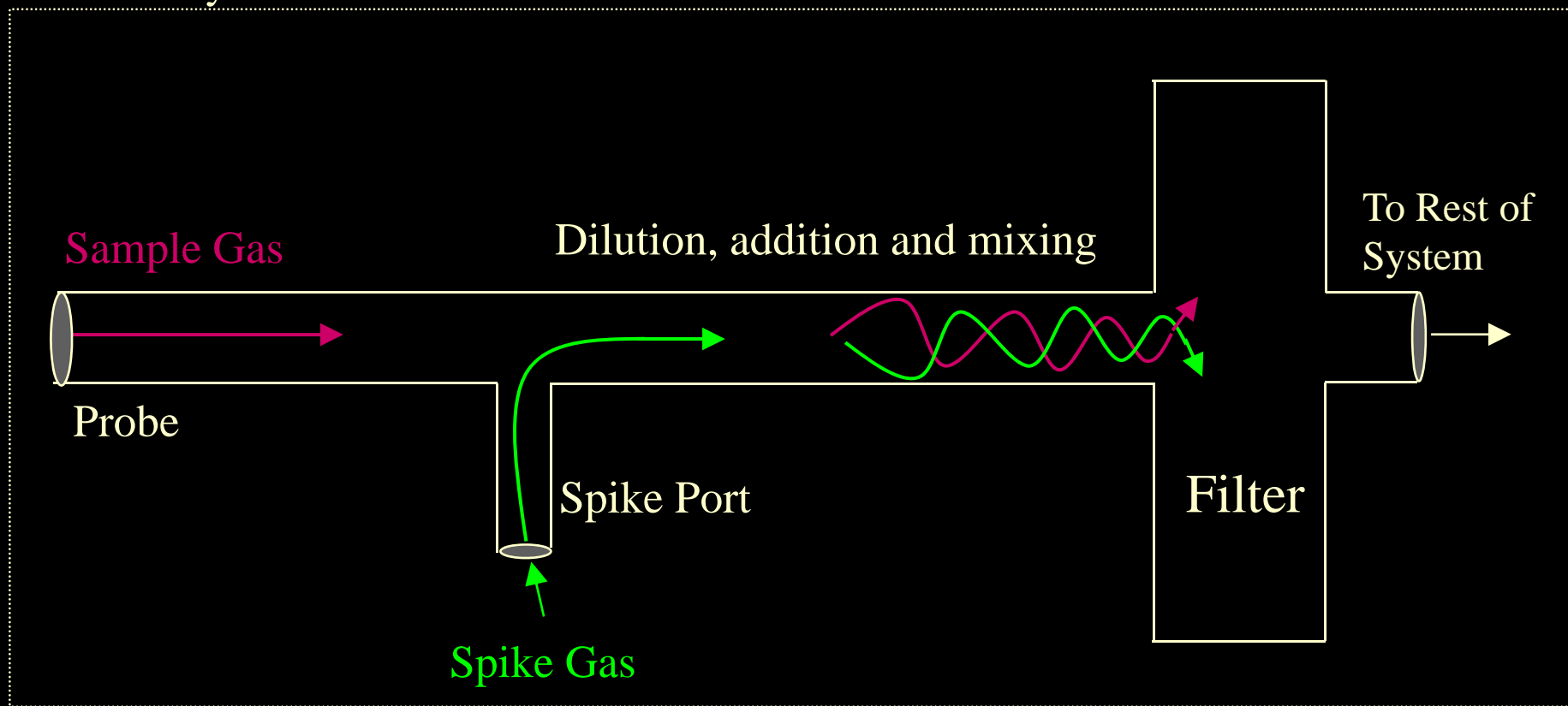
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- Target analyte injected into sampling system at probe (less than 10 percent dilution) with known concentration
- System response measured
- 70 – 130 percent recovery
- Should use target analyte that is considered most challenging to measure (e.g., formaldehyde for natural gas combustion)
- Ethylene is not considered challenging in virtually all expected sample matrices (i.e., non-polar species)



# Spike Injection

Heated System



# *Spiking into Reactive Sample Matrix*

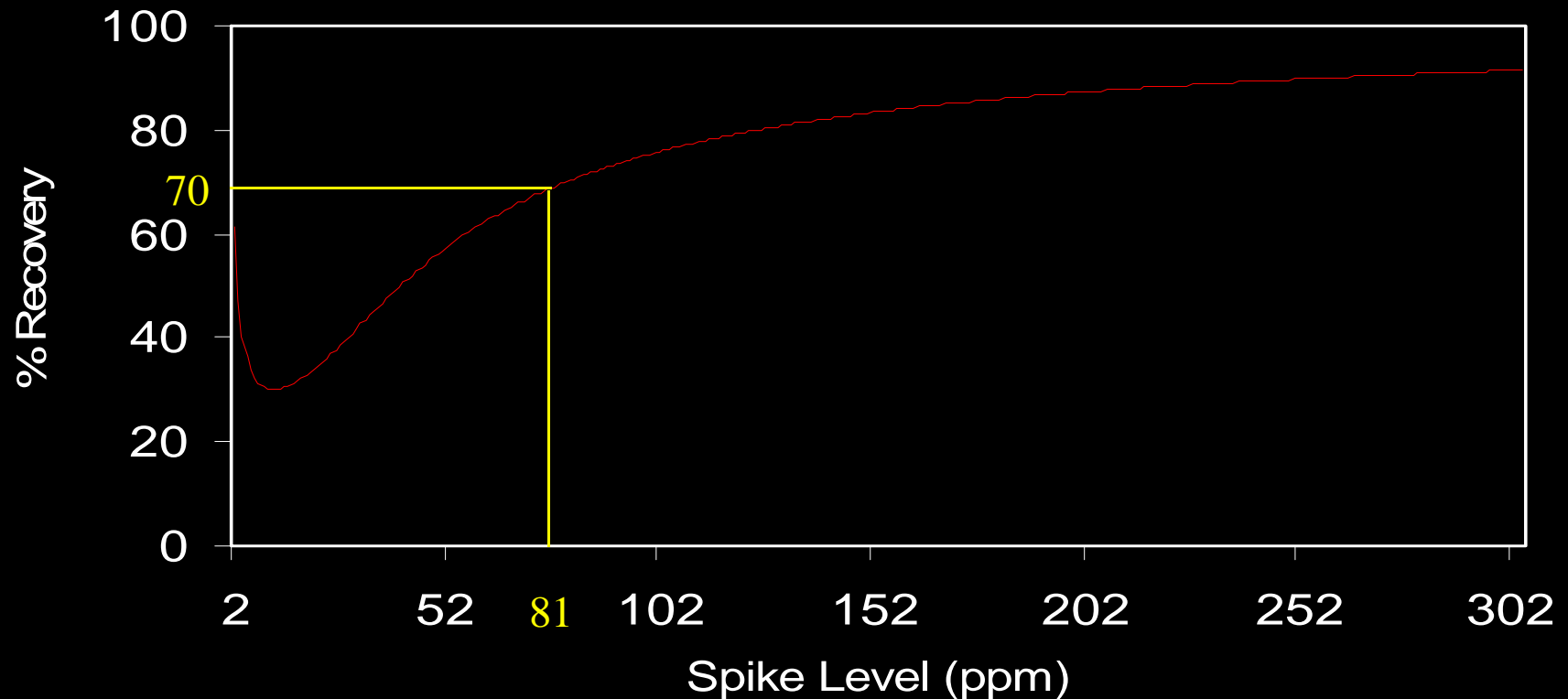
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- Very low recoveries may indicate reactive sample matrix
- Example – HCl spike into streams containing NH<sub>3</sub>
- Thermodynamic calculations indicate low recovery for moderate level HCl spike
- Confirmed in field by excellent HCl recovery at very low and high spike levels

# Simulation of HCl Spike Recovery – 29 ppm NH<sub>3</sub>

## Recovery vs. Spike Level

(5 ppm native HCl)  
(10 percent dilution)



# Sampling

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- Batch – evacuate to  $< 5$  mmHg and fill cell with sample
- Continuous Static: Purge cell with 10 cell volumes and isolate
- Continuous –  $> 5$  cell volumes flow per sample period – most common

# *Questions / Discussion*

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