

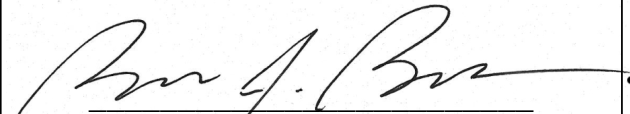


UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
2026 MODEL YEAR  
CERTIFICATE OF CONFORMITY  
WITH THE CLEAN AIR ACT

OFFICE OF TRANSPORTATION  
AND AIR QUALITY  
ANN ARBOR, MICHIGAN 48105

**Certificate Issued To:** Herzog  
(U.S. Manufacturer or Importer)  
**Certificate Number:** THZGK95.4TT3-001

**Effective Date:**  
04/13/2026  
**Expiration Date:**  
12/31/2026

  
Byron J. Bunker, Division Director  
Compliance Division

**Issue Date:**  
04/13/2026  
**Revision Date:**  
N/A

**Engine Family Name (Remanufacturing Kit):** THZGK95.4TT3

**The rebuild kit includes:** Injector Pump, TMV - TECU, Turbocharger, Engine Timing Plate, DPF Aftertreatment, Advanced Diesel Engine Control (ADEC), Original Loco Emission Control Plate, Engine Emission Control Info Plate, Injector

**Vehicle/Engine Category:** Locomotive

**Locomotive Model Years:** 2012 to 2016

**Models Covered:** BL36PH

Pursuant to Section 213 of the Clean Air Act (42 U.S.C. section 7547) and 40 CFR 1033, and subject to the terms and conditions prescribed in those provisions, this certificate of conformity is hereby issued with respect to the remanufacturing kit which has been found to conform to applicable requirements and which may be utilized with only the following locomotive engines, by engine family, more fully described in the documentation required by 40 CFR 1033 and produced in the stated model year.

Parties who install this remanufacturing kit must also ensure that the base engine contains the following parts, more fully described in the Application for Certification for this kit:  
Power Assembly, Governor, Intercooler, Crankcase Breather, Coolant Pump

This certificate of conformity is conditional upon compliance of said manufacturer with the provisions of 40 CFR Part 1033, Subpart H. Failure to comply with these provisions may render this certificate void *ab initio*.

This certificate of conformity covers only those locomotive remanufacturing kits which conform in all material respects to the design specifications that applied to those kits more fully described in the Application for Certification required by 40 CFR 1033 and which are produced during the model year stated on this certificate of the said manufacturer, as defined in 40 CFR 1033.

It is a term of this certificate that the manufacturer shall consent to all inspections described in 40 CFR 1068.20 and authorized in a warrant or court order. Failure to comply with the requirements of such a warrant or court order may lead to revocation or suspension of this certificate for reasons specified in 40 CFR 1068. It is also a term of this certificate that this certificate may be revoked or suspended or rendered void *ab initio* for other reasons specified in 40 CFR 1068.