ANNEX 3 Methodological Descriptions for Additional Source or Sink Categories

3.1. Methodology for Estimating Emissions of CH_4, N_2O, and Indirect Greenhouse Gases from Stationary Combustion

Estimates of CH_4 and N_2O Emissions

Methane (CH_4) and nitrous oxide (N_2O) emissions from stationary combustion were estimated using emission factors and methods from the Intergovernmental Panel on Climate Change (IPCC). Estimates were obtained by multiplying emission factors—by sector and fuel type—by fossil fuel and wood consumption data. This "top-down" methodology is characterized by two basic steps, described below. Data are presented in Table A-86 through Table A-91.

Step 1: Determine Energy Consumption by Sector and Fuel Type

Energy consumption from stationary combustion activities was grouped by sector: industrial, commercial, residential, electric power, and U.S. Territories. For CH_4 and N_2O from industrial, commercial, residential, and U.S. Territories, estimates were based upon consumption of coal, gas, oil, and wood. Energy consumption and wood consumption data for the United States were obtained from the Energy Information Administration's (EIA) *Monthly Energy Review, February 2016* and Published Supplemental Tables on Petroleum Product detail (EIA 2016). Because the United States does not include U.S. Territories in its national energy statistics, fuel consumption data for U.S. Territories were collected separately from the EIA's *International Energy Statistics* (Jacobs 2010).[40] Fuel consumption for the industrial sector was adjusted to subtract out construction and agricultural use, which is reported under mobile sources.[41] Construction and agricultural fuel use was obtained from EPA (2013). The energy consumption data by sector were then adjusted from higher to lower heating values by multiplying by 0.90 for natural gas and wood and by 0.95 for coal and petroleum fuel. This is a simplified convention used by the International Energy Agency (IEA). Table A-86 provides annual energy consumption data for the years 1990 through 2014.

In this Inventory, the emission estimation methodology for the electric power sector was revised from Tier 1 to Tier 2 as fuel consumption by technology-type for the electricity generation sector was obtained from the Acid Rain Program Dataset (EPA 2015a). This combustion technology-and fuel-use data was available by facility from 1996 to 2014. Since there was a difference between the EPA (2015a) and EIA (2016) total energy consumption estimates, the remainder between total energy consumption using EPA (2015a) and EIA (2016) was apportioned to each combustion technology type and fuel combination using a ratio of energy consumption by technology type from 1996 to 2014.

Energy consumption estimates were not available from 1990 to 1995 in the EPA (2015a) dataset, and as a result, consumption was calculated using total electric power consumption from EIA (2016) and the ratio of combustion technology and fuel types from EPA 2015a. The consumption estimates from 1990 to 1995 were estimated by applying the 1996 consumption ratio by combustion technology type to the total EIA consumption for each year from 1990 to 1995.

Lastly, there were significant differences between wood biomass consumption in the electric power sector between the EPA (2015a) and EIA (2016) datasets. The difference in wood biomass consumption in the electric power sector was distributed to the residential, commercial, and industrial sectors according to their percent share of wood biomass energy consumption calculated from EIA (2016).

Step 2: Determine the Amount of CH_4 and N_2O Emitted

Activity data for industrial, commercial, residential, and U.S. Territories and fuel type for each of these sectors were then multiplied by default Tier 1 emission factors to obtain emission estimates. Emission factors for the residential, commercial, and industrial sectors were taken from the *2006 IPCC Guidelines for National Greenhouse Gas Inventories*

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[40] U.S. Territories data also include combustion from mobile activities because data to allocate U.S. Territories' energy use were unavailable. For this reason, CH_4 and N_2O emissions from combustion by U.S. Territories are only included in the stationary combustion totals.

[41] Though emissions from construction and farm use occur due to both stationary and mobile sources, detailed data was not available to determine the magnitude from each. Currently, these emissions are assumed to be predominantly from mobile sources.

(IPCC 2006). These N_2O emission factors by fuel type (consistent across sectors) were also assumed for U.S. Territories. The CH_4 emission factors by fuel type for U.S. Territories were estimated based on the emission factor for the primary sector in which each fuel was combusted. Table A-87 provides emission factors used for each sector and fuel type. For the electric power sector, emissions were estimated by multiplying fossil fuel and wood consumption by technology- and fuel-specific Tier 2 IPCC emission factors shown in Table A-88. Emission factors were used from the *2006 IPCC Guidelines* as the factors presented in these IPCC guidelines were taken directly from U.S. Environmental Protection Agency (EPA) publications on emissions rates for combustion sources.

Estimates of NO_x, CO, and NMVOC Emissions

Emissions estimates for NO_x, CO, and NMVOCs were obtained from data published on the National Emission Inventory (NEI) Air Pollutant Emission Trends web site (EPA 2015b), and disaggregated based on EPA (2003).

For indirect greenhouse gases, the major source categories included coal, fuel oil, natural gas, wood, other fuels (i.e., bagasse, liquefied petroleum gases, coke, coke oven gas, and others), and stationary internal combustion, which includes emissions from internal combustion engines not used in transportation. EPA periodically estimates emissions of NO_x, CO, and NMVOCs by sector and fuel type using a "bottom-up" estimating procedure. In other words, the emissions were calculated either for individual sources (e.g., industrial boilers) or for many sources combined, using basic activity data (e.g., fuel consumption or deliveries, etc.) as indicators of emissions. The national activity data used to calculate the individual categories were obtained from various sources. Depending upon the category, these activity data may include fuel consumption or deliveries of fuel, tons of refuse burned, raw material processed, etc. Activity data were used in conjunction with emission factors that relate the quantity of emissions to the activity.

The basic calculation procedure for most source categories presented in EPA (2003) and EPA (2009) is represented by the following equation:

$$E_{p,s} = A_s \times EF_{p,s} \times (1 - C_{p,s}/100)$$

where,

E	=	Emissions
p	=	Pollutant
s	=	Source category
A	=	Activity level
EF	=	Emission factor
C	=	Percent control efficiency

The EPA currently derives the overall emission control efficiency of a category from a variety of sources, including published reports, the 1985 National Acid Precipitation and Assessment Program (NAPAP) emissions inventory, and other EPA databases. The U. S. approach for estimating emissions of NO_x, CO, and NMVOCs from stationary combustion as described above is similar to the methodology recommended by the IPCC (IPCC 2006).

Table A-86: Fuel Consumption by Stationary Combustion for Calculating CH_4 and N_2O Emissions (TBtu)

Fuel/End-Use Sector	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Coal	**19,610**	**20,888**	**23,080**	**22,391**	**22,343**	**22,576**	**22,636**	**22,949**	**22,458**	**22,710**	**22,225**	**19,670**	**20,697**	**18,989**	**16,715**	**17,399**	**17,363**
Residential	31	17	11	12	12	12	11	8	6	8	0	0	0	0	0	0	0
Commercial	124	117	92	97	90	82	103	97	65	70	81	73	70	62	44	41	48
Industrial	1,640	1,527	1,349	1,358	1,244	1,249	1,262	1,219	1,189	1,131	1,081	877	952	866	782	800	796
Electric Power	17,807	19,217	21,618	20,920	20,987	21,199	21,228	21,591	21,161	21,465	21,026	18,682	19,639	18,024	15,852	16,521	16,483
U.S. Territories	7	10	10	4	11	34	32	33	37	37	37	37	37	37	37	37	37
Petroleum	**6,166**	**5,659**	**6,148**	**6,632**	**6,010**	**6,394**	**6,561**	**6,486**	**6,201**	**6,064**	**5,241**	**4,670**	**4,728**	**4,417**	**4,065**	**4,204**	**4,030**
Residential	1,375	1,262	1,429	1,465	1,361	1,468	1,468	1,368	1,202	1,220	1,202	1,138	1,116	1,060	847	936	990
Commercial	869	695	695	720	647	765	764	716	678	680	635	669	643	625	506	540	543
Industrial	2,750	2,380	2,283	2,535	2,371	2,496	2,669	2,776	3,111	2,996	2,427	1,949	2,054	1,962	1,924	2,037	1,869
Electric Power	797	860	1,269	1,279	1,074	1,043	1,007	1,004	590	618	488	383	412	266	273	180	153
U.S. Territories	375	462	472	632	557	622	653	623	620	550	490	531	502	504	516	510	475
Natural Gas	**17,266**	**19,337**	**20,919**	**20,224**	**20,908**	**20,894**	**21,152**	**20,938**	**20,626**	**22,019**	**22,286**	**21,952**	**22,912**	**23,115**	**24,137**	**24,949**	**25,692**
Residential	4,491	4,954	5,105	4,889	4,995	5,209	4,981	4,946	4,476	4,835	5,010	4,883	4,878	4,805	4,242	5,023	5,237
Commercial	2,682	3,096	3,252	3,097	3,212	3,261	3,201	3,073	2,902	3,085	3,228	3,187	3,165	3,216	2,960	3,380	3,569
Industrial	7,716	8,723	8,656	7,949	8,086	7,845	7,914	7,330	7,323	7,521	7,571	7,125	7,683	7,873	8,203	8,525	8,792
Electric Power	2,376	2,564	3,894	4,266	4,591	4,551	5,032	5,565	5,899	6,550	6,447	6,730	7,159	7,194	8,683	7,964	8,033
U.S. Territories	0	0	13	23	23	27	25	24	26	27	29	27	28	27	49	57	61
Wood	**2,216**	**2,370**	**2,262**	**2,006**	**1,995**	**2,002**	**2,121**	**2,137**	**2,099**	**2,089**	**2,059**	**1,931**	**1,981**	**2,010**	**2,010**	**2,170**	**2,230**
Residential	580	520	420	370	380	400	410	430	380	420	470	500	440	450	420	580	580
Commercial	66	72	71	67	69	71	70	70	65	70	73	73	72	69	61	70	73
Industrial	1,442	1,652	1,636	1,443	1,396	1,363	1,476	1,452	1,472	1,413	1,339	1,178	1,273	1,309	1,339	1,312	1,325
Electric Power	129	125	134	126	150	167	165	185	182	186	177	180	196	182	190	207	251
U.S. Territories	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE

NE – Not Estimated

Note: Totals may not sum due to independent rounding.

Table A-87: CH_4 and N_2O Emission Factors by Fuel Type and Sector (g/GJ)[a]

Fuel/End-Use Sector	CH_4	N_2O
Coal		
Residential	300	1.5
Commercial	10	1.5
Industrial	10	1.5
Electric Power	1	1.5
U.S. Territories	1	1.5
Petroleum		
Residential	10	0.6
Commercial	10	0.6
Industrial	3	0.6
Electric Power	3	0.6
U.S. Territories	5	0.6
Natural Gas		
Residential	5	0.1
Commercial	5	0.1
Industrial	1	0.1
Electric Power	4	0.1
U.S. Territories	1	0.1
Wood		
Residential	300	4.0
Commercial	300	4.0
Industrial	30	4.0
Electric Power	30	4.0
U.S. Territories	NA	NA

NA - Not Applicable

[a] GJ (Gigajoule) = 10^9 joules. One joule = 9.486×10^{-4} Btu.

Table A-88: CH_4 and N_2O Emission Factors by Technology Type and Fuel Type for the Electric Power Sector (g/GJ)[a]

Technology	Configuration	CH_4	N_2O
Liquid Fuels			
Residual Fuel Oil/Shale Oil Boilers	Normal Firing	0.8	0.3
	Tangential Firing	0.8	0.3
Gas/Diesel Oil Boilers	Normal Firing	0.9	0.4
	Tangential Firing	0.9	0.4
Large Diesel Oil Engines >600 hp (447kW)		4	NA
Solid Fuels			
Pulverized Bituminous Combination Boilers	Dry Bottom, wall fired	0.7	0.5
	Dry Bottom, tangentially fired	0.7	1.4
	Wet bottom	0.9	1.4
Bituminous Spreader Stoker Boilers	With and without re-injection	1	0.7
Bituminous Fluidized Bed Combustor	Circulating Bed	1	61
	Bubbling Bed	1	61
Bituminous Cyclone Furnace		0.2	0.6
Lignite Atmospheric Fluidized Bed		NA	71
Natural Gas			
Boilers		1	1
Gas-Fired Gas Turbines >3MW		1	1
Large Dual-Fuel Engines		258	NA
Combined Cycle		4	3
Peat			
Peat Fluidized Bed Combustion	Circulating Bed	3	7
	Bubbling Bed	3	3
Biomass			
Wood/Wood Waste Boilers		11	7
Wood Recovery Boilers		1	1

NA - Not Applicable

[a] Ibid.

Table A-89: NO_x Emissions from Stationary Combustion (kt)

Sector/Fuel Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Electric Power	**6,045**	**5,792**	**4,829**	**4,454**	**4,265**	**3,930**	**3,595**	**3,434**	**3,249**	**3,064**	**2,847**	**2,552**	**2,226**	**1,893**	**1,654**	**1,665**	**1,609**
Coal	5,119	5,061	4,130	3,802	3,634	3,349	3,063	2,926	2,768	2,611	2,426	2,175	1,896	1,613	1,409	1,419	1,371
Fuel Oil	200	87	147	149	142	131	120	114	108	102	95	85	74	63	55	55	54
Natural gas	513	510	376	325	310	286	262	250	236	223	207	186	162	138	120	121	117
Wood	NA	NA	36	37	36	33	30	29	27	26	24	21	19	16	14	14	13
Other Fuels[a]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Internal Combustion	213	134	140	140	143	132	121	115	109	103	95	86	75	63	55	56	54
Industrial	**2,559**	**2,650**	**2,278**	**2,296**	**1,699**	**1,641**	**1,580**	**1,515**	**1,400**	**1,285**	**1,165**	**1,126**	**1,087**	**1,048**	**1,048**	**1,048**	**1,048**
Coal	530	541	484	518	384	371	357	342	316	290	263	254	245	237	237	237	237
Fuel Oil	240	224	166	153	114	110	106	101	94	86	78	75	73	70	70	70	70
Natural gas	877	999	710	711	526	508	489	469	433	398	361	348	336	324	324	324	324
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels[a]	119	111	109	116	86	83	80	76	70	65	59	57	55	53	53	53	53
Internal Combustion	792	774	809	798	591	570	549	527	486	446	405	391	378	364	364	364	364
Commercial	**671**	**607**	**507**	**428**	**438**	**408**	**378**	**490**	**471**	**452**	**433**	**445**	**456**	**548**	**548**	**548**	**548**
Coal	36	35	21	21	19	19	19	19	18	17	15	15	15	15	15	15	15
Fuel Oil	88	94	52	52	50	49	49	49	46	43	39	39	38	37	37	37	37
Natural gas	181	210	161	165	157	156	156	155	145	135	124	122	120	118	118	118	118
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels[a]	366	269	273	189	212	183	154	267	263	258	254	269	284	378	378	378	378
Residential	**749**	**813**	**439**	**446**	**422**	**422**	**420**	**418**	**390**	**363**	**335**	**329**	**324**	**318**	**318**	**318**	**318**
Coal[b]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Fuel Oil[b]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Natural Gas[b]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Wood	42	44	21	22	21	21	21	20	19	18	16	16	16	16	16	16	16
Other Fuels[a]	707	769	417	424	402	401	400	398	371	345	318	313	308	302	302	302	302
Total	**10,023**	**9,862**	**8,053**	**7,623**	**6,825**	**6,401**	**5,973**	**5,858**	**5,511**	**5,163**	**4,780**	**4,452**	**4,092**	**3,807**	**3,567**	**3,579**	**3,522**

NA - Not Applicable

[a] Other Fuels include LPG, waste oil, coke oven gas, coke, and non-residential wood (EPA 20145).

[b] Residential coal, fuel oil, and natural gas emissions are included in the Other Fuels category (EPA 2015b).

Note: Totals may not sum due to independent rounding.

Table A-90: CO Emissions from Stationary Combustion (kt)

Sector/Fuel Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Electric Power	**329**	**337**	**439**	**439**	**594**	**591**	**586**	**582**	**609**	**637**	**660**	**676**	**693**	**710**	**710**	**710**	**710**
Coal	213	227	221	220	298	296	294	292	305	319	330	339	347	356	356	356	356
Fuel Oil	18	9	27	28	38	37	37	37	38	40	42	43	44	45	45	45	45
Natural gas	46	49	96	92	125	124	123	122	128	134	138	142	145	149	149	149	149
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels[a]	NA	NA	31	32	44	43	43	43	45	47	48	50	51	52	52	52	52
Internal Combustion	52	52	63	67	91	90	90	89	93	97	101	103	106	108	108	108	108

Industrial	**797**	**958**	**1,106**	**1,137**	**1,150**	**1,116**	**1,081**	**1,045**	**968**	**892**	**815**	**834**	**853**	**872**	**872**	**872**	**872**
Coal	95	88	118	125	127	123	119	115	107	98	90	92	94	96	96	96	96
Fuel Oil	67	64	48	45	46	44	43	42	39	35	32	33	34	35	35	35	35
Natural gas	205	313	355	366	370	359	348	336	312	287	262	268	274	281	281	281	281
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels[a]	253	270	300	321	325	316	306	295	274	252	230	236	241	247	247	247	247
Internal Combustion	177	222	285	279	282	274	266	257	238	219	200	205	209	214	214	214	214
Commercial	**205**	**211**	**151**	**154**	**177**	**173**	**169**	**166**	**156**	**146**	**137**	**138**	**140**	**142**	**142**	**142**	**142**
Coal	13	14	14	13	15	15	15	14	14	13	12	12	12	12	12	12	12
Fuel Oil	16	17	17	17	20	19	19	19	18	16	15	16	16	16	16	16	16
Natural gas	40	49	83	84	97	95	93	91	86	80	75	76	77	78	78	78	78
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels[a]	136	132	36	38	44	43	42	41	39	37	34	35	35	35	35	35	35
Residential	**3,668**	**3,877**	**2,644**	**2,648**	**3,044**	**2,982**	**2,919**	**2,856**	**2,690**	**2,524**	**2,357**	**2,387**	**2,416**	**2,446**	**2,446**	**2,446**	**2,446**
Coal[b]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Fuel Oil[b]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Natural Gas[b]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Wood	3,430	3,629	2,416	2,424	2,787	2,730	2,673	2,615	2,463	2,310	2,158	2,185	2,212	2,239	2,239	2,239	2,239
Other Fuels[a]	238	248	228	224	257	252	247	241	227	213	199	202	204	207	207	207	207
Total	**5,000**	**5,383**	**4,340**	**4,377**	**4,965**	**4,862**	**4,756**	**4,648**	**4,423**	**4,198**	**3,969**	**4,036**	**4,103**	**4,170**	**4,170**	**4,170**	**4,169**

NA - Not Applicable

[a] Other Fuels include LPG, waste oil, coke oven gas, coke, and non-residential wood (EPA 2015b).

[b] Residential coal, fuel oil, and natural gas emissions are included in the Other Fuels category (EPA 2015b).

Note: Totals may not sum due to independent rounding.

Table A-91: NMVOC Emissions from Stationary Combustion (kt)

Sector/Fuel Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Electric Power	**43**	**40**	**56**	**55**	**45**	**45**	**44**	**44**	**42**	**41**	**40**	**39**	**38**	**37**	**37**	**37**	**37**
Coal	24	26	27	26	21	21	21	21	20	20	19	18	18	18	18	18	18
Fuel Oil	5	2	4	4	4	4	4	3	3	3	3	3	3	3	3	3	3
Natural Gas	2	2	12	12	10	10	10	10	9	9	9	9	8	8	8	8	8
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels[a]	NA	NA	2	2	1	1	1	1	1	1	1	1	1	1	1	1	1
Internal Combustion	11	9	11	10	9	9	8	8	8	8	8	7	7	7	7	7	7
Industrial	**165**	**187**	**157**	**159**	**138**	**132**	**126**	**120**	**113**	**105**	**97**	**99**	**100**	**101**	**101**	**101**	**102**
Coal	7	5	9	10	9	9	8	8	7	7	6	6	7	7	7	7	7
Fuel Oil	11	11	9	9	7	7	7	6	6	6	5	5	5	5	5	5	5
Natural Gas	52	66	53	54	47	45	43	41	38	36	33	33	34	34	34	34	34
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels[a]	46	45	27	29	25	24	23	22	21	19	18	18	18	19	19	19	19
Internal Combustion	49	60	58	57	49	47	45	43	40	37	35	35	36	36	36	36	36
Commercial	**18**	**21**	**28**	**29**	**61**	**54**	**48**	**33**	**34**	**35**	**36**	**38**	**40**	**42**	**42**	**42**	**42**
Coal	1	1	1	1	1	1	1	1	1	+	+	+	+	+	+	+	+

Fuel Oil	3	3	4	4	6	5	3	2	2	2	2	2	2	2	2	2	2
Natural Gas	7	10	14	14	23	18	14	9	8	7	6	7	7	7	7	7	7
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels[a]	8	8	9	10	31	30	30	22	24	26	28	29	31	32	32	32	32
Residential	**686**	**725**	**837**	**836**	**1,341**	**1,067**	**793**	**518**	**465**	**411**	**358**	**378**	**399**	**419**	**419**	**419**	**419**
Coal[b]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Fuel Oil[b]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Natural Gas[b]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Wood	651	688	809	809	1,297	1,032	767	502	450	398	346	366	386	406	406	406	406
Other Fuels[a]	35	37	27	27	43	35	26	17	15	13	12	12	13	14	14	14	14
Total	**912**	**973**	**1,077**	**1,080**	**1,585**	**1,298**	**1,011**	**716**	**654**	**593**	**531**	**553**	**576**	**599**	**599**	**599**	**599**

NA - Not Applicable

+ Does not exceed 0.5 kt.

[a] "Other Fuels" include LPG, waste oil, coke oven gas, coke, and non-residential wood (EPA 2015b).

[b] Residential coal, fuel oil, and natural gas emissions are included in the "Other Fuels" category (EPA 2015b).

Note: Totals may not sum due to independent rounding.

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3.2. Methodology for Estimating Emissions of CH_4, N_2O, and Indirect Greenhouse Gases from Mobile Combustion and Methodology for and Supplemental Information on Transportation-Related GHG Emissions

Estimating CO_2 Emissions by Transportation Mode

Transportation-related CO_2 emissions, as presented in the CO_2 Emissions from Fossil Fuel Combustion section of the Energy chapter, were calculated using the methodology described in Annex 2.1. This section provides additional information on the data sources and approach used for each transportation fuel type. As noted in Annex 2.1, CO_2 emissions estimates for the transportation sector were calculated directly for on-road diesel fuel and motor gasoline based on data sources for individual modes of transportation (considered a bottom up approach). For most other fuel and energy types (aviation gasoline, residual fuel oil, natural gas, LPG, and electricity), CO_2 emissions were calculated based on transportation sector-wide fuel consumption estimates from the Energy Information Administration (EIA 2016 and EIA 2015) and apportioned to individual modes (considered a "top down" approach). Carbon dioxide emissions from commercial jet fuel use are obtained directly from the Federal Aviation Administration (FAA 2016), while CO_2 emissions from other aircraft jet fuel consumption is determined using a top down approach.

Based on interagency discussions between EPA, EIA, and FHWA beginning in 2005, it was agreed that use of "bottom up" data would be more accurate for diesel fuel and motor gasoline consumption in the transportation sector, based on the availability of reliable data sources. A "bottom up" diesel calculation was first implemented in the 1990 through 2005 Inventory, and a bottom-up gasoline calculation was introduced in the 1990 through 2006 Inventory for the calculation of emissions from on-road vehicles. Estimated motor gasoline and diesel consumption data for on-road vehicles by vehicle type come from FHWA's *Highway Statistics*, Table VM-1 (FHWA 1996 through 2015),[42] and are based on federal and state fuel tax records. These fuel consumption estimates were then combined with estimates of fuel shares by vehicle type from DOE's Transportation Energy Data Book Annex Tables A.1 through A.6 (DOE 1993 through 2015) to develop an estimate of fuel consumption for each vehicle type (i.e., passenger cars, light-duty trucks, buses, medium- and heavy-duty trucks, motorcycles). The on-road gas and diesel fuel consumption estimates by vehicle type were then adjusted for each year so that the sum of gasoline and diesel fuel consumption across all on-road vehicle categories matched the fuel consumption estimates in *Highway Statistics'* Table MF-27 (FHWA 1996 through 2015). This resulted in a final "bottom up" estimate of motor gasoline and diesel fuel use by vehicle type, consistent with the FHWA total for on-road motor gasoline and diesel fuel use.

A primary challenge to switching from a top-down approach to a bottom-up approach for the transportation sector relates to potential incompatibilities with national energy statistics. From a multi-sector national standpoint, EIA develops the most accurate estimate of total motor gasoline and diesel fuel supplied and consumed in the United States. EIA then allocates this total fuel consumption to each major end-use sector (residential, commercial, industrial and transportation) using data from the *Fuel Oil and Kerosene Sales* (FOKS) report for distillate fuel oil and FHWA for motor gasoline. However, the "bottom-up" approach used for the on-road and non-road fuel consumption estimate, as described above, is considered to be the most representative of the transportation sector's share of the EIA total consumption. Therefore, for years in which there was a disparity between EIA's fuel allocation estimate for the transportation sector and the "bottom-up" estimate, adjustments were made to other end-use sector fuel allocations (residential, commercial and industrial) in order for the consumption of all sectors combined to equal the "top-down" EIA value.

In the case of motor gasoline, estimates of fuel use by recreational boats come from the NONROAD component of EPA's MOVES2014a model (EPA 2015d), and these estimates, along with those from other sectors (e.g., commercial sector, industrial sector), were adjusted for years in which the bottom-up on-road motor gasoline consumption estimate exceeded the EIA estimate for total gasoline consumption of all sectors. Similarly, to ensure consistency with EIA's total diesel estimate for all sectors, the diesel consumption totals for the residential, commercial, and industrial sectors were adjusted proportionately.

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[42] In 2011 FHWA changed its methods for estimating vehicle miles traveled (VMT) and related data. These methodological changes included how vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. These changes were first incorporated for the 2010 Inventory and apply to the 2007-14 time period. This resulted in large changes in VMT and fuel consumption data by vehicle class, thus leading to a shift in emissions among on-road vehicle classes. For example, the category "Passenger Cars" has been replaced by "Light-duty Vehicles-Short Wheelbase" and "Other 2 axle-4 Tire Vehicles" has been replaced by "Light-duty Vehicles, Long Wheelbase." This change in vehicle classification has moved some smaller trucks and sport utility vehicles from the light truck category to the passenger vehicle category in this emission inventory. These changes are reflected in a large drop in light-truck emissions between 2006 and 2007.

Estimates of diesel fuel consumption from rail were taken from the Association of American Railroads (AAR 2008 through 2015) for Class I railroads, the American Public Transportation Association (APTA 2007 through 2015 and APTA 2006) and Gaffney (2007) for commuter rail, the Upper Great Plains Transportation Institute (Benson 2002 through 2004) and Whorton (2006 through 2013) for Class II and III railroads, and DOE's *Transportation Energy Data Book* (DOE 1993 through 2015) for passenger rail. Estimates of diesel from ships and boats were taken from EIA's *Fuel Oil and Kerosene Sales* (1991 through 2015).

As noted above, for fuels other than motor gasoline and diesel, EIA's transportation sector total was apportioned to specific transportation sources. For jet fuel, estimates come from: FAA (2016) for domestic and international commercial aircraft, and DESC (2015) for domestic and international military aircraft. General aviation jet fuel consumption is calculated as the difference between total jet fuel consumption as reported by EIA and the total consumption from commercial and military jet fuel consumption. Commercial jet fuel CO_2 estimates are obtained directly from the Federal Aviation Administration (FAA 2016), while CO_2 emissions from domestic military and general aviation jet fuel consumption is determined using a top down approach. Domestic commercial jet fuels CO_2 from FAA is subtracted from total domestic jet fuel CO_2 emissions, and this remaining value is apportioned among domestic military and domestic general aviation based on their relative proportion of energy consumption. Estimates for biofuels, including ethanol and biodiesel were discussed separately in Chapter 3.2 Carbon Emitted from Non-Energy Uses of Fossil Fuels under the methodology for Estimating CO_2 from Fossil Combustion, and in Chapter 3.10 Wood Biomass and Ethanol Consumption and were not apportioned to specific transportation sources. Consumption estimates for biofuels were calculated based on data from the Energy Information Administration (EIA 2016).

Table A-92 displays estimated fuel consumption by fuel and vehicle type. Table A-93 displays estimated energy consumption by fuel and vehicle type. The values in both of these tables correspond to the figures used to calculate CO_2 emissions from transportation. Except as noted above, they are estimated based on EIA transportation sector energy estimates by fuel type, with activity data used to apportion consumption to the various modes of transport. The motor gasoline and diesel fuel consumption volumes published by EIA and FHWA include ethanol blended with gasoline and biodiesel blended with diesel. Biofuels blended with conventional fuels were subtracted from these consumption totals in order to be consistent with IPCC methodological guidance and UNFCCC reporting obligations, for which net carbon fluxes in biogenic carbon reservoirs in croplands are accounted for in the estimates for Land Use, Land-Use Change and Forestry chapter, not in Energy chapter totals. Ethanol fuel volumes were removed from motor gasoline consumption estimates for years 1990 through 2014 and biodiesel fuel volumes were removed from diesel fuel consumption volumes for years 2001 through 2014, as there was negligible use of biodiesel as a diesel blending competent prior to 2001. The subtraction or removal of biofuels blended into motor gasoline and diesel were conducted following the methodology outlined in Step 2 ("Remove Biofuels from Petroleum") of the EIA's *Monthly Energy Review* (MER) Section 12 notes.

In order to remove the volume of biodiesel blended into diesel fuel, the refinery and blender net volume inputs of renewable diesel fuel sourced from EIA Petroleum Supply Annual (EIA 2015) *Table 18 - Refinery Net Input of Crude Oil and Petroleum Products* and *Table 20 - Blender Net Inputs of Petroleum Products* were subtracted from the transportation sector's total diesel fuel consumption volume (for both the "top-down" EIA and "bottom-up" FHWA estimates). To remove the fuel ethanol blended into motor gasoline, ethanol energy consumption data sourced from MER *Table 10.2b - Renewable Energy Consumption: Industrial and Transportation Sectors* (EIA 2016) were subtracted from the total EIA and FHWA transportation motor gasoline energy consumption estimates.

Total ethanol and biodiesel consumption estimates are shown separately in Table A-94.[43]

[43] Note that the refinery and blender net volume inputs of renewable diesel fuel sourced from EIA's Petroleum Supply Annual (PSA) differs from the biodiesel volume presented in Table A-94. The PSA data is representative of the amount of biodiesel that refineries and blenders added to diesel fuel to make low level biodiesel blends. This is the appropriate value to subtract from total diesel fuel volume, as it represents the amount of biofuel blended into diesel to create low-level biodiesel blends. The biodiesel consumption value presented in Table A-93 is representative of the total biodiesel consumed and includes biodiesel components in all types of fuel formulations, from low level (<5%) to high level (6-20%, 100%) blends of biodiesel. This value is sourced from MER Table 10.4 and is calculated as biodiesel production plus biodiesel net imports minus biodiesel stock exchange.

Table A-92. Fuel Consumption by Fuel and Vehicle Type (million gallons unless otherwise specified)

Fuel/Vehicle Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007[a]	2008	2009	2010	2011	2012	2013	2014
Motor Gasoline[b,c]	**110,417**	**117,429**	**128,174**	**129,590**	**132,169**	**132,600**	**134,336**	**133,294**	**131,337**	**130,768**	**125,050**	**124,189**	**123,175**	**120,497**	**120,035**	**120,138**	**122,188**
Passenger Cars	69,763	67,496	72,320	72,920	74,313	71,931	71,504	73,856	70,791	88,607	84,714	83,918	83,230	82,621	82,464	82,463	82,694
Light-Duty Trucks	34,698	44,074	50,398	50,871	52,023	55,417	57,540	53,733	54,798	34,933	33,074	33,473	33,262	31,612	31,270	31,305	33,086
Motorcycles	194	199	208	192	189	184	193	182	210	472	487	468	411	401	459	437	427
Buses	39	41	43	40	38	36	49	41	41	79	81	84	82	80	92	95	100
Medium- and Heavy-Duty Trucks	4,350	4,044	4,065	3,961	4,006	3,446	3,475	3,922	3,961	5,164	5,220	4,798	4,773	4,383	4,358	4,455	4,506
Recreational Boats[d]	1,374	1,575	1,140	1,606	1,601	1,587	1,574	1,560	1,536	1,514	1,474	1,448	1,417	1,401	1,391	1,382	1,376
Distillate Fuel Oil (Diesel Fuel)[b,c]	**25,631**	**31,604**	**39,241**	**39,057**	**40,347**	**41,176**	**42,667**	**44,658**	**45,844**	**46,427**	**44,026**	**39,873**	**41,477**	**42,280**	**42,045**	**42,672**	**44,031**
Passenger Cars	771	765	356	357	364	412	419	414	403	403	363	354	367	399	401	399	408
Light-Duty Trucks	1,119	1,452	1,961	2,029	2,133	2,652	2,822	2,518	2,611	1,327	1,184	1,180	1,227	1,277	1,271	1,265	1,365
Buses	781	851	997	906	860	930	1,316	1,030	1,034	1,520	1,436	1,335	1,326	1,419	1,515	1,525	1,634
Medium- and Heavy-Duty Trucks	18,574	23,240	30,179	30,124	31,417	31,539	32,598	35,159	36,089	37,518	35,726	32,364	33,683	33,859	33,877	34,426	35,541
Recreational Boats	190	228	270	278	286	294	302	311	319	327	335	343	351	357	364	368	376
Ships and Other Boats	735	1,204	1,372	1,244	1,197	1,173	802	780	724	794	767	768	726	993	733	741	606
Rail[e]	3,461	3,863	4,106	4,119	4,089	4,176	4,407	4,446	4,664	4,538	4,215	3,529	3,798	3,975	3,884	3,948	4,101
Jet Fuel[f]	**19,186**	**17,991**	**20,002**	**19,454**	**19,004**	**18,389**	**19,147**	**19,420**	**18,695**	**18,407**	**17,749**	**15,809**	**15,537**	**15,036**	**14,705**	**15,088**	**15,237**
Commercial Aircraft	11,569	12,136	14,672	13,121	12,774	12,943	13,147	13,976	14,426	14,708	13,400	12,588	11,931	12,067	11,932	12,031	12,131
General Aviation Aircraft	4,034	3,361	3,163	3,975	4,119	3,323	3,815	3,583	2,590	2,043	2,682	1,787	2,322	1,895	1,659	2,033	1,676
Military Aircraft	3,583	2,495	2,167	2,359	2,110	2,123	2,185	1,860	1,679	1,656	1,667	1,434	1,283	1,074	1,114	1,024	1,430
Aviation Gasoline[f]	**374**	**329**	**302**	**291**	**281**	**251**	**260**	**294**	**278**	**263**	**235**	**221**	**225**	**225**	**209**	**186**	**181**
General Aviation Aircraft	374	329	302	291	281	251	260	294	278	263	235	221	225	225	209	186	181
Residual Fuel Oil[f, g]	**2,006**	**2,587**	**2,963**	**1,066**	**1,522**	**662**	**1,245**	**1,713**	**2,046**	**2,579**	**1,812**	**1,241**	**1,818**	**1,723**	**1,410**	**1,345**	**517**
Ships and Other Boats	2,006	2,587	2,963	1,066	1,522	662	1,245	1,713	2,046	2,579	1,812	1,241	1,818	1,723	1,410	1,345	517
Natural Gas[f] (trillion cubic feet)	**0.7**	**0.7**	**0.7**	**0.6**	**0.7**	**0.6**	**0.6**	**0.6**	**0.6**	**0.6**	**0.7**	**0.7**	**0.7**	**0.7**	**0.8**	**0.9**	**0.9**
Passenger Cars	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Light-Duty Trucks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Buses	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Pipelines	0.7	0.7	0.6	0.6	0.7	0.6	0.6	0.6	0.6	0.6	0.7	0.7	0.7	0.7	0.7	0.8	0.9
LPG[f]	**265**	**206**	**138**	**159**	**166**	**207**	**222**	**327**	**320**	**257**	**468**	**331**	**348**	**404**	**442**	**525**	**519**
Buses	-	1.6	1.5	0.3	0.6	0.7	0.7	1.0	1.0	-	-	-	-	-	-	4.6	4.6
Light-Duty Trucks	106	98	88	108	117	144	167	247	229	185	340	228	243	283	316	368	364
Medium- and Heavy-Duty Trucks	159	106	49	51	49	62	55	79	89	72	128	103	106	121	126	152	150
Electricity[f, h]	**4,751**	**4,975**	**5,382**	**5,724**	**5,517**	**6,810**	**7,224**	**7,506**	**7,358**	**8,173**	**7,653**	**7,768**	**7,712**	**7,672**	**7,320**	**7,625**	**7,758**
Rail	4,751	4,975	5,382	5,724	5,517	6,810	7,224	7,506	7,358	8,173	7,653	7,768	7,712	7,672	7,320	7,625	7,758

- Unreported or zero

[a] In 2011, FHWA changed its methodology for Table VM-1, which impacts estimates for the 2007-2014 time period. These methodological changes include how on-road vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. This resulted in large changes in fuel consumption data by vehicle class between 2006 and 2007.
[b] Figures do not include ethanol blended in motor gasoline or biodiesel blended into distillate fuel oil. Net carbon fluxes associated with ethanol are accounted for in the Land Use, Land-Use Change and Forestry chapter. This table is calculated with the heat content for gasoline without ethanol (from Table A.2 in the EIA Annual Energy Review) rather than the annually variable quantity-weighted heat content for gasoline with ethanol, which varies by year. In addition, updates to the distillate fuel oil heat content data from EIA for years 1993 through present resulted in changes to the time series for energy consumption and emissions compared to the previous Inventory.
[c] Gasoline and diesel highway vehicle fuel consumption estimates are based on data from FHWA Highway Statistics Table VM-1 and MF-27 (FHWA 1996 through 2015). These fuel consumption estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2015). TEDB data for 2014 has not been published yet, therefore 2013 data is used as a proxy.
[d] Fluctuations in recreational boat gasoline estimates reflect the use of this category to reconcile bottom-up values with EIA total gasoline estimates.
[e] Class II and Class II diesel consumption data for 2014 is not available yet, therefore 2013 data is used as a proxy.
[f] Estimated based on EIA transportation sector energy estimates by fuel type, with bottom-up activity data used for apportionment to modes.
[g] Fluctuations in reported fuel consumption may reflect data collection problems.
[h] Million Kilowatt-hours

Table A-93: Energy Consumption by Fuel and Vehicle Type (Tbtu)

Fuel/Vehicle Type[a]	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007[b]	2008	2009	2010	2011	2012	2013	2014
Motor Gasoline[c, d]	**13,810**	**14,687**	**16,031**	**16,208**	**16,531**	**16,585**	**16,802**	**16,671**	**16,426**	**16,259**	**15,548**	**15,441**	**15,315**	**14,982**	**14,924**	**14,937**	**15,192**
Passenger Cars	8,725	8,442	9,045	9,120	9,294	8,996	8,943	9,237	8,854	11,017	10,533	10,434	10,348	10,272	10,253	10,253	10,282
Light-Duty Trucks	4,340	5,512	6,303	6,363	6,507	6,931	7,197	6,720	6,854	4,343	4,112	4,162	4,136	3,930	3,888	3,892	4,114
Motorcycles	24	25	26	24	24	23	24	23	26	59	61	58	51	50	57	54	53
Buses	5	5	5	5	5	4	6	5	5	10	10	10	10	10	11	12	12
Medium- and Heavy-Duty Trucks	544	506	508	495	501	431	435	491	495	642	649	597	593	545	542	554	560
Recreational Boats[e]	172	197	143	201	200	199	197	195	192	188	183	180	176	174	173	172	171
Distillate Fuel Oil (Diesel Fuel)[a, d]	**3,555**	**4,379**	**5,437**	**5,411**	**5,590**	**5,705**	**5,910**	**6,186**	**6,334**	**6,395**	**6,059**	**5,488**	**5,706**	**5,814**	**5,780**	**5,866**	**6,052**
Passenger Cars	107	106	49	50	50	57	58	57	56	55	50	49	51	55	55	55	56
Light-Duty Trucks	155	201	272	281	296	367	391	349	361	183	163	162	169	176	175	174	188
Buses	108	118	138	126	119	129	182	143	143	209	198	184	182	195	208	210	225
Medium- and Heavy-Duty Trucks	2,576	3,220	4,181	4,174	4,353	4,370	4,516	4,870	4,986	5,168	4,917	4,455	4,634	4,656	4,657	4,733	4,885
Recreational Boats	26	32	37	39	40	41	42	43	44	45	46	47	48	49	50	51	52
Ships and Other Boats	102	167	190	172	166	163	111	108	100	109	106	106	100	137	101	102	83
Rail[f]	480	535	569	571	566	579	610	616	644	625	580	486	523	547	534	543	564
Jet Fuel[g]	**2,590**	**2,429**	**2,700**	**2,626**	**2,565**	**2,482**	**2,585**	**2,622**	**2,524**	**2,485**	**2,396**	**2,134**	**2,097**	**2,030**	**1,985**	**2,037**	**2,057**
Commercial Aircraft	1,562	1,638	1,981	1,771	1,725	1,747	1,775	1,887	1,948	1,986	1,809	1,699	1,611	1,629	1,611	1,624	1,638
General Aviation Aircraft	545	454	427	537	556	449	515	484	350	276	362	241	314	256	224	274	226
Military Aircraft[a]	484	337	293	318	285	287	295	251	227	224	225	194	173	145	150	138	193
Aviation Gasoline[g]	**45**	**40**	**36**	**35**	**34**	**30**	**31**	**35**	**33**	**32**	**28**	**27**	**27**	**27**	**25**	**22**	**22**
General Aviation Aircraft	45	40	36	35	34	30	31	35	33	32	28	27	27	27	25	22	22
Residual Fuel Oil[g, h]	**300**	**387**	**443**	**159**	**228**	**99**	**186**	**256**	**306**	**386**	**271**	**186**	**272**	**258**	**211**	**201**	**73**
Ships and Other Boats	300	387	443	159	228	99	186	256	306	386	271	186	272	258	211	201	73
Natural Gas[g]	**680**	**724**	**672**	**658**	**699**	**627**	**602**	**624**	**625**	**663**	**692**	**715**	**719**	**734**	**780**	**887**	**899**
Passenger Cars	-	2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Light-Duty Trucks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Buses	-	1	8	9	12	14	16	16	16	19	21	22	20	20	20	21	21
Pipelines	680	721	664	649	687	614	586	608	609	645	672	693	699	713	760	867	878
LPG[g]	**23**	**18**	**12**	**14**	**14**	**18**	**19**	**28**	**27**	**22**	**40**	**28**	**29**	**34**	**37**	**44**	**44**
Buses	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Light-Duty Trucks	9	8	8	9	10	12	14	21	20	16	29	19	21	24	27	31	31
Medium- and Heavy-Duty Trucks	14	9	4	4	4	5	5	7	8	6	11	9	9	10	11	13	13
Electricity[g]	**16**	**17**	**18**	**20**	**19**	**23**	**25**	**26**	**25**	**28**	**26**	**27**	**26**	**26**	**25**	**26**	**26**
Rail	16	17	18	20	19	23	25	26	25	28	26	27	26	26	25	26	26
Total	**21,019**	**22,681**	**25,350**	**25,131**	**25,680**	**25,570**	**26,160**	**26,449**	**26,302**	**26,270**	**25,061**	**24,045**	**24,192**	**23,905**	**23,768**	**24,022**	**24,369**

-Unreported or zero

[a] Note that updates to the distillate fuel oil heat content data from EIA for years 1993 through present resulted in changes to the time series for energy consumption and emissions compared to the previous Inventory.

[b] In 2011, FHWA changed its methodology for Table VM-1, which impacts estimates for the 2007-2014 time period. These methodological changes include how on-road vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. This resulted in large changes in fuel consumption data by vehicle class between 2006 and 2007.
[c] Figures do not include ethanol blended in motor gasoline or biodiesel blended into distillate fuel oil. Net carbon fluxes associated with ethanol are accounted for in the Land Use, Land-Use Change and Forestry chapter.
[d] Gasoline and diesel highway vehicle fuel consumption estimates are based on data from FHWA Highway Statistics Table VM-1 and MF-27 (FHWA 1996 through 2015). These fuel consumption estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2015). TEDB data for 2014 has not been published yet, therefore 2013 data is used as a proxy.
[e] Fluctuations in recreational boat gasoline estimates reflect the use of this category to reconcile bottom-up values with EIA total gasoline estimates.
[f] Class II and Class II diesel consumption data for 2014 is not available yet, therefore 2013 data is used as a proxy.
[g] Estimated based on EIA transportation sector energy estimates, with bottom-up data used for apportionment to modes.
[h] Fluctuations in reported fuel consumption may reflect data collection problems. Residual fuel oil for ships and other boats data is based on EIA's December 2015 Monthly Energy Review data.

Table A-94: Transportation Sector Biofuel Consumption by Fuel Type (million gallons)

Fuel Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Ethanol	712	1,326	1,590	1,660	1,975	2,689	3,375	3,860	5,207	6,563	9,263	10,537	12,282	12,329	12,324	12,646	12,900
Biodiesel	NA	NA	NA	10	16	14	27	91	261	354	304	322	260	886	899	1,429	1,417

NA – Not Available
Note: According to the MER, there was no biodiesel consumption prior to 2001.

Estimates of CH_4 and N_2O Emissions

Mobile source emissions of greenhouse gases other than CO_2 are reported by transport mode (e.g., road, rail, aviation, and waterborne), vehicle type, and fuel type. Emissions estimates of CH_4 and N_2O were derived using a methodology similar to that outlined in the *2006 IPCC Guidelines for National Greenhouse Gas Inventories* (IPCC 2006).

Activity data were obtained from a number of U.S. government agencies and other publications. Depending on the category, these basic activity data included fuel consumption and vehicle miles traveled (VMT). These estimates were then multiplied by emission factors, expressed as grams per unit of fuel consumed or per vehicle mile.

Methodology for On-Road Gasoline and Diesel Vehicles

Step 1: Determine Vehicle Miles Traveled by Vehicle Type, Fuel Type, and Model Year

VMT by vehicle type (e.g., passenger cars, light-duty trucks, medium- and heavy-duty trucks,[44] buses, and motorcycles) were obtained from the Federal Highway Administration's (FHWA) *Highway Statistics* (FHWA 1996 through 2015).[45] As these vehicle categories are not fuel-specific, VMT for each vehicle type was disaggregated by fuel type (gasoline, diesel) so that the appropriate emission factors could be applied. VMT from *Highway Statistics* Table VM-1 (FHWA 1996 through 2015) was allocated to fuel types (gasoline, diesel, other) using historical estimates of fuel shares reported in the Appendix to the *Transportation Energy Data Book, Tables A.5 and A.6* (DOE 1993 through 2015). These fuel shares are drawn from various sources, including the Vehicle Inventory and Use Survey, the National Vehicle Population Profile, and the American Public Transportation Association. Fuel shares were first adjusted proportionately such that gasoline and diesel shares for each vehicle/fuel type category equaled 100 percent of national VMT. VMT for alternative fuel vehicles (AFVs) was calculated separately, and the methodology is explained in the following section on AFVs. Estimates of VMT from AFVs were then subtracted from the appropriate total VMT estimates to develop the final VMT estimates by vehicle/fuel type category.[46] The resulting national VMT estimates for gasoline and diesel on-road vehicles are presented in Table A-95 and Table A-96, respectively.

Total VMT for each on-road category (i.e., gasoline passenger cars, light-duty gasoline trucks, heavy-duty gasoline vehicles, diesel passenger cars, light-duty diesel trucks, medium- and heavy-duty diesel vehicles, and motorcycles) were distributed across 30 model years shown for 2014 in Table A-97. This distribution was derived by weighting the appropriate age distribution of the U.S. vehicle fleet according to vehicle registrations by the average annual age-specific vehicle mileage accumulation of U.S. vehicles. Age distribution values were obtained from EPA's MOBILE6 model for all years before 1999 (EPA 2000) and EPA's MOVES2014a model for years 2009 forward (EPA 2015d).[47] Age-specific vehicle mileage accumulations were also obtained from EPA's MOVES2014a model (EPA 2015d).[48]

Step 2: Allocate VMT Data to Control Technology Type

VMT by vehicle type for each model year was distributed across various control technologies as shown in Table A-103 through Table A-106. The categories "EPA Tier 0" and "EPA Tier 1" were used instead of the early three-way catalyst and advanced three-way catalyst categories, respectively, as defined in the *Revised 1996 IPCC Guidelines*. EPA Tier 0, EPA Tier 1, EPA Tier 2, and LEV refer to U.S. emission regulations, rather than control technologies; however, each does correspond to particular combinations of control technologies and engine design. EPA Tier 2 and its predecessors EPA

[44] Medium- and heavy-duty trucks correspond to FHWA's reporting categories of single-unit trucks and combination trucks. Single-unit trucks are defined as single frame trucks that have 2-axles and at least 6 tires or a gross vehicle weight rating (GVWR) exceeding 10,000 lbs.

[45] In 2011 FHWA changed its methods for estimated vehicle miles traveled (VMT) and related data. These methodological changes included how vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. These changes were first incorporated for the 2010 Inventory and apply to the 2007-14 time period. This resulted in large changes in VMT data by vehicle class, thus leading to a shift in emissions among on-road vehicle classes. For example, the category "Passenger Cars" has been replaced by "Light-duty Vehicles-Short Wheelbase" and "Other 2 axle-4 Tire Vehicles" has been replaced by "Light-duty Vehicles, Long Wheelbase." This change in vehicle classification has moved some smaller trucks and sport utility vehicles from the light truck category to the passenger vehicle category in this emission inventory. These changes are reflected in a large drop in light-truck emissions between 2006 and 2007.

[46] In Inventories through 2002, gasoline-electric hybrid vehicles were considered part of an "alternative fuel and advanced technology" category. However, vehicles are now only separated into gasoline, diesel, or alternative fuel categories, and gas-electric hybrids are now considered within the gasoline vehicle category.

[47] Age distributions were held constant for the period 1990-1998, and reflect a 25-year vehicle age span. EPA (2015b) provides a variable age distribution and 31-year vehicle age span beginning in year 1999.

[48] The updated vehicle distribution and mileage accumulation rates by vintage obtained from the MOVES 2014a model resulted in a decrease in emissions due to more miles driven by newer light-duty gasoline vehicles.

Tier 1 and Tier 0 apply to vehicles equipped with three-way catalysts. The introduction of "early three-way catalysts," and "advanced three-way catalysts," as described in the *Revised 1996 IPCC Guidelines*, roughly correspond to the introduction of EPA Tier 0 and EPA Tier 1 regulations (EPA 1998b).[49] EPA Tier 2 regulations affect vehicles produced starting in 2004 and are responsible for a noticeable decrease in N_2O emissions compared EPA Tier 1 emissions technology (EPA 1999b).

Control technology assignments for light and heavy-duty conventional fuel vehicles for model years 1972 (when regulations began to take effect) through 1995 were estimated in EPA (1998). Assignments for 1998 through 2014 were determined using confidential engine family sales data submitted to EPA (EPA 2015f). Vehicle classes and emission standard tiers to which each engine family was certified were taken from annual certification test results and data (EPA 2015e). This information was used to determine the fraction of sales of each class of vehicle that met EPA Tier 0, EPA Tier 1, Tier 2, and LEV standards. Assignments for 1996 and 1997 were estimated based on the fact that EPA Tier 1 standards for light-duty vehicles were fully phased in by 1996. Tier 2 began initial phase-in by 2004.

Step 3: Determine CH_4 and N_2O Emission Factors by Vehicle, Fuel, and Control Technology Type

Emission factors for gasoline and diesel on-road vehicles utilizing Tier 2 and Low Emission Vehicle (LEV) technologies were developed by ICF (2006b); all other gasoline and diesel on-road vehicle emissions factors were developed by ICF (2004). These factors were based on EPA, CARB and Environment Canada laboratory test results of different vehicle and control technology types. The EPA, CARB and Environment Canada tests were designed following the Federal Test Procedure (FTP), which covers three separate driving segments, since vehicles emit varying amounts of GHGs depending on the driving segment. These driving segments are: (1) a transient driving cycle that includes cold start and running emissions, (2) a cycle that represents running emissions only, and (3) a transient driving cycle that includes hot start and running emissions. For each test run, a bag was affixed to the tailpipe of the vehicle and the exhaust was collected; the content of this bag was later analyzed to determine quantities of gases present. The emission characteristics of Segment 2 was used to define running emissions, and subtracted from the total FTP emissions to determine start emissions. These were then recombined based upon MOBILE6.2's ratio of start to running emissions for each vehicle class to approximate average driving characteristics.

Step 4: Determine the Amount of CH_4 and N_2O Emitted by Vehicle, Fuel, and Control Technology Type

Emissions of CH_4 and N_2O were then calculated by multiplying total VMT by vehicle, fuel, and control technology type by the emission factors developed in Step 3.

Methodology for Alternative Fuel Vehicles (AFVs)

Step 1: Determine Vehicle Miles Traveled by Vehicle and Fuel Type

VMT for alternative fuel and advanced technology vehicles were calculated from "Methodology for Highway Vehicle Alternative Fuel GHG Projections Estimates" (Browning, 2015). Alternative Fuels include Compressed Natural Gas (CNG), Liquid Natural Gas (LNG), Liquefied Petroleum Gas (LPG), Ethanol, Methanol, Biodiesel, Hydrogen and Electricity. Most of the vehicles that use these fuels run on an Internal Combustion Engine (ICE) powered by the alternative fuel, although many of the vehicles can run on either the alternative fuel or gasoline (or diesel), or some combination.[50] Except for electric vehicles and plug-in hybrid vehicles, the alternative fuel vehicle VMT were calculated using the Energy Information Administration (EIA) Alternative Fuel Vehicle Data. The EIA data provides vehicle counts and fuel use for fleet vehicles used by electricity providers, federal agencies, natural gas providers, propane providers, state agencies and transit agencies for calendar years 2003 through 2013. For 1992 to 2002, EIA Data Tables were used to estimate fuel consumption and vehicle counts by vehicle type. These tables give total vehicle fuel use and vehicle counts by fuel and calendar year for the United States over the period 1992 through 2010. Breakdowns by vehicle type for 1992 through 2002 (both fuel consumed and vehicle counts) were assumed to be at the same ratio as for 2003 where data existed. For 1990, 1991 and 2014, fuel consumed by alternative fuel and vehicle type were extrapolated based on a regression analysis using the best curve fit based upon R^2 using the nearest 5 years of data.

For the current Inventory, counts of electric vehicles (EVs) and plug-in hybrid-electric vehicles (PHEVs) were taken from data compiled by the Electric Drive Transportation Association from 2011 to 2014 (EDTA 2015). EVs were

[49] For further description, see "Definitions of Emission Control Technologies and Standards" section of this annex below.

[50] Fuel types used in combination depend on the vehicle class. For light-duty vehicles, gasoline is generally blended with ethanol and diesel is blended with biodiesel; dual-fuel vehicles can run on gasoline or an alternative fuel – either natural gas or LPG – but not at the same time, while flex-fuel vehicles are designed to run on E85 (85 percent ethanol) or gasoline, or any mixture of the two in between. Heavy-duty vehicles are more likely to run on diesel fuel, natural gas, or LPG.

divided into cars and trucks using confidential engine family sales data submitted to EPA (EPA 2015f). Fuel use per vehicle for personal EVs and PHEVs were assumed to be the same as those for the public fleet vehicles surveyed by EIA and provided in their data tables.

Because AFVs run on different fuel types, their fuel use characteristics are not directly comparable. Accordingly, fuel economy for each vehicle type is expressed in gasoline equivalent terms, i.e., how much gasoline contains the equivalent amount of energy as the alternative fuel. Energy economy ratios (the ratio of the gasoline equivalent fuel economy of a given technology to that of conventional gasoline or diesel vehicles) were taken from the Argonne National Laboratory's GREET2015 model (ANL 2015). These ratios were used to estimate fuel economy in miles per gasoline gallon equivalent for each alternative fuel and vehicle type. Energy use per fuel type was then divided among the various weight categories and vehicle technologies that use that fuel. Total VMT per vehicle type for each calendar year was then determined by dividing the energy usage by the fuel economy. Note that for AFVs capable of running on both/either traditional and alternative fuels, the VMT given reflects only those miles driven that were powered by the alternative fuel, as explained in Browning (2015). VMT estimates for AFVs by vehicle category (passenger car, light-duty truck, medium-duty and heavy-duty vehicles) are shown in Table A-97, while more detailed estimates of VMT by control technology are shown in Table A-98.

Step 2: Determine CH_4 and N_2O Emission Factors by Vehicle and Alternative Fuel Type

Methane and N_2O emission factors for alternative fuel vehicles (AFVs) are calculated according to studies by Argonne National Laboratory (2006) and Lipman & Delucchi (2002), and are reported in ICF (2006a). In these studies, N_2O and CH_4 emissions for AFVs were expressed as a multiplier corresponding to conventional vehicle counterpart emissions. Emission estimates in these studies represent the current AFV fleet and were compared against Tier 1 emissions from light-duty gasoline vehicles to develop new multipliers. Alternative fuel heavy-duty vehicles were compared against gasoline heavy-duty vehicles as most alternative fuel heavy-duty vehicles use catalytic after treatment and perform more like gasoline vehicles than diesel vehicles. These emission factors are shown in Table A-108.

Step 3: Determine the Amount of CH_4 and N_2O Emitted by Vehicle and Fuel Type

Emissions of CH_4 and N_2O were calculated by multiplying total VMT for each vehicle and fuel type (Step 1) by the appropriate emission factors (Step 2).

Methodology for Non-Road Mobile Sources

Methane and N_2O emissions from non-road mobile sources were estimated by applying emission factors to the amount of fuel consumed by mode and vehicle type.

Activity data for non-road vehicles include annual fuel consumption statistics by transportation mode and fuel type, as shown in Table A-102. Consumption data for ships and other boats (i.e., vessel bunkering) were obtained from DHS (2008) and EIA (1991 through 2015) for distillate fuel, and DHS (2008) and EIA (2015) for residual fuel; marine transport fuel consumption data for U.S. Territories (EIA 2015) were added to domestic consumption, and this total was reduced by the amount of fuel used for international bunkers.[51] Gasoline consumption by recreational boats was obtained from the NONROAD component of EPA's MOVES2014a model (EPA 2015d). Annual diesel consumption for Class I rail was obtained from the Association of American Railroads (AAR 2008 through 2015), diesel consumption from commuter rail was obtained from APTA (2007 through 2015) and Gaffney (2007), and consumption by Class II and III rail was provided by Benson (2002 through 2004) and Whorton (2006 through 2013).[52] Diesel consumption by commuter and intercity rail was obtained from DOE (1993 through 2015). Data on the consumption of jet fuel and aviation gasoline in aircraft were obtained from EIA (2016) and FAA (2016), as described in Annex 2.1: Methodology for Estimating Emissions of CO_2 from Fossil Fuel Combustion, and were reduced by the amount allocated to international bunker fuels (DESC 2015 and FAA 2016). Pipeline fuel consumption was obtained from EIA (2007 through 2015) (note: pipelines are a transportation source but are stationary, not mobile, sources). Data on fuel consumption by all non-transportation mobile sources were obtained from the NONROAD component of EPA's MOVES2014a model (EPA 2015d) and from FHWA (1996 through 2015) for gasoline consumption for trucks used off-road.[53]

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[51] See International Bunker Fuels section of the Energy Chapter.

[52] Diesel consumption from Class II and Class III railroad were unavailable for 2014. Values are proxied from 2013, which is the last year the data was available.

[53] "Non-transportation mobile sources" are defined as any vehicle or equipment not used on the traditional road system, but excluding aircraft, rail and watercraft. This category includes snowmobiles, golf carts, riding lawn mowers, agricultural equipment, and trucks used for off-road purposes, among others.

Emissions of CH_4 and N_2O from non-road mobile sources were calculated by multiplying U.S. default emission factors in the *2006 IPCC Guidelines* by activity data for each source type (see Table A-109).

Estimates of NO_x, CO, and NMVOC Emissions

The emission estimates of NO_x, CO, and NMVOCs from mobile combustion (transportation) were obtained from preliminary data (EPA 2015g), which, in final iteration, will be published on the EPA's National Emission Inventory (NEI) Air Pollutant Emission Trends web site. This EPA report provides emission estimates for these gases by fuel type using a procedure whereby emissions were calculated using basic activity data, such as amount of fuel delivered or miles traveled, as indicators of emissions. Table A-110 through Table A-112 provides complete emission estimates for 1990 through 2014.

Table A-95: Vehicle Miles Traveled for Gasoline On-Road Vehicles (million miles)

Year	Passenger Cars	Light-Duty Trucks	Heavy-Duty Vehicles[b]	Motorcycles
1990	1,391.4	554.8	25.8	9.6
1991	1,341.9	627.8	25.4	9.2
1992	1,355.1	683.4	25.1	9.6
1993	1,356.8	721.0	24.9	9.9
1994	1,387.7	739.2	25.3	10.2
1995	1,421.0	763.0	25.1	9.8
1996	1,455.1	788.6	24.4	9.9
1997	1,489.0	821.6	24.0	10.1
1998	1,537.1	837.7	24.1	10.3
1999	1,559.6	868.3	24.3	10.6
2000	1,592.2	887.6	24.2	10.5
2001	1,620.0	905.9	23.9	9.6
2002	1,650.0	926.8	23.9	9.6
2003	1,663.5	944.1	24.2	9.6
2004	1,691.1	985.5	24.6	10.1
2005	1,699.6	998.8	24.8	10.5
2006	1,681.8	1,038.6	24.8	12.0
2007	2,093.7	562.8	34.2	21.4
2008	2,014.5	580.9	35.0	20.8
2009	2,005.5	592.4	32.5	20.8
2010	2,015.4	597.4	32.3	18.5
2011[a]	2,035.7	579.6	30.2	18.5
2012	2,051.6	576.8	30.5	21.4
2013	2,062.1	578.7	31.2	20.4
2014	2,058.2	612.4	31.7	20.0

Source: Derived from FHWA (1996 through 2014), Browning (2015).

[a] In 2011, FHWA changed its methodology for Table VM-1, which impacts estimates for the 2007 to 2014 time period. These methodological changes include how on-road vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. This resulted in large changes in VMT data by vehicle class between 2006 and 2007.

[b] Heavy-Duty Vehicles includes Medium-Duty Trucks, Heavy-Duty Trucks, and Buses.

Note: In 2015, EIA changed its methods for estimating AFV fuel consumption. These methodological changes included how vehicle counts are estimated, moving from estimates based on modeling to one that is based on survey data. EIA now publishes data about fuel use and number of vehicles for only four types of AFV fleets: federal government, state government, transit agencies, and fuel providers. These changes were first incorporated in this year's inventory and apply to the 1990-2014 time period. This resulted in large reductions in AFV VMT, thus leading to a shift in VMT to conventional on-road vehicle classes.

Note: Gasoline and diesel highway vehicle mileage are based on data from FHWA Highway Statistics Table VM-1 (FHWA 1996 through 2015). These mileage consumption estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2015). TEDB data for 2014 has not been published yet, therefore 2013 data is used as a proxy.

Table A-96: Vehicle Miles Traveled for Diesel On-Road Vehicles (million miles)

Year	Passenger Cars	Light-Duty Trucks	Heavy-Duty Vehicles[a]
1990	16.9	19.7	125.7
1991	16.3	21.6	129.4
1992	16.5	23.4	133.6
1993	17.9	24.7	140.6
1994	18.3	25.3	150.8
1995	17.3	26.9	159.0
1996	14.7	27.8	164.6
1997	13.5	29.0	173.8
1998	12.4	30.5	178.8
1999	9.4	32.6	185.6
2000	8.0	35.2	188.4
2001	8.1	37.0	191.5
2002	8.3	38.9	196.7
2003	8.4	39.7	199.6
2004	8.5	41.4	202.1
2005	8.5	41.9	203.4
2006	8.4	43.4	202.3
2007	10.5	23.3	281.8
2008	10.1	24.1	288.1
2009	10.0	24.6	267.6
2010	10.1	24.8	265.8
2011[b]	10.1	23.3	245.6
2012	10.1	23.1	247.9
2013	10.1	22.6	250.5
2014	10.0	24.0	255.0

Source: Derived from FHWA (1996 through 2015), Browning (2015).
[a] Heavy-Duty Vehicles includes Medium-Duty Trucks, Heavy-Duty Trucks, and Buses.
[b] In 2011, FHWA changed its methodology for Table VM-1, which impacts estimates for the 2007 to 2014 time period. These methodological changes include how on-road vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. This resulted in large changes in VMT data by vehicle class between 2006 and 2007.
Note: In 2015, EIA changed its methods for estimating AFV fuel consumption. These methodological changes included how vehicle counts are estimated, moving from estimates based on modeling to one that is based on survey data. EIA now publishes data about fuel use and number of vehicles for only four types of AFV fleets: federal government, state government, transit agencies, and fuel providers. These changes were first incorporated in this year's inventory and apply to the 1990 to 2014 time period. This resulted in large reductions in AFV VMT, thus leading to a shift in VMT to conventional on-road vehicle classes.
Note: Gasoline and diesel highway vehicle mileage are based on data from FHWA Highway Statistics Table VM-1 (FHWA 1996 through 2015). These mileage consumption estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2015).

Table A-97: Vehicle Miles Traveled for Alternative Fuel On-Road Vehicles (million miles)

Year	Passenger Cars	Light-Duty Trucks	Heavy-Duty Vehicles[a]
1990	0.0	0.1	0.5
1991	0.0	0.1	0.5
1992	0.0	0.1	0.4
1993	0.0	0.1	0.6
1994	0.1	0.1	0.5
1995	0.1	0.1	0.5
1996	0.1	0.1	0.5
1997	0.1	0.1	0.5
1998	0.1	0.1	0.5
1999	0.1	0.1	0.5
2000	0.1	0.2	0.6
2001	0.2	0.2	0.7
2002	0.2	0.3	0.8
2003	0.3	0.3	0.9
2004	0.2	0.3	1.0
2005	0.3	0.3	1.3
2006	0.3	0.5	2.2
2007	0.2	0.6	2.7

2008	0.2	0.5	2.4
2009	0.2	0.5	2.5
2010	0.2	0.5	2.2
2011	0.5	1.2	5.6
2012	1.1	1.3	5.6
2013	2.3	2.0	8.5
2014	3.8	2.1	8.4

Source: Derived from Browning (2015).

[a] Heavy Duty-Vehicles includes medium-duty trucks, heavy-duty trucks, and buses.

Note: In 2015, EIA changed its methods for estimating AFV fuel consumption. These methodological changes included how vehicle counts are estimated, moving from estimates based on modeling to one that is based on survey data. EIA now publishes data about fuel use and number of vehicles for only four types of AFV fleets: federal government, state government, transit agencies, and fuel providers. These changes were first incorporated in this year's inventory and apply to the 1990-2014 time period. This resulted in large reductions in AFV VMT, thus leading to a shift in VMT to conventional on-road vehicle classes.

Table A-98: Detailed Vehicle Miles Traveled for Alternative Fuel On-Road Vehicles (10^6 Miles)

Vehicle Type/Year	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Light-Duty Cars	**4.0**	**61.5**	**115.9**	**150.0**	**222.9**	**267.6**	**249.4**	**254.7**	**296.3**	**225.6**	**219.5**	**217.2**	**235.5**	**537.9**	**1,065.8**	**2,314.6**	**3,815.1**
Methanol-Flex Fuel ICE	+	48.9	15.2	11.5	8.8	+	+	+	+	+	+	+	+	+	+	+	+
Ethanol-Flex Fuel ICE	+	0.3	20.9	25.4	31.0	35.2	42.2	51.0	59.2	72.8	84.2	96.2	122.2	118.5	148.9	173.5	193.7
CNG ICE	+	0.1	5.5	9.1	12.3	14.5	17.3	15.9	14.7	14.6	13.1	12.3	11.7	12.3	12.5	13.3	12.5
CNG Bi-fuel	+	0.2	18.0	29.5	40.3	47.6	53.5	40.6	25.4	19.3	13.0	10.1	8.1	7.1	4.5	3.5	2.3
LPG ICE	1.1	1.2	1.2	1.2	1.3	1.3	0.3	0.1	0.2	1.7	1.7	1.7	+	0.2	0.2	0.4	1.0
LPG Bi-fuel	2.8	3.0	3.0	3.0	3.2	3.3	7.9	3.3	3.7	1.7	1.6	1.8	1.2	0.3	0.3	0.2	+
Biodiesel (BD100)	+	+	1.0	2.0	3.0	2.3	4.4	14.6	41.4	50.2	39.1	46.4	39.4	149.4	180.3	310.3	333.2
NEVs	+	7.5	49.8	66.4	119.4	158.7	121.2	127.2	149.4	63.6	64.2	46.8	51.8	58.1	48.4	52.7	58.3
Electric Vehicle	+	0.2	1.5	1.9	3.5	4.6	2.5	1.9	2.3	1.5	2.3	1.5	0.9	169.3	533.5	1,484.1	2,771.9
SI PHEV - Electricity	+	+	+	+	+	+	+	+	+	+	+	+	+	22.6	137.2	276.5	442.2
Fuel Cell Hydrogen	+	+	+	+	+	+	+	+	+	0.3	0.2	0.5	0.2	0.1	0.1	0.1	0.1
Light-Duty Trucks	**77.4**	**93.3**	**180.9**	**225.0**	**274.4**	**302.9**	**271.8**	**333.1**	**491.8**	**556.3**	**458.8**	**511.5**	**463.9**	**1,223.3**	**1,341.2**	**2,036.1**	**2,086.2**
Ethanol-Flex Fuel ICE	+	0.3	23.4	28.0	33.9	38.1	45.4	54.5	62.8	77.0	89.6	102.7	130.9	144.2	191.8	227.2	278.7
CNG ICE	+	0.1	5.6	9.1	12.2	14.3	15.9	14.5	15.2	13.7	10.8	10.3	9.2	9.7	9.9	9.5	10.2
CNG Bi-fuel	+	0.4	47.2	76.6	103.4	121.0	84.4	72.8	68.8	61.3	26.3	22.0	20.6	19.7	16.0	17.4	17.2
LPG ICE	22.4	26.5	27.6	27.7	28.5	29.3	23.7	32.6	28.9	23.3	11.6	13.5	10.8	10.6	6.5	6.9	5.4
LPG Bi-fuel	55.0	65.1	67.7	68.3	70.3	72.4	71.4	67.6	54.8	32.0	24.8	28.9	25.1	13.2	5.3	6.4	2.9
LNG	+	+	0.1	0.2	0.2	0.2	0.2	0.3	0.2	0.2	0.3	0.2	+	+	+	+	+
Biodiesel (BD100)	+	+	4.1	8.1	13.5	11.2	24.0	85.3	253.9	341.1	287.9	326.6	260.2	1,020.9	1,107.2	1,752.0	1,741.4
Electric Vehicle	+	0.8	5.3	7.0	12.5	16.5	6.8	5.6	7.1	7.7	7.5	7.3	7.0	4.6	4.4	16.4	30.1
Fuel Cell Hydrogen	+	+	+	+	+	+	+	+	+	0.1	0.1	0.2	0.1	0.3	0.2	0.2	0.2
Medium Duty Trucks	**324.5**	**317.4**	**308.6**	**327.8**	**353.9**	**364.6**	**265.7**	**352.8**	**543.5**	**640.9**	**580.1**	**607.0**	**457.1**	**1,414.9**	**1,475.6**	**2,335.2**	**2,360.6**
CNG ICE	+	+	1.1	1.8	2.4	2.9	2.9	4.1	2.8	5.8	8.0	6.9	6.6	9.0	10.6	11.1	13.1
CNG Bi-fuel	+	0.1	10.5	17.2	23.3	27.4	14.6	15.5	13.0	11.4	9.7	8.0	7.5	7.3	8.3	8.7	10.2
LPG ICE	271.5	265.4	242.4	246.1	254.4	262.8	166.6	155.6	82.1	61.3	46.5	41.5	36.5	34.1	32.3	29.6	29.2
LPG Bi-fuel	53.0	51.8	47.3	48.1	49.7	51.3	41.6	43.8	23.6	10.3	16.5	8.4	10.3	9.3	12.6	13.7	16.2
LNG	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	0.1	0.1
Biodiesel (BD100)	+	+	7.3	14.5	24.0	20.2	39.8	133.7	422.0	552.0	499.4	542.3	396.2	1,355.2	1,411.8	2,271.9	2,291.8
Heavy-Duty Trucks	**130.4**	**127.6**	**137.1**	**159.1**	**186.5**	**181.1**	**217.7**	**439.5**	**1,046.6**	**1,388.9**	**1,180.1**	**1,227.1**	**1,023.1**	**3,368.5**	**3,368.0**	**5,320.4**	**5,300.8**
Neat Ethanol ICE	+	+	+	+	+	1.3	1.6	1.9	2.2	2.7	3.1	3.5	4.5	7.0	11.3	15.7	22.2
CNG ICE	+	+	0.9	1.5	2.0	2.4	1.9	1.9	2.7	2.9	2.7	3.4	3.6	3.6	4.1	5.0	6.1
LPG ICE	122.1	119.5	109.1	110.7	114.4	118.1	106.4	87.2	76.6	65.8	56.2	49.6	41.0	43.1	28.0	27.6	20.6
LPG Bi-fuel	8.3	8.1	7.4	7.5	7.8	8.0	6.4	5.4	4.8	4.6	4.6	5.4	5.6	8.3	6.5	7.1	5.9
LNG	+	+	+	+	+	+	+	0.1	0.1	+	0.1	+	+	+	+	+	+
Biodiesel (BD100)	+	+	19.7	39.3	62.3	51.2	101.4	343.0	960.2	1,312.8	1,113.4	1,165.1	968.4	3,306.4	3,318.2	5,265.1	5,246.0
Buses	**24.6**	**46.3**	**150.7**	**225.9**	**299.2**	**349.1**	**496.6**	**524.6**	**629.8**	**625.6**	**656.3**	**685.3**	**695.5**	**779.6**	**770.3**	**833.7**	**804.8**
Neat Methanol ICE	7.8	12.7	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
Neat Ethanol ICE	+	5.9	0.1	+	+	+	+	+	+	+	+	+	+	+	0.1	0.1	0.1
CNG ICE	+	1.1	104.1	170.3	231.7	271.8	392.8	413.5	481.7	509.8	546.2	581.7	605.4	637.1	628.3	650.1	623.7
LPG ICE	16.4	15.8	14.4	14.6	15.2	15.6	17.5	11.7	13.3	12.3	13.4	9.0	8.1	4.8	4.7	5.0	5.2

LNG	0.4		8.9		23.2	28.5	30.1	33.7	58.9	61.4	66.8	40.2	39.8	36.0	36.8	39.5	41.1	29.4	27.5
Biodiesel (BD100)	+		+		0.8	1.6	2.6	2.0	4.1	13.2	38.9	53.3	46.6	51.7	38.1	90.1	90.7	143.5	142.8
Electric	+		1.8		8.2	10.9	19.6	26.0	23.2	24.8	29.0	9.9	10.2	6.8	7.0	7.7	5.1	5.3	5.2
Fuel Cell Hydrogen	+		+		+	+	+	+	+	+	0.1	0.1	0.1	0.1	0.2	0.3	0.3	0.3	0.3
Total VMT	**560.8**		**646.0**		**893.4**	**1,087.9**	**1,336.9**	**1,465.2**	**1,501.2**	**1,904.7**	**3,008.0**	**3,437.3**	**3,094.9**	**3,248.2**	**2,875.1**	**7,324.2**	**8,020.9**	**12,840.0**	**14,367.6**

+ Does not exceed 0.05 million vehicle miles traveled

Note: Throughout the rest of this Inventory, medium-duty trucks are grouped with heavy-duty trucks; they are reported separately here because these two categories may run on a slightly different range of fuel types.

In 2015, EIA changed its methods for estimating AFV fuel consumption. These methodological changes included how vehicle counts are estimated, moving from estimates based on modeling to one that is based on survey data. EIA now publishes data about fuel use and number of vehicles for only four types of AFV fleets: federal government, state government, transit agencies, and fuel providers. These changes were first incorporated for the 2014 Inventory and apply to the 1990-2014 time period. This resulted in large reductions in AFV VMT, thus leading to a shift in VMT to conventional on-road vehicle classes.

Source: Derived from Browning (2015).

Table A-99: Age Distribution by Vehicle/Fuel Type for On-Road Vehicles,[a] 2014

Vehicle Age	LDGV	LDGT	HDGV	LDDV	LDDT	HDDV	MC
0	7.1%	7.9%	6.2%	14.7%	8.6%	6.0%	7.2%
1	6.8%	7.5%	5.6%	14.1%	8.1%	5.4%	6.9%
2	6.6%	7.1%	5.1%	13.6%	7.8%	5.0%	6.5%
3	4.1%	4.8%	2.9%	8.4%	5.3%	3.1%	5.2%
4	4.6%	4.2%	1.9%	8.1%	3.1%	2.1%	4.7%
5	4.2%	3.2%	1.7%	5.4%	2.8%	2.5%	4.9%
6	5.3%	5.4%	3.4%	0.5%	6.9%	3.8%	8.6%
7	5.7%	5.7%	3.1%	0.3%	6.1%	7.4%	7.7%
8	5.3%	5.7%	4.4%	6.4%	7.8%	6.4%	7.3%
9	5.2%	5.8%	3.4%	4.4%	6.6%	5.9%	6.4%
10	4.8%	5.8%	4.3%	2.6%	5.9%	4.1%	5.4%
11	5.0%	5.2%	3.7%	3.4%	5.3%	3.6%	4.6%
12	4.9%	4.9%	3.7%	3.7%	4.5%	2.9%	4.1%
13	4.6%	4.3%	3.1%	2.4%	5.1%	3.8%	3.4%
14	4.5%	4.0%	6.0%	2.0%	2.7%	5.9%	2.8%
15	3.5%	3.4%	5.8%	1.1%	3.9%	4.7%	2.1%
16	2.8%	2.7%	2.4%	1.1%	1.4%	3.2%	1.8%
17	2.6%	2.3%	4.5%	0.4%	1.8%	3.0%	1.7%
18	2.1%	1.7%	2.7%	0.4%	1.4%	2.7%	1.5%
19	2.1%	1.6%	3.7%	0.3%	1.0%	3.3%	1.1%
20	1.6%	1.4%	2.9%	0.0%	0.6%	2.5%	1.3%
21	1.4%	1.0%	2.3%	0.2%	0.6%	1.8%	1.1%
22	1.1%	0.8%	1.8%	0.2%	0.6%	1.3%	0.9%
23	0.9%	0.6%	1.5%	0.5%	0.3%	1.2%	0.7%
24	0.8%	0.6%	2.0%	0.1%	0.3%	1.5%	0.6%
25	0.6%	0.6%	2.4%	0.1%	0.2%	1.5%	0.4%
26	0.5%	0.5%	2.0%	0.0%	0.2%	1.3%	0.4%
27	0.4%	0.4%	1.8%	0.9%	0.1%	1.1%	0.3%
28	0.3%	0.4%	1.8%	0.5%	0.3%	0.8%	0.3%
29	0.3%	0.3%	1.4%	1.6%	0.2%	0.8%	0.2%
30	0.3%	0.2%	2.4%	2.5%	0.2%	1.3%	0.2%
Total	**100.0%**	**100.0%**	**100.0%**	**100.0%**	**100.0%**	**100.0%**	**100.0%**

Source: EPA (2015b).

Note: This year's Inventory includes updated vehicle population data based on the MOVES 2014a Model.

[a] The following abbreviations correspond to vehicle types: LDGV (light-duty gasoline vehicles), LDGT (light-duty gasoline trucks), HDGV (heavy-duty gasoline vehicles), LDDV (light-duty diesel vehicles), LDDT (light-duty diesel trucks), HDDV (heavy-duty diesel vehicles), and MC (motorcycles).

Table A-100: Annual Average Vehicle Mileage Accumulation per Vehicle[a] (miles)

Vehicle Age	LDGV	LDGT	HDGV	LDDV	LDDT	HDDV	MC[b]
0	13,809	15,607	19,166	13,809	15,608	41,091	7,566
1	13,547	15,314	19,169	13,547	15,314	40,875	4,040
2	13,263	14,983	19,173	13,263	14,983	41,583	3,057
3	12,960	14,621	17,828	12,960	14,621	48,627	2,527
4	12,641	14,231	15,436	12,641	14,231	47,399	2,187
5	12,307	13,816	14,949	12,307	13,816	48,996	1,944
6	11,959	13,380	15,707	11,959	13,380	34,924	1,763
7	11,601	12,928	13,175	11,601	12,928	46,171	1,619
8	11,234	12,463	13,437	11,234	12,463	38,948	1,498
9	10,861	11,990	11,436	10,861	11,990	36,966	1,400
10	10,483	11,511	11,274	10,483	11,511	30,576	1,316
11	10,104	11,031	10,074	10,104	11,031	29,579	1,241
12	9,725	10,555	9,275	9,725	10,555	25,374	1,180
13	9,347	10,087	7,987	9,347	10,087	23,342	1,120
14	8,974	9,630	8,500	8,974	9,630	21,710	1,067
15	8,608	9,187	7,310	8,608	9,187	18,601	1,021
16	8,250	8,764	6,062	8,250	8,764	16,648	984

17	7,902	8,362	5,478	7,902	8,362	12,487	946
18	7,567	7,989	5,183	7,567	7,989	13,300	908
19	7,247	7,648	5,149	7,247	7,648	11,161	878
20	6,945	7,340	4,657	6,945	7,340	9,880	847
21	6,662	7,072	4,650	6,662	7,072	9,275	825
22	6,400	6,845	3,963	6,400	6,845	8,528	802
23	6,161	6,668	3,920	6,161	6,668	7,821	757
24	5,949	6,540	3,693	5,949	6,540	6,747	711
25	5,764	6,465	3,484	5,764	6,465	5,858	666
26	5,609	6,451	3,157	5,609	6,451	5,308	613
27	5,486	6,451	2,920	5,486	6,451	5,090	567
28	5,397	6,451	2,736	5,397	6,451	4,296	537
29	5,345	6,451	2,724	5,345	6,451	3,475	499
30	5,345	6,451	2,408	5,345	6,451	2,598	462

Source: EPA (2015b).

[a] The following abbreviations correspond to vehicle types: LDGV (light-duty gasoline vehicles), LDGT (light-duty gasoline trucks), HDGV (heavy-duty gasoline vehicles), LDDV (light-duty diesel vehicles), LDDT (light-duty diesel trucks), HDDV (heavy-duty diesel vehicles), and MC (motorcycles).

[b] Because of a lack of data, all motorcycles over 12 years old are considered to have the same emissions and travel characteristics, and therefore are presented in aggregate.

Table A-101: VMT Distribution by Vehicle Age and Vehicle/Fuel Type, [a] 2014

Vehicle Age	LDGV	LDGT	HDGV	LDDV	LDDT	HDDV	MC
0	9.18%	10.20%	11.71%	17.18%	10.81%	8.56%	24.81%
1	8.61%	9.46%	10.55%	16.11%	10.01%	7.64%	12.70%
2	8.14%	8.84%	9.70%	15.25%	9.35%	7.27%	9.04%
3	4.92%	5.79%	5.12%	9.21%	6.27%	5.32%	5.99%
4	5.39%	4.98%	2.96%	8.67%	3.57%	3.43%	4.68%
5	4.76%	3.63%	2.57%	5.57%	3.12%	4.34%	4.31%
6	5.85%	6.00%	5.20%	0.49%	7.40%	4.63%	6.93%
7	6.17%	6.05%	4.02%	0.33%	6.38%	11.95%	5.66%
8	5.52%	5.85%	5.79%	6.04%	7.78%	8.69%	4.96%
9	5.29%	5.77%	3.89%	4.01%	6.40%	7.54%	4.06%
10	4.73%	5.48%	4.76%	2.32%	5.43%	4.36%	3.24%
11	4.70%	4.74%	3.70%	2.91%	4.72%	3.73%	2.62%
12	4.45%	4.32%	3.41%	3.03%	3.86%	2.58%	2.19%
13	3.96%	3.62%	2.42%	1.86%	4.17%	3.12%	1.76%
14	3.75%	3.21%	5.04%	1.52%	2.11%	4.48%	1.34%
15	2.82%	2.60%	4.16%	0.84%	2.87%	3.05%	0.97%
16	2.18%	1.95%	1.46%	0.76%	1.02%	1.83%	0.80%
17	1.89%	1.61%	2.43%	0.27%	1.22%	1.32%	0.73%
18	1.47%	1.12%	1.37%	0.29%	0.89%	1.25%	0.62%
19	1.41%	1.04%	1.90%	0.21%	0.63%	1.30%	0.45%
20	1.04%	0.85%	1.34%	0.02%	0.35%	0.86%	0.50%
21	0.84%	0.59%	1.07%	0.09%	0.36%	0.60%	0.40%
22	0.67%	0.43%	0.71%	0.12%	0.32%	0.38%	0.32%
23	0.54%	0.35%	0.56%	0.24%	0.18%	0.34%	0.24%
24	0.44%	0.31%	0.73%	0.07%	0.14%	0.36%	0.18%
25	0.35%	0.31%	0.82%	0.05%	0.13%	0.30%	0.13%
26	0.26%	0.27%	0.61%	0.01%	0.10%	0.24%	0.10%
27	0.21%	0.20%	0.53%	0.43%	0.04%	0.19%	0.09%
28	0.17%	0.20%	0.50%	0.24%	0.15%	0.12%	0.07%
29	0.13%	0.13%	0.38%	0.72%	0.11%	0.09%	0.06%
30	0.15%	0.11%	0.58%	1.12%	0.11%	0.11%	0.05%
Total	**100.00%**	**100.00%**	**100.00%**	**100.00%**	**100.00%**	**100.00%**	**100.00%**

Model that affects this distribution.

[a] The following abbreviations correspond to vehicle types: LDGV (light-duty gasoline vehicles), LDGT (light-duty gasoline trucks), HDGV (heavy-duty gasoline vehicles), LDDV (light-duty diesel vehicles), LDDT (light-duty diesel trucks), HDDV (heavy-duty diesel vehicles), and MC (motorcycles).

Note: Estimated by weighting data in by data in Table A-100. This year's Inventory includes updated vehicle population data based on the MOVES 2014a

Table A-102: Fuel Consumption for Off-Road Sources by Fuel Type (million gallons)

Vehicle Type/Year	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Aircraft[a]	**19,560**	**18,320**	**20,304**	**19,745**	**19,284**	**18,640**	**19,407**	**19,714**	**18,973**	**18,670**	**17,984**	**16,030**	**15,762**	**15,262**	**14,914**	**15,274**	**15,418**
Aviation Gasoline	374	329	302	291	281	251	260	294	278	263	235	221	225	225	209	186	181
Jet Fuel	19,186	17,991	20,002	19,454	19,004	18,389	19,147	19,420	18,695	18,407	17,749	15,809	15,537	15,036	14,705	15,088	15,237
Commercial Aviation[b]	*11,569*	*12,136*	*14,672*	*13,121*	*12,774*	*12,943*	*13,147*	*13,976*	*14,426*	*14,708*	*13,400*	*12,588*	*11,931*	*12,067*	*11,932*	*12,031*	*12,131*
Ships and Other Boats	**4,507**	**5,789**	**6,407**	**4,393**	**4,810**	**4,066**	**4,277**	**4,858**	**5,120**	**5,723**	**4,860**	**4,289**	**5,740**	**5,915**	**5,340**	**5,293**	**4,329**
Diesel	1,043	1,546	1,750	1,630	1,592	1,711	1,347	1,470	1,409	1,489	1,470	1,480	1,446	1,727	1,475	1,499	1,370
Gasoline	1,403	1,597	1,629	1,632	1,630	1,625	1,616	1,607	1,597	1,587	1,577	1,568	1,556	1,545	1,535	1,528	1,522
Residual	2,061	2,646	3,028	1,131	1,588	730	1,313	1,781	2,115	2,647	1,812	1,241	2,738	2,643	2,330	2,265	1,437
Construction/Mining Equipment[c]	**4,160**	**4,835**	**5,523**	**5,984**	**6,156**	**6,339**	**6,522**	**6,617**	**6,755**	**6,785**	**6,939**	**7,066**	**7,312**	**7,418**	**7,586**	**8,187**	**7,949**
Diesel	3,674	4,387	5,181	5,329	5,477	5,625	5,774	5,922	6,069	6,216	6,363	6,511	6,658	6,806	6,954	7,102	7,250
Gasoline	486	448	342	654	678	714	749	695	686	569	575	556	655	612	632	1,085	698
Agricultural Equipment[d]	**3,134**	**3,698**	**3,929**	**4,163**	**4,277**	**4,383**	**4,708**	**4,776**	**5,011**	**4,926**	**4,582**	**4,708**	**4,807**	**4,998**	**5,157**	**5,021**	**5,094**
Diesel	2,321	2,772	3,277	3,361	3,445	3,530	3,614	3,699	3,782	3,865	3,948	4,032	4,115	4,199	4,282	4,366	4,450
Gasoline	813	927	652	802	832	853	1,094	1,078	1,229	1,061	634	676	692	799	875	655	644
Rail	**3,461**	**3,864**	**4,106**	**4,119**	**4,089**	**4,176**	**4,407**	**4,446**	**4,665**	**4,539**	**4,216**	**3,535**	**3,807**	**3,999**	**3,921**	**4,025**	**4,180**
Diesel	3,461	3,864	4,106	4,119	4,089	4,176	4,407	4,446	4,665	4,539	4,216	3,535	3,807	3,999	3,921	4,025	4,180
Other[e]	**5,916**	**6,525**	**6,798**	**7,630**	**7,813**	**8,022**	**8,236**	**8,255**	**8,370**	**8,229**	**8,360**	**8,455**	**8,804**	**8,768**	**8,703**	**8,800**	**8,952**
Diesel	1,423	1,720	2,050	2,114	2,181	2,247	2,313	2,380	2,446	2,512	2,579	2,645	2,711	2,778	2,844	2,910	2,977
Gasoline	4,493	4,805	4,748	5,515	5,632	5,775	5,922	5,875	5,924	5,717	5,782	5,810	6,093	5,990	5,859	5,890	5,975
Total	**40,738**	**43,031**	**47,067**	**46,032**	**46,429**	**45,627**	**47,557**	**48,666**	**48,894**	**48,872**	**46,941**	**44,083**	**46,233**	**46,359**	**45,622**	**46,600**	**45,922**

[a] For aircraft, this is aviation gasoline. For all other categories, this is motor gasoline.
[b] Commercial aviation, as modeled in FAA's AEDT, consists of passenger aircraft, cargo, and other chartered flights.
[c] Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.
[d] Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.
[e] "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.
Note: In 2015, EPA incorporated the NONROAD2008 model into MOVES2014a. This year's inventory uses the NONROAD component of MOVES2014a for years 1999 through 2014. This update resulted in small changes (<2%) to the 1999-2013 time series for NONROAD fuel consumption due to differences in the gasoline and diesel default fuel densities used within the model iterations.
Sources: AAR (2008 through 2014), APTA (2007 through 2013), BEA (1991 through 2014), Benson (2002 through 2004), DHS (2008), DOC (1991 through 2014), DESC (2014), DOE (1993 through 2013), DOT (1991 through 2014), EIA (2002), EIA (2007b), EIA (2016), EIA (2007 through 2014), EIA (1991 through 2014), EPA (2015d), FAA (2016), Gaffney (2007), and Whorton (2006 through 2013).

Table A-103: Control Technology Assignments for Gasoline Passenger Cars (Percent of VMT)

Model Years	Non-catalyst	Oxidation	EPA Tier 0	EPA Tier 1	LEV	EPA Tier 2
1973-1974	100%	-	-	-	-	-
1975	20%	80%	-	-	-	-
1976-1977	15%	85%	-	-	-	-
1978-1979	10%	90%	-	-	-	-
1980	5%	88%	7%	-	-	-
1981	-	15%	85%	-	-	-
1982	-	14%	86%	-	-	-
1983	-	12%	88%	-	-	-
1984-1993	-	-	100%	-	-	-
1994	-	-	60%	40%	-	-
1995	-	-	20%	80%	-	-
1996	-	-	1%	97%	2%	-
1997	-	-	0.5%	96.5%	3%	-
1998	-	-	<1%	87%	13%	-
1999	-	-	<1%	67%	33%	-
2000	-	-	-	44%	56%	-
2001	-	-	-	3%	97%	-
2002	-	-	-	1%	99%	-
2003	-	-	-	<1%	87%	13%
2004	-	-	-	<1%	41%	59%
2005	-	-	-	-	38%	62%
2006	-	-	-	-	18%	82%
2007	-	-	-	-	4%	96%
2008	-	-	-	-	2%	98%
2009-14	-	-	-	-	-	100%

Sources: EPA (1998), EPA (2007a), and EPA (2007b).
- Not applicable.
Note: Detailed descriptions of emissions control technologies are provided in the following section of this Annex.

Table A-104: Control Technology Assignments for Gasoline Light-Duty Trucks (Percent of VMT)[a]

Model Years	Non-catalyst	Oxidation	EPA Tier 0	EPA Tier 1	LEV [b]	EPA Tier 2
1973-1974	100%	-	-	-	-	-
1975	30%	70%	-	-	-	-
1976	20%	80%	-	-	-	-
1977-1978	25%	75%	-	-	-	-
1979-1980	20%	80%	-	-	-	-
1981	-	95%	5%	-	-	-
1982	-	90%	10%	-	-	-
1983	-	80%	20%	-	-	-
1984	-	70%	30%	-	-	-
1985	-	60%	40%	-	-	-
1986	-	50%	50%	-	-	-
1987-1993	-	5%	95%	-	-	-
1994	-	-	60%	40%	-	-
1995	-	-	20%	80%	-	-
1996	-	-	-	100%	-	-
1997	-	-	-	100%	-	-
1998	-	-	-	80%	20%	-
1999	-	-	-	57%	43%	-
2000	-	-	-	65%	35%	-
2001	-	-	-	1%	99%	-
2002	-	-	-	10%	90%	-
2003	-	-	-	<1%	53%	47%
2004	-	-	-	-	72%	28%
2005	-	-	-	-	38%	62%
2006	-	-	-	-	25%	75%
2007	-	-	-	-	14%	86%
2008-2014	-	-	-	-	-	100%

Sources: EPA (1998), EPA (2007a), and EPA (2007b).

- Not applicable.
[a] Detailed descriptions of emissions control technologies are provided in the following section of this Annex.
[b] The proportion of LEVs as a whole has decreased since 2001, as carmakers have been able to achieve greater emission reductions with certain types of LEVs, such as ULEVs. Because ULEVs emit about half the emissions of LEVs, a carmaker can reduce the total number of LEVs they need to build to meet a specified emission average for all of their vehicles in a given model year.

Table A-105: Control Technology Assignments for Gasoline Heavy-Duty Vehicles (Percent of VMT)[a]

Model Years	Uncontrolled	Non-catalyst	Oxidation	EPA Tier 0	EPA Tier 1	LEV [b]	EPA Tier 2
≤1981	100%	-	-	-	-	-	-
1982-1984	95%	-	5%	-	-	-	-
1985-1986	-	95%	5%	-	-	-	-
1987	-	70%	15%	15%	-	-	-
1988-1989	-	60%	25%	15%	-	-	-
1990-1995	-	45%	30%	25%	-	-	-
1996	-	-	25%	10%	65%	-	-
1997	-	-	10%	5%	85%	-	-
1998	-	-	-	-	96%	4%	-
1999	-	-	-	-	78%	22%	-
2000	-	-	-	-	54%	46%	-
2001	-	-	-	-	64%	36%	-
2002	-	-	-	-	69%	31%	-
2003	-	-	-	-	65%	30%	5%
2004	-	-	-	-	5%	37%	59%
2005	-	-	-	-	-	23%	77%
2006	-	-	-	-	-	20%	80%
2007	-	-	-	-	-	10%	90%
2008-2014	-	-	-	-	-	0%	100%

Sources: EPA (1998), EPA (2007a), and EPA (2007b).
- Not applicable.
[a] Detailed descriptions of emissions control technologies are provided in the following section of this Annex.
[b] The proportion of LEVs as a whole has decreased since 2000, as carmakers have been able to achieve greater emission reductions with certain types of LEVs, such as ULEVs. Because ULEVs emit about half the emissions of LEVs, a manufacturer can reduce the total number of LEVs they need to build to meet a specified emission average for all of their vehicles in a given model year.

Table A-106: Control Technology Assignments for Diesel On-Road Vehicles and Motorcycles

Vehicle Type/Control Technology	Model Years
Diesel Passenger Cars and Light-Duty Trucks	
Uncontrolled	1960-1982
Moderate control	1983-1995
Advanced control	1996-2014
Diesel Medium- and Heavy-Duty Trucks and Buses	
Uncontrolled	1960-1990
Moderate control	1991-2003
Advanced control	2004-2006
Aftertreatment	2007-2014
Motorcycles	
Uncontrolled	1960-1995
Non-catalyst controls	1996-2014

Note: Detailed descriptions of emissions control technologies are provided in the following section of this Annex.
Source: EPA (1998) and Browning (2005).

Table A-107: Emission Factors for CH_4 and N_2O for On-Road Vehicles

Vehicle Type/Control Technology	N_2O (g/mi)	CH_4 (g/mi)
Gasoline Passenger Cars		
EPA Tier 2	0.0036	0.0173
Low Emission Vehicles	0.0150	0.0105
EPA Tier 1[a]	0.0429	0.0271
EPA Tier 0[a]	0.0647	0.0704
Oxidation Catalyst	0.0504	0.1355
Non-Catalyst Control	0.0197	0.1696

Uncontrolled	0.0197	0.1780
Gasoline Light-Duty Trucks		
EPA Tier 2	0.0066	0.0163
Low Emission Vehicles	0.0157	0.0148
EPA Tier 1[a]	0.0871	0.0452
EPA Tier 0[a]	0.1056	0.0776
Oxidation Catalyst	0.0639	0.1516
Non-Catalyst Control	0.0218	0.1908
Uncontrolled	0.0220	0.2024
Gasoline Heavy-Duty Vehicles		
EPA Tier 2	0.0134	0.0333
Low Emission Vehicles	0.0320	0.0303
EPA Tier 1[a]	0.1750	0.0655
EPA Tier 0[a]	0.2135	0.2630
Oxidation Catalyst	0.1317	0.2356
Non-Catalyst Control	0.0473	0.4181
Uncontrolled	0.0497	0.4604
Diesel Passenger Cars		
Advanced	0.0010	0.0005
Moderate	0.0010	0.0005
Uncontrolled	0.0012	0.0006
Diesel Light-Duty Trucks		
Advanced	0.0015	0.0010
Moderate	0.0014	0.0009
Uncontrolled	0.0017	0.0011
Diesel Medium- and Heavy-Duty Trucks and Buses		
Aftertreatment	0.0048	0.0051
Advanced	0.0048	0.0051
Moderate	0.0048	0.0051
Uncontrolled	0.0048	0.0051
Motorcycles		
Non-Catalyst Control	0.0069	0.0672
Uncontrolled	0.0087	0.0899

Source: ICF (2006b and 2004).

[a] The categories "EPA Tier 0" and "EPA Tier 1" were substituted for the early three-way catalyst and advanced three-way catalyst categories, respectively, as defined in the *2006 IPCC Guidelines*. Detailed descriptions of emissions control technologies are provided at the end of this Annex.

Table A-108: Emission Factors for CH_4 and N_2O for Alternative Fuel Vehicles (g/mi)

	N_2O	CH_4
Light Duty Vehicles		
Methanol	0.067	0.018
CNG	0.050	0.737
LPG	0.067	0.037
Ethanol	0.067	0.055
Biodiesel (BD20)	0.001	0.0005
Medium- and Heavy-Duty Trucks		
Methanol	0.175	0.066
CNG	0.175	1.966
LNG	0.175	1.966
LPG	0.175	0.066
Ethanol	0.175	0.197
Biodiesel (BD20)	0.005	0.005
Buses		
Methanol	0.175	0.066
CNG	0.175	1.966
Ethanol	0.175	0.197
Biodiesel (BD20)	0.005	0.005

Source: Developed by ICF (2006a) using ANL (2006) and Lipman and Delucchi (2002).

Table A-109: Emission Factors for CH_4 and N_2O Emissions from Non-Road Mobile Combustion (g/kg fuel)

Vehicle Type/Fuel Type	N_2O	CH_4
Ships and Boats		
Residual	0.16	0.03
Gasoline	0.08	0.23
Diesel	0.14	0.02
Rail		
Diesel	0.08	0.25
Agricultural Equipment[a]		
Gasoline	0.08	0.45
Diesel	0.08	0.45
Construction/Mining Equipment[b]		
Gasoline	0.08	0.18
Diesel	0.08	0.18
Other Non-Road		
All "Other" Categories[c]	0.08	0.18
Aircraft		
Jet Fuel[d]	0.10	0.00
Aviation Gasoline	0.04	2.64

Source: IPCC (2006) and ICF (2009).

[a] Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

[b] Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

[c] "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

[d] Emissions of CH_4 from jet fuels have been zeroed out across the time series. Recent research indicates that modern aircraft jet engines are typically net consumers of methane (Santoni et al. 2011). Methane is emitted at low power and idle operation, but at higher power modes aircraft engines consumer methane. Over the range of engine operating modes, aircraft engines are net consumers of methane on average. Based on this data, methane emissions factors for jet aircraft were changed to zero in this year's Inventory to reflect the latest emissions testing data.

Table A-110: NO_x Emissions from Mobile Combustion (kt)

Fuel Type/Vehicle Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Gasoline On-Road	**5,746**	**4,560**	**3,812**	**3,715**	**4,940**	**4,621**	**4,303**	**3,984**	**3,819**	**3,654**	**3,317**	**2,966**	**2,724**	**2,805**	**2,585**	**2,365**	**2,145**
Passenger Cars	3,847	2,752	2,084	2,027	2,695	2,521	2,347	2,174	2,083	1,993	1,810	1,618	1,486	1,530	1,410	1,290	1,170
Light-Duty Trucks	1,364	1,325	1,303	1,285	1,708	1,598	1,488	1,378	1,321	1,264	1,147	1,026	942	970	894	818	742
Medium- and Heavy-Duty Trucks and Buses	515	469	411	390	518	485	452	418	401	383	348	311	286	294	271	248	225
Motorcycles	20	14	13	14	18	17	16	15	14	13	12	11	10	10	10	9	8
Diesel On-Road	**2,956**	**3,493**	**3,803**	**3,338**	**4,438**	**4,152**	**3,866**	**3,580**	**3,431**	**3,283**	**2,980**	**2,665**	**2,448**	**2,520**	**2,323**	**2,125**	**1,927**
Passenger Cars	39	19	7	6	8	7	7	6	6	6	5	5	4	4	4	4	3
Light-Duty Trucks	20	12	6	5	7	7	6	6	6	5	5	4	4	4	4	3	3
Medium- and Heavy-Duty Trucks and Buses	2,897	3,462	3,791	3,326	4,423	4,138	3,853	3,568	3,420	3,272	2,970	2,656	2,439	2,512	2,315	2,118	1,921
Alternative Fuel On-Road[a]	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**
Non-Road	**2,160**	**2,483**	**2,584**	**2,643**	**3,107**	**2,981**	**2,856**	**2,731**	**2,490**	**2,249**	**2,226**	**2,166**	**2,118**	**1,968**	**1,881**	**1,793**	**1,705**
Ships and Boats	402	488	506	544	643	617	591	565	515	465	460	448	438	407	389	371	353
Rail	338	433	451	485	574	550	527	504	460	415	411	400	391	363	347	331	315
Aircraft[b]	25	31	40	39	46	45	43	41	37	34	33	32	32	29	28	27	25
Agricultural Equipment[c]	437	478	484	480	562	539	516	494	450	407	402	392	383	356	340	324	308
Construction/Mining Equipment[d]	641	697	697	690	807	774	742	709	647	584	578	563	550	511	488	466	443
Other[e]	318	357	407	406	476	456	437	418	381	344	341	332	324	301	288	274	261
Total	**10,862**	**10,536**	**10,199**	**9,696**	**12,485**	**11,755**	**11,025**	**10,295**	**9,740**	**9,186**	**8,523**	**7,797**	**7,290**	**7,294**	**6,788**	**6,283**	**5,777**

IE - Included Elsewhere

[a] NO_x emissions from alternative fuel on-road vehicles are included under gasoline and diesel on-road.

[b] Aircraft estimates include only emissions related to LTO cycles, and therefore do not include cruise altitude emissions.

[c] Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

[d] Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

[e] "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

Note:The source of this data is the National Emissions Inventory. Updates to estimates from MOVES2014a is a change that affects the emissions time series.

Note:Totals may not sum due to independent rounding.

Table A-111: CO Emissions from Mobile Combustion (kt)

Fuel Type/Vehicle Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Gasoline On-Road	**98,328**	**74,673**	**60,657**	**56,716**	**46,115**	**43,498**	**40,882**	**38,265**	**35,781**	**33,298**	**29,626**	**24,515**	**25,235**	**24,442**	**22,925**	**21,408**	**19,890**
Passenger Cars	60,757	42,065	32,867	31,600	25,693	24,235	22,777	21,319	19,936	18,552	16,506	13,659	14,060	13,618	12,773	11,927	11,082
Light-Duty Trucks	29,237	27,048	24,532	22,574	18,355	17,313	16,272	15,230	14,242	13,253	11,792	9,758	10,044	9,729	9,125	8,521	7,917
Medium- and Heavy-Duty Trucks and Buses	8,093	5,404	3,104	2,411	1,960	1,849	1,738	1,627	1,521	1,416	1,259	1,042	1,073	1,039	975	910	846
Motorcycles	240	155	154	131	107	101	95	89	83	77	69	57	58	57	53	50	46
Diesel On-Road	**1,696**	**1,424**	**1,088**	**869**	**707**	**667**	**626**	**586**	**548**	**510**	**454**	**376**	**387**	**375**	**351**	**328**	**305**
Passenger Cars	35	18	7	6	5	4	4	4	4	3	3	3	3	3	2	2	2
Light-Duty Trucks	22	16	6	5	4	4	4	4	3	3	3	2	2	2	2	2	2
Medium- and Heavy-Duty Trucks and Buses	1,639	1,391	1,075	858	698	658	618	579	541	504	448	371	382	370	347	324	301
Alternative Fuel On-Road[a]	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	IE
Non-Road	**19,337**	**21,533**	**21,814**	**22,266**	**20,414**	**20,197**	**19,980**	**19,763**	**18,382**	**17,001**	**16,137**	**14,365**	**13,853**	**13,488**	**13,214**	**12,940**	**12,666**
Ships and Boats	1,559	1,781	1,825	1,831	1,679	1,661	1,643	1,626	1,512	1,398	1,327	1,182	1,140	1,109	1,087	1,064	1,042
Rail	85	93	90	90	82	81	81	80	74	69	65	58	56	54	53	52	51
Aircraft[b]	217	224	245	233	214	212	210	207	193	178	169	151	145	141	139	136	133
Agricultural Equipment[c]	581	628	626	621	569	563	557	551	513	474	450	401	386	376	369	361	353
Construction/Mining Equipment[d]	1,090	1,132	1,047	1,041	955	944	934	924	860	795	755	672	648	631	618	605	592
Other[e]	15,805	17,676	17,981	18,449	16,914	16,735	16,555	16,375	15,231	14,087	13,371	11,903	11,479	11,176	10,949	10,722	10,494
Total	**119,360**	**97,630**	**83,559**	**79,851**	**67,235**	**64,362**	**61,488**	**58,615**	**54,712**	**50,809**	**46,217**	**39,256**	**39,475**	**38,305**	**36,491**	**34,676**	**32,861**

IE - Included Elsewhere

[a] CO emissions from alternative fuel on-road vehicles are included under gasoline and diesel on-road.

[b] Aircraft estimates include only emissions related to LTO cycles, and therefore do not include cruise altitude emissions.

[c] Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

[d] Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

[e] "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

Notes: The source of this data is the National Emissions Inventory. Updates to estimates from MOVES2014a is a change that affects the emissions time series. Totals may not sum due to independent rounding.

Table A-112: NMVOCs Emissions from Mobile Combustion (kt)

Fuel Type/Vehicle Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Gasoline On-Road	**8,110**	**5,819**	**4,615**	**4,285**	**3,473**	**3,308**	**3,144**	**2,979**	**2,997**	**3,015**	**2,641**	**2,384**	**2,393**	**2,485**	**2,279**	**2,074**	**1,868**
Passenger Cars	5,120	3,394	2,610	2,393	1,939	1,847	1,756	1,664	1,674	1,684	1,475	1,332	1,336	1,388	1,273	1,158	1,043
Light-Duty Trucks	2,374	2,019	1,750	1,664	1,348	1,285	1,221	1,157	1,164	1,171	1,025	926	929	965	885	805	725
Medium- and Heavy-Duty Trucks and Buses	575	382	232	206	167	159	151	143	144	145	127	115	115	120	110	100	90
Motorcycles	42	24	23	22	18	17	16	15	15	15	14	12	12	13	12	11	10
Diesel On-Road	**406**	**304**	**216**	**207**	**168**	**160**	**152**	**144**	**145**	**146**	**128**	**115**	**116**	**120**	**110**	**100**	**90**
Passenger Cars	16	8	3	3	2	2	2	2	2	2	2	2	2	2	2	1	1
Light-Duty Trucks	14	9	4	4	3	3	3	3	3	3	2	2	2	2	2	2	2
Medium- and Heavy-Duty Trucks and Buses	377	286	209	201	163	155	147	140	140	141	124	112	112	116	107	97	88
Alternative Fuel On-Road[a]	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**	**IE**
Non-Road	**2,415**	**2,622**	**2,398**	**2,379**	**2,800**	**2,733**	**2,667**	**2,600**	**2,491**	**2,383**	**2,310**	**2,150**	**2,082**	**1,957**	**1,863**	**1,768**	**1,674**
Ships and Boats	608	739	744	730	859	839	818	798	764	731	709	660	639	600	572	543	514
Rail	33	36	35	35	42	41	40	39	37	35	34	32	31	29	28	26	25
Aircraft[b]	28	28	24	19	23	22	22	21	20	19	19	17	17	16	15	14	14
Agricultural Equipment[c]	85	86	76	72	85	83	81	79	76	73	70	65	63	60	57	54	51
Construction/Mining Equipment[d]	149	152	130	125	147	144	140	137	131	125	121	113	109	103	98	93	88
Other[e]	1,512	1,580	1,390	1,397	1,644	1,605	1,566	1,527	1,463	1,399	1,356	1,263	1,223	1,149	1,094	1,038	983
Total	**10,932**	**8,745**	**7,230**	**6,872**	**6,440**	**6,201**	**5,962**	**5,724**	**5,634**	**5,544**	**5,078**	**4,650**	**4,591**	**4,562**	**4,252**	**3,942**	**3,632**

IE - Included Elsewhere

[a] NMVOC emissions from alternative fuel on-road vehicles are included under gasoline and diesel on-road.

[b] Aircraft estimates include only emissions related to LTO cycles, and therefore do not include cruise altitude emissions.

[c] Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

[d] Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

[e] "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

Notes: The source of this data is the National Emissions Inventory. Updates to estimates from MOVES2014a is a change that affects the emissions time series. Totals may not sum due to independent rounding.

Definitions of Emission Control Technologies and Standards

The N_2O and CH_4 emission factors used depend on the emission standards in place and the corresponding level of control technology for each vehicle type. Table A-103 through Table A-106 show the years in which these technologies or standards were in place and the penetration level for each vehicle type. These categories are defined below and were compiled from EPA (1993, 1994a, 1994b, 1998, 1999a) and IPCC/UNEP/OECD/IEA (1997).

Uncontrolled

Vehicles manufactured prior to the implementation of pollution control technologies are designated as uncontrolled. Gasoline passenger cars and light-duty trucks (pre-1973), gasoline heavy-duty vehicles (pre-1984), diesel vehicles (pre-1983), and motorcycles (pre-1996) are assumed to have no control technologies in place.

Gasoline Emission Controls

Below are the control technologies and emissions standards applicable to gasoline vehicles.

Non-catalyst

These emission controls were common in gasoline passenger cars and light-duty gasoline trucks during model years (1973-1974) but phased out thereafter, in heavy-duty gasoline vehicles beginning in the mid-1980s, and in motorcycles beginning in 1996. This technology reduces hydrocarbon (HC) and carbon monoxide (CO) emissions through adjustments to ignition timing and air-fuel ratio, air injection into the exhaust manifold, and exhaust gas recirculation (EGR) valves, which also helps meet vehicle NO_x standards.

Oxidation Catalyst

This control technology designation represents the introduction of the catalytic converter, and was the most common technology in gasoline passenger cars and light-duty gasoline trucks made from 1975 to 1980 (cars) and 1975 to 1985 (trucks). This technology was also used in some heavy-duty gasoline vehicles between 1982 and 1997. The two-way catalytic converter oxidizes HC and CO, significantly reducing emissions over 80 percent beyond non-catalyst-system capacity. One reason unleaded gasoline was introduced in 1975 was due to the fact that oxidation catalysts cannot function properly with leaded gasoline.

EPA Tier 0

This emission standard from the Clean Air Act was met through the implementation of early "three-way" catalysts, therefore this technology was used in gasoline passenger cars and light-duty gasoline trucks sold beginning in the early 1980s, and remained common until 1994. This more sophisticated emission control system improves the efficiency of the catalyst by converting CO and HC to CO_2 and H_2O, reducing NO_x to nitrogen and oxygen, and using an on-board diagnostic computer and oxygen sensor. In addition, this type of catalyst includes a fuel metering system (carburetor or fuel injection) with electronic "trim" (also known as a "closed-loop system"). New cars with three-way catalysts met the Clean Air Act's amended standards (enacted in 1977) of reducing HC to 0.41 g/mile by 1980, CO to 3.4 g/mile by 1981 and NO_x to 1.0 g/mile by 1981.

EPA Tier 1

This emission standard created through the 1990 amendments to the Clean Air Act limited passenger car NO_x emissions to 0.4 g/mi, and HC emissions to 0.25 g/mi. These bounds respectively amounted to a 60 and 40 percent reduction from the EPA Tier 0 standard set in 1981. For light-duty trucks, this standard set emissions at 0.4 to 1.1 g/mi for NO_x, and 0.25 to 0.39 g/mi for HCs, depending on the weight of the truck. Emission reductions were met through the use of more advanced emission control systems, and applied to light-duty gasoline vehicles beginning in 1994. These advanced emission control systems included advanced three-way catalysts, electronically controlled fuel injection and ignition timing, EGR, and air injection.

EPA Tier 2

This emission standard was specified in the 1990 amendments to the Clean Air Act, limiting passenger car NO_x emissions to 0.07 g/mi on average and aligning emissions standards for passenger cars and light-duty trucks. Manufacturers can meet this average emission level by producing vehicles in 11 emission “Bins,” the three highest of which expire in 2006.

These new emission levels represent a 77 to 95 percent reduction in emissions from the EPA Tier 1 standard set in 1994. Emission reductions were met through the use of more advanced emission control systems and lower sulfur fuels and are applied to vehicles beginning in 2004. These advanced emission control systems include improved combustion, advanced three-way catalysts, electronically controlled fuel injection and ignition timing, EGR, and air injection.

Low Emission Vehicles (LEV)

This emission standard requires a much higher emission control level than the Tier 1 standard. Applied to light-duty gasoline passenger cars and trucks beginning in small numbers in the mid-1990s, LEV includes multi-port fuel injection with adaptive learning, an advanced computer diagnostics systems and advanced and close coupled catalysts with secondary air injection. LEVs as defined here include transitional low-emission vehicles (TLEVs), low emission vehicles, ultra-low emission vehicles (ULEVs) and super ultra-low emission vehicles (SULEVs). In this analysis, all categories of LEVs are treated the same due to the fact that there are very limited CH_4 or N_2O emission factor data for LEVs to distinguish among the different types of vehicles. Zero emission vehicles (ZEVs) are incorporated into the alternative fuel and advanced technology vehicle assessments.

Diesel Emission Controls

Below are the three levels of emissions control for diesel vehicles.

Moderate control

Improved injection timing technology and combustion system design for light- and heavy-duty diesel vehicles (generally in place in model years 1983 to 1995) are considered moderate control technologies. These controls were implemented to meet emission standards for diesel trucks and buses adopted by the EPA in 1985 to be met in 1991 and 1994.

Advanced control

EGR and modern electronic control of the fuel injection system are designated as advanced control technologies. These technologies provide diesel vehicles with the level of emission control necessary to comply with standards in place from 1996 through 2006.

Aftertreatment

Use of diesel particulate filters (DPFs), oxidation catalysts and NO_x absorbers or selective catalytic reduction (SCR) systems are designated as aftertreatment control. These technologies provide diesel vehicles with a level of emission control necessary to comply with standards in place from 2007 on.

Supplemental Information on GHG Emissions from Transportation and Other Mobile Sources

This section of this Annex includes supplemental information on the contribution of transportation and other mobile sources to U.S. greenhouse gas emissions. In the main body of the Inventory report, emission estimates are generally presented by greenhouse gas, with separate discussions of the methodologies used to estimate CO_2, N_2O, CH_4, and HFC emissions. Although the inventory is not required to provide detail beyond what is contained in the body of this report, the IPCC allows presentation of additional data and detail on emission sources. The purpose of this sub-annex, within the Annex that details the calculation methods and data used for non-CO_2 calculations, is to provide all transportation estimates presented throughout the report in one place.

This section of this Annex reports total greenhouse gas emissions from transportation and other (non-transportation) mobile sources in CO_2 equivalents, with information on the contribution by greenhouse gas and by mode, vehicle type, and fuel type. In order to calculate these figures, additional analyses were conducted to develop estimates of CO_2 from non-transportation mobile sources (e.g., agricultural equipment, construction/mining equipment, recreational vehicles), and to provide more detailed breakdowns of emissions by source.

Estimation of CO_2 from Non-Transportation Mobile Sources

The estimates of N_2O and CH_4 from fuel combustion presented in the Energy chapter of the Inventory include both transportation sources and other mobile sources. Other mobile sources include construction/mining equipment, agricultural equipment, vehicles used off-road, and other sources that have utility associated with their movement but do not have a primary purpose of transporting people or goods (e.g., snowmobiles, riding lawnmowers, etc.). Estimates of CO_2 from non-transportation mobile sources, based on EIA fuel consumption estimates, are included in the agricultural, industrial, and commercial sectors. In order to provide comparable information on transportation and mobile sources, Table A-113 provides estimates of CO_2 from these other mobile sources, developed from EPA's NONROAD model and FHWA's *Highway*

Statistics. These other mobile source estimates were developed using the same fuel consumption data utilized in developing the N_2O and CH_4 estimates.

Table A-113: CO_2 Emissions from Non-Transportation Mobile Sources (MMT CO_2 Eq.)

Fuel Type/Vehicle Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Agricultural Equipment[a]	31.0	36.6	39.3	41.5	42.7	43.7	46.7	47.4	49.6	49.0	46.0	47.2	48.2	49.9	51.4	50.4	51.2
Construction/Mining Equipment[b]	42.0	48.9	56.2	60.4	62.2	64.0	65.8	66.9	68.3	68.8	70.3	71.6	73.9	75.1	76.8	82.2	80.4
Other Sources[c]	54.5	59.9	62.6	70.1	71.8	73.7	75.7	75.9	77.1	75.9	76.6	77.3	80.2	79.9	79.4	80.3	81.7
Total	**127.6**	**145.4**	**158.1**	**172.0**	**176.7**	**181.3**	**188.2**	**190.2**	**195.1**	**193.7**	**192.9**	**196.1**	**202.3**	**204.9**	**207.6**	**212.9**	**213.3**

[a] Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

[b] Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

[c] "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

Note: In 2015, EPA incorporated the NONROAD2008 model into MOVES2014a. This year's inventory uses the NONROAD component of MOVES2014a for years 1999 through 2014. This update resulted in small changes (<2%) to the 1999-2013 time series for NONROAD fuel consumption due to differences in the gasoline and diesel default fuel densities used within the model iterations.

Estimation of HFC Emissions from Transportation Sources

In addition to CO_2, N_2O and CH_4 emissions, transportation sources also result in emissions of HFCs. HFCs are emitted to the atmosphere during equipment manufacture and operation (as a result of component failure, leaks, and purges), as well as at servicing and disposal events. There are three categories of transportation-related HFC emissions; Mobile air-conditioning represents the emissions from air conditioning units in passenger cars and light-duty trucks; Comfort Cooling represents the emissions from air conditioning units in passenger trains and buses; and Refrigerated Transport represents the emissions from units used to cool freight during transportation.

Table A-114 below presents these HFC emissions. Table A-115 presents all transportation and mobile source greenhouse gas emissions, including HFC emissions.

Table A-114: HFC Emissions from Transportation Sources (MMT CO_2 Eq.)

Vehicle Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Mobile AC	**-**	**18.9**	**53.5**	**59.1**	**61.3**	**62.7**	**64.3**	**65.0**	**65.6**	**66.0**	**66.3**	**65.2**	**61.7**	**55.7**	**49.9**	**44.0**	**40.9**
Passenger Cars	-	11.2	28.1	30.7	31.5	31.6	31.8	31.7	31.7	31.5	31.2	29.9	27.5	23.9	20.6	17.3	16.0
Light-Duty Trucks	-	7.8	25.4	28.4	29.8	31.1	32.4	33.3	33.9	34.5	35.1	35.2	34.2	31.7	29.3	26.7	25.0
Comfort Cooling for Trains and Buses	**-**	**+**	**0.1**	**0.2**	**0.2**	**0.2**	**0.2**	**0.3**	**0.3**	**0.4**	**0.4**	**0.5**	**0.5**	**0.5**	**0.5**	**0.5**	**0.5**
School and Tour Buses	-	+	0.1	0.2	0.2	0.2	0.2	0.3	0.3	0.3	0.4	0.4	0.4	0.4	0.4	0.4	0.4
Transit Buses	-	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	0.1
Rail	-	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
Refrigerated Transport	**-**	**0.2**	**0.8**	**1.0**	**1.2**	**1.4**	**1.6**	**1.8**	**2.0**	**2.3**	**2.6**	**2.9**	**3.5**	**4.1**	**4.7**	**5.3**	**5.8**
Medium- and Heavy-Duty Trucks	-	0.1	0.6	0.8	0.9	1.2	1.4	1.5	1.7	1.9	2.2	2.4	2.9	3.4	3.9	4.4	4.4
Rail	-	0.0	0.2	0.2	0.3	0.2	0.3	0.3	0.3	0.4	0.4	0.5	0.6	0.7	0.8	0.9	1.4
Ships and Other Boats	-	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Total	**-**	**19.1**	**54.5**	**60.3**	**62.7**	**64.3**	**66.1**	**67.1**	**67.9**	**68.7**	**69.3**	**68.5**	**65.6**	**60.2**	**55.1**	**49.8**	**47.2**

+ Does not exceed 0.05 MMT CO_2 Eq.
- Unreported or zero
Note: Totals may not sum due to independent rounding.

Contribution of Transportation and Mobile Sources to Greenhouse Gas Emissions, by Mode/Vehicle Type/Fuel Type

Table A-115 presents estimates of greenhouse gas emissions from an expanded analysis including all transportation and additional mobile sources, as well as emissions from electricity generation by the consuming category, in CO_2 equivalents. In total, transportation and non-transportation mobile sources emitted 2,029.7 MMT CO_2 Eq. in 2014, an increase of 21 percent from 1990. Transportation sources account for 1,814.5 MMT CO_2 Eq. while non-transportation mobile sources account for 215.3 MMT CO_2 Eq. These estimates include HFC emissions for mobile AC, comfort cooling for trains and buses, and refrigerated transport. These estimates were generated using the estimates of CO_2 emissions from transportation sources reported in the Carbon Dioxide Emissions from Fossil Fuel Combustion section, and CH_4 emissions and N_2O emissions reported in the Mobile Combustion section of the Energy chapter; information on HFCs from mobile air conditioners, comfort cooling for trains and buses, and refrigerated transportation from the Substitutes for Ozone Depleting Substances section of the IPPU chapter; and estimates of CO_2 emitted from non-transportation mobile sources reported in Table A-111 above.

Although all emissions reported here are based on estimates reported throughout this Inventory, some additional calculations were performed in order to provide a detailed breakdown of emissions by mode and vehicle category. In the case of N_2O and CH_4, additional calculations were performed to develop emission estimates by type of aircraft and type of heavy-duty vehicle (i.e., medium- and heavy-duty trucks or buses) to match the level of detail for CO_2 emissions. N_2O estimates for both jet fuel and aviation gasoline, and CH_4 estimates for aviation gasoline were developed for individual aircraft types by multiplying the emissions estimates for each fuel type (jet fuel and aviation gasoline) by the portion of fuel used by each aircraft type (from FAA 2016 and DESC 2015). Emissions of CH_4 from jet fuels are no longer considered to be emitted from aircraft gas turbine engines burning jet fuel A at higher power settings. This update applies to the entire time series.[54] Recent research indicates that modern aircraft jet engines are typically net consumers of methane (Santoni et al 2011). Methane is emitted at low power and idle operation, but at higher power modes aircraft engines consume methane. Over the range of engine operating modes, aircraft engines are net consumers of methane on average. Based on this data, CH_4 emission factors for jet aircraft were reported as zero to reflect the latest emissions testing data.

Similarly, N_2O and CH_4 estimates were developed for medium- and heavy-duty trucks and buses by multiplying the emission estimates for heavy-duty vehicles for each fuel type (gasoline, diesel) from the Mobile Combustion section in the Energy chapter, by the portion of fuel used by each vehicle type (from DOE 1993 through 2015). Carbon dioxide emissions from non-transportation mobile sources are calculated using data from EPA's NONROAD component of MOVES2014a (EPA 2015d). Otherwise, the table and figure are drawn directly from emission estimates presented elsewhere in the Inventory, and are dependent on the methodologies presented in Annex 2.1 (for CO_2), Chapter 4, and Annex 3.8 (for HFCs), and earlier in this Annex (for CH_4 and N_2O).

Transportation sources include on-road vehicles, aircraft, boats and ships, rail, and pipelines (note: pipelines are a transportation source but are stationary, not mobile sources). In addition, transportation-related greenhouse gas emissions also include HFC released from mobile air-conditioners and refrigerated transport, and the release of CO_2 from lubricants (such as motor oil) used in transportation. Together, transportation sources were responsible for 1,814.5 MMT CO_2 Eq. in 2014.

On-road vehicles were responsible for about 75 percent of all transportation and non-transportation mobile greenhouse gas emissions in 2014. Although passenger cars make up the largest component of on-road vehicle greenhouse gas emissions, medium- and heavy-duty trucks have been the primary sources of growth in on-road vehicle emissions. Between 1990 and 2014, greenhouse gas emissions from passenger cars increased by 16 percent, while emissions from light-duty trucks increased by one percent.[55] Meanwhile, greenhouse gas emissions from medium- and heavy-duty trucks increased 76 percent between 1990 and 2014, reflecting the increased volume of total freight movement and an increasing share transported by trucks.

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[54] Recommended Best Practice for Quantifying Speciated Organic Gas Emissions from Aircraft Equipped with Turbofan, Turbojet and Turboprop Engines," EPA-420-R-09-901, May 27, 2009 (see <http://www.epa.gov/otaq/regs/nonroad/aviation/420r09901.pdf>).

[55] In 2011 FHWA changed how they defined vehicle types for the purposes of reporting VMT for the years 2007-2010. The old approach to vehicle classification was based on body type and split passenger vehicles into "Passenger Cars" and "Other 2 Axle 4-Tire Vehicles". The new approach is a vehicle classification system based on wheelbase. Vehicles with a wheelbase less than or equal to 121 inches are counted as "Light-duty Vehicles –Short Wheelbase". Passenger vehicles with a wheelbase greater than 121 inches are counted as "Light-duty Vehicles - Long Wheelbase". This change in vehicle classification has moved some smaller trucks and sport utility vehicles from the light truck category to the passenger vehicle category in this Inventory. These changes are reflected in a large drop in light-truck emissions between 2006 and 2007.

Greenhouse gas emissions from aircraft decreased 20 percent between 1990 and 2014. Emissions from military aircraft decreased 56 percent between 1990 and 2014. Commercial aircraft emissions rose 27 percent between 1990 and 2007 then dropped 18 percent from 2007 to 2014, a change of approximately 5 percent between 1990 and 2014.

Non-transportation mobile sources, such as construction/mining equipment, agricultural equipment, and industrial/commercial equipment, emitted approximately 215.3 MMT CO_2 Eq. in 2014. Together, these sources emitted more greenhouse gases than ships and boats, and rail combined. Emissions from non-transportation mobile sources increased rapidly, growing approximately 67 percent between 1990 and 2014. Methane and N_2O emissions from these sources are included in the "Mobile Combustion" section and CO_2 emissions are included in the relevant economic sectors.

Contribution of Transportation and Mobile Sources to Greenhouse Gas Emissions, by Gas

Table A-116 presents estimates of greenhouse gas emissions from transportation and other mobile sources broken down by greenhouse gas. As this table shows, CO_2 accounts for the vast majority of transportation greenhouse gas emissions (approximately 97 percent in 2014). Emissions of CO_2 from transportation and mobile sources increased by 327.8 MMT CO_2 Eq. between 1990 and 2014. In contrast, the combined emissions of CH_4 and N_2O decreased by 28.5 MMT CO_2 Eq. over the same period, due largely to the introduction of control technologies designed to reduce criteria pollutant emissions.[56] Meanwhile, HFC emissions from mobile air-conditioners and refrigerated transport increased from virtually no emissions in 1990 to 47.2 MMT CO_2 Eq. in 2014 as these chemicals were phased in as substitutes for ozone depleting substances. It should be noted, however, that the ozone depleting substances that HFCs replaced are also powerful greenhouse gases, but are not included in national greenhouse gas inventories per UNFCCC reporting requirements.

Greenhouse Gas Emissions from Freight and Passenger Transportation

Table A-117 and

Table A-118 present greenhouse gas estimates from transportation, broken down into the passenger and freight categories. Passenger modes include light-duty vehicles, buses, passenger rail, aircraft (general aviation and commercial aircraft), recreational boats, and mobile airconditioners, and are illustrated in Table A-117. Freight modes include medium- and heavy-duty trucks, freight rail, refrigerated transport, waterborne freight vessels, pipelines, and commercial aircraft and are illustrated in

Table A-118. Commercial aircraft do carry some freight, in addition to passengers, and emissions have been split between passenger and freight transportation. The amount of commercial aircraft emissions to allocate to the passenger and freight categories was calculated using BTS data on freight shipped by commercial aircraft, and the total number of passengers enplaned. Each passenger was considered to weigh an average of 150 pounds, with a luggage weight of 50 pounds. The total freight weight and total passenger weight carried were used to determine percent shares which were used to split the total commercial aircraft emission estimates. The remaining transportation and mobile emissions were from sources not considered to be either freight or passenger modes (e.g., construction/mining and agricultural equipment, lubricants).

The estimates in these tables are derived from the estimates presented in Table A-115. In addition, estimates of fuel consumption from DOE (1993 through 2015) were used to allocate rail emissions between passenger and freight categories.

In 2014, passenger transportation modes emitted 1,261.5 MMT CO_2 Eq., while freight transportation modes emitted 518.3 MMT CO_2 Eq. Between 1990 and 2014, the percentage growth of greenhouse gas emissions from freight sources was 47 percent, while emissions from passenger sources grew by 9 percent. This difference in growth is due largely to the rapid increase in emissions associated with medium- and heavy-duty trucks.

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[56] The decline in CFC emissions is not captured in the official transportation estimates.

Table A-115: Total U.S. Greenhouse Gas Emissions from Transportation and Mobile Sources (MMT CO_2 Eq.)

Mode / Vehicle Type / Fuel Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	Percent Change 1990-2014
Transportation Total[a]	**1,554.4**	**1,698.4**	**1,926.7**	**1,911.3**	**1,951.4**	**1,941.2**	**1,986.0**	**2,004.4**	**1,998.1**	**1,999.3**	**1,902.0**	**1,823.6**	**1,832.0**	**1,803.9**	**1,784.3**	**1,794.0**	**1,814.5**	**17%**
On-Road Vehicles	**1,233.5**	**1,370.5**	**1,572.8**	**1,583.1**	**1,621.4**	**1,630.6**	**1,663.8**	**1,672.4**	**1,668.5**	**1,664.6**	**1,586.5**	**1,540.9**	**1,541.7**	**1,515.0**	**1,504.6**	**1,504.3**	**1,531.1**	**24%**
Passenger Cars	**656.6**	**646.7**	**697.3**	**704.1**	**716.9**	**694.2**	**690.2**	**708.9**	**683.0**	**843.5**	**802.3**	**792.8**	**783.6**	**774.3**	**767.9**	**763.2**	**762.5**	**16%**
Gasoline[b]	648.7	627.6	665.5	669.7	681.7	658.4	654.1	673.0	647.2	807.9	767.4	759.3	752.3	746.3	743.2	741.8	742.4	14%
Diesel[b, c]	7.9	7.8	3.7	3.7	3.7	4.2	4.3	4.2	4.1	4.1	3.7	3.6	3.7	4.1	4.1	4.1	4.1	-48%
AFVs[d]	+	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	5,608%
HFCs from Mobile AC	+	11.2	28.1	30.7	31.5	31.6	31.8	31.7	31.7	31.5	31.2	29.9	27.5	23.9	20.6	17.3	16.0	NA
Light-Duty Trucks	**335.6**	**436.8**	**515.0**	**520.8**	**532.7**	**567.4**	**589.0**	**551.5**	**564.0**	**367.2**	**348.6**	**351.5**	**348.9**	**332.0**	**326.0**	**323.4**	**338.1**	**1%**
Gasoline[b]	323.5	413.6	469.0	471.0	480.4	508.3	526.7	491.1	502.2	318.1	299.7	303.1	300.9	285.8	282.1	281.9	297.4	-8%
Diesel[b, c]	11.5	14.9	20.1	20.8	21.9	27.2	28.9	25.8	26.7	13.5	12.1	12.0	12.5	13.0	12.9	12.9	13.9	21%
AFVs[d]	0.6	0.5	0.5	0.6	0.6	0.8	0.9	1.3	1.2	1.0	1.8	1.2	1.3	1.5	1.6	1.9	1.9	234%
HFCs from Mobile AC	+	7.8	25.4	28.4	29.8	31.1	32.4	33.3	33.9	34.5	35.1	35.2	34.2	31.7	29.3	26.7	25.0	NA
Medium- and Heavy-Duty Trucks	**231.1**	**275.9**	**347.5**	**346.1**	**360.0**	**356.6**	**367.7**	**398.2**	**407.4**	**432.0**	**414.2**	**376.3**	**389.7**	**388.4**	**388.7**	**395.7**	**407.4**	**76%**
Gasoline[b]	39.5	36.8	37.0	36.1	36.6	31.6	31.8	35.7	36.1	47.1	47.3	43.4	43.2	39.6	39.3	40.1	40.5	2%
Diesel[b, c]	190.7	238.4	309.5	309.0	322.2	323.5	334.3	360.5	369.1	382.6	364.0	329.9	343.1	344.7	344.8	350.4	361.7	90%
AFVs[d]	0.9	0.6	0.3	0.3	0.3	0.4	0.3	0.4	0.5	0.4	0.7	0.5	0.6	0.6	0.7	0.8	0.8	-8%
HFCs from Refrigerated Transport[e]	+	0.1	0.6	0.8	0.9	1.2	1.4	1.5	1.7	1.9	2.2	2.4	2.9	3.4	3.9	4.4	4.4	NA
Buses	**8.4**	**9.2**	**11.2**	**10.3**	**10.0**	**10.8**	**15.1**	**12.1**	**12.2**	**17.6**	**16.9**	**16.0**	**15.8**	**16.8**	**17.8**	**18.0**	**19.1**	**129%**
Gasoline[b]	0.4	0.4	0.4	0.4	0.3	0.3	0.5	0.4	0.4	0.7	0.7	0.8	0.7	0.7	0.8	0.9	0.9	154%
Diesel[b, c]	8.0	8.7	10.2	9.3	8.8	9.5	13.5	10.6	10.6	15.5	14.6	13.6	13.5	14.4	15.4	15.5	16.6	107%
AFVs[d]	+	0.1	0.5	0.5	0.6	0.8	0.9	0.9	0.9	1.0	1.2	1.2	1.1	1.2	1.1	1.2	1.2	88,269%
HFCs from Comfort Cooling	+	0.0	0.1	0.2	0.2	0.2	0.2	0.3	0.3	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	NA
Motorcycles	**1.8**	**1.8**	**1.9**	**1.7**	**1.7**	**1.7**	**1.8**	**1.7**	**1.9**	**4.3**	**4.4**	**4.2**	**3.7**	**3.6**	**4.2**	**4.0**	**3.9**	**118%**
Gasoline[b]	1.8	1.8	1.9	1.7	1.7	1.7	1.8	1.7	1.9	4.3	4.4	4.2	3.7	3.6	4.2	4.0	3.9	118%
Aircraft	**189.2**	**176.7**	**199.4**	**193.9**	**189.4**	**183.1**	**190.6**	**193.6**	**186.3**	**183.4**	**176.7**	**157.4**	**154.8**	**149.9**	**146.5**	**150.1**	**151.5**	**-20%**
General Aviation Aircraft	**42.9**	**35.8**	**35.9**	**43.7**	**45.1**	**36.9**	**41.9**	**40.1**	**30.1**	**24.4**	**30.5**	**21.2**	**26.7**	**22.5**	**19.9**	**23.6**	**19.7**	**-54%**

Jet Fuel[f]	39.8	33.0	33.4	41.2	42.7	34.7	39.7	37.6	27.7	22.2	28.5	19.4	24.8	20.6	18.2	22.0	18.2	-54%
Aviation Gasoline	3.2	2.8	2.6	2.5	2.4	2.1	2.2	2.5	2.4	2.2	2.0	1.9	1.9	1.9	1.8	1.6	1.5	-52%
Commercial Aircraft	**110.9**	**116.3**	**140.6**	**125.8**	**122.4**	**124.0**	**126.0**	**134.0**	**138.3**	**141.0**	**128.4**	**120.6**	**114.4**	**115.7**	**114.3**	**115.4**	**116.3**	**5%**
Jet Fuel[f]	110.9	116.3	140.6	125.8	122.4	124.0	126.0	134.0	138.3	141.0	128.4	120.6	114.4	115.7	114.3	115.4	116.3	5%
Military Aircraft	**35.3**	**24.5**	**22.9**	**24.5**	**21.9**	**22.2**	**22.7**	**19.5**	**18.0**	**18.0**	**17.7**	**15.5**	**13.7**	**11.7**	**12.2**	**11.1**	**15.5**	**-56%**
Jet Fuel[f]	35.3	24.5	22.9	24.5	21.9	22.2	22.7	19.5	18.0	18.0	17.7	15.5	13.7	11.7	12.2	11.1	15.5	-56%
Ships and Boats[g]	**44.9**	**58.5**	**61.1**	**42.4**	**47.2**	**37.1**	**39.8**	**44.9**	**48.0**	**54.7**	**45.3**	**38.6**	**44.7**	**46.4**	**40.1**	**39.4**	**28.6**	**-36%**
Gasoline	12.4	14.1	10.2	14.4	14.4	14.2	14.1	14.0	13.8	13.6	13.2	13.0	12.7	12.6	12.5	12.4	12.3	0%
Distillate Fuel[c]	9.6	14.9	17.1	15.8	15.4	15.3	11.5	11.4	10.8	11.6	11.4	11.5	11.1	14.0	11.4	11.5	10.2	6%
Residual Fuel[h]	22.9	29.5	33.8	12.2	17.4	7.6	14.2	19.6	23.4	29.4	20.7	14.2	20.9	19.8	16.2	15.5	6.0	-75%
HFCs from Refrigerated Transport[e]	+	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	NA
Rail	**39.0**	**43.1**	**46.1**	**46.5**	**46.1**	**47.8**	**50.5**	**51.1**	**53.0**	**52.2**	**48.5**	**41.3**	**44.2**	**45.9**	**44.6**	**45.5**	**47.6**	**22%**
Distillate Fuel[c, i]	35.8	40.0	42.5	42.6	42.3	43.2	45.6	46.0	48.1	46.7	43.3	36.3	39.0	40.8	39.9	40.5	42.1	17%
Electricity	3.1	3.1	3.5	3.7	3.5	4.3	4.6	4.8	4.6	5.1	4.7	4.5	4.5	4.3	3.9	4.1	4.1	34%
Other Emissions from Rail Electricity Use[j]	0.1	0.1	+	+	+	+	0.1	0.1	+	0.1	+	+	+	+	+	+	+	-30%
HFCs from Comfort Cooling	+	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	NA
HFCs from Refrigerated Transport[e]	+	0.0	0.2	0.2	0.3	0.2	0.3	0.3	0.3	0.4	0.4	0.5	0.6	0.7	0.8	0.9	1.4	NA
Pipelines[k]	**36.0**	**38.2**	**35.2**	**34.4**	**36.4**	**32.5**	**31.1**	**32.2**	**32.3**	**34.2**	**35.6**	**36.7**	**37.1**	**37.8**	**40.3**	**45.9**	**46.5**	**29%**
Natural Gas	36.0	38.2	35.2	34.4	36.4	32.5	31.1	32.2	32.3	34.2	35.6	36.7	37.1	37.8	40.3	45.9	46.5	29%
Other Transportation	**11.8**	**11.3**	**12.1**	**11.1**	**10.9**	**10.1**	**10.2**	**10.2**	**9.9**	**10.2**	**9.5**	**8.5**	**9.5**	**9.0**	**8.3**	**8.8**	**9.1**	**-23%**
Lubricants	11.8	11.3	12.1	11.1	10.9	10.1	10.2	10.2	9.9	10.2	9.5	8.5	9.5	9.0	8.3	8.8	9.1	-23%
Non-Transportation Mobile Total	**128.8**	**146.8**	**159.6**	**173.6**	**178.3**	**183.0**	**190.0**	**191.9**	**196.9**	**195.5**	**194.7**	**198.0**	**204.2**	**206.8**	**209.6**	**214.9**	**215.3**	**67%**
Agricultural Equipment[l]	**31.4**	**37.0**	**39.8**	**42.0**	**43.1**	**44.2**	**47.2**	**47.9**	**50.2**	**49.5**	**46.5**	**47.7**	**48.7**	**50.5**	**52.0**	**51.0**	**51.7**	**65%**
Gasoline	7.3	8.3	5.8	7.1	7.4	7.6	9.7	9.6	11.0	9.4	5.6	5.9	6.0	7.0	7.6	5.7	5.6	-23%
Diesel	24.1	28.7	34.0	34.9	35.7	36.6	37.5	38.4	39.2	40.1	40.9	41.8	42.7	43.5	44.4	45.3	46.1	92%
Construction/ Mining Equipment[m]	**42.4**	**49.4**	**56.6**	**61.0**	**62.7**	**64.5**	**66.4**	**67.4**	**68.9**	**69.4**	**70.9**	**72.2**	**74.6**	**75.7**	**77.4**	**82.9**	**81.1**	**91%**
Gasoline	4.4	4.0	3.0	5.8	6.0	6.3	6.6	6.2	6.1	5.1	5.1	4.9	5.7	5.3	5.5	9.4	6.1	39%
Diesel	38.0	45.4	53.6	55.1	56.7	58.2	59.7	61.3	62.8	64.3	65.8	67.4	68.9	70.4	72.0	73.5	75.0	97%

Other Equipment[n]	**55.0**	**60.4**	**63.2**	**70.7**	**72.5**	**74.3**	**76.4**	**76.6**	**77.8**	**76.6**	**77.3**	**78.0**	**80.9**	**80.6**	**80.1**	**81.0**	**82.5**	**50%**
Gasoline	40.3	42.6	42.0	48.8	49.9	51.1	52.4	51.9	52.5	50.6	50.6	50.6	52.9	51.9	50.7	50.9	51.6	28%
Diesel	14.7	17.8	21.2	21.9	22.6	23.3	23.9	24.6	25.3	26.0	26.7	27.4	28.1	28.7	29.4	30.1	30.8	109%
Transportation and Non-Transportation Mobile Total	**1,683.1**	**1,845.2**	**2,086.4**	**2,085.0**	**2,129.7**	**2,124.2**	**2,176.0**	**2,196.4**	**2,195.0**	**2,194.8**	**2,096.7**	**2,021.5**	**2,036.2**	**2,010.8**	**1,993.9**	**2,008.9**	**2,029.7**	**21%**

+ Does not exceed 0.05 MMT CO_2 Eq.

NA - Not Applicable, as there were no HFC emissions allocated to the transport sector in 1990, and thus a growth rate cannot be calculated.

[a] Not including emissions from international bunker fuels.

[b] Gasoline and diesel highway vehicle fuel consumption estimates are based on data from FHWA Highway Statistics Table VM-1 and MF-27 (FHWA 1996 through 2015). These fuel consumption estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2015). TEDB data for 2014 has not been published yet, therefore 2013 data is as a proxy.

[c] Updates to the distillate fuel oil heat content data from EIA for years 1993 through present resulted in changes to the time series for energy consumption and emissions compared to the previous Inventory.

[d] In 2015, EIA changed its methods for estimating AFV fuel consumption. These methodological changes included how vehicle counts are estimated, moving from estimates based on modeling to one that is based on survey data. EIA now publishes data about fuel use and number of vehicles for only four types of AFV fleets: federal government, state government, transit agencies, and fuel providers. These changes were first incorporated in this year's inventory and apply to the 1990-2014 time period. This resulted in large reductions in AFV VMT, thus leading to a shift in VMT to conventional on-road vehicle classes.

[e] Updated Commodity Flow Survey data was used to allocate refrigerated transport emissions to the trucking, rail and marine sectors in 2014.

[f] Updates to the jet fuel heat content used in the mobile N_2O emissions estimates for years 1990 through present resulted in small changes to the time series emissions compared to the previous Inventory.

[g] Fluctuations in emission estimates reflect data collection problems. Note that CH_4 and N_2O from U.S. Territories are included in this value, but not CO_2 emissions from U.S. Territories, which are estimated separately in the section on U.S. Territories.

[h] Domestic residual fuel for ships and boats is estimated by taking the total amount of residual fuel and subtracting out an estimate of international bunker fuel use.

[i] Class II and Class II diesel consumption data for 2014 is not available yet, therefore 2013 data is used as a proxy.

[j] Other emissions from electricity generation are a result of waste incineration (as the majority of municipal solid waste is combusted in "trash-to-steam" electricity generation plants), electrical transmission and distribution, and a portion of Other Process Uses of Carbonates (from pollution control equipment installed in electricity generation plants).

[k] Includes only CO_2 from natural gas used to power natural gas pipelines; does not include emissions from electricity use or non-CO_2 gases.

[l] Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

[m] Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

[n] "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

Notes: Increases to CH_4 and N_2O emissions from mobile combustion relative to previous Inventories are largely due to updates made to the Motor Vehicle Emissions Simulator (MOVES2014a) model that is used to estimate on-road gasoline vehicle distribution and mileage across the time series. See Section 3.1 "CH_4 and N_2O from Mobile Combustion" for more detail. In 2015, EPA incorporated the NONROAD2008 model into MOVES2014a. This year's inventory uses the NONROAD component of MOVES2014a for years 1999 through 2014. This update resulted in small changes (<2%) to the 1999-2013 time series for NONROAD fuel consumption due to differences in the gasoline and diesel default fuel densities used within the model iterations.

Table A-116: Transportation and Mobile Source Emissions by Gas (MMT CO_2 Eq.)

	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	Percent Change 1990-2014
CO_2	1,636.2	1,769.6	1,979.1	1,976.1	2,021.7	2,018.0	2,070.1	2,092.1	2,092.1	2,095.1	1,998.9	1,926.1	1,944.5	1,925.8	1,916.5	1,938.7	1,964.1	20%
N_2O	41.2	51.2	49.0	45.0	42.1	38.9	36.8	34.4	32.2	28.4	26.0	24.5	23.6	22.4	20.0	18.2	16.3	-60%
CH_4	5.6	5.2	3.7	3.5	3.2	3.0	2.9	2.7	2.7	2.5	2.4	2.3	2.3	2.2	2.2	2.1	2.0	-64%
HFC	-	19.1	54.5	60.3	62.7	64.3	66.1	67.1	67.9	68.7	69.3	68.5	65.6	60.2	55.1	49.8	47.2	NA
Total[a]	**1,683.1**	**1,845.1**	**2,086.3**	**2,084.9**	**2,129.7**	**2,124.2**	**2,175.9**	**2,196.3**	**2,194.9**	**2,194.7**	**2,096.7**	**2,021.5**	**2,036.1**	**2,010.7**	**1,993.8**	**2,008.8**	**2,029.6**	**21%**

- Unreported or zero

NA - Not applicable, as there were no HFC emissions allocated to the transport sector in 1990, and thus a growth rate cannot be calculated.

[a] Total excludes other emissions from electricity generation and CH_4 and N_2O emissions from electric rail.

Note: The current Inventory includes updated vehicle population data based on the MOVES 2014a Model.

Gasoline and diesel highway vehicle mileage are based on data from FHWA Highway Statistics Table VM-1 (FHWA 1996 through 2015). These mileage consumption estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2015). TEDB data for 2014 has not been published yet, therefore 2013 data is used as a proxy.

Figure A-4: Domestic Greenhouse Gas Emissions by Mode and Vehicle Type, 1990 to 2014 (MMT CO_2 Eq.)

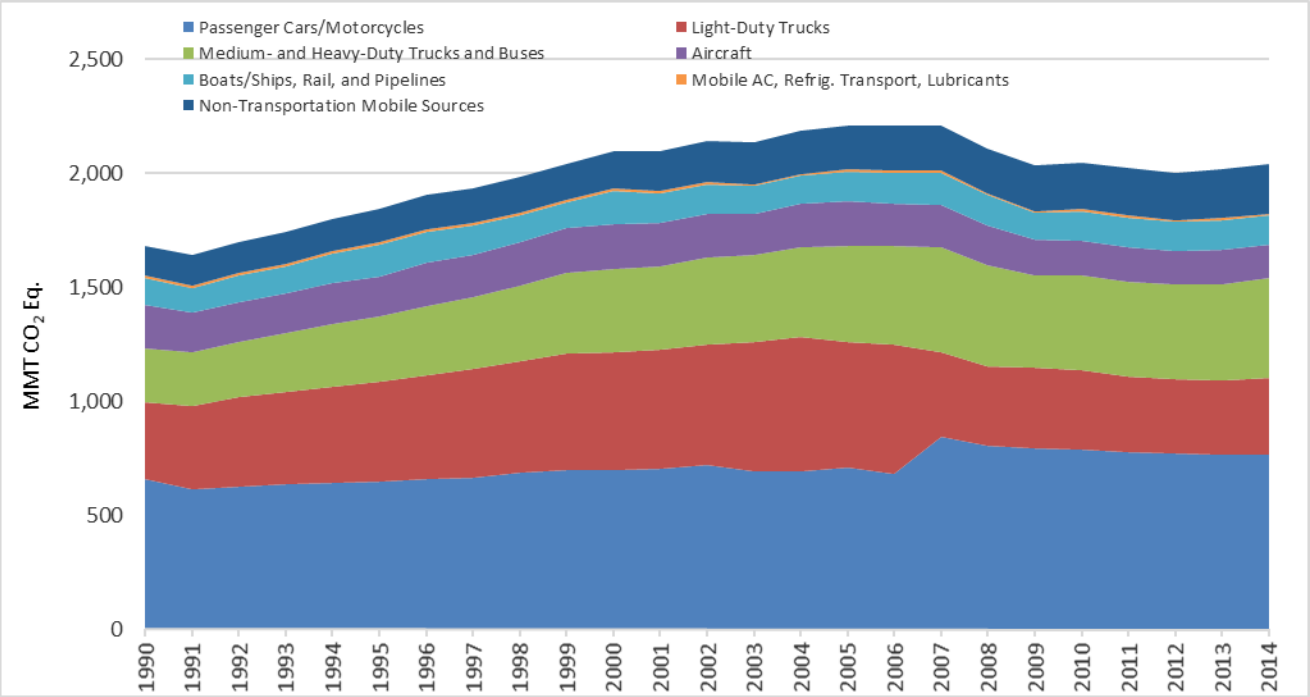

Table A-117: Greenhouse Gas Emissions from Passenger Transportation (MMT CO_2 Eq.)

Vehicle Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	Percent Change 1990-2014
On-Road Vehicles[a]	**1,002.4**	**1,094.6**	**1,225.3**	**1,236.9**	**1,261.4**	**1,274.0**	**1,296.0**	**1,274.2**	**1,261.1**	**1,232.6**	**1,172.3**	**1,164.6**	**1,151.9**	**1,126.6**	**1,115.9**	**1,108.6**	**1,123.7**	**12%**
Passenger Cars	656.6	646.7	697.3	704.1	716.9	694.2	690.2	708.9	683.0	843.5	802.3	792.8	783.6	774.3	767.9	763.2	762.5	16%
Light-Duty Trucks	335.6	436.8	515.0	520.8	532.7	567.4	589.0	551.5	564.0	367.2	348.6	351.5	348.9	332.0	326.0	323.4	338.1	1%
Buses	8.4	9.2	11.2	10.3	10.0	10.8	15.1	12.1	12.2	17.6	16.9	16.0	15.8	16.8	17.8	18.0	19.1	129%
Motorcycles	1.8	1.8	1.9	1.7	1.7	1.7	1.8	1.7	1.9	4.3	4.4	4.2	3.7	3.6	4.2	4.0	3.9	118%
Aircraft	**134.6**	**132.0**	**152.2**	**147.7**	**146.3**	**139.4**	**146.8**	**152.7**	**146.6**	**144.9**	**140.9**	**125.2**	**124.8**	**122.1**	**118.5**	**123.1**	**119.7**	**-11%**
General Aviation	42.9	35.8	35.9	43.7	45.1	36.9	41.9	40.1	30.1	24.4	30.5	21.2	26.7	22.5	19.9	23.6	19.7	-54%
Commercial Aircraft	91.7	96.2	116.3	104.0	101.2	102.6	104.9	112.6	116.5	120.4	110.4	103.9	98.0	99.6	98.6	99.5	100.0	9%

Recreational Boats	**14.3**	**16.4**	**13.0**	**17.2**	**17.3**	**17.2**	**17.2**	**17.2**	**17.1**	**17.0**	**16.6**	**16.5**	**16.3**	**16.2**	**16.2**	**12.4**	**12.3**	**-14%**
Passenger Rail	**4.4**	**4.5**	**5.2**	**5.4**	**5.1**	**5.8**	**6.0**	**6.2**	**6.0**	**6.6**	**6.2**	**6.1**	**6.2**	**5.9**	**5.5**	**5.8**	**5.8**	**33%**
Total	**1,155.7**	**1,247.5**	**1,395.7**	**1,407.2**	**1,430.1**	**1,436.5**	**1,466.1**	**1,450.3**	**1,430.8**	**1,401.0**	**1,336.0**	**1,312.4**	**1,299.1**	**1,270.9**	**1,256.1**	**1,249.8**	**1,261.5**	**9%**

[a]The current Inventory includes updated vehicle population data based on the MOVES 2014a Model.

Notes: Data from DOE (1993 through 2015) were used to disaggregate emissions from rail and buses. Emissions from HFCs have been included in these estimates. Updates to the distillate fuel oil heat content data from EIA for years 1993 through present resulted in changes to the time series for energy consumption and emissions compared to the previous Inventory. In 2015, EPA incorporated the NONROAD2008 model into MOVES2014a. This year's inventory uses the NONROAD component of MOVES2014a for years 1999 through 2014. This update resulted in small changes (<2%) to the 1999-2013 time series for NONROAD fuel consumption due to differences in the gasoline and diesel default fuel densities used within the model iterations. In 2015, EIA changed its methods for estimating AFV fuel consumption. These methodological changes included how vehicle counts are estimated, moving from estimates based on modeling to one that is based on survey data. EIA now publishes data about fuel use and number of vehicles for only four types of AFV fleets: federal government, state government, transit agencies, and fuel providers. These changes were first incorporated in this year's inventory and apply to the 1990-2014 time period. This resulted in large reductions in AFV VMT, thus leading to a shift in VMT to conventional on-road vehicle classes

Table A-118: Greenhouse Gas Emissions from Domestic Freight Transportation (MMT CO2 Eq.)

By Mode	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	Percent Change 1990-2014
Trucking[a]	231.1	275.9	347.5	346.1	360.0	356.6	367.7	398.2	407.4	432.0	414.2	376.3	389.7	388.4	388.7	395.7	407.4	76%
Freight Rail	34.5	38.6	40.9	41.1	40.9	41.9	44.4	44.8	47.0	45.6	42.2	35.1	38.0	39.9	39.0	39.7	41.8	21%
Ships and Other Boats	30.6	42.1	48.1	25.1	29.9	19.8	22.6	27.8	31.0	37.7	28.7	22.2	28.5	30.2	23.9	15.7	6.3	-80%
Pipelines[b]	36.0	38.2	35.2	34.4	36.4	32.5	31.1	32.2	32.3	34.2	35.6	36.7	37.1	37.8	40.3	45.9	46.5	29%
Commercial Aircraft	19.2	20.1	24.3	21.8	21.2	21.5	21.1	21.4	21.8	20.5	18.0	16.7	16.3	16.0	15.8	15.9	16.2	-15%
Total	**351.4**	**415.0**	**496.1**	**468.5**	**488.4**	**472.3**	**487.0**	**524.3**	**539.4**	**570.0**	**538.7**	**487.0**	**509.6**	**512.3**	**507.7**	**513.0**	**518.3**	**47%**

[a] The current Inventory includes updated vehicle population data based on the MOVES 2014a Model.

[b] Pipelines reflect CO_2 emissions from natural gas powered pipelines transporting natural gas

Note: Data from DOE (1993 through 2015) were used to disaggregate emissions from rail and buses. Emissions from HFCs have been included in these estimates.

Note: In 2015, EPA incorporated the NONROAD2008 model into MOVES2014a. This year's inventory uses the NONROAD component of MOVES2014a for years 1999 through 2014. This update resulted in small changes (<2 percent) to the 1999 to 2013 time series for NONROAD fuel consumption due to differences in the gasoline and diesel default fuel densities used within the model iterations.

Note: In 2015, EIA changed its methods for estimating AFV fuel consumption. These methodological changes included how vehicle counts are estimated, moving from estimates based on modeling to one that is based on survey data. EIA now publishes data about fuel use and number of vehicles for only four types of AFV fleets: federal government, state government, transit agencies, and fuel providers. These changes were first incorporated in this year's inventory and apply to the 1990 to 2014 time period. This resulted in large reductions in AFV VMT, thus leading to a shift in VMT to conventional on-road vehicle classes.

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3.3. Methodology for Estimating Emissions from Commercial Aircraft Jet Fuel Consumption

IPCC Tier 3B Method: Commercial aircraft jet fuel burn and carbon dioxide (CO_2) emissions estimates were developed by the U.S. Federal Aviation Administration (FAA) using radar-informed data from the FAA Enhanced Traffic Management System (ETMS) for 2000 through 2014 as modeled with the Aviation Environmental Design Tool (AEDT). This bottom-up approach is built from modeling dynamic aircraft performance for each flight occurring within an individual calendar year. The analysis incorporates data on the aircraft type, date, flight identifier, departure time, arrival time, departure airport, arrival airport, ground delay at each airport, and real-world flight trajectories. To generate results for a given flight within AEDT, the radar-informed aircraft data is correlated with engine and aircraft performance data to calculate fuel burn and exhaust emissions. Information on exhaust emissions for in-production aircraft engines comes from the International Civil Aviation Organization (ICAO) Aircraft Engine Emissions Databank (EDB). This bottom-up approach is in accordance with the Tier 3B method from the *2006 IPCC Guidelines for National Greenhouse Gas Inventories* (IPCC 2006).

International Bunkers: The IPCC guidelines define international aviation (International Bunkers) as emissions from flights that depart from one country and arrive in a different country. Bunker fuel emissions estimates for commercial aircraft were developed for this report for 2000 through 2014 using the same radar-informed data modeled with AEDT. Since this process builds estimates from flight-specific information, the emissions estimates for commercial aircraft can include emissions associated with the U.S. Territories (i.e., American Samoa, Guam, Puerto Rico, U.S. Virgin Islands, Wake Island, and other U.S. Pacific Islands). However, to allow for the alignment of emissions estimates for commercial aircraft with other data that is provided without the U.S. Territories, this annex includes emissions estimates for commercial aircraft both with and without the U.S. Territories included.

Time Series and Analysis Update: The FAA incrementally improves the consistency, robustness, and fidelity of the CO_2 emissions modeling for commercial aircraft, which is the basis of the Tier 3B inventories presented in this report. While the FAA does not anticipate significant changes to the AEDT model in the future, recommended improvements are limited by budget and time constraints, as well as data availability. For instance, previous reports included reported annual CO_2 emission estimates for 2000 through 2005 that were modeled using the FAA's System for assessing Aviation's Global Emissions (SAGE). That tool and its capabilities were significantly improved after it was incorporated and evolved into AEDT. For this report, the AEDT model was used to generate annual CO_2 emission estimates for 2000, 2005, 2010, 2011, 2012, 2013 and 2014 only. The reported annual CO_2 emissions values for 2001 through 2004 were estimated from the previously reported SAGE data. Likewise, CO_2 emissions values for 2006 through 2009 were estimated by interpolation to preserve trends from past reports.

Commercial aircraft radar data sets are not available for years prior to 2000. Instead, the FAA applied a Tier3B methodology by developing Official Airline Guide (OAG) schedule-informed estimates modeled with AEDT and great circle trajectories for 1990, 2000 and 2010. The ratios between the OAG schedule-informed and the radar-informed inventories for the years 2000 and 2010 were applied to the 1990 OAG scheduled-informed inventory to generate the best possible CO_2 inventory estimate for commercial aircraft in 1990. The resultant 1990 CO_2 inventory served as the reference for generating the additional 1991-1999 emissions estimates, which were established using previously available trends.

Notes on the 1990 CO_2 Emissions Inventory for Commercial Aircraft: There are uncertainties associated with the modeled 1990 data that do not exist for the modeled 2000 to 2014 data. Radar-based data is not available for 1990. The OAG schedule information generally includes fewer carriers than radar information, and this will result in a different fleet mix, and in turn, different CO_2 emissions than would be quantified using a radar-based data set. For this reason, the FAA adjusted the OAG-informed schedule for 1990 with a ratio based on radar-informed information. In addition, radar trajectories are also generally longer than great circle trajectories. While the 1990 fuel burn data was adjusted to address these differences, it inherently adds greater uncertainty to the revised 1990 commercial aircraft CO_2 emissions as compared to data from 2000 forward. Also, the revised 1990 CO_2 emissions inventory now reflects only commercial aircraft jet fuel consumption, while previous reports may have aggregated jet fuel sales data from non-commercial aircraft into this category. Thus, it would be inappropriate to compare 1990 to future years for other than qualitative purposes.

The 1990 commercial aircraft CO_2 emissions estimate is approximately 5 percent lower than the 2014 CO_2 emissions estimate. It is important to note that the distance flown increased by more than 40 percent over this 25-year period

and that fuel burn and aviation activity trends over the past two decades indicate significant improvements in commercial aviation's ability to provide increased service levels while using less fuel.[57]

Methane Emissions: Contributions of methane (CH_4) emissions from commercial aircraft are reported as zero. Years of scientific measurement campaigns conducted at the exhaust exit plane of commercial aircraft gas turbine engines have repeatedly indicated that CH_4 emissions are consumed over the full mission flight envelope (Santoni et al. 2011). As a result, the U.S. EPA published that "*...methane is no longer considered to be an emission from aircraft gas turbine engines burning Jet A at higher power settings and is, in fact, consumed in net at these higher powers.*"[58] In accordance with the following statements in the *2006 IPCC Guidelines* (IPCC 2006), the FAA does not calculate CH_4 emissions for either the domestic or international bunker commercial aircraft jet fuel emissions inventories. *"Methane (CH_4) may be emitted by gas turbines during idle and by older technology engines, but recent data suggest that little or no CH_4 is emitted by modern engines." "Current scientific understanding does not allow other gases (e.g., N_2O and CH_4) to be included in calculation of cruise emissions."* (IPCC 1999).

Results: For each inventory calendar year the graph and table below include four jet fuel burn values. These values are comprised of domestic and international fuel burn totals for the U.S. 50 States and the U.S. 50 States + Territories. Data are presented for domestic defined as jet fuel burn from any commercial aircraft flight departing and landing in the U.S. 50 States and for the U.S. 50 States + Territories. The data presented as international is respective of the two different domestic definitions, and represents flights departing from the specified domestic area and landing anywhere in the world outside of that area.

Note that the graph and table present less fuel burn for the international U.S. 50 States + Territories than for the international U.S. 50 States. This is because the flights between the 50 states and U.S. Territories are "international" when only the 50 states are defined as domestic, but they are "domestic" for the U.S. 50 States + Territories definition.

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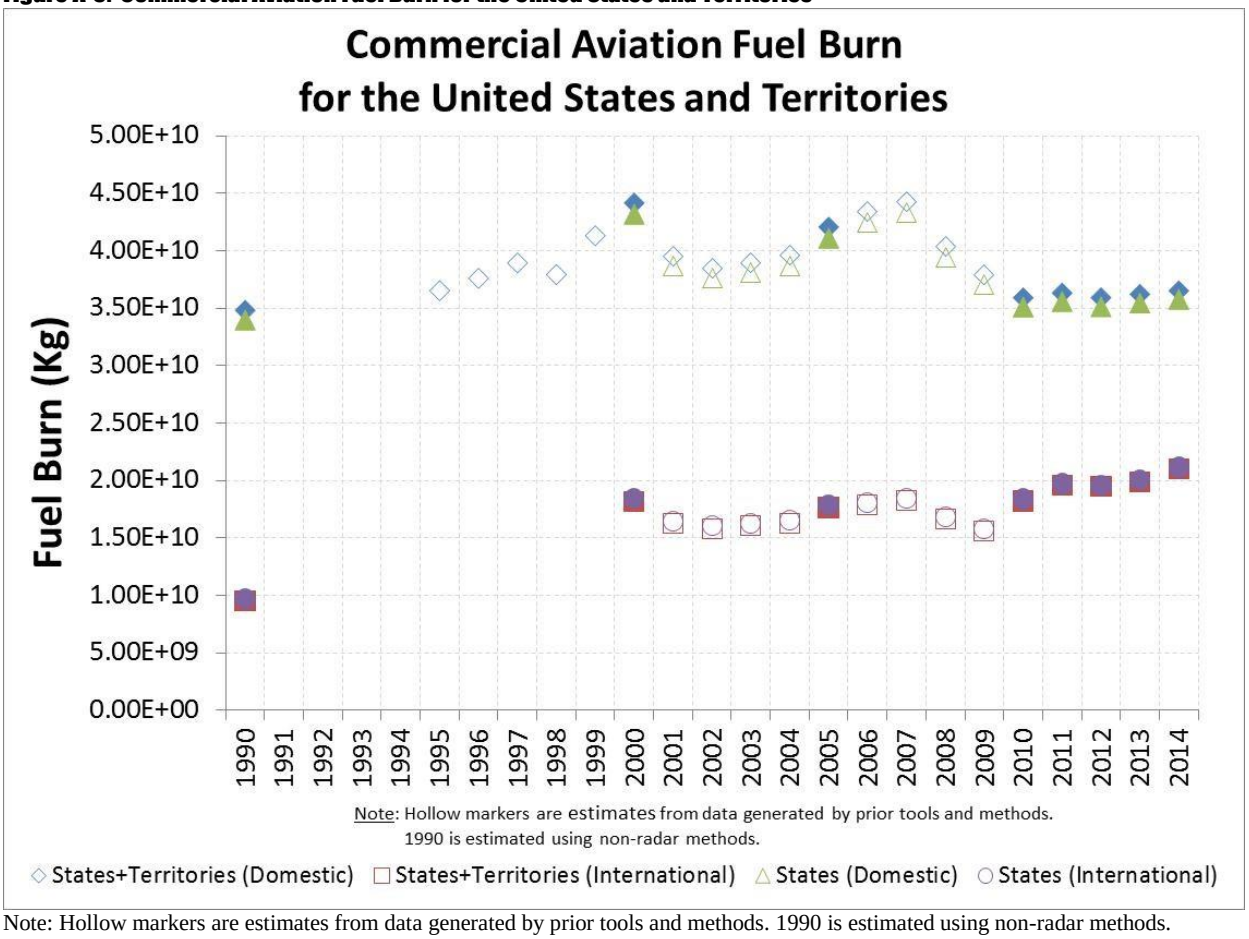
[57] Additional information on the AEDT modeling process is available at:
http://www.faa.gov/about/office_org/headquarters_offices/apl/research/models/

[58] Recommended Best Practice for Quantifying Speciated Organic Gas Emissions from Aircraft Equipped with Turbofan, Turbojet and Turboprop Engines, EPA-420-R-09-901, May 27, 2009. See <http://www.epa.gov/otaq/aviation.html>.

Figure A-5: Commercial Aviation Fuel Burn for the United States and Territories



Note: Hollow markers are estimates from data generated by prior tools and methods. 1990 is estimated using non-radar methods.

Table A-119: Commercial Aviation Fuel Burn for the United States and Territories

Year	Region	Distance Flown (nmi)	Fuel Burn (M Gallon)	Fuel Burn (Tbtu)	Fuel Burn (Kg)	CO_2 (MMT)
1990	Domestic U.S. 50 States and U.S. Territories	4,057,195,988	11,568	1,562	34,820,800,463	109.9
	International U.S. 50 States and U.S. Territories	599,486,893	3,155	426	9,497,397,919	30.0
	Domestic U.S. 50 States	3,984,482,217	11,287	1,524	33,972,832,399	107.2
	International U.S. 50 States	617,671,849	3,228	436	9,714,974,766	30.7
1995*	Domestic U.S. 50 States and U.S. Territories	N/A	12,136	1,638	36,528,990,675	115.2
1996*	Domestic U.S. 50 States and U.S. Territories	N/A	12,492	1,686	37,600,624,534	118.6
1997*	Domestic U.S. 50 States and U.S. Territories	N/A	12,937	1,747	38,940,896,854	122.9
1998*	Domestic U.S. 50 States and U.S. Territories	N/A	12,601	1,701	37,930,582,643	119.7
1999*	Domestic U.S. 50 States and U.S. Territories	N/A	13,726	1,853	41,314,843,250	130.3
2000	Domestic U.S. 50 States and U.S. Territories	5,994,679,944	14,672	1,981	44,161,841,348	139.3
	International U.S. 50 States and U.S. Territories	1,309,565,963	6,040	815	18,181,535,058	57.4
	Domestic U.S. 50 States	5,891,481,028	14,349	1,937	43,191,000,202	136.3
	International U.S. 50 States	1,331,784,289	6,117	826	18,412,169,613	58.1
2001*	Domestic U.S. 50 States and U.S. Territories	5,360,977,447	13,121	1,771	39,493,457,147	124.6
	International U.S. 50 States and U.S. Territories	1,171,130,679	5,402	729	16,259,550,186	51.3
	Domestic U.S. 50 States	5,268,687,772	12,832	1,732	38,625,244,409	121.9
	International U.S. 50 States	1,191,000,288	5,470	739	16,465,804,174	51.9
2002*	Domestic U.S. 50 States and U.S. Territories	5,219,345,344	12,774	1,725	38,450,076,259	121.3
	International U.S. 50 States and U.S. Territories	1,140,190,481	5,259	710	15,829,987,794	49.9

	Domestic U.S. 50 States	5,129,493,877	12,493	1,687	37,604,800,905	118.6
	International U.S. 50 States	1,159,535,153	5,326	719	16,030,792,741	50.6
2003*	Domestic U.S. 50 States and U.S. Territories	5,288,138,079	12,942	1,747	38,956,861,262	122.9
	International U.S. 50 States and U.S. Territories	1,155,218,577	5,328	719	16,038,632,384	50.6
	Domestic U.S. 50 States	5,197,102,340	12,658	1,709	38,100,444,893	120.2
	International U.S. 50 States	1,174,818,219	5,396	728	16,242,084,008	51.2
2004*	Domestic U.S. 50 States and U.S. Territories	5,371,498,689	13,146	1,775	39,570,965,441	124.8
	International U.S. 50 States and U.S. Territories	1,173,429,093	5,412	731	16,291,460,535	51.4
	Domestic U.S. 50 States	5,279,027,890	12,857	1,736	38,701,048,784	122.1
	International U.S. 50 States	1,193,337,698	5,481	740	16,498,119,309	52.1
2005	Domestic U.S. 50 States and U.S. Territories	6,476,007,697	13,976	1,887	42,067,562,737	132.7
	International U.S. 50 States and U.S. Territories	1,373,543,928	5,858	791	17,633,508,081	55.6
	Domestic U.S. 50 States	6,370,544,998	13,654	1,843	41,098,359,387	129.7
	International U.S. 50 States	1,397,051,323	5,936	801	17,868,972,965	56.4
2006*	Domestic U.S. 50 States and U.S. Territories	5,894,323,482	14,426	1,948	43,422,531,461	137.0
	International U.S. 50 States and U.S. Territories	1,287,642,623	5,939	802	17,877,159,421	56.4
	Domestic U.S. 50 States	5,792,852,211	14,109	1,905	42,467,943,091	134.0
	International U.S. 50 States	1,309,488,994	6,015	812	18,103,932,940	57.1
2007*	Domestic U.S. 50 States and U.S. Territories	6,009,247,818	14,707	1,986	44,269,160,525	139.7
	International U.S. 50 States and U.S. Territories	1,312,748,383	6,055	817	18,225,718,619	57.5
	Domestic U.S. 50 States	5,905,798,114	14,384	1,942	43,295,960,105	136.6
	International U.S. 50 States	1,335,020,703	6,132	828	18,456,913,646	58.2
2008*	Domestic U.S. 50 States and U.S. Territories	5,475,092,456	13,400	1,809	40,334,124,033	127.3
	International U.S. 50 States and U.S. Territories	1,196,059,638	5,517	745	16,605,654,741	52.4
	Domestic U.S. 50 States	5,380,838,282	13,105	1,769	39,447,430,318	124.5
	International U.S. 50 States	1,216,352,196	5,587	754	16,816,299,099	53.1
2009*	Domestic U.S. 50 States and U.S. Territories	5,143,268,671	12,588	1,699	37,889,631,668	119.5
	International U.S. 50 States and U.S. Territories	1,123,571,175	5,182	700	15,599,251,424	49.2
	Domestic U.S. 50 States	5,054,726,871	12,311	1,662	37,056,676,966	116.9
	International U.S. 50 States	1,142,633,881	5,248	709	15,797,129,457	49.8
2010	Domestic U.S. 50 States and U.S. Territories	5,652,264,576	11,931	1,611	35,912,723,830	113.3
	International U.S. 50 States and U.S. Territories	1,474,839,733	6,044	816	18,192,953,916	57.4
	Domestic U.S. 50 States	5,554,043,585	11,667	1,575	35,116,863,245	110.8
	International U.S. 50 States	1,497,606,695	6,113	825	18,398,996,825	58.0
2011	Domestic U.S. 50 States and U.S. Territories	5,767,378,664	12,067	1,629	36,321,170,730	114.6
	International U.S. 50 States and U.S. Territories	1,576,982,962	6,496	877	19,551,631,939	61.7
	Domestic U.S. 50 States	5,673,689,481	11,823	1,596	35,588,754,827	112.3
	International U.S. 50 States	1,596,797,398	6,554	885	19,727,043,614	62.2
2012	Domestic U.S. 50 States and U.S. Territories	5,735,605,432	11,932	1,611	35,915,745,616	113.3
	International U.S. 50 States and U.S. Territories	1,619,012,587	6,464	873	19,457,378,739	61.4
	Domestic U.S. 50 States	5,636,910,529	11,672	1,576	35,132,961,140	110.8
	International U.S. 50 States	1,637,917,110	6,507	879	19,587,140,347	61.8
2013	Domestic U.S. 50 States and U.S. Territories	5,808,034,123	12,031	1,624	36,212,974,471	114.3
	International U.S. 50 States and U.S. Territories	1,641,151,400	6,611	892	19,898,871,458	62.8
	Domestic U.S. 50 States	5,708,807,315	11,780	1,590	35,458,690,595	111.9
	International U.S. 50 States	1,661,167,498	6,657	899	20,036,865,038	63.2
2014	Domestic U.S. 50 States and U.S. Territories	5,825,999,388	12,131	1,638	36,514,970,659	115.2
	International U.S. 50 States and U.S. Territories	1,724,559,209	6,980	942	21,008,818,741	66.3
	Domestic U.S. 50 States	5,725,819,482	11,882	1,604	35,764,791,774	112.8
	International U.S. 50 States	1,745,315,059	7,027	949	21,152,418,387	66.7

*Estimates for these years were derived from previously reported tools and methods

3.4. Methodology for Estimating CH_4 Emissions from Coal Mining

The methodology for estimating CH_4 emissions from coal mining consists of two steps:

- **Estimate emissions from underground mines.** These emissions have two sources: ventilation systems and degasification systems. They are estimated on a mine-by-mine basis, then summed to determine total CH_4 liberated. The CH_4 recovered and used is then subtracted from this total, resulting in an estimate of net emissions to the atmosphere.
- **Estimate CH_4 emissions from surface mines and post-mining activities.** This step does not use mine-specific data; rather, it consists of multiplying coal-basin-specific coal production by coal-basin-specific gas content and an emission factor.

Step 1: Estimate CH_4 Liberated and CH_4 Emitted from Underground Mines

Underground mines generate CH_4 from ventilation systems and from degasification systems. Some mines recover and use the generated CH_4, thereby reducing emissions to the atmosphere. Total CH_4 emitted from underground mines equals the CH_4 liberated from ventilation systems, plus the CH_4 liberated from degasification systems, minus CH_4 recovered and used.

Step 1.1: Estimate CH_4 Liberated from Ventilation Systems

All coal mines with detectable CH_4 emissions use ventilation systems to ensure that CH_4 levels remain within safe concentrations. Many coal mines do not have detectable levels of CH_4; others emit several million cubic feet per day (MMCFD) from their ventilation systems. On a quarterly basis, the U.S. Mine Safety and Health Administration (MSHA) measures CH_4 emissions levels at underground mines. MSHA maintains a database of measurement data from all underground mines with detectable levels of CH_4 in their ventilation air (MSHA 2015).[59] Based on the four quarterly measurements, MSHA estimates average daily CH_4 liberated at each of these underground mines.

For 1990 through 1999, average daily CH_4 emissions from MSHA were multiplied by the number of days in the year (i.e., coal mine assumed in operation for all four quarters) to determine the annual emissions for each mine. For 2000 through 2014, the average daily CH_4 emissions were multiplied by the number of days corresponding to the number of quarters the mine vent was operating. For example, if the mine vent was operational in one out of the four quarters, the average daily CH_4 emissions were multiplied by 92 days. Total ventilation emissions for a particular year were estimated by summing emissions from individual mines.

Since 2011, the nation's "gassiest" underground coal mines—those that liberate more than 36,500,000 actual cubic feet of CH_4 per year (about 14,700 MT CO_2 eq.)—have been required to report to the EPA's GHGRP (EPA 2015).[60] Mines that report to the GHGRP must report quarterly measurements of CH_4 emissions from ventilation systems to EPA; they have the option of recording their own measurements, or using the measurements taken by MSHA as part of that agency's quarterly safety inspections of all mines in the U.S. with detectable CH_4 concentrations.[61]

Since 2013, ventilation emission estimates have been calculated based on both GHGRP data submitted by underground mines that recorded their own measurements, and on quarterly measurement data obtained directly from MSHA for the remaining mines (not MSHA data reported by the mines to the GHGRP).[62] The quarterly measurements are used to determine the average daily emissions rate for the reporting year quarter. The CH_4 liberated from ventilation systems was estimated by summing the emissions from the GHGRP self-reported mines and emissions based on MSHA quarterly measurements for the remaining mines.

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[59] MSHA records coal mine methane readings with concentrations of greater than 50 ppm (parts per million) methane. Readings below this threshold are considered non-detectable.

[60] Underground coal mines report to EPA under Subpart FF of the GHGRP. In 2014, 128 underground coal mines reported to the program.

[61] MSHA records coal mine CH_4 readings with concentrations of greater than 50 ppm (parts per million) CH_4. Readings below this threshold are considered non-detectable.

[62] EPA has determined that certain mines are having difficulty interpreting the MSHA data so that they report them correctly to the GHGRP. EPA is working with these mines to correct their GHGRP reports, and in the meantime is relying on data obtained directly from MSHA for purposes of the national inventory.

Table A-120: Mine-Specific Data Used to Estimate Ventilation Emissions

Year	Individual Mine Data Used
1990	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
1991	1990 Emissions Factors Used Instead of Mine-Specific Data
1992	1990 Emissions Factors Used Instead of Mine-Specific Data
1993	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
1994	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
1995	All Mines Emitting at Least 0.5 MMCFD (Assumed to Account for 94.1% of Total)*
1996	All Mines Emitting at Least 0.5 MMCFD (Assumed to Account for 94.1% of Total)*
1997	All Mines with Detectable Emissions (Assumed to Account for 100% of Total)
1998	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
1999	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
2000	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
2001	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
2002	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
2003	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
2004	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
2005	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
2006	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total)*
2007	All Mines with Detectable Emissions (Assumed to Account for 100% of Total)
2008	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 98.96% of Total)**
2009	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 98.96% of Total)**
2010	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 98.96% of Total)**
2011	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 98.96% of Total)**
2012	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 98.96% of Total)**
2013	All Mines with Detectable Emissions and GHGRP reported data (Assumed to account for 100% of Total)
2014	All Mines with Detectable Emissions and GHGRP reported data (Assumed to account for 100% of Total)

* Factor derived from a complete set of individual mine data collected for 1997.
** Factor derived from a complete set of individual mine data collected for 2007.

Step 1.2: Estimate CH_4 Liberated from Degasification Systems

Coal mines use several types of degasification systems to remove CH_4, including pre-mining vertical and horizontal wells (to recover CH_4 before mining) and post-mining vertical wells and horizontal boreholes (to recover CH_4 during mining of the coal seam). Post-mining gob wells and cross-measure boreholes recover CH_4 from the overburden (i.e., gob area) after mining of the seam (primarily in longwall mines).

Twenty-five mines employed degasification systems in 2014, and the CH_4 liberated through these systems was reported to the EPA's GHGRP (EPA 2015). Sixteen of these mines reported CH_4 recovery and use projects, and the other nine reported emitting CH_4 from degasification systems to the atmosphere. Several of the mines venting CH_4 from degasification systems use a small portion of the gas to fuel gob well blowers or compressors in remote locations where electricity is not available. However, this CH_4 use is not considered to be a formal recovery and use project.

Degasification information reported to the GHGRP by underground coal mines is the primary source of data used to develop estimates of CH_4 liberated from degasification systems. Data reported to the GHGRP were used to estimate CH_4 liberated from degasification systems at 20 of the 25 mines that used degasification systems in 2014.

Degasification volumes for the life of any pre-mining wells are attributed to the mine as emissions in the year in which the well is mined through.[63] The GHGRP does not require gas production from virgin coal seams (coalbed methane) to be reported by coal mines under subpart FF. Most pre-mining wells drilled from the surface are considered coalbed methane wells and are reported under another subpart of the program (subpart W, "Petroleum and Natural Gas Systems"). As a result, for the 10 mines with degasification systems that include pre-mining wells, GHGRP information was supplemented with historical data from state gas well production databases (GSA 2016; WVGES 2015), as well as with mine-specific information regarding the dates on which pre-mining wells are mined through (JWR 2010; El Paso 2009). For pre-mining wells, the cumulative CH_4 production from the well is totaled using gas sales data, and considered liberated from the mine's degasification system the year in which the well is mined through.

[63] A well is "mined through" when coal mining development or the working face intersects the borehole or well.

EPA's GHGRP reports with CH_4 liberated from degasification systems are reviewed for errors in reporting. For some mines, GHGRP data are corrected for the inventory based on expert judgment. Common errors include reporting CH_4 liberated as CH_4 destroyed and vice versa. Other errors include reporting CH_4 destroyed without reporting any CH_4 liberated by degasification systems. In the rare cases where GHGRP data are inaccurate and gas sales data unavailable, estimates of CH_4 liberated are based on historical CH_4 liberation rates.

Step 1.3: Estimate CH_4 Recovered from Ventilation and Degasification Systems, and Utilized or Destroyed (Emissions Avoided)

Of the 16 active coal mines with operational CH_4 recovery and use projects in 2014, 14 sold the recovered CH_4 to a pipeline, including one that also used CH_4 to fuel a thermal coal dryer. Uses at other mines include electrical power generation (one mine) and heating mine ventilation air (one mine).

Ten of the 16 mines deployed degasification systems in 2014; for those mines, estimates of CH_4 recovered from the systems were exclusively based on GHGRP data. Based on weekly measurements of gas flow and CH_4 concentrations, the GHGRP summary data for degasification destruction at each mine were added together to estimate the CH_4 recovered and used from degasification systems.

Of the 10 mines with degasification systems in 2014, four intersected pre-mining wells in 2014. GHGRP and supplemental data were used to estimate CH_4 recovered and used at two of these mines, while supplemental data alone were used at the other two mines, that reported as a single entity to the GHGRP. Supplemental information was used for these four mines because estimating CH_4 recovery and use from pre-mining wells requires additional data (not reported under subpart FF of the GHGRP; see discussion in step 1.2 above) to account for the emissions avoided. The supplemental data came from state gas production databases (GSA 2016; WVGES 2015), as well as mine-specific information on the timing of mined-through pre-mining wells (JWR 2010; El Paso 2009). For pre-mining wells, the cumulative CH_4 production from the wells was totaled using gas sales data, and considered to be CH_4 recovered and used from the mine's degasification system the year in which the well is mined through.

EPA's GHGRP reports with CH_4 recovered and used from degasification systems are reviewed for errors in reporting. For some mines, GHGRP data are corrected for the inventory based on expert judgment (see further discussion in Step 1.2). In 2014, GHGRP information was not used to estimate CH_4 recovered and used at two mines because of a lack of mine-provided information used in prior years and GHGRP reporting discrepancies.

In 2014, one mine destroyed a portion of its CH_4 emissions from ventilation systems using thermal oxidation technology. The amount of CH_4 recovered and destroyed by the project was determined through publicly available emission reduction project information (CAR 2015).

Step 2: Estimate CH_4 Emitted from Surface Mines and Post-Mining Activities

Mine-specific data were not available for estimating CH_4 emissions from surface coal mines or for post-mining activities. For surface mines, basin-specific coal production obtained from the Energy Information Administration's *Annual Coal Report* was multiplied by basin-specific gas contents and a 150 percent emission factor (to account for CH_4 from over- and under-burden) to estimate CH_4 emissions (see King 1994; Saghafi 2013). For post-mining activities, basin-specific coal production was multiplied by basin-specific gas contents and a mid-range 32.5 percent emission factor accounting for CH_4 desorption during coal transportation and storage (Creedy 1993). Basin-specific *in situ* gas content data were compiled from AAPG (1984) and USBM (1986). Beginning in 2006, revised data on *in situ* CH_4 content and emissions factors have been used (EPA 1996, 2005).

Step 2.1: Define the Geographic Resolution of the Analysis and Collect Coal Production Data

The first step in estimating CH_4 emissions from surface mining and post-mining activities was to define the geographic resolution of the analysis and to collect coal production data at that level of resolution. The analysis was conducted by coal basin as defined in Table A-121, which presents coal basin definitions by basin and by state.

The Energy Information Administration's *Annual Coal Report* (EIA 2015) includes state- and county-specific underground and surface coal production by year. To calculate production by basin, the state level data were grouped into coal basins using the basin definitions listed in Table A-121. For two states—West Virginia and Kentucky—county-level production data were used for the basin assignments because coal production occurred in geologically distinct coal basins within these states. Table A-122 presents the coal production data aggregated by basin.

Step 2.2: Estimate Emissions Factors for Each Emissions Type

Emission factors for surface-mined coal were developed from the *in situ* CH_4 content of the surface coal in each basin. Based on analyses conducted in Canada and Australia on coals similar to those present in the U.S. (King 1994; Saghafi 2013), the surface mining emission factor used was conservatively estimated to be 150 percent of the *in situ* CH_4 content of the basin. Furthermore, the post-mining emission factors used were estimated to be 25 to 40 percent of the average *in situ* CH_4 content in the basin. For this analysis, the post-mining emission factor was determined to be 32.5 percent of the *in situ* CH_4 content in the basin. Table A-123 presents the average *in situ* content for each basin, along with the resulting emission factor estimates.

Step 2.3: Estimate CH_4 Emitted

The total amount of CH_4 emitted from surface mines and post-mining activities was calculated by multiplying the coal production in each basin by the appropriate emission factors.

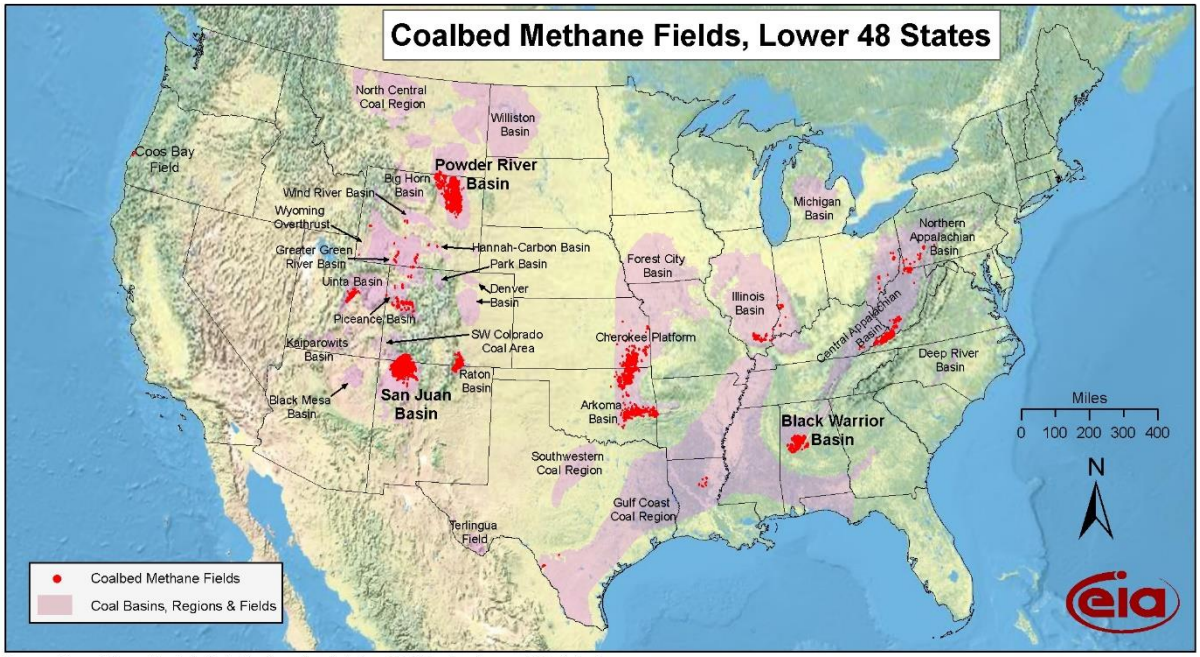
Table A-121 lists each of the major coal mine basins in the United States and the states in which they are located. As shown in Figure A-6, several coal basins span several states. Table A-122 shows annual underground, surface, and total coal production (in short tons) for each coal basin. Table A-123 shows the surface, post-surface, and post-underground emission factors used for estimating CH_4 emissions for each of the categories. Table A-124 presents annual estimates of CH_4 emissions for ventilation and degasification systems, and CH_4 used and emitted by underground coal mines. Table A-125 presents annual estimates of total CH_4 emissions from underground, post-underground, surface, and post-surface activities. Table A-126 provides the total net CH_4 emissions by state.

Table A-121: Coal Basin Definitions by Basin and by State

Basin	States
Northern Appalachian Basin	Maryland, Ohio, Pennsylvania, West Virginia North
Central Appalachian Basin	Kentucky East, Tennessee, Virginia, West Virginia South
Warrior Basin	Alabama, Mississippi
Illinois Basin	Illinois, Indiana, Kentucky West
South West and Rockies Basin	Arizona, California, Colorado, New Mexico, Utah
North Great Plains Basin	Montana, North Dakota, Wyoming
West Interior Basin	Arkansas, Iowa, Kansas, Louisiana, Missouri, Oklahoma, Texas
Northwest Basin	Alaska, Washington
State	**Basin**
Alabama	Warrior Basin
Alaska	Northwest Basin
Arizona	South West and Rockies Basin
Arkansas	West Interior Basin
California	South West and Rockies Basin
Colorado	South West and Rockies Basin
Illinois	Illinois Basin
Indiana	Illinois Basin
Iowa	West Interior Basin
Kansas	West Interior Basin
Kentucky (east)	Central Appalachian Basin
Kentucky (west)	Illinois Basin
Louisiana	West Interior Basin
Maryland	Northern Appalachian Basin
Mississippi	Warrior Basin
Missouri	West Interior Basin
Montana	North Great Plains Basin
New Mexico	South West and Rockies Basin
North Dakota	North Great Plains Basin
Ohio	Northern Appalachian Basin
Oklahoma	West Interior Basin
Pennsylvania.	Northern Appalachian Basin
Tennessee	Central Appalachian Basin
Texas	West Interior Basin
Utah	South West and Rockies Basin
Virginia	Central Appalachian Basin
Washington	Northwest Basin
West Virginia South	Central Appalachian Basin

West Virginia North	Northern Appalachian Basin
Wyoming	North Great Plains Basin

Figure A-6: Locations of U.S Coal Basins



Source: Energy Information Administration based on data from USGS and various published studies
Updated: April 8, 2009

Table A-122: Annual Coal Production (Thousand Short Tons)

Basin	1990	2005	2008	2009	2010	2011	2012	2013	2014
Underground Coal Production	**423,556**	**368,611**	**357,074**	**332,061**	**337,155**	**345,607**	**342,387**	**341,216**	**354,705**
N. Appalachia	103,865	111,151	105,228	99,629	103,109	105,752	103,408	104,198	116,700
Cent. Appalachia	198,412	123,083	114,998	98,689	96,354	94,034	78,067	70,440	64,219
Warrior	17,531	13,295	12,281	11,505	12,513	10,879	12,570	13,391	12,516
Illinois	69,167	59,180	64,609	67,186	72,178	81,089	92,500	98,331	105,211
S. West/Rockies	32,754	60,865	55,781	50,416	44,368	45,139	45,052	41,232	44,302
N. Great Plains	1,722	572	3,669	4,248	8,208	8,179	10,345	13,126	11,272
West Interior	105	465	508	388	425	535	445	498	485
Northwest	0	0	0	0	0	0	0	0	0
Surface Coal Production	**602,753**	**762,191**	**813,321**	**740,175**	**764,709**	**754,871**	**672,748**	**640,740**	**643,721**
N. Appalachia	60,761	28,873	30,413	26,552	26,082	26,382	21,411	19,339	17,300
Cent. Appalachia	94,343	112,222	118,962	97,778	89,788	90,778	69,721	57,173	52,399
Warrior	11,413	11,599	11,172	10,731	11,406	10,939	9,705	8,695	7,584
Illinois	72,000	33,702	34,266	34,837	32,911	34,943	34,771	33,798	31,969
S. West/Rockies	43,863	42,756	34,283	32,167	28,889	31,432	30,475	28,968	27,564
N. Great Plains	249,356	474,056	538,387	496,290	507,995	502,734	455,320	444,740	458,112
West Interior	64,310	52,263	44,361	39,960	46,136	55,514	49,293	46,477	47,201
Northwest	6,707	6,720	1,477	1,860	2,151	2,149	2,052	1,550	1,502
Total Coal Production	**1,026,309**	**1,130,802**	**1,170,395**	**1,072,236**	**1,101,864**	**1,100,478**	**1,015,135**	**981,956**	**998,426**
N. Appalachia	164,626	140,024	135,641	126,181	129,191	132,134	124,819	123,537	134,000
Cent. Appalachia	292,755	235,305	233,960	196,467	186,142	184,812	147,788	127,613	116,618
Warrior	28,944	24,894	23,453	22,236	23,919	21,818	22,275	22,086	20,100
Illinois	141,167	92,882	98,875	102,023	105,089	116,032	127,271	132,129	137,180
S. West/Rockies	76,617	103,621	90,064	82,583	73,257	76,571	75,527	70,200	71,956
N. Great Plains	251,078	474,628	542,056	500,538	516,203	510,913	465,665	457,866	469,384
West Interior	64,415	52,728	44,869	40,348	46,561	56,049	49,738	46,975	47,686
Northwest	6,707	6,720	1,477	1,860	2,151	2,149	2,052	1,550	1,502

Source for 1990–2014 data: EIA (1990 through 2014), *Annual Coal Report.* Table 1. U.S. Department of Energy. Source for 2014 data: spreadsheet for the 2014 *Annual Coal Report*.

Note: Totals may not sum due to independent rounding.

Table A-123: Coal Underground, Surface, and Post-Mining CH_4 Emission Factors (ft^3 per Short Ton)

Basin	Surface Average *In Situ* Content	Underground Average *In Situ* Content	Surface Mine Factors	Post-Mining Surface Factors	Post Mining Underground
Northern Appalachia	59.5	138.4	89.3	19.3	45.0
Central Appalachia (WV)	24.9	136.8	37.4	8.1	44.5
Central Appalachia (VA)	24.9	399.1	37.4	8.1	129.7
Central Appalachia (E KY)	24.9	61.4	37.4	8.1	20.0
Warrior	30.7	266.7	46.1	10.0	86.7
Illinois	34.3	64.3	51.5	11.1	20.9
Rockies (Piceance Basin)	33.1	196.4	49.7	10.8	63.8
Rockies (Uinta Basin)	16.0	99.4	24.0	5.2	32.3
Rockies (San Juan Basin)	7.3	104.8	11.0	2.4	34.1
Rockies (Green River Basin)	33.1	247.2	49.7	10.8	80.3
Rockies (Raton Basin)	33.1	127.9	49.7	10.8	41.6
N. Great Plains (WY, MT)	20.0	15.8	30.0	6.5	5.1
N. Great Plains (ND)	5.6	15.8	8.4	1.8	5.1
West Interior (Forest City, Cherokee Basins)	34.3	64.3	51.5	11.1	20.9
West Interior (Arkoma Basin)	74.5	331.2	111.8	24.2	107.6
West Interior (Gulf Coast Basin)	11.0	127.9	16.5	3.6	41.6
Northwest (AK)	16.0	160.0	24.0	1.8	52.0
Northwest (WA)	16.0	47.3	24.0	5.2	15.4

Sources: 1986 USBM Circular 9067, *Results of the Direct Method Determination of the Gas Contents of U.S. Coal Basins*; U.S. DOE Report DOE/METC/83-76, *Methane Recovery from Coalbeds: A Potential Energy Source;* 1986–1988 Gas Research Institute Topical Report, *A Geologic Assessment of Natural Gas from Coal Seams;* 2005 U.S. EPA Draft Report, *Surface Mines Emissions Assessment*.

Table A-124: Underground Coal Mining CH_4 Emissions (Billion Cubic Feet)

Activity	1990	2005	2008	2009	2010	2011	2012	2013	2014
Ventilation Output	112	75	100	114	117	97	90	89	89
Adjustment Factor for Mine Data*	98%	98%	99%	99%	99%	99%	99%	100%	100%
Adjusted Ventilation Output	114	77	101	115	118	98	91	89	89
Degasification System Liberated	54	48	49	49	58	48	45	48	48
Total Underground Liberated	168	124	150	163	177	147	137	137	136
Recovered & Used	(14)	(37)	(40)	(40)	(49)	(42)	(38)	(41)	(34)
Total	**154**	**87**	**110**	**123**	**128**	**104**	**98**	**96**	**102**

* Refer to Table A-120.
Note: Totals may not sum due to independent rounding

Table A-125: Total Coal Mining CH_4 Emissions (Billion Cubic Feet)

Activity	1990	2005	2008	2009	2010	2011	2012	2013	2014
Underground Mining	154	87	110	123	128	104	98	96	102
Surface Mining	22	25	27	24	24	24	21	20	20
Post-Mining (Underground)	19	16	15	14	14	14	14	14	14
Post-Mining (Surface)	5	5	6	5	5	5	5	4	4
Total	**200**	**132**	**157**	**166**	**171**	**148**	**138**	**134**	**140**

Note: Totals may not sum due to independent rounding.

Table A-126: Total Coal Mining CH_4 Emissions by State (Million Cubic Feet)

State	1990	2005	2008	2009	2010	2011	2012	2013	2014
Alabama	32,097	15,789	20,992	22,119	21,377	18,530	18,129	17,486	16,301
Alaska	50	42	43	54	63	63	60	45	44
Arizona	151	161	107	100	103	108	100	101	107
Arkansas	5	+	237	119	130	348	391	214	176
California	1	0	0	0	0	0	0	0	0
Colorado	10,187	13,441	12,871	13,999	16,470	11,187	9,305	4,838	4,038
Illinois	10,180	6,488	7,568	7,231	8,622	7,579	9,763	8,920	9,217
Indiana	2,232	3,303	5,047	5,763	5,938	6,203	7,374	6,427	7,159
Iowa	24	0	0	0	0	0	0	0	0
Kansas	45	11	14	12	8	2	1	1	4

State	1990	2005	2008	2009	2010	2011	2012	2013	2014
Kentucky	10,018	6,898	9,986	12,035	12,303	10,592	7,993	8,098	8,219
Louisiana	64	84	77	73	79	168	80	56	52
Maryland	474	361	263	219	238	263	197	166	169
Mississippi	0	199	159	193	224	154	165	200	209
Missouri	166	3	15	28	29	29	26	26	23
Montana	1,373	1,468	1,629	1,417	1,495	1,445	1,160	1,269	1,379
New Mexico	363	2,926	3,411	3,836	3,956	4,187	2,148	2,845	2,219
North Dakota	299	306	303	306	296	289	281	282	298
Ohio	4,406	3,120	3,686	4,443	3,614	3,909	3,389	3,182	3,267
Oklahoma	226	825	932	624	436	360	499	282	112
Pennsylvania	21,864	17,904	20,684	22,939	23,372	17,708	17,773	20,953	19,803
Tennessee	276	115	86	69	67	60	35	31	22
Texas	1,119	922	783	704	823	922	887	854	876
Utah	3,587	4,787	5,524	5,449	5,628	3,651	3,624	2,733	1,605
Virginia	46,041	8,649	9,223	8,042	9,061	8,526	6,516	8,141	12,680
Washington	146	154	0	0	0	0	0	0	0
West Virginia	48,335	29,745	36,421	40,452	40,638	35,709	33,608	32,998	38,023
Wyoming	6,671	14,745	16,959	15,627	16,032	15,916	14,507	14,025	14,339
Total	**200,399**	**132,481**	**157,112**	**165,854**	**171,000**	**147,908**	**138,012**	**134,173**	**140,343**

+ Does not exceed 0.5 million cubic feet.

Note: The emission estimates provided above are inclusive of emissions from underground mines, surface mines and post-mining activities. The following states have neither underground nor surface mining and thus report no emissions as a result of coal mining: Connecticut, Delaware, Florida, Georgia, Hawaii, Idaho, Maine, Massachusetts, Michigan, Minnesota, Nebraska, Nevada, New Hampshire, New Jersey, New York, North Carolina, Oregon, Rhode Island, South Carolina, South Dakota, Vermont, and Wisconsin.

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3.5. Methodology for Estimating CH_4 and CO_2 Emissions from Petroleum Systems

The methodology for estimating CH_4 and non-combustion CO_2 emissions from the production, refineries and transportation segments of petroleum systems is generally based on EPA's Greenhouse Gas Reporting Program (GHGRP) data for 2014; the 1999 EPA report, Estimates of Methane Emissions from the U.S. Oil Industry (EPA 1999); and the study, Methane Emissions from the U.S. Petroleum Industry (EPA/GRI 1996). Fifty-seven activities that emit CH_4 and thirty-two activities that emit non-combustion CO_2 from petroleum systems were examined from these reports and the GHGRP data. Most of the activities are part of crude oil production field operations, which accounted for approximately 99 percent of total oil industry CH_4 emissions. Crude transportation and refining accounted for the remaining CH_4 emissions of approximately 0.3 and 0.9 percent, respectively. Non-combustion CO_2 emissions were analyzed for production operations and asphalt blowing, flaring, and process vents in refining operations. Non-combustion CO_2 emissions from transportation operations are not included because they are negligible. The following steps were taken to estimate CH_4 and CO_2 emissions from petroleum systems.

Step 1: Calculate Potential Methane (or Net Emissions)

Activity Data

Activity data were taken from the following source: DrillingInfo (2015), the Energy Information Administration annual and monthly reports (EIA 1990 through 2015), (EIA 1995 through 2015a, 2015b), "Methane Emissions from the Natural Gas Industry by the Gas Research Institute and EPA" (EPA/GRI 1996a-d), "Estimates of Methane Emissions from the U.S. Oil Industry" (EPA 1999), consensus of industry peer review panels, BOEMRE and BOEM reports (BOEMRE 2004, BOEM 2011), analysis of BOEMRE data (EPA 2005, BOEMRE 2004), the Oil & Gas Journal (OGJ 2015), the Interstate Oil and Gas Compact Commission (IOGCC 2012), the United States Army Corps of Engineers, (1995-2015), and the GHGRP (2010-2014).

For many sources, complete activity data were not available for all years of the time series. In such cases, one of three approaches was employed. Where appropriate, the activity data were calculated from related statistics using ratios developed based on EPA 1996, and/or GHGRP data. For major equipment, pneumatic controllers, and chemical injection pumps, GHGRP subpart W data were used to develop activity factors (i.e., count per well) that are applied to calculated activity in recent years; to populate earlier years of the time series, linear interpolation is used to connect GHGRP-based estimates with existing estimates in years 1990 to 1995. For hydraulically fractured oil well completions, EPA developed activity data specific to each year of the time series using the date of completion or first reported production available from a data set licensed by DrillingInfo, Inc. For more information on the DrillingInfo data processing, please see Annex 3.6 Methodology for Estimating CH_4 and CO_2 from Natural Gas Systems. In other cases, the activity data were held constant from 1990 through 2014 based on EPA (1999). Lastly, the previous year's data were used when data for the current year were unavailable. For offshore production, the number of platforms in shallow water and the number of platforms in deep water are used as activity data and are taken from Bureau of Ocean Energy Management (BOEM) (formerly Bureau of Ocean Energy Management, Regulation, and Enforcement [BOEMRE]) datasets (BOEM 2011a,b,c).

For petroleum refining activities, 2010 to 2014 emissions were directly obtained from EPA's GHGRP. All refineries have been required to report CH_4 and CO_2 emissions for all major activities since 2010. The national totals of these emissions for each activity were used for the 2010 to 2014 emissions. The national emission totals for each activity were divided by refinery feed rates for those four Inventory years to develop average activity-specific emission factors, which were used to estimate national emissions for each refinery activity from 1990 to 2009 based on national refinery feed rates for each year (EPA 2015d).

The activity data for the total crude transported in the transportation sector is not available. In this case, all the crude oil that was transported was assumed to go to refineries. Therefore, the activity data for the refining sector (i.e., refinery feed in 1000 bbl/year) was used also for the transportation sector. In the few cases where no data were located, oil industry data based on expert judgment was used. In the case of non-combustion CO_2 emission sources, the activity factors are the same as for CH_4 emission sources. In some instances, where 2014 data are not yet available 2013 or prior data has been used as proxy.

Potential methane factors and emission factors

The CH_4 emission factors for the majority of the activities are taken from the 1999 EPA draft report, which contained the most recent and comprehensive determination of CH_4 emission factors for the 57 CH_4-emitting activities in the oil industry at that time. Since the time of this study, practices and technologies have changed. While this study still represents best available data in many cases, using these emission factors alone to represent actual emissions without adjusting for emissions controls would in many cases overestimate emissions. For this reason, "potential methane" is

calculated using the data, and then recent data on voluntary and regulatory emission reduction activities (Step 3) is deducted to calculate actual emissions.

For certain CH_4 emissions sources, new data and information allows for net emissions to be calculated directly: Oil well completions with hydraulic fracturing, pneumatic controllers, chemical injection pumps, and refineries. For these sources, EPA used emissions factors that directly reflect recent practice and the use of control technologies. The controlled and uncontrolled emission factors for hydraulically fractured (HF) well completions were developed using data analyzed for the 2015 NSPS OOOOa proposal. The gas engine emission factor is taken from the EPA/GRI 1996b study. For pneumatic controllers, separate estimates are developed for low bleed, high bleed, and intermittent controllers. Chemical injection pumps estimate are calculated with an emission factor developed with GHGRP data, which is based on the previous GRI/EPA factor but takes into account operating hours. The refineries emission factors were also developed from GHGRP data.

Other emission factors that are not based on the 1999 report include oil tank venting and offshore platforms. The oil tank venting emission factor is taken from the API E&P Tank Calc weighted average for API gravity less than 45 API degrees with the distribution of gravities taken from a sample of production data from the HPDI database. Offshore emissions from shallow water and deep water oil platforms are taken from analysis of the Gulf-wide Offshore Activity Data System (GOADS) report (EPA 2015; BOEM 2014). The emission factors were assumed to be representative of emissions from each source type over the period 1990 through 2014, and are used for each year throughout this period.

In general, the CO_2 emission factors were derived from the corresponding source CH_4 emission factors. The amount of CO_2 in the crude oil stream changes as it passes through various equipment in petroleum production operations. As a result, four distinct stages/streams with varying CO_2 contents exist. The four streams that are used to estimate the emissions factors are the associated gas stream separated from crude oil, hydrocarbons flashed out from crude oil (such as in storage tanks), whole crude oil itself when it leaks downstream, and gas emissions from offshore oil platforms. The standard approach used to estimate CO_2 emission factors was to use the existing CH_4 emissions factors and multiply them by a conversion factor, which is the ratio of CO_2 content to methane content for the particular stream. Ratios of CO_2 to CH_4 volume in emissions are presented in Table A-131. The exceptions are the emissions factor for storage tanks, which are estimated using API E&P Tank Calc simulation runs of tank emissions for crude oil of different gravities less than 45 API degrees; emission factors for shallow water and deep water platforms, which are estimated from analysis of the *2011 Gulf-Wide Emission Inventory Study* (BOEM 2014) and the emissions estimates for refineries, which are estimated using the data from U.S. EPA's GHGRP.

Step 2: Compile Reductions Data

The methane emissions calculated in Step 1 above generally represent expected emissions from an activity in the absence of emissions controls (with the exceptions of pneumatic controllers, completions with hydraulic fracturing, etc. as noted above), and do not take into account any use of technologies or practices that reduce emissions. To take into account use of such technologies, data were collected on voluntary reductions. Voluntary reductions included in the Petroleum Sector calculations were those reported to Natural Gas STAR for the following activities: Artificial lift: gas lift, Artificial lift: use compression, Artificial lift: use pumping unit, Consolidate crude oil prod and water storage tanks, Lower heater-treater temperature, Re-inject gas for enhanced oil recovery, Re-inject gas into crude, and Route casing head gas to VRU or compressor.

Industry partners report CH_4 emission reductions by project to the Natural Gas STAR Program. The reductions from the implementation of specific technologies and practices are calculated by the reporting partners using actual measurement data or equipment-specific emission factors. The reductions undergo quality assurance and quality control checks to identify errors, inconsistencies, or irregular data before being incorporated into the Inventory. The technology-specific approach for pneumatic controllers allows net emissions to be calculated directly. Pneumatic controller-related reductions were removed from the Gas STAR reduction data set before it was used to adjust the petroleum segment estimates.

Step 3: Calculate Net Methane and Carbon Dioxide Emissions for Each Activity for Each Year

Annual CH_4 emissions from each of the 57 petroleum system activities and CO_2 emissions from the 32 petroleum system activities were estimated by multiplying the activity data for each year by the corresponding emission factor, except for petroleum refineries segment. Emissions from refineries were obtained directly from the GHGRP data for 2010 through 2014; these four years of data were used to develop emission factors and activity data that are applied for the reminder of the time-series (i.e., 1990 through 2009). These annual emissions for each activity were then summed to estimate the total annual CH_4 and CO_2 emissions, respectively. As a final step, the relevant Natural Gas STAR reductions data is summed for each year and deducted from the total emissions to estimate net CH_4 emissions for the Inventory.

Table A-127, Table A-128, Table A-129, and Table A-132 provide 2014 activity data, emission factors, and emission estimates and Table A-130 and Table A-133 provide a summary of emission estimates for the years 1990, 1995, 2000, and 2005 through 2014. Table A-131 provides the CO_2 content in natural gas for equipment in different crude streams to estimate CO_2 emission factors using CH_4 emission factors.

The tables provide references for emission factors and activity data in footnotes (the lettered footnotes). The tables also provide information on which method was used for supplying activity data for 2014 (the numbered footnotes).

Key to table notations on methods for supplying activity data for 2014 for all tables:

1. Ratios relating other factors for which activity data are available.
2. Activity data for 2014 available from source.
3. Activity data were held constant from 1990 through 2014 based on EPA (1999).
4. 2009, 2010, 2011, 2012, or 2013 activity data are used to determine some or all of the 2014 activity data.

Table A-127: 2014 CH_4 Emissions from Petroleum Production Field Operations

	2014 EPA Inventory Values					
Activity/Equipment	**Emission Factor**		**Activity Data**		**Emissions (Bcf/yr)**	**Emissions (kt/yr)**
Vented Emissions					**128.872**	**2,478.3**
Oil Tanks	7.4	scf of CH_4/bbl crude[a]	2,782	MMbbl/yr (non-stripper wells)[b,c,d,1]	20.575	395.7
Pneumatic controllers, High Bleed	622	scfd CH_4/controller[r]	43,211	No. of high-bleed controllers[r,2]	9.806	188.6**
Pneumatic controllers, Low Bleed	23	scfd CH_4/controller[r]	300,940	No. of low-bleed controllers[r,2]	2.510	48.3**
Pneumatic controllers, Int Bleed	218	scfd CH_4/controller[r]	868,079	No. of int-bleed controllers[r,2]	69.173	1,330.2**
Chemical Injection Pumps	216	scfd CH_4/pump[r]	127,484	No. of pumps[r,2]	10.030	192.9
Vessel Blowdowns	78	scfy CH_4/vessel[h]	502,754	No. of vessels[c,g,i,q,1]	0.039	0.8
Compressor Blowdowns	3,775	scf/yr of CH_4/compressor[h]	2,967	No. of compressors[c,g,i,q,1]	0.011	0.2
Compressor Starts	8,443	scf/yr of CH_4/compressor[h]	2,967	No. of compressors[c,g,i,1]	0.025	0.5
Stripper wells	2,345	scf/yr of CH_4/stripper well[f]	327,674	No. of stripper wells vented[f,d,4]	0.768	14.8
Well Completion Venting	733	scf/completion[h]	15,753	Oil well completions[c,4]	0.012	0.2
Well Workovers	96	scf CH_4/workover[i]	67,370	Oil well workovers[g,i,1,4]	0.006	0.1
HF Well Completions, Uncontrolled	351,146	scf/HF completions[s]	17,522	HF oil well completions[t,2]	6.153	118.3
HF Well Completions, Controlled	17,557	scf/HF completions[s]	1,319	HF oil well completions [t,2]	0.023	0.4
Pipeline Pigging	2.4	scfd of CH_4/pig station[j]	0	No. of crude pig stations[e,3]	0.000	0.0
Offshore Platforms, Shallow water Oil, fugitive, vented and combusted	16,552	scfd CH_4/platform[q]	1,447	No. of shallow water oil platforms[l,4]	8.739	168.1
Offshore Platforms, Deepwater oil, fugitive, vented and combusted	93,836	scfd CH_4/platform[q]	29	No. of deep water oil platforms[l,4]	1.001	19.3
Fugitive Emissions					**6.479**	**124.6**
Oil Wellheads (heavy crude)	0.13	scfd/well[e,m]	40,227	No. of hvy. Crude wells[d,i,t,1,4]	0.002	0.0*
Oil Wellheads (light crude)	17	scfd/well[e,m]	530,367	No. of lt. crude wells[d,i,t,1,4]	3.219	61.9
Separators (heavy crude)	0.15	scfd CH_4/separator[e,m]	38,520	No. of hvy. Crude seps.[r,2]	0.002	0.0*
Separators (light crude)	14	scfd CH_4/separator[e,m]	350,573	No. of lt. crude seps. [r,2]	1.773	34.1
Heater/Treaters (light crude)	19	scfd CH_4/heater[e,m]	113,662	No. of heater treaters [r,2]	0.796	15.3
Headers (heavy crude)	0.08	scfd CH_4/header[e,m]	35,647	No. of hvy. Crude hdrs. [r,2]	0.001	0.0*
Headers (light crude)	11	scfd CH_4/header[e,m]	110,509	No. of lt. crude hdrs. [r,2]	0.438	8.4
Floating Roof Tanks	338,306	scf CH_4/floating roof tank/yr[m,n]	24	No. of floating roof tanks[e,3]	0.008	0.2
Compressors	100	scfd CH_4/compressor[e]	2,967	No. of compressors[c,i,t,1]	0.108	2.1
Large Compressors	16,360	scfd CH_4/compressor[e]	0	No. of large comprs.[e,3]	0.000	0.0
Sales Areas	41	scf CH_4/loading[e]	2,648,868	Loadings/year[c,1]	0.107	2.1
Pipelines	NE	scfd of CH_4/mile of pipeline	14,571	Miles of gathering line[o,2]	NE	NE
Well Drilling	NE	scfd of CH_4/oil well drilled	17,774	No. of oil wells drilled[c,2]	NE	NE
Battery Pumps	0.24	scfd of CH_4/pump[m]	269,480	No. of battery pumps[g,e,1]	0.024	0.5
Combustion Emissions					**6.196**	**119.2**
Gas Engines	0.24	scf CH_4/HP-hr[h]	18,694	MMHP-hr[c,g,i,1]	4.487	86.3

Activity/Equipment	2014 EPA Inventory Values			
	Emission Factor	Activity Data	Emissions (Bcf/yr)	Emissions (kt/yr)
Heaters	0.52 scf CH_4/bbl[n]	3,179 MMbbl/yr[c,2]	1.657	31.9
Well Drilling	2,453 scf CH_4/well drilled[m]	17,774 Oil wells drilled[c,4]	0.044	0.8
Flares	20 scf CH_4/Mcf flared[j]	452,651 Mcf flared/yr [b,c,d,1,4]	0.009	0.2
Process Upset Emissions			**0.157**	**3.0**
Pressure Relief Valves	35 scf/yr/PR valve[h]	265,554 No. of PR valves[c,e,1]	0.009	0.2
Well Blowouts Onshore	2.5 MMscf/blowout[f]	59 No. of blowouts/yr[c,e,1]	0.148	2.8
Voluntary Reductions			**(1.605)**	**(30.9)**
Total Potential Emissions			**141.704**	**2,725.1**
Total Net Emissions			**140.100**	**2,694.2**

- Zero Emissions
* Emissions are not actually 0, but too small to show at this level of precision.
[a] TankCALC
[b] EPA / ICF International (1999)
[c] Energy Information Administration (EIA) Monthly Energy Review
[d] Interstate Oil & Gas Compact Commission (IOGCC) Marginal Wells Report
[e] Consensus of Industrial Review Panel
[f] Expert Judgment
[g] EIA Annual Energy Review
[h] Gas Research Institute (GRI) / EPA (1996)
[i] Radian (1999)
[j] Canadian Association of Petroleum Producers (CAPP) (1992)
[k] Adapted from the Minerals Management Service (MMS) Gulfwide Offshore Activities Data System (GOADS) by ICF (2005)
[l] Bureau of Ocean Energy Management (BOEM)
[m] American Petroleum Institute (API) (1996)
[n] EPA, AP 42 Compilation of Air Pollutant Emission Factors
[o] Oil and Gas Journal (OGJ) Petroleum Economics Issue
[q] BOEM 2011 Gulf-wide Emissions Inventory Study (2014)
[r] GHGRP data
[s] DrillingInfo data from analysis supporting the NSPS OOOOa proposal
[t] DrillingInfo data

Table A-128: 2014 CH_4 Emissions from Petroleum Transportation

Activity/Equipment	Emission Factor	Units	Activity Factor	Units	Emissions (Bcf/yr)	Emissions (kt/yr)
Vented Emissions					**0.370**	**7.1**
Tanks	0.021	scf CH_4/yr/bbl of crude delivered to refineries[a]	5,785	MMbbl crude feed/yr[b,2]	0.119	2.3
Truck Loading	0.520	scf CH_4/yr/bbl of crude transported by truck[c]	152	MMbbl crude trans. By truck[d,2]	0.079	1.5
Marine Loading	2.544	scf CH_4/1000 gal crude marine loadings[c]	33,954,617	1,000 gal/yr loaded[e,1,4]	0.086	1.7
Rail Loading	0.520	scf CH_4/yr/bbl of crude transported by rail[c]	133	MMbbl Crude by rail/yr[d,2]	0.069	1.3
Pump Station Maintenance	36.80	scf CH_4/station/yr[f]	564	No. of pump stations[g,1]	0.000*	0.0*
Pipeline Pigging	39	scfd of CH_4/pig station[h]	1,128	No. of pig stations[g,1]	0.016	0.3
Fugitive Emissions					**0.050**	**1.0**
Pump Stations	25	scf CH_4/mile/yr[f]	56,375	No. of miles of crude p/l[g,2]	0.001	0.0*
Pipelines	NE	scf CH_4/bbl crude transported by pipeline[f]	9,289	MMbbl crude piped[g,2]	NE	NE
Floating Roof Tanks	58,965	scf CH_4/floating roof tank/yr[i]	824	No. of floating roof tanks[3]	0.049	0.9
Combustion Emissions					**NE**	**NE**
Pump Engine Drivers	0.24	scf CH_4/hp-hr[j]	NE	No. of hp-hrs	NE	NE
Heaters	0.521	scf CH_4/bbl burned[k]	NE	No. of bbl Burned	NE	NE
Total					**0.420**	**8.1**

* Emissions are not actually 0, but too small to show at this level of precision.
NE - Not estimated for lack of data
[a] API (1992)
[b] Energy Information Administration (EIA) Petroleum Supply Annual, Volume 1.
[c] EPA, AP 42 Compilation of Air Pollutant Emission Factors
[d] EIA Refinery Capacity Report

[e] EIA Monthly Energy Review
[f] Radian (1996)
[g] OGJ Petroleum Economics Issue
[h] CAPP (1992)
[i] API TANK
[j] GRI / EPA (1996)
[k] EPA / ICF International (1999)

Table A-129: 2014 CH_4 Emissions from Petroleum Refining

	2014 EPA Inventory Values			
Activity/Equipment	**Emission Factor**	**Activity Factor**	**Emissions (Bcf/yr)**	**Emissions (kt/yr)**
Vented Emissions			**0.373**	**7.2**
Uncontrolled Blowdowns	0.000971 MT CH_4/Mbbl[d]	5,784,637 Mbbl/year refinery feed[a]	0.292	5.6
Asphalt Blowing	0.000049 MT CH_4/Mbbl[d]	5,784,637 Mbbl/year refinery feed[a]	0.015	0.3
Process Vents	0.000215 MT CH_4/Mbbl[d]	5,784,637 Mbbl/year refinery feed[a]	0.065	1.2
CEMS	0.000006 MT CH_4/Mbbl[d]	5,784,637 Mbbl/year refinery feed[a]	0.002	0.0*
Fugitive Emissions			**0.234**	**4.5**
Equipment Leaks	0.000457 MT CH_4/Mbbl[d]	5,784,637 Mbbl/year refinery feed[a]	0.137	2.6
Storage Tanks	0.000237 MT CH_4/Mbbl[d]	5,784,637 Mbbl/year refinery feed[a]	0.071	1.4
Wastewater Treating	0.00798 lb VOC/bbl[b,c]	5,784,637 Mbbl/year refinery feed[a]	0.011	0.2
Cooling Towers	0.010 lb VOC/bbl[b,c]	5,784,637 Mbbl/year refinery feed[a]	0.014	0.3
Loading Operations	0.000002 MT CH_4/Mbbl[d]	5,784,637 Mbbl/year refinery feed[a]	0.001	0.0*
Combustion Emissions			**0.614**	**11.8**
Catalytic Cracking, Coking, Reforming	0.000248 MT CH_4/Mbbl[d]	5,784,637 Mbbl/year refinery feed[a]	0.075	1.4
Flares	0.001611 MT CH_4/Mbbl[d]	5,784,637 Mbbl/year refinery feed[a]	0.485	9.3
Delay Cokers	0.000178 MT CH_4/Mbbl[d]	5,784,637 Mbbl/year refinery feed[a]	0.054	1.0
Coke Calcining	0.000005 MT CH_4/Mbbl[d]	5,784,637 Mbbl/year refinery feed[a]	0.002	0.0*
Total			**1.221**	**23.5**

* Emissions are not actually 0, but too small to show at this level of precision.
[a] EIA Petroleum Supply Annual, Volume 1.
[b] Radian (1996)
[c] Assuming methane is 1% of total hydrocarbons (AP-42)
[d] GHGRP data
Note: The methodology for year 2014 is to use GHGRP emissions data as-reported. The emission factors in this table were developed for this table by dividing 2014 emissions by 2014 refinery feed rate.

Table A-130: Summary of CH_4 Emissions from Petroleum Systems (kt)

Activity	**1990**	**1995**	**2000**	**2007**	**2008**	**2009**	**2010**	**2011**	**2012**	**2013**	**2014**
Production Field Operations	**1,519**	**1,549**	**1,767**	**2,056**	**2,103**	**2,120**	**2,193**	**2,263**	**2,347**	**2,586**	**2,725**
Pneumatic controller venting	761	832	1,044	1,262	1,286	1,308	1,328	1,346	1,332	1,509	1,567
Tank venting	250	226	214	193	185	202	210	220	278	330	396
Combustion & process upsets	115	105	95	92	95	95	98	101	108	114	122
Misc. venting & fugitives	334	332	365	459	482	461	502	540	570	573	578
Wellhead fugitives	59	54	48	50	55	53	54	56	59	60	62
Crude Oil Transportation	**7**	**6**	**5**	**5**	**5**	**5**	**5**	**5**	**6**	**7**	**8**
Refining	**24**	**25**	**27**	**27**	**26**	**25**	**26**	**28**	**27**	**26**	**23**
Voluntary Reductions	**(0)**	**(1)**	**(17)**	**(64)**	**(77)**	**(67)**	**(60)**	**(45)**	**(45)**	**(31)**	**(31)**
Total Potential Emissions	**1,550**	**1,580**	**1,799**	**2,088**	**2,134**	**2,150**	**2,224**	**2,296**	**2,380**	**2,619**	**2,757**
Total Net Emissions	**1,550**	**1,578**	**1,781**	**2,024**	**2,058**	**2,083**	**2,163**	**2,251**	**2,335**	**2,588**	**2,726**

Note: Totals may not sum due to independent rounding. Parentheses indicate emissions reductions.

Table A-131: Ratios of CO_2 to CH_4 Volume in Emissions from Petroleum Production Field Operations

	Whole Crude, Post-Separator	Associated Gas	Tank Flash Gas	Offshore
Ratio %CO_2 / %CH_4	0.052	0.020	0.017	0.004

Table A-132: 2014 CO_2 Emissions from Petroleum Production Field Operations and Petroleum Refining

	2014 EPA Inventory Values				
Activity/Equipment	**Emission Factor**		**Activity Factor**	**Emissions (Bcf/yr)**	**Emissions (kt/yr)**
Vented Emissions				**11.974**	**633.2**
Oil Tanks	3.528	scf of CO_2/bbl crude[a]	2,782 MMbbl/yr (non stripper wells)[b,c,d,1,4]	9.817	519.1
Pneumatic controllers, High Bleed	12.615	scfd CO_2/controller[q]	43,211 No. of high-bleed controllers[q,2]	0.199	10.5
Pneumatic controllers, Low Bleed	0.464	scfd CO_2/controller[q]	300,940 No. of low-bleed controllers[q,2]	0.051	2.7
Pneumatic controllers, Int Bleed	4.430	scfd CO_2/controller[q]	868,079 No. of int-bleed controllers[q,2]	1.404	74.2
Chemical Injection Pumps	4.374	scfd CO_2/pump[q]	127,484 No. of pumps[q,2]	0.204	10.8
Vessel Blowdowns	1.583	scfy CO_2/vessel[h]	502,754 No. of vessels[c,g,i,1]	0.001	0.0*
Compressor Blowdowns	77	scf/yr of CO_2/compressor[h]	2,967 No. of compressors[c,g,i,1]	0.000*	0.0*
Compressor Starts	171	scf/yr of CO_2/compressor[h]	2,967 No. of compressors[c,g,i,1]	0.001	0.0*
Stripper wells	48	scf/yr of CO_2/stripper well[f]	327,674 No. of stripper wells vented[f,1,4]	0.016	0.8
Well Completion Venting	14.87	scf/completion[h]	15,753 Oil well completions[c,2]	0.000*	0.0*
Well Workovers	1.95	scf CO_2/workover[i]	67,370 Oil well workovers[g,i,1]	0.000*	0.0*
HF Well Completions, Uncontrolled	7,125.06	scf/HF completion[r]	17,522 HF oil well completions[s,1]	0.125	6.6
HF Well Completions, Controlled	356.25	scf/HF completion[r]	1,319 HF oil well completions [s,1]	0.000*	0.0*
Pipeline Pigging	NE	scfd of CO_2/pig station	0 No. of crude pig stations	NE	NE
Offshore Platforms, Shallow water Oil, fugitive, vented and combusted	276	scfd CO_2/platform[k]	1,447 No. of shallow water oil platforms[l,4]	0.146	7.7
Offshore Platforms, Deepwater oil, fugitive, vented and combusted	1,100	scfd CO_2/platform[k]	29 No. of deep water oil platforms[l,4]	0.012	0.6
Fugitive Emissions				**0.133**	**7.0**
Oil Wellheads (heavy crude)	0.003	scfd/well[e,m]	40,227 No. of hvy. crude wells[d,g,i,1,4]	0.000*	0.0*
Oil Wellheads (light crude)	0.337	scfd/well[e,m]	530,367 No. of lt. crude wells[d,g,i,1,4]	0.065	3.5
Separators (heavy crude)	0.003	scfd CO_2/separator[e,m]	38,520 No. of hvy. crude seps.[q,2]	0.000*	0.0*
Separators (light crude)	0.281	scfd CO_2/separator[e,m]	350,573 No. of lt. crude seps.[q,2]	0.036	1.9
Heater/Treaters (light crude)	0.319	scfd CO_2/heater[e,m]	113,662 No. of heater treaters[q,2]	0.013	0.7
Headers (heavy crude)	0.002	scfd CO_2/header[e,m]	35,647 No. of hvy. crude hdrs.[q,2]	0.000*	0.0*
Headers (light crude)	0.220	scfd CO_2/header[e,m]	110,509 No. of lt. crude hdrs.[q,2]	0.009	0.5
Floating Roof Tanks	17,490	scf CO_2/floating roof tank/yr[m,n]	24 No. of floatingrooftanks[e,3]	0.000*	0.0*
Compressors	2.029	scfd CO_2/compressor[e]	2,967 No. of compressors[c,g,i,1]	0.002	0.1
Large Compressors	332	scfd CO_2/compressor[e]	- No. of largecomprs.[e,3]	0.000	0.0
Sales Areas	2.096	scf CO_2/loading[e]	2,648,868 Loadings/year[c,1]	0.006	0.3
Pipelines	NE	scfd of CO_2/mile of pipeline	14,571 Miles of gathering line[o,2]	NE	NE

Activity/Equipment	2014 EPA Inventory Values					
	Emission Factor		Activity Factor		Emissions (Bcf/yr)	Emissions (kt/yr)
Well Drilling	NE	scfd of CO_2/oil well drilled	17,774	No. of oil wells drilled[c,2]	NE	NE
Battery Pumps	0.012	scfd of CO_2/pump[m]	269,480	No. of battery pumps[g,e,1]	0.001	0.1
Process Upset Emissions					**0.003**	**0.2**
Pressure Relief Valves	1.794	scf/yr/PR valve[h]	265,554	No. of PR valves[c,e,1]	0.000*	0.0*
Well Blowouts Onshore	0.051	MMscf/blowout[e]	59	No. of blowouts/yr[c,e,1]	0.003	0.2
Refining Emissions[1]					**55.341**	**2,926.7**
Asphalt Blowing	0.020	MT CO_2/Mbbl[q]	5,784,637	Mbbl/yearrefineryfeed[p]	2.187	115.6
Flaring	0.477	MT CO_2/Mbbl[q]	5,784,637	Mbbl/year refinery feed[p]	52.196	2,760.4
Process Vents	0.009	MT CO_2/Mbbl[q]	5,784,637	Mbbl/year refinery feed[p]	0.958	50.7
Total					**67.451**	**3,567.1**

* Emissions are not actually 0, but too small to show at this level of precision.
NE - Not estimated for lack of data
[a] TankCALC
[b] EPA / ICF International (1999)
[c] EIA Monthly Energy Review
[d] IOGCC Marginal Wells Report
[e] Consensus of Industrial Review Panel
[f] Expert Judgment
[g] EIA Annual Energy Review
[h] GRI / EPA (1996)
[i] Radian (1996)
[j] CAPP (1992)
[k] Adapted from the GOADS 2011 Study by ERG (2015)
[l] BOEM
[m] API (1996)
[n] EPA, AP 42 Compilation of Air Pollutant Emission Factors
[o] OGJ Petroleum Economics Issue
[p] EIA Petroleum Supply Annual, Volume 1
[q] GHGRP data
[r] Calculated using CH_4 emission factor and CO_2 content of gas.
[s] DrillingInfo Data
[1] The methodology for year 2014 is to use GHGRP emissions data as-reported (rather than an EFxAF approach, per se). The emission factors in this table were populated by dividing 2014 emissions by 2014 refinery feed rate.
Note: Energy use CO_2 emissions not estimated to avoid double counting with fossil fuel combustion

Table A-133: Summary of CO_2 Emissions from Petroleum Systems (kt)

Activity	1990	1995	2000	2007	2008	2009	2010	2011	2012	2013	2014
Production Field Operations	**391**	**362**	**360**	**350**	**343**	**365**	**379**	**395**	**473**	**550**	**640**
Pneumatic controller venting	42	46	58	70	72	73	74	75	74	84	87
Tank venting	328	296	281	253	243	265	276	288	365	432	519
Misc. venting & fugitives	17	17	18	23	25	24	26	28	30	30	30
Wellhead fugitives	3	3	3	3	3	3	3	3	3	3	3
Refining	**3,162**	**3,295**	**3,562**	**3,574**	**3,463**	**3,380**	**3,775**	**3,797**	**3,404**	**3,143**	**2,927**
Asphalt Blowing	95	99	107	107	104	101	97	84	117	125	116
Flaring	2,993	3,119	3,372	3,383	3,279	3,200	3,626	3,630	3,228	2,882	2,760
Process Vents	74	77	83	84	81	79	52	83	58	137	51
Total	**3,553**	**3,657**	**3,923**	**3,924**	**3,806**	**3,745**	**4,154**	**4,192**	**3,876**	**3,693**	**3,567**

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3.6. Methodology for Estimating CH_4 and CO_2 Emissions from Natural Gas Systems

As described in the main body text on Natural Gas Systems, the Inventory methodology involves the calculation of CH_4 and CO_2 emissions for over 100 emissions sources, and then the summation of emissions for each natural gas sector stage.

Step 1: Calculate Potential Methane (or Net Emissions)

Potential methane factors and emission factors

The primary basis for potential CH_4 factors and emission factors for non-combustion-related CO_2 emissions from the U.S. natural gas industry is a detailed study by the Gas Research Institute and EPA (EPA/GRI 1996). The EPA/GRI study developed over 80 CH_4 emission factors to characterize emissions from the various components within the operating stages of the U.S. natural gas system. Since the time of this study, practices and technologies have changed. While this study still represents best available data in many cases, using these emission factors alone to represent actual emissions without adjusting for emissions controls would in many cases overestimate emissions. For this reason, "potential methane" is calculated using the data, and then recent data on voluntary and regulatory emission reduction activities (Step 3) is deducted to calculate actual emissions. See Section 3.7 of the main document on Natural Gas Systems for more information.

For certain CH_4 emissions sources, new data and information allows for net emissions to be calculated directly: gas well completions and workovers with hydraulic fracturing, liquids unloading, condensate storage tanks, centrifugal compressors, pneumatic controllers, chemical injection pumps, transmission and storage station fugitives and compressors, M&R stations, and distribution pipeline leaks. For these sources, EPA used emissions factors that directly reflect the use of control technologies. For gas well completions and workovers with hydraulic fracturing, separate emissions estimates were developed for hydraulically fractured completions and workovers that vent, flared hydraulic fracturing completions and workovers, hydraulic fracturing completions and workovers with reduced emissions completions (RECs), and hydraulic fracturing completions and workovers with RECs that flare. For liquids unloading, separate emissions estimates were developed for wells with plunger lifts and wells without plunger lifts. Likewise, for condensate tanks, emissions estimates were developed for tanks with and without control devices. For pneumatic controllers, separate estimates are developed for low bleed, high bleed, and intermittent controllers. Chemical injection pumps estimates are calculated with an emission factor developed with GHGRP data, which is based on the previous GRI/EPA factor but takes into account operating hours.

For potential CH_4 factors and emission factors used in the Inventory, see Table A-134 to Table A-138. Methane compositions from GTI 2001 are adjusted year to year using gross production for National Energy Modeling System (NEMS) oil and gas supply module regions from the EIA. These adjusted region-specific annual CH_4 compositions are presented in Table A-139 (for general sources), Table A-140 (for gas wells without hydraulic fracturing), and Table A-141 (for gas wells with hydraulic fracturing). Therefore, emission factors may vary from year to year due to slight changes in the CH_4 composition between each NEMS oil and gas supply module region.

1990-2014 Inventory updates to emission factors

Summary information for emission factors for sources with updates in this year's inventory is below. The details are presented in four memoranda addressing production, gathering and boosting, transportation and storage, and distribution (See "Revisions to Natural Gas and Petroleum Production Emissions", "Revisions to Natural Gas Gathering and Boosting Emissions", "Revisions to Natural Gas Transmission and Storage Emissions", and "Revisions to Natural Gas Distribution Emissions" [EPA 2016a through 2016d]).[64]

For the production segment, bleed type-specific (i.e., continuous high bleed, continuous low bleed, and intermittent bleed) emission factors for pneumatic controllers are based on GHGRP data (used for the full time series). Emission factors for gathering and boosting stations based on Marchese et al. are used for the full time series.

For the transmission and storage segment, station-level emission factors for transmission and storage stations and factors for compressors are based on the Zimmerle et al. study and used for 2011 to 2014. Emission factors for transmission and storage pneumatic controllers are from GHGRP and are used for the full time series.

For the distribution segment, emission factors for M&R stations and for emissions per leak for pipeline leaks are from the Lamb et al. study (used for 2011 to 2014). Emission factors for residential customer meters and

[64] See <https://www3.epa.gov/climatechange/ghgemissions/usinventoryreport/natural-gas-systems.html>.

commercial/industrial customer meters are from the 2009 GTI study and 2011 Clearstone study and are used for the full time series.

In order to create time series consistency for emission factors between earlier years' estimates (1990 to 1992) that generally rely on data from GRI/EPA 1996 and the most recent years' estimates (2011 to 2014) that were calculated using data from studies or GHGRP, linear interpolation between the data endpoints of 1992 (GRI/EPA) and 2011 (GHGRP) was typically used for calculations. Exceptions to the use of linear interpolation include gathering and boosting stations and residential and commercial/industry customer meters.

Activity Data

Activity data were taken from the following sources: DrillingInfo, Inc. (DrillingInfo 2015); American Gas Association (AGA 1991–1998); Bureau of Ocean Energy Management, Regulation and Enforcement (previous Minerals and Management Service) (BOEMRE 2011a, 2011b, 2011c, 2011d); Monthly Energy Review (EIA 2012f, 2012g, 2012h, 2011a, 2011b, 2011c, 2011d); Natural Gas Liquids Reserves Report (EIA 2005); Natural Gas Monthly (EIA 2012c, 2012d, 2012e, 2013a, 2013b, 2013c); the Natural Gas STAR Program annual emissions savings (EPA 2012a, 2013c); Oil and Gas Journal (OGJ 1997–2015); Pipeline and Hazardous Materials Safety Administration (PHMSA 2015); Federal Energy Regulatory Commission (FERC 2014); GHGRP data for natural gas systems (40 CFR 98, subpart W); and other Energy Information Administration publications (EIA 2001, 2004, 2010, 2011, 2012i, 2015); (EPA 1999); Wyoming Oil and Gas Conservation Commission (Wyoming 2015); and the Alabama State Oil and Gas Board (Alabama 2015). Activity data are presented in Table A-134 through Table A-138.

For a few sources, recent direct activity data were not available. For these sources, either 2013 data were used as proxy for 2014 data or a set of industry activity data drivers was developed and was used to update activity data. Drivers include statistics on gas production, number of wells, system throughput, miles of various kinds of pipe, and other statistics that characterize the changes in the U.S. natural gas system infrastructure and operations. The key activity drivers are presented in Table A-142.

EPA used DI Desktop, a production database maintained by DrillingInfo, Inc. (DrillingInfo 2015), covering U.S. oil and natural gas wells to populate activity data for non-associated gas wells, oil wells (in petroleum systems), gas wells with hydraulic fracturing, and completions with hydraulic fracturing. EPA queried DI Desktop for relevant data on an individual well basis—including location, natural gas and liquids (i.e., oil and condensate) production by year, drill type (e.g., horizontal or vertical), and date of completion or first production. Non-associated gas wells were classified as any well within DI Desktop that had non-zero gas production in a given year, and with a gas-to-oil ratio (GOR) of greater than 100 mcf/bbl in that year. Oil wells were classified as any well that had non-zero liquids production in a given year, and with a GOR of less than or equal to 100 mcf/bbl in that year. Gas wells with hydraulic fracturing were assumed to be the subset of the non-associated gas wells that were horizontally drilled and/or located in an unconventional formation (i.e., shale, tight sands, or coalbed). Unconventional formations were identified based on well basin, reservoir, and field data reported in DI Desktop referenced against a formation type crosswalk developed by EIA (EIA 2012a).

For 1990 through 2010, gas well completions with hydraulic fracturing were identified as a subset of the gas wells with hydraulic fracturing that had a date of completion or first production in the specified year. To calculate workovers for 1990 through 2010, EPA applied a refracture rate of 1 percent (i.e., 1 percent of all wells with hydraulic fracturing are assumed to be refractured in a given year) to the total counts of wells with hydraulic fracturing from the DrillingInfo data. For 2011 through 2014, EPA used GHGRP data for the total number of well completions and workovers. The GHGRP data represents a subset of the national completions and workovers, due to the reporting threshold, and therefore using this data without scaling it up to national level results in an underestimate. However, because EPA's GHGRP counts of completions and workovers were higher than national counts of completions and workovers, obtained using DI Desktop data, EPA directly used the GHGRP data for completions and workovers for 2011 through 2014.

EPA calculated the percentage of gas well completions and workovers with hydraulic fracturing in the each of the four control categories using 2011 through 2014 Subpart W data. EPA assumed 0 percent RECs use from 1990 through 2000, used GHGRP RECs percentage for 2011 through 2014, and then used linear interpolation between the 2000 and 2011 percentages. For flaring, EPA used an assumption of 10 percent (the average of the percent of completions and workovers that were flared in 2011 through 2013 GHGRP data) flaring from 1990 through 2010 to recognize that some flaring has occurred over that time period. For 2011 through 2014, EPA used the GHGRP data on flaring.

Summary information for activity data for sources wth updates in this year's inventory is below. The details are presented in four memoranda addressing production, gathering and boosting, transportation and storage, and distribution (see "Revisions to Natural Gas and Petroleum Production Emissions", "Revisions to Natural Gas Gathering and Boosting

Emissions", "Revisions to Natural Gas Transmission and Storage Emissions", and "Revisions to Natural Gas Distribution Emissions" [EPA 2016a through 2016d]).[65]

For the production segment, GHGRP-based activity factors (i.e., counts per gas well) were used for the years 2011 to 2014 for in-line heaters, separators, dehydrators, compressors, meters/piping, pneumatic pumps, and pneumatic controllers. In addition, for years 2011 to 2014, bleed type-specific (i.e., continuous high bleed, continuous low bleed, and intermittent bleed) activity data for pneumatic controllers were developed from GHGRP.

For the transmission and storage segment, activity factors (transmission stations; storage stations per storage field) are based on Zimmerle et al. study and applied for 2011 to 2014, reciprocating and centrifugal compressor activity data and compressor seal types (i.e., wet versus dry seals) are based on GHGRP and Zimmerle et al. study data for 2011-2014, and activity factors (i.e., controllers per station) for transmission and storage pneumatic controllers based on GHGRP and Zimmerle et al. study for 2011 to 2014.

For the distribution segment, activity factors for M&R stations for 2011 to 2014 are based on GHGRP and Lamb et al. study. Activity data for meters are from EIA for the full time series. Activity data for pipeline blowdowns and mishaps/dig-ins are from PHMSA for the full time series.

For sources where new activity data was determined to be applicable for only recent years of the time series, in order to create time series consistency for activity data between earlier years' estimates (1990 to 1992) that generally rely on data from GRI/EPA 1996 and the most recent years' estimates (2011 to 2014) that were calculated using data from studies or GHGRP, linear interpolation between the data endpoints of 1992 (GRI/EPA) and 2011 (GHGRP) was typically used for calculations. Exceptions to the use of linear interpolation include gathering and boosting stations and residential and commercial/industry customer meters.

Step 2: Compile Reductions Data

The emissions calculated in Step 1 above represent expected emissions from an activity in the absence of emissions controls (with the exceptions of emission sources that use a "net" approach such as pneumatic controllers, gas well completions and workovers with hydraulic fracturing, liquids unloading, centrifugal compressors, condensate tanks, distribution M&R stations, distribution pipelines, etc. as noted above), and do not take into account any use of technologies or practices that reduce emissions. To take into account use of such technologies, data were collected on voluntary and regulatory reductions. Voluntary reductions included in the Inventory were those reported to Gas STAR for activities such as replacing a high bleed pneumatic controllers with a low bleed controller and replacing wet seals with dry seals at reciprocating compressors. Regulatory actions reducing emissions include National Emission Standards for Hazardous Air Pollutants (NESHAP) regulations for dehydrator vents and condensate tanks.

Voluntary reductions

Industry partners report CH_4 emission reductions by project to the Natural Gas STAR Program. The reductions from the implementation of specific technologies and practices (e.g., vapor recovery units,) are calculated by the reporting partners using actual measurement data or equipment-specific emission factors. Natural Gas STAR Partners do not report reductions when they are required due to regulation. The reductions undergo quality assurance and quality control checks to identify errors, inconsistencies, or irregular data before being incorporated into the Inventory. In general, the Inventory uses aggregated Gas STAR reductions by natural gas system stage (i.e., production, processing, transmission and storage, and distribution). However, aggregate emissions reductions data by Gas STAR technology are provided for several sources, as shown in Table A-143. For those sources, EPA has also used data on potential emissions, and the Gas STAR data on reductions, to calculate net emissions, as shown in Table A-149 (along with other sources calculated with net emission factors). Many of the activities reported to Gas STAR are cross-cutting and apply to more than one emissions source and therefore cannot be assigned to one emissions source, but instead are included in the "other" category. For Inventory sources with emission factors that already take into account the use of control technologies (i.e., "net" emission sources) Natural Gas STAR reported reductions for those activities are not incorporated into the Inventory, as this would double count reductions. CH_4 emission reductions from the Natural Gas STAR Program are summarized in Table A-143.

For sources where net factor approaches are used, the use of data from the GHGRP and recent studies to revise certain emission factors as discussed above obviated the need to apply Gas STAR reductions data for these sources (i.e., the calculated emissions were already net emissions, instead of potential emissions).

[65] See <https://www3.epa.gov/climatechange/ghgemissions/usinventoryreport/natural-gas-systems.html>.

There are significant Gas STAR reductions in the production segment that are not classified as applicable to specific emission sources ("other voluntary reductions" are 18 MMT CO_2 eq. of CH_4 in year 2014). As many sources in production are now calculated with net factor approaches, to address potential double-counting of reductions, a scaling factor was applied to the "other voluntary reductions" to reduce this reported amount based an estimate of the fraction of those reductions that occur in the sources that are now calculated using net emissions approaches. This fraction was developed by dividing the net emissions from sources with net approaches, by the total production segment emissions (without deducting the Gas STAR reductions). The result for 2014, is that around 50 percent of the reductions were estimated to occur in sources for which net emissions are now calculated, which yields an adjusted other reduction number of 9 MMT CO_2 Eq.

Federal regulations.

The 1990 Clean Air Act (CAA) sets limits on the amount of hazardous air pollutants (HAPs) that can be emitted in the United States. The NESHAP regulations set the standards to limit emissions of HAPs. The emission sources are required to use the Maximum Achievable Control Technology (MACT), giving the operators flexibility to choose the type of control measure(s) to implement. In regards to the oil and natural gas industry, the NESHAP regulation addresses HAPs from the oil and natural gas production sectors and the natural gas transmission and storage sectors of the industry. Though the regulation deals specifically with HAPs reductions, methane emissions are also incidentally reduced.

The NESHAP regulation requires that glycol dehydration unit vents and storage tanks that have HAP emissions and exceed a gas throughput and liquids throughput threshold, respectively, be connected to a closed loop emission control system that reduces emissions by 95 percent. Also, gas processing plants exceeding the threshold natural gas throughput limit are required to routinely implement Leak Detection and Repair (LDAR) programs. The emissions reductions achieved as a result of NESHAP regulations were calculated using data provided in the Federal Register Background Information Document (BID) for this regulation. The BID provides the levels of control measures in place before the enactment of regulation. The emissions reductions were estimated by analyzing the portion of the industry without control measures already in place that would be impacted by the regulation. CH_4 emission reductions from federal regulations, such as NESHAP, are summarized in Table A-144. In addition to the NESHAP applicable to natural gas, the Inventory reflects the 2012 New Source Performance Standards (NSPS) subpart OOOO for oil and gas, through the use of a net factor approach that captures shifts to lower emitting technologies. By separating gas well completions and workovers with hydraulic fracturing into four categories and developing control technology-specific methane emission factors for each category, EPA is implicitly accounting for subpart OOOO reductions from hydraulically fractured gas wells. This is also the case for high bleed pneumatic controllers in the production segment.

Step 3: Calculate Net Methane and Carbon Dioxide Emissions for Each Activity for Each Year

Annual CH_4 emissions and CO_2 emissions for each source were estimated by multiplying the activity data for each year by the corresponding emission factor. These annual emissions for each activity were then summed to estimate the total annual CH_4 and CO_2 emissions, respectively. As a final step, the relevant Natural Gas STAR reductions data from each segment is summed for each year and deducted from the total emissions to estimate net CH_4 emissions for the Inventory.

The same procedure for estimating CH_4 emissions holds true for estimating non-energy related CO_2 emissions, except the emission estimates are not adjusted for reductions due to the Natural Gas STAR program or regulations.

Produced natural gas is composed of primarily CH_4, but as shown in Table A-150, the natural gas contains, in some cases, as much as 8 percent CO_2. The same vented and fugitive natural gas that led to CH_4 emissions also contains a certain volume of CO_2. Accordingly, the CO_2 emissions for each sector can be estimated using the same activity data for these vented and fugitive sources. The emission factors used to estimate CH_4 were also used to calculate non-combustion CO_2 emissions. The Gas Technology Institute's (GTI, formerly GRI) Unconventional Natural Gas and Gas Composition Databases (GTI 2001) were used to adapt the CH_4 emission factors into non-combustion related CO_2 emission factors. Additional information about CO_2 content in transmission quality natural gas was obtained from numerous U.S. transmission companies to help further develop the non-combustion CO_2 emission factors. For the CO_2 content used to develop CO_2 emission factors from CH_4 potential factors, see Table A-150. The detailed source emission estimates for CH_4 and CO_2 from the production sector are presented in Table A-145 and Table A-154, respectively.

In the processing sector, the CO_2 content of the natural gas remains the same as the CO_2 content in the production sector for the equipment upstream of the acid gas removal unit because produced natural gas is usually only minimally treated after being produced and then transported to natural gas processing plants via gathering pipelines. The CO_2 content in gas for the remaining equipment that is downstream of the acid gas removal is the same as in pipeline quality gas. The EPA/GRI study estimates the average CH_4 content of natural gas in the processing sector to be 87 percent CH_4. Consequently, the processing sector CO_2 emission factors were developed using CH_4 emission factors, proportioned to

reflect the CO_2 content of either produced natural gas or pipeline quality gas using the same methodology as the production sector. The detailed source emission estimates for CH_4 and CO_2 from the processing sector are presented in Table A-146 and Table A-152, respectively.

For the transmission sector, CO_2 content in natural gas transmission pipelines was estimated for the top 20 transmission pipeline companies in the United States (separate analyses identified the top 20 companies based on gas throughput and total pipeline miles). The weighted average CO_2 content in the transmission pipeline quality gas in both cases—total gas throughput and total miles of pipeline—was estimated to be about 1 percent. To estimate the CO_2 emissions for the transmission sector, the CH_4 emission factors were proportioned from the 93.4 percent CH_4 reported in EPA/GRI (1996) to reflect the 1 percent CO_2 content found in transmission quality natural gas. The detailed source emissions estimates for CH_4 and CO_2 for the transmission sector are presented in Table A-147 and Table A-153, respectively.

The natural gas in the distribution sector of the system has the same characteristics as the natural gas in the transmission sector. The CH_4 content (93.4 percent) and CO_2 content (1 percent) are identical to transmission segment contents due to the absence of any further treatment between sector boundaries. Thus, the CH_4 emissions factors were converted to CO_2 emission factors using the same methodology as discussed for the transmission sector. The detailed source emission estimates for CH_4 and CO_2 for the distribution sector are presented in Table A-148 and Table A-154, respectively.

Three exceptions to this methodology are CO_2 emissions from flares, CO_2 from acid gas removal units, and CO_2 from condensate tanks. In the case of flare emissions, a direct CO_2 emission factor from EIA (1996) was used. This emission factor was applied to the portion of offshore gas that is not vented and all of the gas reported as vented and flared onshore by EIA, including associated gas. The amount of CO_2 emissions from an acid gas unit in a processing plant is equal to the difference in CO_2 concentrations between produced natural gas and pipeline quality gas applied to the throughput of the plant. This methodology was applied to the national gas throughput using national average CO_2 concentrations in produced gas (3.45 percent) and transmission quality gas (1 percent). Data were unavailable to use annual values for CO_2 concentration. For condensate tanks, a series of E&P Tank (EPA 1999) simulations provide the total CO_2 vented per barrel of condensate throughput from fixed roof tank flash gas for condensate gravities of API 45 degree and higher. The ratios of emissions to throughput were used to estimate the CO_2 emission factor for condensate passing through fixed roof tanks.

Table A-134 through Table A-138 display the 2012 activity data, CH_4 emission factors, and calculated potential CH_4 emissions for each stage.

The tables provide references for emission factors and activity data in footnotes (the lettered footnotes). The tables also provide information on which method was used for supplying activity data for 2014 (the numbered footnotes).

<u>Key to table notations on methods for supplying activity data for 2014 for all tables</u>:

1. Ratios relating other factors for which activity data are available.
2. Activity data for 2014 available from source.
3. Activity data were held constant from 1990 through 2014 based on EPA (1999).

Table A-134: 2014 Data and Calculated CH_4 Potential Emissions (Mg) for the Natural Gas Production Stage

Activity	2014 EPA Inventory Values		
	National Activity Data	National Emission Factor or Range of Regional Values (Potential)[aa]	Calculated Potential (Mg)[bb]
Gas Wells			
Associated Gas Wells	503,873 wells[a,1,cc]	NA[dd]	0.0
Non-associated Gas Wells (less fractured wells)	205,363 wells[a,1]	7.43-42.49 scfd/well[b]	17,754.5
Gas Wells with Hydraulic Fracturing	250,777 wells[a,1]	7.59-42.49 scfd/well[b]	35,085.1
Well Pad Equipment			
Heaters	99,038 heaters[c,d,2]	14.87-67.29 scfd/heater[b]	23,953.4
Separators	306,377 separators[c,d,2]	0.94-142.27 scfd/separator[b]	118,591.2
Dehydrators	17,126 dehydrators[c,d,2]	23.18-106.25 scfd/dehydrator[b]	8,417.5
Meters/Piping	523,885 meters[c,d,2]	9.43-61.68 scfd/meter[b]	107,173.2
Compressors	48,518 compressors[c,d,2]	263.85-312.19 scfd/compressor[b]	96,170.6
Gathering and Boosting			
Gathering and Boosting Stations*	4,999 stations[e,2]	53,066 scfd CH4/station[e]	1,864,870.3
Pipeline Leaks	431,051 miles[f,2]	52.38-61.97 scfd/mile[b]	169,701.4
Drilling, Well Completion, and Well Workover			
Gas Well Completions without Hydraulic Fracturing	767 completions/year[g]	707.23-854.65 scf/completion[b]	11.3
Gas Well Workovers without Hydraulic Fracturing	8,933 workovers/year[a,2]	2,367.7-2,861.3 scf/workover[b]	445.8
Hydraulic Fracturing Completions and Workovers that vent*	1,791 completions and workovers/year[c]	36.82 MT/(completion or workover)[h]	65,940.7
Flared Hydraulic Fracturing Completions and Workovers*	548 completions and workovers/year[c]	4.91 MT/(completion or workover)[h]	2,690.6
Hydraulic Fracturing Completions and Workovers with RECs*	1,043 completions and workovers/year[c]	3.24 MT/(completion or workover)[h]	3,379.6
Hydraulic Fracturing Completions and Workovers with RECs that flare*	1,979 completions and workovers/year[c]	4.88 MT/(completion or workover)[h]	9,653.4
Well Drilling	18,837 wells[i,1]	2,505.9-2,965.0 scf/well[j]	971.6
Normal Operations			
Pneumatic Device Vents*	834,919 controllers[c,d,2]	176.74-209.12 scfd/device[c,d]	1,105,119.0
Pneumatic Device Vents - Low Bleed (LB)	226,280 controllers[c,d,2]	22.52-26.64 scfd/device[c,d]	Aggregated
Pneumatic Device Vents - High Bleed (HB)	29,006 controllers[c,d,2]	612.66-724.91 scfd/device[c,d]	Aggregated
Pneumatic Device Vents - Intermittent Bleed (IB)	579,633 controllers[c,d,2]	215.13-254.55 scfd/device[c,d]	Aggregated
Chemical Injection Pumps*	83,249 active pumps[c,d,2]	208.89-252.30 scfd/pump[c,d]	128,876.5
Kimray Pumps	5,012,753 MMscf/yr[b,2]	977.5-1,156.6 scf/MMscf[b]	100,857.2
Dehydrator Vents	5,625,985 MMscf/yr[b,2]	271.58-321.34 scf/MMscf[b]	31,448.3
Condensate Tank Vents			
Condensate Tanks without Control Devices	139 MMbbl/yr[k,1]	21.87-302.75 scf/bbl[l,]	253,092.6
Condensate Tanks with Control Devices*	139 MMbbl/yr[k,1]	4.37-60.55 scf/bbl[l]	50,618.5
Compressor Exhaust Vented			
Gas Engines	51,648 MMHPhr[b,2]	0.237-0.280 scf/HPhr[b]	249,756.3
Well Clean Ups			
Liquids Unloading with Plunger Lifts*	22,477 venting wells[a,m,2]	2,856-1,137,406 scfy/venting well[m,]	112,568.8
Liquids Unloading without Plunger Lifts*	37,912 venting wells[a,m,2]	77,891-2,002,960 scfy/venting well[m,]	148,075.1
Blowdowns			
Vessel Blowdowns	422,542 vessels[b,2]	76.86-90.94 scfy/vessel[b]	668.5
Pipeline Blowdowns	431,051 miles (gathering)[b,2]	304.49-360.28 scfy/mile[b]	2,702.9
Compressor Blowdowns	48,518 compressors[b,2]	3,719-4,400 scfy/compressor[b]	3,713.8
Compressor Starts	48,518 compressors[b,2]	8,320-9,844 scfy/compressor[b]	8,308.4
Upsets			
Pressure Relief Valves	1,015,507 PRV[b,2]	33.50-39.64 scfy/PRV[b]	700.3
Mishaps	107,763 miles[f,2]	659.24-780.03 scf/mile[b]	1,463.0
Produced Water from Coal Bed Methane Wells			
Black Warrior	5,480 wells[o]	0.0023 kt/well[o,1]	12,790.5
Powder River	20,596,530,150 gal produced water[n]	2.3E-09 kt/gal water drainage[n,1]	47,627.3
Offshore Platforms			
Shallow Water Gas Platforms (Gulf of Mexico and Pacific)	1,973 shallow water gas platforms[p,3]	8,899 scfd/platform[q]	123,460.0

Deep Water Gas Platforms (Gulf of Mexico and Pacific)	deep water gas 41 platforms[p,3]	93,836 scfd/platform[q]	27,105.3
Regulatory Reductions (kt)			**(91.4)**
Voluntary Reductions (kt)			**(483.2)**
Total Reductions (kt)			**(574.6)**
Total Potential Emissions (kt)			**4,933.8**
Total Net Emissions (kt)			**4,359.2**

*The values in this table are net emissions for these sources.
[a] DI Desktop (2015)
[b] EPA/GRI (1996), Methane Emissions from the Natural Gas Industry
[c] 2014 GHGRP – Subpart W data
[d] EPA (2016a)
[e] EPA (2016b)
[f] ICF (1996), Estimation of Activity Factors for the Natural Gas Exploration and Production Industry in the U.S.
[g] API/ICF Memorandum (1997)
[h] 2011-2014 GHGRP – Subpart W data
[i] EIA Monthly Energy Review
[j] Radian (1992), Global Emissions of Methane Sources
[k] EIA U.S. Crude Oil, Natural Gas, and Natural Gas Liquids Reserves Annual Report
[l] EP&P/API Tank Calc runs
[m] API/ANGA (2012), Characterizing Pivotal Sources of Methane Emission from Natural Gas Production – Summary and Analysis of API and ANGA Survey
[n] Wyoming Oil and Gas Conservation Commission (2015)
[o] Alabama State Oil and Gas Board (2015)
[p] Bureau of Ocean Energy Management, Regulation and Enforcement (2011)
[q] EPA (2015), Inventory of U.S. Greenhouse Gas Emissions and Sinks 1990-2013: Revision to Offshore Platform Emissions
[bb] Totals may not sum due to independent rounding.
[cc] Emissions from oil wells that produce associated gas are estimated in the Petroleum Systems model. In the Natural Gas Systems model, the oil wells counts are used as a driver only.
[dd] NA = not applicable (i.e., this data is not applicable for the Natural Gas Systems model).
[1] Activity data for 2014 available from source.
[2] Ratios relating other factors for which activity data are available.
[3] 2013 activity data are used to determine some or all of the 2014 activity.
*The values in this table are net emissions for these sources.

Table A-135: U.S. Activity Data for Hydraulic Fracturing (HF) Completions and Workovers split by 4 categories

Activity	1990	2005	2009	2010	2011[a]	2012[a]	2013[a]	2014[a]
HF Completions and Workovers that vent	3,920	10,303	5,865	5,373	4,640	3,109	1,677	1,791
HF Completions and Workovers that flare	436	1,493	1,124	1,120	1,386	703	835	548
HF Completions and Workovers with RECs	0	2,353	3,187	3,528	3,884	3,413	3,156	1,043
HF Completions and Workovers with RECs that flare	0	784	1,062	1,176	1,295	1,911	2,117	1,979
Total	**4,355**	**14,933**	**11,238**	**11,196**	**11,204**	**9,136**	**7,785**	**5,361**

[a] 2011, 2012, 2013, 2014 GHGRP - Subpart W data

Table A-136: 2014 Data and CH_4 Emissions (Mg) for the Natural Gas Processing Stage

	2014 EPA Inventory Values				
Activity	**Activity Data**		**Emission Factor (Potential)[aa]**		**Calculated Potential Emissions (Mg)**
Normal Fugitives					
Plants	668	plants[a,1]	7,906	scfd/plant[b]	37,126.4
Reciprocating Compressors	6,020	compressors[c,2]	11,196	scfd/compressor[b]	473,828.9
Centrifugal Compressors (wet seals)	665	compressors[d,2]	51,370	scfd/compressor[d]	240,031.0
Centrifugal Compressors (dry seals)	306	compressors[d,2]	25,189	scfd/compressor[d]	54,117.2
Vented and Combusted					
Gas Engines	43,251	MMHPhr[c,2]	0.24	scf/HPhr[b]	199,922.8
Gas Turbines	51,283	MMHPhr[c,2]	0.01	scf/HPhr[b]	5,630.0
AGR Vents	339	AGRunits[b,2]	6,083	scfd/AGR[b]	14,477.9
Kimray Pumps	1,566,830	MMscf/yr[c,2]	177.75	scf/MMscf[b]	5,364.0
Dehydrator Vents	14,115,586	MMscf/yr[c,2]	121.55	scf/MMscf[b]	33,045.3
Pneumatic Controllers	668	gasplants[a,1]	164,721	scfy/plant[b]	2,119.2
Routine Maintenance					
Blowdowns/Venting	668	gasplants[a,1]	4,060	Mscfy/plant[b]	52,234.7
Regulatory Reductions (kt)					**(17.5)**
Voluntary Reductions (kt)					**(140.8)**
Total Reductions (kt)					**(158.3)**
Total Potential Emissions (kt)					**1,117.9**
Total Net Emissions (kt)					**959.6**

[a] Oil and Gas Journal
[b] EPA/GRI (1996), Methane Emissions from the Natural Gas Industry
[c] ICF (2008), Natural Gas Model Activity Factor Basis Change
[d] ICF (2010), Emissions from Centrifugal Compressors
[aa] Emission factors listed in this table are for potential emissions (unless otherwise indicated in a footnote). See detailed explanation of methodology above.
[1] Activity data for 2014 available from source.
[2] Ratios relating other factors for which activity data are available.

Table A-137: 2014 Data and CH_4 Emissions (Mg) for the Natural Gas Transmission Stage

Activity	2014 EPA Inventory Values: Activity Data		Emission Factor (Potential)[aa]		Calculated Potential Emissions (Mg)
Fugitives					
Pipeline Leaks	301,748	miles[a]	1.55	scfd/mile[b]	3,296.3
*Compressor Stations (Transmission)**					
Station Total Emissions	1,834	stations[c,d,2]	44,459	scfd/station[c,d,bb]	573,179.2
Station + Compressor Fugitive Emissions	5,221	compressors[c,d,2]	9,104	scfd/station[c,d,bb]	117,370.9
Reciprocating Compressor	2,173	compressors[c,d,2]	9,246	scfd/compressor[c,d,bb]	339,361.9
Centrifugal Compressor (wet seals)	869	compressors[c,d,2]	9,673	scfd/compressor[c,d,bb]	59,092.2
Centrifugal Compressor (dry seals)	1,304	compressors[c,d,2]	6,259	scfd/compressor[c,d,bb]	57,354.2
*Compressor Stations (Storage)**					
Station Total Emissions	356	stations[c,d,2]	52,604	scfd/station[c,d,bb]	131,647.9
Station + Compressor Fugitive Emissions	356	stations[c,d,2]	10,100	scfd/station[c,d,bb]	25,276.0
Reciprocating Compressor	1,520	compressors[c,d,2]	9,957	scfd/compressor[c,d,bb]	106,371.9
Wells (Storage)	19,522	wells[b,2]	114.50	scfd/well[b]	15,714.0
M&R (Trans. Co. Interconnect)	2,686	stations[e,2]	3,984	scfd/station[b]	75,230.0
M&R (Farm Taps + Direct Sales)	79,646	stations[e,2]	31.20	scfd/station[b]	17,468.9
Normal Operation					
Dehydrator vents (Transmission)	1,169,007	MMscf/yr[b,2]	93.72	scf/MMscf[b]	2,110.1
Dehydrator vents (Storage)	2,169,267	MMscf/yr[b,2]	117.18	scf/MMscf[b]	4,895.8
Compressor Exhaust					
Engines (Transmission)	53,295	MMHPhr[b,2]	0.24	scf/HPhr[b]	246,351.2
Turbines (Transmission)	12,717	MMHPhr[b,2]	0.01	scf/HPhr[b]	1,396.1
Engines (Storage)	5,339	MMHPhr[b,2]	0.24	scf/HPhr[b]	24,677.0
Turbines (Storage)	1,875	MMHPhr[b,2]	0.01	scf/HPhr[b]	205.9
Generators (Engines)	2,608	MMHPhr[b,2]	0.24	scf/HPhr[b]	12,055.2
Generators (Turbines)	31	MMHPhr[b,2]	0.01	scf/HPhr[b]	3.4
*Pneumatic Devices Trans + Stor**					
Pneumatic Devices Transmission	47,140	devices[c,d,2]	30,611	scfy/device[c,d,bb]	27,792.1
(High Bleed)	4,129	devices[c,d,2]	151,969	scfy/device[c,d,bb]	12,085.2
(Intermittent Bleed)	39,216	devices[c,d,2]	19,712	scfy/device[c,d,bb]	14,888.7
(Low Bleed)	3,795	devices[c,d,2]	11,196	scfy/device[c,d,bb]	818.2
Pneumatic Devices Storage	23,964	devices[c,d,2]	63,622	scfy/device[c,d,bb]	29,364.5
(High Bleed)	8,379	devices[c,d,2]	147,983	scfy/device[c,d,bb]	23,882.0
(Intermittent Bleed)	13,482	devices[c,d,2]	19,333	scfy/device[c,d,bb]	5,020.1
(Low Bleed)	2,103	devices[c,d,2]	11,414	scfy/device[c,d,bb]	462.3
Routine Maintenance/Upsets					
Pipeline venting	301,748	miles[a,1]	31.65	Mscfy/mile[b]	183,939.2
Station venting Trans + Storage					
Station Venting Transmission	1,834	compressor stations [c,d,2]	4,359	Mscfy/station[b]	153,965.5
Station Venting Storage	356	compressor stations [c,d,2]	4,359	Mscfy/station[b]	29,887.7
LNG Storage					
LNG Stations	70	stations[f,g,3]	21,507	scfd/station[b]	10,622.8
LNG Reciprocating Compressors	270	compressors[f,g,3]	21,116	scfd/compressor[b]	40,146.5
LNG Centrifugal Compressors	64	compressors[f,g,3]	30,573	scfd/compressor[b]	13,766.0
LNG Compressor Exhaust					
LNG Engines	579	MMHPhr[f,g,3]	0.24	scf/HPhr[b]	2,677.7
LNG Turbines	113	MMHPhr[f,g,3]	0.01	scf/HPhr[b]	12.4
LNG Station venting	70	stations[f,g,3]	4,359	Mscfy/station[b]	5,898.6
LNG Import Terminals					
LNG Stations	8	stations[f,g,3]	21,507	scfd/station[b]	1,270.0
LNG Reciprocating Compressors	41	compressors[f,g,3]	21,116	scfd/compressor[b]	6,056.5
LNG Centrifugal Compressors	7	compressors[f,g,3]	30,573	scfd/compressor[b]	1,547.5
LNG Compressor Exhaust					
LNG Engines	303	MMHPhr[f,g,3]	0.24	scf/HPhr[b]	1,401.7

LNG Turbines	60	MMHPhr[f,g,3]	0.01	scf/HPhr[b]	6.6
LNG Station venting	8	stations[f,g,3]	4,359	Mscfy/station[b]	705.2
Regulatory Reductions (kt)					-
Voluntary Reductions (kt)					**(335.0)**
Total Reductions (kt)					**(335.0)**
Total Potential Emissions (kt)					**1,617.3**
Total Net Emissions (kt)					**1,282.3**

[a] Pipeline and Hazardous Materials Safety Administration (PHMSA), Office of Pipeline Safety (OPS) (2014)
[b] EPA/GRI (1996), Methane Emissions from the Natural Gas Industry
[c] EPA (2016c)
[d] 2014 GHGRP – Subpart W data
[e] ICF (2008), Natural Gas Model Activity Factor Basis Change
[f] ICF (1996), Estimation of Activity Factors for the Natural Gas Exploration and Production Industry in the U.S.
[g] EIA (2004), U.S. LNG Markets and Uses
[1] Activity data for 2014 available from source.
[2] Ratios relating other factors for which activity data are available.
[3] 2013 activity data are used to determine some or all of the 2014 activity (to be updated).
[aa] Emission factors listed in this table are for potential emissions (unless otherwise indicated in a footnote). See detailed explanation of methodology above.
[bb] Emission factors represent actual emissions and can be used to calculate emissions directly.
*The values in this table are net emissions for these sources.

Table A-138: 2014 Data and CH_4 Emissions (Mg) for the Natural Gas Distribution Stage

	2014 EPA Inventory Values				
Activity	**Activity Data**		**Emission Factor (Potential)[aa]**		**Calculated Potential Emissions (Mg)**
Pipeline Leaks*					
Mains—Cast Iron	29,359	miles[a,1]	60.09	Mscf/mile-yr[c,bb]	33,976.2
Mains—Unprotected steel	58,520	miles[a,1]	44.72	Mscf/mile-yr[c,bb]	50,404.7
Mains—Protected steel	486,432	miles[a,1]	5.02	Mscf/mile-yr[c,bb]	47,061.8
Mains—Plastic	690,029	miles[a,1]	1.50	Mscf/mile-yr[c,bb]	19,905.5
Services—Unprotected steel	3,432,641	services[a,1]	0.75	Mscf/service[c,bb]	49,727.5
Services Protected steel	14,588,827	services[a,1]	0.07	Mscf/service[c,bb]	18,896.3
Services—Plastic	46,755,197	services[a,1]	0.01	Mscf/service[c,bb]	12,296.3
Services—Copper	935,595	services[a,1]	0.25	Mscf/service[b]	4,582.8
Meter/Regulator (City Gates)*					
M&R >300	3,952	stations[c,d,2]	12.70	scfh/station[c,d,bb]	8,468.2
M&R 100-300	14,423	stations[c,d,2]	5.90	scfh/station[c,d,bb]	14,356.7
M&R <100	7,709	stations[c,d,2]	4.31	scfh/station[b]	5,605.7
Reg >300	4,321	stations[c,d,2]	5.15	scfh/station[c,d,bb]	3,754.4
R-Vault >300	1,501	stations[c,d,2]	0.30	scfh/station[c,d,bb]	76.0
Reg 100-300	13,071	stations[c,d,2]	0.85	scfh/station[c,d,bb]	1,874.6
R-Vault 100-300	3,473	stations[c,d,2]	0.30	scfh/station[c,d,bb]	175.8
Reg 40-100	39,225	stations[c,d,2]	0.97	scfh/station[c,d,bb]	6,419.5
R-Vault 40-100	20,573	stations[c,d,2]	0.30	scfh/station[c,d,bb]	1,041.3
Reg <40	16,633	stations[c,d,2]	0.13	scfh/station[b]	373.2
Customer Meters					
Residential	53,339,363	Outdoor meters[e]	77.31	scfy/meter[b,c]	79,424.1
Commercial/Industry	5,611,121	meters[e]	505.40	scfy/meter[b,c]	54,619.1
Routine Maintenance					
Pressure Relief Valve Releases	1,264,340	milemain[a,1]	0.05	Mscf/mile[b]	1,217.6
Pipeline Blowdown	2,168,588	miles[b,2]	0.10	Mscfy/mile[b]	4,260.2
Upsets					
Mishaps (Dig-ins)	2,168,588	miles[b,2]	1.59	Mscfy/mile[b]	66,409.5
Regulatory Reductions (kt)					-
Voluntary Reductions (kt)					(40.8)
Total Reductions (kt)					**(40.8)**
Total Potential Emissions (kt)					**484.9**
Total Net Emissions (kt)					**444.1**

[a] Pipeline and Hazardous Materials Safety Administration (PHMSA), Office of Pipeline Safety (OPS) (2013)
[b] EPA/GRI (1996), Methane Emissions from the Natural Gas Industry
[c] EPA (2016d)
[d] 2014 GHGRP – Subpart W data
[f] EIA (2015d, 2015e, 2015f) – Number of Consumers (Residential, Commercial, and Industrial)

[aa] Emission factors listed in this table are for potential emissions (unless otherwise indicated in a footnote). See detailed explanation of methodology above.
[bb] Emission factors represent actual emissions and can be used to calculate emissions directly.
[1] Activity data for 2014 available from source.
[2] Ratios relating other factors for which activity data are available.
*The values in this table are net emissions for these sources.

Table A-139: U.S. Production Sector CH_4 Content in Natural Gas by NEMS Region (General Sources)

	U.S. Region						
Year	North East	Midcontinent	Rocky Mountain	South West	West Coast	Gulf Coast	Lower 48 States
1990	84.0%	78.3%	67.0%	64.4%	75.3%	79.8%	n/a
1991	83.8%	78.7%	69.1%	67.1%	78.1%	80.1%	n/a
1992	83.5%	79.1%	71.4%	75.4%	80.8%	82.7%	n/a
1993	82.9%	79.9%	73.4%	76.1%	83.6%	84.1%	n/a
1994	82.0%	80.7%	75.5%	77.4%	86.4%	85.6%	n/a
1995	81.5%	81.6%	77.6%	79.0%	89.1%	87.2%	n/a
1996	81.2%	82.6%	80.5%	80.5%	91.9%	88.7%	84.2%
1997	80.3%	82.5%	80.4%	80.5%	91.9%	88.6%	84.1%
1998	81.0%	82.5%	80.5%	80.5%	91.9%	88.6%	84.2%
1999	80.5%	82.5%	80.4%	80.5%	91.9%	88.7%	84.2%
2000	80.8%	82.5%	80.2%	80.5%	91.9%	88.7%	84.0%
2001	80.3%	82.5%	79.5%	80.5%	91.9%	88.7%	83.8%
2002	80.4%	82.5%	79.3%	80.5%	91.9%	88.6%	83.5%
2003	76.4%	82.6%	79.1%	80.5%	91.9%	88.6%	83.2%
2004	80.4%	82.7%	79.0%	80.5%	91.9%	88.6%	83.4%
2005	80.1%	82.7%	79.0%	80.5%	91.9%	88.6%	83.4%
2006	79.5%	83.0%	78.9%	80.5%	91.9%	88.6%	83.4%
2007	85.8%	82.7%	77.5%	80.5%	91.9%	88.6%	83.9%
2008	86.0%	82.7%	77.7%	80.5%	91.9%	88.5%	83.9%
2009	85.1%	82.7%	77.5%	80.5%	91.9%	88.5%	83.6%
2010	84.3%	82.8%	77.4%	80.5%	91.9%	88.3%	83.4%
2011	85.2%	82.6%	77.5%	80.5%	91.9%	88.2%	83.3%
2012	84.8%	82.5%	78.2%	80.5%	91.9%	88.2%	83.0%
2013	84.2%	82.5%	77.9%	80.5%	91.9%	88.9%	83.0%
2014	84.0%	82.4%	77.7%	80.5%	91.9%	88.9%	82.7%

Table A-140: U.S. Production Sector CH_4 Content in Natural Gas by NEMS Region (Gas Wells Without Hydraulic Fracturing)

	U.S. Region						
Year	North East	Midcontinent	Rocky Mountain	South West	West Coast	Gulf Coast	Lower 48 States
1990	84.0%	78.3%	67.0%	64.4%	75.3%	79.8%	n/a
1991	83.8%	78.7%	69.1%	67.1%	78.1%	80.1%	n/a
1992	83.5%	79.1%	71.4%	75.4%	80.8%	82.7%	n/a
1993	82.9%	79.9%	73.4%	76.1%	83.6%	84.1%	n/a
1994	82.0%	80.7%	75.5%	77.4%	86.4%	85.6%	n/a
1995	81.5%	81.6%	77.6%	79.0%	89.1%	87.2%	n/a
1996	81.2%	82.5%	79.6%	80.5%	91.9%	88.6%	84.0%
1997	80.5%	82.5%	79.5%	80.5%	91.9%	88.6%	83.9%
1998	81.2%	82.5%	79.5%	80.5%	91.9%	88.6%	84.0%
1999	80.7%	82.5%	79.5%	80.5%	91.9%	88.7%	83.9%
2000	81.0%	82.5%	79.2%	80.5%	91.9%	88.7%	83.8%
2001	80.4%	82.5%	78.3%	80.5%	91.9%	88.6%	83.5%
2002	80.5%	82.5%	78.1%	80.5%	91.9%	88.6%	83.2%
2003	76.5%	82.6%	77.9%	80.5%	91.9%	88.6%	82.9%
2004	80.5%	82.6%	77.8%	80.5%	91.9%	88.6%	83.1%
2005	80.3%	82.7%	77.7%	80.5%	91.9%	88.6%	83.1%
2006	79.6%	83.0%	77.7%	80.5%	91.9%	88.6%	83.1%
2007	85.6%	82.7%	75.8%	80.5%	91.9%	88.6%	83.5%
2008	85.6%	82.7%	76.0%	80.5%	91.9%	88.5%	83.5%
2009	84.7%	82.7%	75.8%	80.5%	91.9%	88.5%	83.2%
2010	83.8%	82.8%	75.6%	80.5%	91.9%	88.3%	82.9%
2011	85.0%	82.6%	75.8%	80.5%	91.9%	88.2%	82.8%

2012	84.4%	82.5%	76.7%	80.5%	91.9%	88.2%	82.5%
2013	83.9%	82.5%	76.3%	80.5%	91.9%	88.9%	82.4%
2014	83.6%	82.4%	76.0%	80.5%	91.9%	88.9%	82.1%

Table A-141: U.S. Production Sector CH_4 Content in Natural Gas by NEMS Region (Gas Wells With Hydraulic Fracturing)

	U.S. Region						
Year	North East	Midcontinent	Rocky Mountain	South West	West Coast	Gulf Coast	Lower 48 States
1990	84.0%	78.3%	67.0%	64.4%	75.3%	79.8%	n/a
1991	83.8%	78.7%	69.1%	67.1%	78.1%	80.1%	n/a
1992	83.5%	79.1%	71.4%	75.4%	80.8%	82.7%	n/a
1993	82.9%	79.9%	73.4%	76.1%	83.6%	84.1%	n/a
1994	82.0%	80.7%	75.5%	77.4%	86.4%	85.6%	n/a
1995	81.5%	81.6%	77.6%	79.0%	89.1%	87.2%	n/a
1996	83.2%	92.6%	74.4%	80.5%	91.9%	88.7%	82.1%
1997	83.1%	92.6%	74.9%	80.5%	91.9%	88.6%	82.1%
1998	83.1%	92.6%	75.5%	80.5%	91.9%	88.6%	82.3%
1999	83.1%	92.6%	75.3%	80.5%	91.9%	88.7%	81.9%
2000	83.0%	92.6%	76.4%	80.5%	91.9%	88.7%	82.5%
2001	83.0%	92.6%	78.9%	80.5%	91.9%	88.7%	83.6%
2002	83.0%	92.6%	80.5%	80.5%	91.9%	88.6%	84.4%
2003	83.1%	92.6%	81.4%	80.5%	91.9%	88.6%	84.9%
2004	83.0%	92.6%	81.7%	80.5%	91.9%	88.6%	85.2%
2005	83.0%	92.6%	82.0%	80.5%	91.9%	88.6%	85.3%
2006	83.0%	92.6%	82.6%	80.5%	91.9%	88.6%	85.7%
2007	83.5%	92.6%	86.5%	80.5%	91.9%	88.6%	88.7%
2008	84.1%	92.6%	86.3%	80.5%	91.9%	88.5%	88.4%
2009	84.1%	92.6%	86.8%	80.5%	91.9%	88.5%	88.7%
2010	84.3%	92.6%	86.8%	80.5%	91.9%	88.3%	89.0%
2011	83.6%	92.6%	87.9%	80.5%	91.9%	88.2%	89.4%
2012	84.0%	92.6%	87.6%	80.5%	91.9%	88.2%	89.1%
2013	83.9%	92.6%	88.1%	80.5%	91.9%	88.9%	89.3%
2014	84.2%	92.6%	88.8%	80.5%	91.9%	88.9%	90.0%

Table A-142: Key Activity Data Drivers

Variable	Units	1990	2005	2009	2010	2011	2012	2013	2014
Transmission Pipelines Length	**miles**	**291,925**	**300,468**	**304,573**	**304,805**	**305,058**	**303,341**	**302,777**	**301,748**
Wells									
NE—Associated Gas Wells[a,1]	# wells	28,397	32,769	37,141	41,541	42,634	45,314	43,781	45,349
NE—Non-associated Gas Wells [a,1]	# wells	64,620	128,114	148,980	155,754	158,294	158,666	158,017	160,692
MC—Associated Gas Wells [a,1]	# wells	50,789	43,686	46,561	46,189	46,053	48,547	50,142	46,830
MC—Non-associated Gas Wells [a,1]	# wells	62,473	85,656	101,654	101,457	102,687	101,312	99,609	100,655
RM—Associated Gas Wells [a,1]	# wells	23,419	29,425	37,769	39,888	43,427	47,547	50,523	53,964
RM—Non-associated Gas Wells [a,1]	# wells	22,737	61,832	79,083	78,607	79,427	78,918	76,848	75,745
SW—Associated Gas Wells [a,1]	# wells	308,254	220,818	227,313	232,151	236,087	244,444	247,386	253,329
SW—Non-associated Gas Wells [a,1]	# wells	32,665	42,806	48,949	49,056	49,351	48,812	48,901	49,023
WC—Associated Gas Wells [a,1]	# wells	16,627	18,131	28,600	28,095	28,467	28,756	29,578	30,071
WC—Non-associated Gas Wells [a,1]	# wells	2,130	2,293	2,788	2,672	2,738	2,732	2,516	2,424
GC—Associated Gas Wells [a,1]	# wells	141,216	62,003	60,856	60,263	61,432	66,369	69,685	74,330
GC—Non-associated Gas Wells [a,1]	# wells	34,084	53,202	67,185	69,274	70,701	70,148	68,600	67,601
Platforms[aa]									
Gulf of Mexico and Pacific OCS Off-shore Platforms [b,2]	# platforms	3,941	3,909	3,570	3,432	3,432	3,432	3,432	3,432
GoM and Pacific OCS Deep Water Platforms [b,2]	# platforms	17	59	68	70	70	70	70	70
Gas Plants[c,1]	**# gas plants**	**761**	**566**	**579**	**585**	**606**	**606**	**650**	**668**
Distribution Services	**# of services**	**47,883,083**	**61,832,574**	**64,498,352**	**64,079,565**	**64,774,921**	**65,057,667**	**65,554,546**	**65,712,260**
Steel—Unprotected [d,1]	# of services	7,633,526	5,507,356	5,221,143	4,226,682	4,142,891	3,917,081	3,669,003	3,432,641
Steel—Protected [d,1]	# of services	19,781,581	16,529,118	15,470,934	15,324,020	15,275,872	14,954,692	14,753,566	14,588,827
Plastic [d,1]	# of services	18,879,865	38,549,089	42,719,579	43,450,479	44,299,530	45,176,606	46,158,870	46,755,197
Copper [d,1]	# of services	1,588,111	1,247,011	1,086,696	1,078,384	1,056,628	1,009,288	973,107	935,595
Distribution Mains	**miles**	**944,157**	**1,162,560**	**1,218,148**	**1,228,099**	**1,237,203**	**1,245,694**	**1,253,316**	**1,264,340**
Cast Iron [d,1]	miles	58,292	39,645	35,623	34,592	33,669	32,406	30,904	29,359
Steel—Unprotected [d,1]	miles	108,941	72,458	67,758	67,443	64,981	63,703	60,619	58,520
Steel—Protected [d,1]	miles	465,538	490,156	488,352	488,718	488,810	487,646	486,771	486,432
Plastic [d,1]	miles	311,386	560,301	626,415	637,346	649,743	661,939	675,022	690,029

[a] DI Desktop (2015)
[b] Bureau of Ocean Energy Management, Regulation and Enforcement (2011)
[c] Oil and Gas Journal
[d] Pipeline and Hazardous Materials Safety Administration (PHMSA), Office of Pipeline Safety (OPS) (2014)
[1] Activity data for 2014 available from source.
[2] 2013 activity data are used to determine some or all of the 2014 activity (to be updated).
[aa] Number of platforms include both oil and gas platforms

Table A-143: CH_4 Reductions Derived from the Natural Gas STAR Program (kt)

Process	1990	2005	2009	2010	2011	2012	2013	2014
Production	**(3.5)**	**(253.8)**	**(349.1)**	**(393.9)**	**(427.6)**	**(421.4)**	**(446.7)**	**(483.2)**
Pipeline Leaks	(0.0)	(2.4)	-	-	-	-	-	-
Gas Engines	(0.0)	(97.9)	(123.1)	(133.3)	(137.2)	(140.0)	(140.5)	(141.0)
Compressor Starts	-	(0.2)	(0.4)	(0.5)	(0.5)	(0.5)	(0.5)	(0.5)
Other Production	(3.5)	(155.7)	(225.6)	(260.0)	(289.9)	(280.9)	(305.7)	(341.7)
Processing	**(1.5)**	**(155.5)**	**(137.6)**	**(214.3)**	**(140.4)**	**(140.4)**	**(140.7)**	**(140.8)**
Fugitives Reciprocating Compressors	-	-	-	(1.0)	-	-	(0.1)	(0.1)
Gas Engines	-	(1.1)	(6.0)	(6.1)	(6.1)	(6.1)	(6.1)	(6.1)
AGR Vents	-	-	-	-	-	-	-	-
Dehydrator Vents	(1.3)	(2.1)	(9.3)	(9.3)	(9.3)	(9.3)	(9.3)	(9.3)
Other Processing	(0.2)	(152.2)	(122.2)	(197.9)	(125.0)	(125.0)	(125.2)	(125.2)
Transmission and Storage	**-**	**(496.2)**	**(379.0)**	**(433.2)**	**(341.9)**	**(375.4)**	**(331.8)**	**(335.0)**
Engines (Transmission)	-	(83.2)	(116.2)	(119.4)	(121.7)	(124.0)	(126.9)	(129.8)
Pipeline Vents (Transmission)	-	(124.9)	(88.7)	(128.2)	(58.9)	(100.1)	(59.4)	(59.4)
Other Transmission	-	(288.1)	(174.1)	(185.6)	(161.3)	(151.2)	(145.5)	(145.8)
Distribution	**-**	**(48.3)**	**(63.9)**	**(54.5)**	**(58.0)**	**(45.1)**	**(40.5)**	**(40.8)**
Mishaps (Dig-ins)	-	(0.3)	(0.8)	(0.8)	(4.7)	(0.7)	(0.8)	(0.8)
Other Distribution	-	(48.0)	(63.1)	(53.7)	(53.3)	(44.4)	(39.6)	(40.0)
Total	**(5.0)**	**(953.8)**	**(929.5)**	**(1,095.9)**	**(967.9)**	**(982.4)**	**959.7)**	**999.8)**

Table A-144: CH_4 Reductions Derived from Regulations (kt)

Process	1990	2005	2009	2010	2011	2012	2013	2014
Production	**NA**	**(45.9)**	**(55.1)**	**(94.3)**	**(71.7)**	**(79.6)**	**(93.6)**	**(91.4)**
Dehydrator vents (NESHAP)	NA	(14.0)	(10.9)	(10.5)	(10.3)	(10.2)	(10.1)	(10.1)
Condensate tanks (NESHAP)	NA	(31.9)	(44.2)	(83.8)	(61.4)	(69.4)	(83.6)	(81.3)
Processing	**(0.0)**	**(12.1)**	**(13.9)**	**(14.4)**	**(15.5)**	**(16.3)**	**(16.4)**	**(17.5)**
Dehydrator vents (NESHAP)	(0.0)	(12.1)	(13.9)	(14.4)	(15.5)	(16.3)	(16.4)	(17.5)
Transmission and Storage	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**
Distribution	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**
Total	**(0.0)**	**(58.0)**	**(69.1)**	**(108.7)**	**(87.2)**	**(95.9)**	**(110.1)**	**(108.9)**

NA Not applicable

Note: Totals may not sum due to independent rounding.

Table A-145: National CH_4 Potential Emission Estimates from the Natural Gas Production Stage, and Reductions from the Natural Gas STAR Program and Regulations (kt)

Activity	1990	2005	2009	2010	2011	2012	2013	2014
Normal Fugitives								
Gas Wells								
Associated Gas Wells	IE	IE	IE	IE	IE	IE	IE	IE
Non-associated Gas Wells (less fractured wells)	12.8	17.1	18.6	18.4	18.5	18.1	17.8	17.8
Gas Wells with Hydraulic Fracturing	7.8	25.3	34.3	34.7	35.5	35.5	35.0	35.1
Well Pad Equipment								
Heaters	13.0	21.0	23.8	24.4	24.6	24.5	24.1	24.0
Separators	42.4	95.4	119.9	120.9	122.7	122.1	120.0	118.6
Dehydrators	14.4	12.1	9.0	8.9	8.6	8.6	8.4	8.4
Meters/Piping	49.4	90.2	107.4	108.8	110.4	109.8	108.0	107.2
Compressors	33.9	74.4	92.4	96.2	98.1	97.4	96.0	96.2
Gathering and Boosting								
Gathering and Boosting Stations*	956.9	1,107.2	1,364.2	1,431.8	1,584.0	1,697.4	1,730.2	1,864.9
Pipeline Leaks	89.8	144.9	169.7	171.1	173.2	172.4	170.2	169.7
Vented and Combusted								
Drilling, Well Completion, and Well Workover								

Gas Well Completions without Hydraulic Fracturing	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Gas Well Workovers without Hydraulic Fracturing	0.3	0.4	0.5	0.5	0.5	0.5	0.4	0.4
Hydraulic Fracturing Completions and Workovers that vent*	144.3	379.4	216.0	197.8	170.9	114.5	61.7	65.9
Flared Hydraulic Fracturing Completions and Workovers*	2.1	7.3	5.5	5.5	6.8	3.4	4.1	2.7
Hydraulic Fracturing Completions and Workovers with RECs*	0.0	7.6	10.3	11.4	12.6	11.1	10.2	3.4
Hydraulic Fracturing Completions and Workovers with RECs that flare*	0.0	3.8	5.2	5.7	6.3	9.3	10.3	9.7
Well Drilling	0.7	1.6	1.0	1.0	1.0	1.0	1.0	1.0
Produced Water from Coal Bed Methane								
Powder River	0.0	50.0	54.0	50.6	47.2	47.0	47.3	47.6
Black Warrior	2.7	9.9	12.1	12.3	12.7	12.8	12.8	12.8
Normal Operations								
Pneumatic Controller Vents*	556.3	1,079.3	1,261.7	1,249.3	1,229.7	1,245.3	1,259.8	1,105.1
Low Bleed	0.0	23.0	40.0	43.5	47.0	40.7	24.5	38.3
High Bleed	335.9	483.7	469.6	436.4	398.9	352.9	193.9	133.5
Intermittent Bleed	220.5	572.5	752.0	769.4	783.9	851.8	1041.4	933.3
Chemical Injection Pumps*	29.2	96.0	129.5	133.4	131.5	130.6	128.7	128.9
Kimray Pumps	166.5	139.9	109.1	105.4	102.8	102.2	100.7	100.9
Dehydrator Vents	51.9	43.6	34.0	32.9	32.1	31.9	31.4	31.4
Condensate Tank Vents								
Condensate Tanks without Control Devices	77.7	99.3	137.6	260.7	191.1	215.9	260.2	253.1
Condensate Tanks with Control Devices*	15.5	19.9	27.5	52.1	38.2	43.2	52.0	50.6
Compressor Exhaust Vented								
Gas Engines	116.9	208.5	253.0	254.3	257.4	255.3	250.9	249.8
Well Clean Ups (Liquids Unloading)								
Liquids Unloading - Vent Using Plungers*	0.0	69.2	106.6	113.2	115.0	114.1	112.1	112.6
Liquids Unloading - Vent Without Using Plungers*	805.9	636.9	276.2	149.0	151.6	151.0	148.4	148.1
Blowdowns								
Vessel BD	0.3	0.5	0.7	0.7	0.7	0.7	0.7	0.7
Pipeline BD	1.4	2.3	2.7	2.7	2.8	2.7	2.7	2.7
Compressor BD	1.3	2.9	3.6	3.7	3.8	3.8	3.7	3.7
Compressor Starts	2.9	6.4	8.0	8.3	8.5	8.4	8.3	8.3
Upsets								
Pressure Relief Valves	0.3	0.6	0.7	0.7	0.7	0.7	0.7	0.7
Mishaps	0.8	1.2	1.5	1.5	1.5	1.5	1.5	1.5
Offshore								
Shallow water Gas Platforms (GoM and Pacific)	134.8	149.3	129.1	123.5	123.5	123.5	123.5	123.5
Deepwater Gas Platforms (GoM and Pacific)	6.2	24.1	26.4	27.1	27.1	27.1	27.1	27.1
Regulatory Reductions (kt)	**-**	**(45.9)**	**(55.1)**	**(94.3)**	**(71.7)**	**(79.6)**	**(93.6)**	**(91.4)**
Voluntary Reductions (kt)	**(3.5)**	**(256.2)**	**(349.1)**	**(393.9)**	**(427.6)**	**(421.4)**	**(446.7)**	**(483.2)**
Total Reductions (kt)	**(3.5)**	**(302.1)**	**(404.2)**	**(488.2)**	**(499.3)**	**(501.0)**	**(540.4)**	**(574.6)**
Total Potential Emissions (kt)	**3,338.7**	**4,627.7**	**4,751.9**	**4,818.5**	**4,851.3**	**4,943.1**	**4,969.8**	**4,933.8**
Total Net Emissions (kt)	**3,335.1**	**4,325.5**	**4,347.7**	**4,330.4**	**4,352.0**	**4,442.1**	**4,429.4**	**4,359.2**

IE: Included Elsewhere. These emissions are included in the Petroleum Systems estimates.
*The values in this table are net emissions for these sources.
Note: Totals may not sum due to independent rounding.

Table A-146: Potential CH_4 Emission Estimates from the Natural Gas Processing Plants, and Reductions from the Natural Gas STAR Program and Regulations (kt)

Activity	1990	2005	2009	2010	2011	2012	2013	2014
Normal Fugitives								
Plants	42.3	31.5	32.2	32.5	33.7	33.7	36.1	37.1

Activity	1990	2005	2009	2010	2011	2012	2013	2014
Reciprocating Compressors	324.9	327.9	377.6	390.9	420.9	442.1	445.6	473.8
Centrifugal Compressors (wet seals)	240.3	229.2	232.9	233.9	236.1	237.7	237.9	240.0
Centrifugal Compressors (dry seals)	-	6.5	22.7	27.1	36.8	43.8	44.9	54.1
Vented and Combusted								
Compressor Exhaust								
Gas Engines	137.1	138.3	159.3	164.9	177.6	186.5	188.0	199.9
Gas Turbines	3.9	3.9	4.5	4.6	5.0	5.3	5.3	5.6
AGR Vents	16.5	12.3	12.5	12.7	13.1	13.1	14.1	14.5
Kimray Pumps	3.7	3.7	4.3	4.4	4.8	5.0	5.0	5.4
Dehydrator Vents	22.7	22.9	26.3	27.3	29.4	30.8	31.1	33.0
Pneumatic Controllers	2.4	1.8	1.8	1.9	1.9	1.9	2.1	2.1
Routine Maintenance								
Blowdowns/Venting	59.5	44.3	45.3	45.7	47.4	47.4	50.8	52.2
Regulatory Reductions (kt)	**-**	**(12.1)**	**(13.9)**	**(14.4)**	**(15.5)**	**(16.3)**	**(16.4)**	**(17.5)**
Voluntary Reductions (kt)	**(1.5)**	**(155.5)**	**(137.6)**	**(214.3)**	**(140.4)**	**(140.4)**	**(140.7)**	**(140.8)**
Total Reductions (kt)	**(1.5)**	**(167.6)**	**(151.5)**	**(228.8)**	**(155.9)**	**(156.8)**	**(157.2)**	**(158.3)**
Total Potential Emissions (kt)	**853.2**	**822.2**	**919.5**	**946.0**	**1,006.6**	**1,047.3**	**1,060.9**	**1,117.9**
Total Net Emissions (kt)	**851.8**	**654.6**	**768.0**	**717.2**	**850.7**	**890.5**	**903.7**	**959.6**

Note: Totals may not sum due to independent rounding.

Table A-147: Potential CH_4 Emission Estimates from the Natural Gas Transmission and Storage, and Reductions from the Natural Gas STAR Program and Regulations (kt)

Activity	1990	2005	2009	2010	2011	2012	2013	2014
Fugitives								
Pipeline Leaks	3.2	3.3	3.3	3.3	3.3	3.3	3.3	3.3
Compressor Stations (Transmission)*								
Station Total Emissions	1,098.4	667.5	549.6	521.1	493.1	496.0	536.0	573.2
Station + Compressor Fugitive Emissions	-	-	-	-	94.8	101.6	109.7	117.4
Reciprocating Compressor	-	-	-	-	301.6	293.7	317.2	339.4
Centrifugal Compressor (wet seals)	-	-	-	-	46.9	51.1	55.9	59.1
Centrifugal Compressor (dry seals)	-	-	-	-	49.8	49.7	53.2	57.4
Compressor Stations (Storage)*								
Station Total Emissions	245.6	163.9	146.0	140.9	136.5	131.0	131.6	131.6
Station + Compressor Fugitive Emissions	-	-	-	-	-	25.1	25.3	25.3
Reciprocating Compressor	-	-	-	-	-	105.8	106.4	106.4
Wells (Storage)	13.6	14.9	14.8	14.8	14.6	12.8	15.1	15.7
M&R (Trans. Co. Interconnect)	72.8	74.9	75.9	76.0	76.1	75.6	75.5	75.2
M&R (Farm Taps + Direct Sales)	16.9	17.4	17.6	17.6	17.7	17.6	17.5	17.5
Vented and Combusted								
Normal Operation								
Dehydrator vents (Transmission)	2.0	1.8	1.7	1.7	1.7	1.8	2.0	2.1
Dehydrator vents (Storage)	4.2	4.6	4.6	4.6	4.5	4.0	4.7	4.9
Compressor Exhaust								
Engines (Transmission)	176.9	203.1	211.4	222.3	225.9	235.6	241.3	246.4
Turbines (Transmission)	1.0	1.2	1.2	1.3	1.3	1.3	1.4	1.4
Engines (Storage)	21.3	23.4	23.2	23.2	22.9	20.1	23.8	24.7
Turbines (Storage)	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2
Generators (Engines)	8.7	9.9	10.3	10.9	11.1	11.5	11.8	12.1
Generators (Turbines)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Pneumatic Devices Trans + Stor*								
Pneumatic Devices Transmission	213.1	73.0	42.8	36.2	30.0	21.5	26.6	27.8
(High Bleed)	-	-	-	-	19.5	10.0	11.9	12.1
(Intermittent Bleed)	-	-	-	-	10.0	10.8	13.9	14.9
(Low Bleed)	-	-	-	-	0.5	0.6	0.7	0.8
Pneumatic Devices Storage	44.4	35.3	29.5	27.9	26.3	26.0	30.1	29.4
(High Bleed)	-	-	-	-	22.7	21.7	25.0	23.9
(Intermittent Bleed)	-	-	-	-	3.2	3.8	4.5	5.0
(Low Bleed)	-	-	-	-	0.4	0.4	0.6	0.5
Routine Maintenance/Upsets								
Pipeline venting	178.0	183.2	185.7	185.8	186.0	184.9	184.6	183.9
Station venting Trans + Storage								
Station Venting Transmission	145.5	131.0	126.6	125.5	124.4	133.2	143.9	154.0

Station Venting Storage	30.3	28.8	29.4	29.5	29.7	29.7	29.9	29.9
LNG Storage								
LNG Stations	9.2	10.6	10.6	10.6	10.6	10.6	10.6	10.6
LNG Reciprocating Compressors	34.5	40.1	40.1	40.1	40.1	40.1	40.1	40.1
LNG Centrifugal Compressors	11.8	13.8	13.8	13.8	13.8	13.8	13.8	13.8
LNG Compressor Exhaust								
LNG Engines	2.6	2.7	2.7	2.7	2.7	2.7	2.7	2.7
LNG Turbines	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
LNG Station venting	5.1	5.9	5.9	5.9	5.9	5.9	5.9	5.9
LNG Import Terminals								
LNG Stations	0.2	0.4	1.2	1.2	1.2	1.2	1.2	1.3
LNG Reciprocating Compressors	1.0	2.0	5.6	5.6	5.6	5.6	5.6	6.1
LNG Centrifugal Compressors	0.3	0.5	1.4	1.4	1.4	1.4	1.4	1.5
LNG Compressor Exhaust								
LNG Engines	1.7	12.2	8.8	8.4	6.9	3.6	2.1	1.4
LNG Turbines	0.0	0.1	0.1	0.0	0.0	0.0	0.0	0.0
LNG Station venting	0.1	0.2	0.6	0.6	0.6	0.6	0.6	0.7
Regulatory Reductions (kt)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Voluntary Reductions (kt)	**-**	**(496.2)**	**(379.0)**	**(433.2)**	**(341.9)**	**(375.4)**	**(331.8)**	**(335.0)**
Total Reductions (kt)	**-**	**(496.2)**	**(379.0)**	**(433.2)**	**(341.9)**	**(375.4)**	**(331.8)**	**(335.0)**
Total Potential Emissions (kt)	**2,342.6**	**1,725.9**	**1,564.6**	**1,533.2**	**1,493.8**	**1,491.8**	**1,563.3**	**1,617.3**
Total Net Emissions (kt)	**2,342.6**	**1,229.7**	**1,185.7**	**1,100.0**	**1,151.9**	**1,116.4**	**1,231.5**	**1,282.3**

*The values in this table are net emissions for these sources.
Note: Totals may not sum due to independent rounding.

Table A-148: Potential CH_4 Emission Estimates from the Natural Gas Distribution Stage, and Reductions from the Natural Gas STAR Program, and Regulations (kt)

Activity	1990	2005	2009	2010	2011	2012	2013	2014
Normal Fugitives								
Pipeline Leaks*								
Mains - Cast Iron	268.0	88.9	54.1	46.3	39.0	37.5	35.8	34.0
Mains - Unprotected steel	231.2	91.3	67.4	62.6	56.0	54.9	52.2	50.4
Mains - Protected steel	27.5	41.6	45.3	46.3	47.3	47.2	47.1	47.1
Mains - Plastic	59.4	44.8	28.8	23.8	18.7	19.1	19.5	19.9
Services - Unprotected steel	250.0	111.6	85.7	65.3	60.0	56.7	53.2	49.7
Services Protected steel	67.2	32.4	23.5	21.5	19.8	19.4	19.1	18.9
Services - Plastic	3.4	9.1	10.9	11.2	11.7	11.9	12.1	12.3
Services - Copper	7.8	6.1	5.3	5.3	5.2	4.9	4.8	4.6
Meter/Regulator (City Gates)*								
M&R >300	140.6	64.9	20.2	14.4	9.4	9.4	10.1	8.5
M&R 100-300	272.8	123.9	37.4	25.9	15.9	15.9	17.2	14.4
M&R <100	6.6	8.3	5.6	5.6	6.2	6.2	6.7	5.6
Reg >300	138.4	59.3	15.8	9.8	4.2	4.2	4.5	3.8
R-Vault >300	0.7	0.4	0.2	0.2	0.1	0.1	0.1	0.1
Reg 100-300	104.7	43.9	11.1	6.5	2.1	2.1	2.2	1.9
R-Vault 100-300	0.2	0.4	0.3	0.3	0.2	0.2	0.2	0.2
Reg 40-100	8.1	9.8	6.5	6.5	7.1	7.1	7.7	6.4
R-Vault 40-100	0.6	2.0	1.6	1.7	1.4	1.5	1.3	1.0
Reg <40	0.4	0.6	0.4	0.4	0.4	0.4	0.4	0.4
Customer Meters								
Residential	58.4	75.0	77.2	77.4	77.9	78.4	78.9	79.4
Commercial/Industry	43.4	52.6	53.8	53.5	53.6	54.0	54.2	54.6
Vented								
Routine Maintenance								
Pressure Relief Valve Releases	0.9	1.1	1.2	1.2	1.2	1.2	1.2	1.2
Pipeline Blowdown	3.0	3.9	4.1	4.1	4.2	4.2	4.2	4.3
Upsets								
Mishaps (Dig-ins)	47.4	60.1	63.9	64.4	64.9	65.5	65.8	66.4
Regulatory Reductions (kt)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Voluntary Reductions (kt)	**-**	**(48.3)**	**(63.9)**	**(54.5)**	**(58.0)**	**(45.1)**	**(40.5)**	**(40.8)**
Total Reductions (kt)	**-**	**(48.3)**	**(63.9)**	**(54.5)**	**(58.0)**	**(45.1)**	**(40.5)**	**(40.8)**
Total Potential Emissions (kt)	**1,740.7**	**931.9**	**620.2**	**554.1**	**506.5**	**501.9**	**498.5**	**484.9**

Total Net Emissions (kt)	**1,740.7**	**883.6**	**556.3**	**499.6**	**448.5**	**456.7**	**458.0**	**444.1**

*The values in this table are net emissions for these sources.
Note: Totals may not sum due to independent rounding.

Table A-149: Net Emissions for Select Sources (kt)

Stage/Activity	1990	2005	2009	2010	2011	2012	2013	2014
Production	**3,335.1**	**4,325.5**	**4,347.7**	**4,330.4**	**4,352.0**	**4,442.1**	**4,429.4**	**4,359.2**
Gathering Stations	956.9	1,107.2	1,364.2	1,431.8	1,584.0	1,697.4	1,730.2	1,864.9
Hydraulic Fracturing Completions and Workovers	146.5	398.2	237.0	220.5	196.6	138.3	86.4	81.7
Liquids Unloading	805.9	706.1	382.8	262.2	266.6	265.1	260.5	260.6
Dehydrator Vents	51.9	29.6	23.1	22.3	21.8	21.6	21.3	21.4
Condensate Tanks	93.2	87.3	121.0	229.1	167.9	189.8	228.6	222.4
Pipeline Leaks	89.8	142.5	169.7	171.1	173.2	172.4	170.2	169.7
Pneumatic Controller Vents	556.3	1,079.3	1,261.7	1,249.3	1,229.7	1,245.3	1,259.8	1,105.1
Chemical Injection Pumps	29.2	96.0	129.5	133.4	131.5	130.6	128.7	128.9
Gas Engines	116.9	110.6	130.0	120.9	120.2	115.3	110.4	108.8
Compressor Starts	2.9	6.2	7.6	7.8	8.0	7.9	7.8	7.8
Other Production	485.6	562.5	521.1	482.0	452.5	458.4	425.5	387.9
Processing	**851.8**	**654.6**	**768.0**	**717.2**	**850.7**	**890.5**	**903.7**	**959.6**
Fugitives								
Reciprocating Compressors	324.9	327.9	377.6	389.9	420.9	442.1	445.4	473.7
Gas Engines	137.1	137.2	153.3	158.9	171.5	180.4	181.9	193.8
AGR Vents	16.5	12.3	12.5	12.7	13.1	13.1	14.1	14.5
Dehydrator Vents	21.3	8.6	3.1	3.5	4.5	5.2	5.3	6.2
Other Processing	351.9	168.6	221.5	152.3	240.8	249.7	257.0	271.4
Transmission and Storage	**2,342.6**	**1,229.7**	**1,185.7**	**1,100.0**	**1,151.9**	**1,116.4**	**1,231.5**	**1,282.3**
Transmission Stations	1098.4	667.5	549.6	521.1	493.1	496.0	536.0	573.2
Station Fugitives	NA	NA	NA	NA	94.8	101.6	109.7	117.4
Reciprocating Compressors	NA	NA	NA	NA	301.6	293.7	317.2	339.4
Centrifugal Compressors (wet seals)	NA	NA	NA	NA	46.9	51.1	55.9	59.1
Centrifugal compressors (dry seals)	NA	NA	NA	NA	49.8	49.7	53.2	57.4
Transmission pneumatic controllers	213.1	73.0	42.8	36.2	30.0	21.5	26.6	27.8
Storage stations	245.6	163.9	146.0	140.9	136.5	131.0	131.6	131.6
Station fugitives	NA	NA	NA	NA	NA	25.1	25.3	25.3
Reciprocating compressors	NA	NA	NA	NA	NA	105.8	106.4	106.4
Storage pneumatic controllers	44.4	35.3	29.5	27.9	26.3	26.0	30.1	29.4
Engines (Transmission)	176.9	119.9	95.2	102.9	104.2	111.6	114.4	116.6
Pipeline Vents (Transmission)	178.0	58.3	97.0	57.6	127.0	84.8	125.2	124.6
Other Transmission and Storage	386.2	111.8	225.6	213.4	234.8	245.5	267.6	279.1
Distribution	**1,740.7**	**883.6**	**556.3**	**499.6**	**448.5**	**456.7**	**458.0**	**444.1**
Pipeline Leaks	914.6	425.8	320.9	282.4	257.6	251.6	243.7	236.9
M&R Stations	673.1	313.4	99.2	71.2	47.1	47.1	50.4	42.1
Mishaps (Dig-ins)	47.4	59.8	63.1	63.6	60.3	64.7	65.0	65.6
Other Distribution	105.6	84.6	73.1	82.4	83.5	93.3	98.9	99.5
Total	**8,270.2**	**7,093.5**	**6,857.6**	**6,647.1**	**6,803.1**	**6,905.8**	**7,022.7**	**7,045.2**

Note: This table presents net emissions for each natural gas system stage, and also presents net emissions for select emissions sources for which disaggregated Gas STAR data and/or regulation reduction data can be matched to an Inventory source category, and sources for which emissions are calculated using net emission factors. In general, the Inventory uses aggregated Gas STAR reductions by natural gas system stage (i.e., production, processing, transmission and storage, and distribution). In some cases, emissions reductions reported to Gas STAR have been matched to potential emissions calculated in the Inventory, to provide a net emissions number for specific emissions sources. Net emission values presented here were calculated by deducting the voluntary reductions (Table A-143) and the regulatory reductions (Table A-144) from the potential emissions values in Table A-145 through Table A-148. Some reported Gas STAR reduction activities are cross-cutting and cover multiple Inventory sources. It is not possible to attribute those reductions to specific Inventory source categories, and they are included in the "Other" category.

Table A-150: U.S. Production Sector CO_2 Content in Natural Gas by NEMS Region and Formation Type for all years

	U.S. Region						
Formation Types	**North East**	**Midcontinent**	**Gulf Coast**	**South West**	**Rocky Mountain**	**West Coast**	**Lower-48 States**
Conventional	0.92%	0.79%	2.17%	3.81%	7.95%	0.16%	3.41%
Non-conventional*	7.42%	0.31%	0.23%	NA	0.64%	NA	4.83%
All types	3.04%	0.79%	2.17%	3.81%	7.58%	0.16%	3.45%

Source: GRI-01/0136 GTI's Gas Resource Database: Unconventional Natural Gas and Gas Composition Databases. Second Edition. August, 2001
*In GTI, this refers to shale, coal bed methane, and tight geologic formations.

Table A-151: CO_2 Emission Estimates from the Natural Gas Production Stage (kt)

Activity	1990	2005	2009	2010	2011	2012	2013	2014
Normal Fugitives								
Gas Wells								
Associated Gas Wells	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Non-associated Gas Wells (less fractured wells)	1.4	1.6	1.7	1.7	1.7	1.7	1.7	1.7
Gas Wells with Hydraulic Fracturing	0.4	1.2	1.4	1.5	1.5	1.5	1.5	1.5
Well Pad Equipment								
Heaters	1.9	2.8	3.2	3.2	3.3	3.2	3.2	3.2
Separators	6.0	14.3	18.4	18.5	18.7	18.5	18.2	18.0
Dehydrators	1.4	1.2	1.0	1.0	0.9	0.9	0.9	0.9
Meters/Piping	6.7	12.3	14.9	15.0	15.2	15.1	14.8	14.7
Compressors	3.1	7.7	9.8	10.1	10.3	10.3	10.1	10.1
Gathering and Boosting								
Gathering and Boosting Stations	88.7	135.4	167.1	171.6	182.6	192.6	194.5	207.5
Pipeline Leaks	9.9	16.4	19.5	19.6	19.8	19.7	19.4	19.3
Vented and Combusted								
Drilling and Well Completions								
Gas Well Completions without Hydraulic Fracturing	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Gas Well Completions with Hydraulic Fracturing	73.6	305.5	179.9	185.0	160.8	98.6	75.0	65.8
Well Drilling	0.1	0.2	0.1	0.1	0.1	0.1	0.1	0.1
Produced Water from Coal Bed Methane								
Powder River	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Black Warrior	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Normal Operations								
Pneumatic Device Vents	50.3	119.2	138.4	136.4	134.0	136.2	137.4	120.0
Chemical Injection Pumps	2.6	10.6	14.2	14.6	14.4	14.3	14.1	14.0
Kimray Pumps	16.4	13.7	11.3	11.0	10.8	10.8	10.6	10.6
Dehydrator Vents	5.1	4.3	3.5	3.4	3.4	3.4	3.3	3.3
Condensate Tank Vents								
Condensate Tanks without Control Devices	10.3	10.3	11.6	15.7	17.2	21.0	24.9	25.4
Condensate Tanks with Control Devices	2.1	2.1	2.3	3.1	3.4	4.2	5.0	5.1
Compressor Exhaust Vented								
Gas Engines	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Well Workovers and Clean Ups								
Gas Well Workovers without Hydraulic Fracturing	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

Gas Well Workovers with Hydraulic Fracturing	15.3	44.3	57.1	58.3	44.1	26.1	26.1	26.1
Well Clean Ups (LP Gas Wells) - Vent Using Plungers	0.0	8.4	13.1	13.9	14.1	14.1	13.8	13.8
Well Clean Ups (LP Gas Wells) - Vent Without Using Plungers	235.8	170.4	64.1	26.1	26.5	26.3	25.8	25.7
Blowdowns								
Vessel BD	0.0	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Pipeline BD	0.2	0.3	0.3	0.3	0.3	0.3	0.3	0.3
Compressor BD	0.1	0.3	0.4	0.4	0.4	0.4	0.4	0.4
Compressor Starts	0.3	0.7	0.8	0.9	0.9	0.9	0.9	0.9
Upsets								
Pressure Relief Valves	0.0	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Mishaps	0.1	0.1	0.2	0.2	0.2	0.2	0.2	0.2
Flaring Emissions - Onshore	9,092.7	7,193.0	9,960.0	9,966.9	13,084.7	12,703.8	15,684.1	17,628.5
Offshore								
Shallow water Gas Platforms (GoM and Pacific)	2.5	2.8	2.4	2.3	2.3	2.3	2.3	2.3
Deepwater Gas Platforms (GoM and Pacific)	0.1	0.3	0.3	0.3	0.3	0.3	0.3	0.3
Flaring Emissions - Offshore	230.4	180.7	360.3	359.3	373.7	357.1	360.3	365.2
Total Emissions (kt)	**9,857.4**	**8,260.0**	**11,057.7**	**11,040.8**	**14,145.8**	**13,684.0**	**16,649.3**	**18,585.0**

[a] Energy use CO_2 emissions not estimated to avoid double counting. NE - Not Estimated.
[b] Emissions are not actually 0, but too small to show at this level of precision.
Note: Totals may not sum due to independent rounding.

Table A-152: CO_2 Emission Estimates from the Natural Gas Processing Stage (kt)

Activity	1990	2005	2009	2010	2011	2012	2013	2014
Normal Fugitives								
Plants – Before CO_2 removal	2.6	1.9	1.9	2.0	2.0	2.0	2.2	2.2
Plants – After CO_2 removal	0.6	0.4	0.4	0.4	0.5	0.5	0.5	0.5
Reciprocating Compressors – Before CO_2 removal	19.7	19.8	22.9	23.7	25.5	26.8	27.0	28.7
Reciprocating Compressors – After CO_2 removal	4.4	4.4	5.1	5.3	5.7	5.9	6.0	6.4
Centrifugal Compressors (wet seals) – Before CO_2 removal	14.5	13.9	14.1	14.2	14.3	14.4	14.4	14.5
Centrifugal Compressors (wet seals) – After CO_2 removal	3.2	3.1	3.1	3.1	3.2	3.2	3.2	3.2
Centrifugal Compressors (dry seals) – Before CO_2 removal	-	0.4	1.4	1.6	2.2	2.6	2.7	3.3
Centrifugal Compressors (dry seals) – After CO_2 removal	-	0.1	0.3	0.4	0.5	0.6	0.6	0.7
Vented and Combusted								
Compressor Exhaust								
Gas Engines[a]	NE	NE	NE	NE	NE	NE	NE	NE
Gas Turbines[a]	NE	NE	NE	NE	NE	NE	NE	NE
AGR Vents	27,708.2	21,694.3	21,130.5	21,286.7	21,403.6	21,403.6	21,690.3	23,643.5
Kimray Pumps	0.4	0.4	0.5	0.5	0.5	0.5	0.5	0.6
Dehydrator Vents	2.4	2.4	2.8	2.9	3.1	3.3	3.3	3.5
Pneumatic Controllers	0.3	0.2	0.2	0.2	0.2	0.2	0.2	0.3
Routine Maintenance								
Blowdowns/Venting	6.4	4.7	4.8	4.9	5.1	5.1	5.4	5.6
Total	**27,762.6**	**21,746.1**	**21,188.0**	**21,345.8**	**21,466.3**	**21,468.7**	**21,756.4**	**23,713.0**

NE – Not Estimated.
[a] Energy use CO_2 emissions not estimated to avoid double counting.
Note: Totals may not sum due to independent rounding.

Table A-153: CO_2 Emission Estimates from the Natural Gas Transmission and Storage Stage (kt)

Activity	1990	2005	2009	2010	2011	2012	2013	2014

Fugitives								
Pipeline Leaks	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Compressor Stations (Transmission)								
Station	31.7	19.3	15.9	15.0	14.2	14.3	15.5	16.5
Station + Compressor Fugitive Emissions	-	-	-	-	2.7	2.9	3.2	3.4
Recip Compressor	-	-	-	-	8.7	8.5	9.2	9.8
Centrifugal Compressor (wet seals)	-	-	-	-	1.4	1.5	1.6	1.7
Centrifugal Compressor (dry seals)	-	-	-	-	1.4	1.4	1.5	1.7
Compressor Stations (Storage)								
Station	7.1	4.7	4.2	4.1	3.9	3.8	3.8	3.8
Station + Compressor Fugitive Emissions	-	-	-	-	-	0.7	0.7	0.7
Recip Compressor	-	-	-	-	-	3.1	3.1	3.1
Wells (Storage)	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.5
M&R (Trans. Co. Interconnect)	2.1	2.2	2.2	2.2	2.2	2.2	2.2	2.2
M&R (Farm Taps + Direct Sales)	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5
Vented and Combusted								
Normal Operation								
Dehydrator vents (Transmission)	0.1	0.1	0.1	0.0	0.0	0.1	0.1	0.1
Dehydrator vents (Storage)	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Compressor Exhaust								
Engines (Transmission)	NE	NE	NE	NE	NE	NE	NE	NE
Turbines (Transmission)	NE	NE	NE	NE	NE	NE	NE	NE
Engines (Storage)	NE	NE	NE	NE	NE	NE	NE	NE
Turbines (Storage)	NE	NE	NE	NE	NE	NE	NE	NE
Generators (Engines)	NE	NE	NE	NE	NE	NE	NE	NE
Generators (Turbines)	NE	NE	NE	NE	NE	NE	NE	NE
Pneumatic Devices Trans + Stor								
Pneumatic Devices Trans	6.1	2.1	1.2	1.0	0.9	0.6	0.8	0.8
(High Bleed)	-	-	-	-	0.6	0.3	0.3	0.3
(Intermittent Bleed)	-	-	-	-	0.3	0.3	0.4	0.4
(Low Bleed)	-	-	-	-	0.0	0.0	0.0	0.0
Pneumatic Devices Storage	1.3	1.0	0.9	0.8	0.8	0.7	0.9	0.8
(High Bleed)	-	-	-	-	0.7	0.6	0.7	0.7
(Intermittent Bleed)	-	-	-	-	0.1	0.1	0.1	0.1
(Low Bleed)	-	-	-	-	0.0	0.0	0.0	0.0
Routine Maintenance/Upsets								
Pipeline venting	5.1	5.3	5.4	5.4	5.4	5.3	5.3	5.3
Station venting Trans + Storage								
Station Venting Transmission	4.2	3.8	3.7	3.6	3.6	3.8	4.2	4.4
Station Venting Storage	0.9	0.8	0.8	0.9	0.9	0.9	0.9	0.9
LNG Storage								
LNG Stations	0.3	0.4	0.4	0.4	0.4	0.4	0.4	0.4
LNG Reciprocating Compressors	1.2	1.3	1.3	1.3	1.3	1.3	1.3	1.3
LNG Centrifugal Compressors	0.4	0.5	0.5	0.5	0.5	0.5	0.5	0.5
LNG Compressor Exhaust								
LNG Engines	NE	NE	NE	NE	NE	NE	NE	NE
LNG Turbines	NE	NE	NE	NE	NE	NE	NE	NE
LNG Station venting	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2
LNG Import Terminals	-	-	-	-	-	-	-	-
LNG Stations	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
LNG Reciprocating Compressors	0.0	0.1	0.2	0.2	0.2	0.2	0.2	0.2
LNG Centrifugal Compressors	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1
LNG Compressor Exhaust	-	-	-	-	-	-	-	-
LNG Engines	NE	NE	NE	NE	NE	NE	NE	NE
LNG Turbines	NE	NE	NE	NE	NE	NE	NE	NE
LNG Station venting	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Total Emissions (kt)	**61.7**	**42.8**	**38.1**	**36.8**	**35.6**	**35.5**	**37.3**	**38.7**

NE - Not Estimated

[a] Energy use CO_2 emissions not estimated to avoid double counting.

[2] Emissions are not actually 0, but too small to show at this level of precision.

Note: Totals may not sum due to independent rounding.

Table A-154: CO_2 Emission Estimates from the Natural Gas Distribution Stage (kt)

Activity	1990	2005	2009	2010	2011	2012	2013	2014
Pipeline Leaks								
Mains—Cast Iron	7.7	2.6	1.6	1.3	1.1	1.1	1.0	1.0
Mains—Unprotected steel	6.7	2.6	1.9	1.8	1.6	1.6	1.5	1.5
Mains—Protected steel	0.8	1.2	1.3	1.3	1.4	1.4	1.4	1.4
Mains—Plastic	1.7	1.3	0.8	0.7	0.5	0.6	0.6	0.6
Total Pipeline Miles								
Services—Unprotected steel	7.2	3.2	2.5	1.9	1.7	1.6	1.5	1.4
Services Protected steel	1.9	0.9	0.7	0.6	0.6	0.6	0.6	0.5
Services—Plastic	0.1	0.3	0.3	0.3	0.3	0.3	0.4	0.4
Services—Copper	0.2	0.2	0.2	0.2	0.1	0.1	0.1	0.1
Meter/Regulator (City Gates)								
M&R >300	4.1	1.9	0.6	0.4	0.3	0.3	0.3	0.2
M&R 100-300	7.9	3.6	1.1	0.7	0.5	0.5	0.5	0.4
M&R <100	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2
Reg >300	4.0	1.7	0.5	0.3	0.1	0.1	0.1	0.1
R-Vault >300	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Reg 100-300	3.0	1.3	0.3	0.2	0.1	0.1	0.1	0.1
R-Vault 100-300	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Reg 40-100	0.2	0.3	0.2	0.2	0.2	0.2	0.2	0.2
R-Vault 40-100	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.0
Reg <40	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Customer Meters								
Residential	1.7	2.2	2.2	2.2	2.2	2.3	2.3	2.3
Commercial/Industry	1.3	1.5	1.6	1.5	1.5	1.6	1.6	1.6
Routine Maintenance								
Pressure Relief Valve Releases	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Pipeline Blowdown	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Upsets								
Mishaps (Dig-ins)	1.4	1.7	1.8	1.9	1.9	1.9	1.9	1.9
Total	**50.2**	**26.9**	**17.9**	**16.0**	**14.6**	**14.5**	**14.4**	**14.0**

Note: Totals may not sum due to independent rounding.

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3.7. Methodology for Estimating CO_2, N_2O, and CH_4 Emissions from the Incineration of Waste

Emissions of CO_2 from the incineration of waste include CO_2 generated by the incineration of plastics, synthetic rubber and synthetic fibers in municipal solid waste (MSW), and incineration of tires (which are composed in part of synthetic rubber and C black) in a variety of other combustion facilities (e.g., cement kilns). Incineration of waste also results in emissions of N_2O and CH_4. The methodology for calculating emissions from each of these waste incineration sources is described in this Annex.

CO_2 from Plastics Incineration

In the *Municipal Solid Waste Generation, Recycling, and Disposal in the United States: Facts and Figures* reports (EPA 1999 through 2003, 2005 through 2014) and *Advancing Sustainable Materials Management: Facts and Figures 2013 – Assessing Trends in Material Generation, Recycling and Disposal in the United States* (EPA 2015), the flows of plastics in the U.S. waste stream are reported for seven resin categories. For 2014, the quantity generated, recovered, and discarded for each resin is shown in Table A-155. The data set for 1990 through 2014 is incomplete, and several assumptions were employed to bridge the data gaps. The EPA reports do not provide estimates for individual materials landfilled and incinerated, although they do provide such an estimate for the waste stream as a whole. To estimate the quantity of plastics landfilled and incinerated, total discards were apportioned based on the proportions of landfilling and incineration for the entire U.S. waste stream for each year in the time series according to *Biocycle's State of Garbage in America* (van Haaren et al. 2010), Themelis and Shin (in press) and Shin (2014). For those years when distribution by resin category was not reported (1990 through 1994), total values were apportioned according to 1995 (the closest year) distribution ratios. Generation and recovery figures for 2002 and 2004 were linearly interpolated between surrounding years' data.

Table A-155: 2014 Plastics in the Municipal Solid Waste Stream by Resin (kt)

Waste Pathway	PET	HDPE	PVC	LDPE/ LLDPE	PP	PS	Other	Total
Generation	4,246	5,062	816	6,768	6,713	2,059	3,837	**29,502**
Recovery	844	517	0	426	36	27	871	**2,722**
Discard	3,402	455	816	6,341	6,677	2,032	2,921	**26,781**
Landfill	3,143	420	754	5,859	6,170	1,878	2,699	**20,924**
Combustion	259	35	62	482	507	154	222	**1,721**
Recovery[a]	20%	10%	0%	6%	1%	1%	23%	**9%**
Discard[a]	80%	9%	100%	94%	99%	99%	76%	**91%**
Landfill[a]	74%	8%	92%	87%	92%	91%	70%	**71%**
Combustion[a]	6%	1%	8%	7%	8%	7%	6%	**6%**

[a] As a percent of waste generation.
Note: Totals may not sum due to independent rounding. Abbreviations: PET (polyethylene terephthalate), HDPE (high density polyethylene), PVC (polyvinyl chloride), LDPE/LLDPE (linear low density polyethylene), PP (polypropylene), PS (polystyrene).

Fossil fuel-based CO_2 emissions were calculated as the product of plastic combusted, C content, and fraction oxidized (see Table A-156). The C content of each of the six types of plastics is listed, with the value for "other plastics" assumed equal to the weighted average of the six categories. The fraction oxidized was assumed to be 98 percent.

Table A-156: 2014 Plastics Incinerated (kt), Carbon Content (%), Fraction Oxidized (%) and Carbon Incinerated (kt)

Factor	PET	HDPE	PVC	LDPE/ LLDPE	PP	PS	Other	Total
Quantity Combusted	259	35	62	482	507	154	222	**1,721**
Carbon Content of Resin	63%	86%	38%	86%	86%	92%	66%	**-**
Fraction Oxidized	98%	98%	98%	98%	98%	98%	98%	**-**
Carbon in Resin Combusted	158	29	23	405	426	140	143	**1,325**
Emissions (MMT CO_2 Eq.)	**0.6**	**1.1**	**0.1**	**1.5**	**1.6**	**0.5**	**0.5**	**4.9**

[a] Weighted average of other plastics produced.
Note: Totals may not sum due to independent rounding.

CO_2 from Incineration of Synthetic Rubber and Carbon Black in Tires

Emissions from tire incineration require two pieces of information: the amount of tires incinerated and the C content of the tires. "2013 U.S. Scrap Tire Management Summary" (RMA 2014a) reports that 2,120 thousand of the 3,667 thousand tons of scrap tires generated in 2013 (approximately 58 percent of generation) were used for fuel purposes. Using

RMA's estimates of average tire composition and weight, the mass of synthetic rubber and C black in scrap tires was determined:

- Synthetic rubber in tires was estimated to be 90 percent C by weight, based on the weighted average C contents of the major elastomers used in new tire consumption.[66] Table A-157 shows consumption and C content of elastomers used for tires and other products in 2002, the most recent year for which data are available.
- C black is 100 percent C (Aslett Rubber Inc. n.d.).

Multiplying the mass of scrap tires incinerated by the total C content of the synthetic rubber, C black portions of scrap tires, and then by a 98 percent oxidation factor, yielded CO_2 emissions, as shown in Table A-158. The disposal rate of rubber in tires (0.3 MMT C/yr) is smaller than the consumption rate for tires based on summing the elastomers listed in Table A-155 (1.3 MMT/yr); this is due to the fact that much of the rubber is lost through tire wear during the product's lifetime and may also reflect the lag time between consumption and disposal of tires. Tire production and fuel use for 1990 through 2014 were taken from RMA 2006, RMA 2009, RMA 2011; RMA 2014a; where data were not reported, they were linearly interpolated between bracketing years' data or, for the ends of time series, set equal to the closest year with reported data.

In 2009, RMA changed the reporting of scrap tire data from millions of tires to thousands of short tons of scrap tire. As a result, the average weight and percent of the market of light duty and commercial scrap tires was used to convert the previous years from millions of tires to thousands of short tons (STMC 1990 through 1997; RMA 2002 through 2006, 2014b).

Table A-157: Elastomers Consumed in 2002 (kt)

Elastomer	Consumed	Carbon Content	Carbon Equivalent
Styrene butadiene rubber solid	**768**	**91%**	**700**
For Tires	660	91%	602
For Other Products*	108	91%	98
Polybutadiene	**583**	**89%**	**518**
For Tires	408	89%	363
For Other Products	175	89%	155
Ethylene Propylene	**301**	**86%**	**258**
For Tires	6	86%	5
For Other Products	295	86%	253
Polychloroprene	**54**	**59%**	**32**
For Tires	0	59%	0
For Other Products	54	59%	32
Nitrile butadiene rubber solid	**84**	**77%**	**65**
For Tires	1	77%	1
For Other Products	83	77%	64
Polyisoprene	**58**	**88%**	**51**
For Tires	48	88%	42
For Other Products	10	88%	9
Others	**367**	**88%**	**323**
For Tires	184	88%	161
For Other Products	184	88%	161
Total	**2,215**	**-**	**1,950**
For Tires	**1,307**	**-**	**1,174**

* Used to calculate C content of non-tire rubber products in municipal solid waste.
- Not applicable
Note: Totals may not sum due to independent rounding.

[66] The carbon content of tires (1,174 kt C) divided by the mass of rubber in tires (1,307 kt) equals 90 percent.

Table A-158: Scrap Tire Constituents and CO_2 Emissions from Scrap Tire Incineration in 2014

Material	Weight of Material (MMT)	Fraction Oxidized	Carbon Content	Emissions (MMT CO_2 Eq.)
Synthetic Rubber	0.3	98%	90%	1.2
Carbon Black	0.4	98%	100%	1.4
Total	**0.7**	**-**	**-**	**2.6**

- Not applicable

CO_2 from Incineration of Synthetic Rubber in Municipal Solid Waste

Similar to the methodology for scrap tires, CO_2 emissions from synthetic rubber in MSW were estimated by multiplying the amount of rubber incinerated by an average rubber C content. The amount of rubber discarded in the MSW stream was estimated from generation and recycling data[67] provided in the *Municipal Solid Waste Generation, Recycling, and Disposal in the United States: Facts and Figures* reports (EPA 1999 through 2003, 2005 through 2014), *Advancing Sustainable Materials Management: Facts and Figures 2013: Assessing Trends in Material Generation, Recycling and Disposal in the United States* (EPA 2015) and unpublished backup data (Schneider 2007). The reports divide rubber found in MSW into three product categories: other durables (not including tires), non-durables (which includes clothing and footwear and other non-durables), and containers and packaging. EPA (2015) did not report rubber found in the product category "containers and packaging;" however, containers and packaging from miscellaneous material types were reported for 2009 through 2014. As a result, EPA assumes that rubber containers and packaging are reported under the "miscellaneous" category; and therefore, the quantity reported for 2009 through 2014 were set equal to the quantity reported for 2008. Since there was negligible recovery for these product types, all the waste generated is considered to be discarded. Similar to the plastics method, discards were apportioned into landfilling and incineration based on their relative proportions, for each year, for the entire U.S. waste stream. The report aggregates rubber and leather in the MSW stream; an assumed synthetic rubber content of 70 percent was assigned to each product type, as shown in Table A-159.[68] A C content of 85 percent was assigned to synthetic rubber for all product types (based on the weighted average C content of rubber consumed for non-tire uses), and a 98 percent fraction oxidized was assumed.

Table A-159: Rubber and Leather in Municipal Solid Waste in 2014

Product Type	Incinerated (kt)	Synthetic Rubber (%)	Carbon Content (%)	Fraction Oxidized (%)	Emissions (MMT CO_2 Eq.)
Durables (not Tires)	**261**	**70%**	**85%**	**98%**	**0.8**
Non-Durables	**77**	**-**	**-**	**-**	**0.2**
Clothing and Footwear	59	70%	85%	98%	0.2
Other Non-Durables	18	70%	85%	98%	0.1
Containers and Packaging	**2**	**70%**	**85%**	**98%**	**0.0**
Total	**341**	**-**	**-**	**-**	**1.1**

- Not Applicable.

CO_2 from Incineration of Synthetic Fibers

Carbon dioxide emissions from synthetic fibers were estimated as the product of the amount of synthetic fiber discarded annually and the average C content of synthetic fiber. Fiber in the MSW stream was estimated from data provided in the *Municipal Solid Waste Generation, Recycling, and Disposal in the United States: Facts and Figures* reports (EPA 1999 through 2003, 2005 through 2015) for textiles. Production data for the synthetic fibers was based on data from the American Chemical Society (FEB 2009). The amount of synthetic fiber in MSW was estimated by subtracting (a) the amount recovered from (b) the waste generated (see Table A-160). As with the other materials in the MSW stream, discards were apportioned based on the annually variable proportions of landfilling and incineration for the entire U.S. waste stream, as found in van Haaren et al. (2010), Themelis and Shin (in press), and Shin (2014). It was assumed that approximately 55 percent of the fiber was synthetic in origin, based on information received from the Fiber Economics Bureau (DeZan 2000). An average C content of 70 percent was assigned to synthetic fiber using the production-weighted average of the C contents of the four major fiber types (polyester, nylon, olefin, and acrylic) produced in 1999 (see Table A-161). The equation relating CO_2 emissions to the amount of textiles combusted is shown below.

$$CO_2 \text{ Emissions from the Incineration of Synthetic Fibers} = \text{Annual Textile Incineration (kt)} \times (\text{Percent of Total Fiber that is Synthetic}) \times (\text{Average C Content of Synthetic Fiber}) \times (44 \text{ g } CO_2/12 \text{ g C})$$

[67] Discards = Generation minus recycling.

[68] As a sustainably harvested biogenic material, the incineration of leather is assumed to have no net CO_2 emissions.

Table A-160: Synthetic Textiles in MSW (kt)

Year	Generation	Recovery	Discards	Incineration
1990	2,884	328	2,557	332
1995	3,674	447	3,227	442
1996	3,832	472	3,361	467
1997	4,090	526	3,564	458
1998	4,269	556	3,713	407
1999	4,498	611	3,887	406
2000	4,706	655	4,051	417
2001	4,870	715	4,155	432
2002	5,123	750	4,373	459
2003	5,297	774	4,522	472
2004	5,451	884	4,567	473
2005	5,714	913	4,800	480
2006	5,893	933	4,959	479
2007	6,041	953	5,088	470
2008	6,305	968	5,337	470
2009	6,424	978	5,446	458
2010	6,508	998	5,510	441
2011	6,513	1,003	5,510	419
2012	7,114	1,117	5,997	456
2013	7,496	894	6,602	502
2014	7,496	894	6,602	502

Table A-161: Synthetic Fiber Production in 1999

Fiber	Production (MMT)	Carbon Content
Polyester	1.8	63%
Nylon	1.2	64%
Olefin	1.4	86%
Acrylic	0.1	68%
Total	**4.5**	**70%**

N_2O and CH_4 from Incineration of Waste

Estimates of N_2O emissions from the incineration of waste in the United States are based on the methodology outlined in the EPA's Compilation of Air Pollutant Emission Factors (EPA 1995) and presented in the *Municipal Solid Waste Generation, Recycling, and Disposal in the United States: Facts and Figures* reports (EPA 1999 through 2003, 2005 through 2014), *Advancing Sustainable Materials Management: Facts and Figures 2013: Assessing Trends in Material Generation, Recycling and Disposal in the United States* (EPA 2015), and unpublished backup data (Schneider 2007). According to this methodology, emissions of N_2O from waste incineration are the product of the mass of waste incinerated, an emission factor of N_2O emitted per unit mass of waste incinerated, and an N_2O emissions control removal efficiency. The mass of waste incinerated was derived from the results of the biannual national survey of Municipal Solid Waste (MSW) Generation and Disposition in the U.S., published in *BioCycle* (van Haaren et al. 2010), Themelis and Shin (in press), and Shin (2014). For waste incineration in the United States, an emission factor of 50 g N_2O/metric ton MSW based on the *2006 IPCC Guidelines* and an estimated emissions control removal efficiency of zero percent were used (IPCC 2006). It was assumed that all MSW incinerators in the United States use continuously-fed stoker technology (Bahor 2009, ERC 2009).

Estimates of CH_4 emissions from the incineration of waste in the United States are based on the methodology outlined in IPCC's *2006 IPCC Guidelines for National Greenhouse Gas Inventories* (IPCC 2006). According to this methodology, emissions of CH_4 from waste incineration are the product of the mass of waste incinerated and an emission factor of CH_4 emitted per unit mass of waste incinerated. Similar to the N_2O emissions methodology, the mass of waste incinerated was derived from the information published in *BioCycle* (van Haaren et al. 2010) for 1990 through 2008. Data for 2011 were derived from information forthcoming in Themelis and Shin (in press) and Shin (2014). For waste incineration in the United States, an emission factor of 0.20 kg CH_4/kt MSW was used based on the *2006 IPCC Guidelines* and assuming that all MSW incinerators in the United States use continuously-fed stoker technology (Bahor 2009; ERC 2009). No information was available on the mass of waste incinerated for 2012, 2013, or 2014, so these values were assumed to be equal to the 2011 value.

Despite the differences in methodology and data sources, the two series of references (EPA 2014; van Haaren, Rob, Themelis, N., and Goldstein, N. 2010) provide estimates of total solid waste incinerated that are relatively consistent (see Table A-162).

Table A-162: U.S. Municipal Solid Waste Incinerated, as Reported by EPA and BioCycle (Metric Tons)

Year	EPA	BioCycle
1990	28,939,680	30,632,057
1995	32,241,888	29,639,040
2000	30,599,856	25,974,978
2001	30,481,920	25,942,036[a]
2002	30,255,120	25,802,917
2003	30,028,320	25,930,542[b]
2004	28,585,872	26,037,823
2005	28,685,664	25,973,520[c]
2006	28,985,040	25,853,401
2007	29,003,184	24,788,539[d]
2008	28,622,160	23,674,017
2009	26,317,872	22,714,122[e]
2010	26,544,672	21,741,734[e]
2011	26,544,672	20,756,870
2012	26,544,672	20,756,870[f]
2013	26,544,672[g]	20,756,870[f]
2014	26,544,672[g]	20,756,870[f]

[a] Interpolated between 2000 and 2002 values.
[b] Interpolated between 2002 and 2004 values.
[c] Interpolated between 2004 and 2006 values.
[d] Interpolated between 2006 and 2008 values
[e] Interpolated between 2011 and 2008 values
[f] Set equal to the 2011 value
[g] Set equal to the 2012 value.

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3.8. Methodology for Estimating Emissions from International Bunker Fuels used by the U.S. Military

Bunker fuel emissions estimates for the Department of Defense (DoD) were developed using data generated by the Defense Logistics Agency Energy (DLA Energy) for aviation and naval fuels. DLA Energy prepared a special report based on data in the Fuels Automated System (FAS) for calendar year 2014 fuel sales in the Continental United States (CONUS).[69] The following steps outline the methodology used for estimating emissions from international bunker fuels used by the U.S. Military.

Step 1: Omit Extra-Territorial Fuel Deliveries

Beginning with the complete FAS data set for each year, the first step in quantifying DoD-related emissions from international bunker fuels was to identify data that would be representative of international bunker fuel consumption as defined by decisions of the UNFCCC (i.e., fuel sold to a vessel, aircraft, or installation within the United States or its territories and used in international maritime or aviation transport). Therefore, fuel data were categorized by the location of fuel delivery in order to identify and omit all international fuel transactions/deliveries (i.e., sales abroad).

Step 2: Allocate JP-8 between Aviation and Land-based Vehicles

As a result of DoD[70] and NATO[71] policies on implementing the Single Fuel For the Battlefield concept, DoD activities have been increasingly replacing diesel fuel with JP8 (a type of jet fuel) in compression ignition and turbine engines of land-based equipment. Based on this concept and examination of all data describing jet fuel used in land-based vehicles, it was determined that a portion of JP8 consumption should be attributed to ground vehicle use. Based on available Military Service data and expert judgment, a small fraction of the total JP8 use (i.e., between 1.78 and 2.7 times the quantity of diesel fuel used, depending on the Service) was reallocated from the aviation subtotal to a new land-based jet fuel category for 1997 and subsequent years. As a result of this reallocation, the JP8 use reported for aviation was reduced and the total fuel use for land-based equipment increased. DoD's total fuel use did not change.

Table A-163 displays DoD's consumption of transportation fuels, summarized by fuel type, that remain at the completion of Step 1, and reflects the adjustments for jet fuel used in land-based equipment, as described above.

Step 3: Omit Land-Based Fuels

Navy and Air Force land-based fuels (i.e., fuel not used by ships or aircraft) were omitted for the purpose of calculating international bunker fuels. The remaining fuels, listed below, were considered potential DoD international bunker fuels.

- **Aviation:** jet fuels (JP8, JP5, JP4, JAA, JA1, and JAB).
- **Marine:** naval distillate fuel (F76), marine gas oil (MGO), and intermediate fuel oil (IFO).

Step 4: Omit Fuel Transactions Received by Military Services that are not considered to be International Bunker Fuels

Only Navy and Air Force were deemed to be users of military international bunker fuels after sorting the data by Military Service and applying the following assumptions regarding fuel use by Service.

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[69] FAS contains data for 1995 through 2014, but the dataset was not complete for years prior to 1995. Using DLA aviation and marine fuel procurement data, fuel quantities from 1990 to 1994 were estimated based on a back-calculation of the 1995 data in the legacy database, the Defense Fuels Automated Management System (DFAMS). The back-calculation was refined in 1999 to better account for the jet fuel conversion from JP4 to JP8 that occurred within DoD between 1992 and 1995.

[70] DoD Directive 4140.25-M-V1, Fuel Standardization and Cataloging, 2013; DoD Directive 4140.25, DoD Management Policy for Energy Commodities and Related Services, 2004.

[71] NATO Standard Agreement NATO STANAG 4362, Fuels for Future Ground Equipments Using Compression Ignition or Turbine Engines, 2012.

- Only fuel delivered to a ship, aircraft, or installation in the United States was considered a potential international bunker fuel. Fuel consumed in international aviation or marine transport was included in the bunker fuel estimate of the country where the ship or aircraft was fueled. Fuel consumed entirely within a country's borders was not considered a bunker fuel.

- Based on previous discussions with the Army staff, only an extremely small percentage of Army aviation emissions, and none of Army watercraft emissions, qualified as bunker fuel emissions. The magnitude of these emissions was judged to be insignificant when compared to Air Force and Navy emissions. Based on this research, Army bunker fuel emissions were assumed to be zero.

- Marine Corps aircraft operating while embarked consumed fuel that was reported as delivered to the Navy. Bunker fuel emissions from embarked Marine Corps aircraft were reported in the Navy bunker fuel estimates. Bunker fuel emissions from other Marine Corps operations and training were assumed to be zero.

- Bunker fuel emissions from other DoD and non-DoD activities (i.e., other federal agencies) that purchased fuel from DLA Energy were assumed to be zero.

Step 5: Determine Bunker Fuel Percentages

It was necessary to determine what percent of the aviation and marine fuels were used as international bunker fuels. Military aviation bunkers include international operations (i.e., sorties that originate in the United States and end in a foreign country), operations conducted from naval vessels at sea, and operations conducted from U.S. installations principally over international water in direct support of military operations at sea (e.g., anti-submarine warfare flights). Methods for quantifying aviation and marine bunker fuel percentages are described below.

- **Aviation:** The Air Force Aviation bunker fuel percentage was determined to be 13.2 percent. A bunker fuel weighted average was calculated based on flying hours by major command. International flights were weighted by an adjustment factor to reflect the fact that they typically last longer than domestic flights. In addition, a fuel use correction factor was used to account for the fact that transport aircraft burn more fuel per hour of flight than most tactical aircraft. This percentage was multiplied by total annual Air Force aviation fuel delivered for U.S. activities, producing an estimate for international bunker fuel consumed by the Air Force.

  The Naval Aviation bunker fuel percentage was calculated to be 40.4 percent by using flying hour data from Chief of Naval Operations Flying Hour Projection System Budget for fiscal year 1998 and estimates of bunker fuel percent of flights provided by the fleet. This Naval Aviation bunker fuel percentage was then multiplied by total annual Navy aviation fuel delivered for U.S. activities, yielding total Navy aviation bunker fuel consumed.

- **Marine:** For marine bunkers, fuels consumed while ships were underway were assumed to be bunker fuels. The Navy maritime bunker fuel percentage was determined to be 79 percent because the Navy reported that 79 percent of vessel operations were underway, while the remaining 21 percent of operations occurred in port (i.e., pierside) in the year 2000.[72]

Table A-164 and Table A-165 display DoD bunker fuel use totals for the Navy and Air Force.

Step 6: Calculate Emissions from International Bunker Fuels

Bunker fuel totals were multiplied by appropriate emission factors to determine greenhouse gas emissions. CO_2 emissions from Aviation Bunkers and distillate Marine Bunkers are the total of military aviation and marine bunker fuels, respectively.

[72] Note that 79 percent is used because it is based on Navy data, but the percentage of time underway may vary from year-to-year depending on vessel operations. For example, for years prior to 2000, the bunker fuel percentage was 87 percent.

The rows labeled “U.S. Military” and “U.S. Military Naval Fuels” in the tables in the International Bunker Fuels section of the Energy chapter were based on the totals provided in Table A-164 and Table A-165, below. CO_2 emissions from aviation bunkers and distillate marine bunkers are presented in Table A-168, and are based on emissions from fuels tallied in Table A-164 and Table A-165.

Table A-163: Transportation Fuels from Domestic Fuel Deliveries[a] (Million Gallons)

Vehicle Type/Fuel	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Aviation	**4,598.4**	**3,099.9**	**2,664.4**	**2,900.6**	**2,609.8**	**2,615.0**	**2,703.1**	**2,338.1**	**2,092.0**	**2,081.0**	**2,067.8**	**1,814.5**	**1,663.9**	**1,405.0**	**1,449.7**	**1,336.4**	**1,796.2**
Total Jet Fuels	4,598.4	3,099.9	2,664.4	2,900.6	2,609.6	2,614.9	2,703.1	2,338.0	2,091.9	2,080.9	2,067.7	1,814.3	1,663.7	1,404.8	1,449.5	1,336.2	1,795.9
JP8	*285.7*	*2,182.8*	*2,122.7*	*2,326.2*	*2,091.4*	*2,094.3*	*2,126.2*	*1,838.8*	*1,709.3*	*1,618.5*	*1,616.2*	*1,358.2*	*1,100.1*	*882.8*	*865.2*	*718.0*	*546.6*
JP5	*1,025.4*	*691.2*	*472.1*	*503.2*	*442.2*	*409.1*	*433.7*	*421.6*	*325.5*	*376.1*	*362.2*	*361.2*	*399.3*	*372.3*	*362.5*	*316.4*	*311.0*
Other Jet Fuels	*3,287.3*	*225.9*	*69.6*	*71.2*	*76.1*	*111.4*	*143.2*	*77.6*	*57.0*	*86.3*	*89.2*	*94.8*	*164.3*	*149.7*	*221.8*	*301.7*	*938.3*
Aviation Gasoline	+	+	+	+	0.1	0.1	+	0.1	0.1	0.2	0.1	0.2	0.2	0.2	0.3	0.2	0.3
Marine	**686.8**	**438.9**	**454.4**	**418.4**	**455.8**	**609.1**	**704.5**	**604.9**	**531.6**	**572.8**	**563.4**	**485.8**	**578.8**	**489.9**	**490.4**	**390.4**	**427.9**
Middle Distillate (MGO)	+	+	48.3	33.0	41.2	88.1	71.2	54.0	45.8	45.7	55.2	56.8	48.4	37.3	52.9	40.9	62.0
Naval Distillate (F76)	686.8	438.9	398.0	369.1	395.1	460.9	583.5	525.9	453.6	516.0	483.4	399.0	513.7	440.0	428.4	345.7	362.7
Intermediate Fuel Oil (IFO)[b]	+	+	8.1	16.3	19.5	60.2	49.9	25.0	32.2	11.1	24.9	30.0	16.7	12.5	9.1	3.8	3.2
Other[c]	**717.1**	**310.9**	**248.2**	**109.8**	**211.1**	**221.2**	**170.9**	**205.6**	**107.3**	**169.0**	**173.6**	**206.8**	**224.0**	**208.6**	**193.8**	**180.6**	**190.7**
Diesel	93.0	119.9	126.6	26.6	57.7	60.8	46.4	56.8	30.6	47.3	49.1	58.3	64.1	60.9	57.9	54.9	57.5
Gasoline	624.1	191.1	74.8	24.7	27.5	26.5	19.4	24.3	11.7	19.2	19.7	25.2	25.5	22.0	19.6	16.9	16.5
Jet Fuel[d]	+	+	46.7	58.4	125.9	133.9	105.1	124.4	65.0	102.6	104.8	123.3	134.4	125.6	116.2	108.8	116.7
Total (Including Bunkers)	**6,002.4**	**3,849.8**	**3,367.0**	**3,428.8**	**3,276.7**	**3,445.3**	**3,578.5**	**3,148.6**	**2,730.9**	**2,822.8**	**2,804.9**	**2,507.1**	**2,466.7**	**2,103.5**	**2,133.9**	**1,907.5**	**2,414.9**

+ Indicates value does not exceed 0.05 million gallons.

[a] Includes fuel distributed in the United States and U.S. Territories.

[b] Intermediate fuel oil (IFO 180 and IFO 380) is a blend of distillate and residual fuels. IFO is used by the Military Sealift Command.

[c] Prior to 2001, gasoline and diesel fuel totals were estimated using data provided by the Military Services for 1990 and 1996. The 1991 through 1995 data points were interpolated from the Service inventory data. The 1997 through 1999 gasoline and diesel fuel data were initially extrapolated from the 1996 inventory data. Growth factors used for other diesel and gasoline were 5.2 and -21.1 percent, respectively. However, prior diesel fuel estimates from 1997 through 2000 were reduced according to the estimated consumption of jet fuel that is assumed to have replaced the diesel fuel consumption in land-based vehicles. Datasets for other diesel and gasoline consumed by the military in 2000 were estimated based on ground fuels consumption trends. This method produced a result that was more consistent with expected consumption for 2000. Since 2001, other gasoline and diesel fuel totals were generated by DLA Energy.

[d] The fraction of jet fuel consumed in land-based vehicles was estimated based on DLA Energy data as well as Military Service and expert judgment.

Note: Totals may not sum due to independent rounding.

Table A-164: Total U.S. Military Aviation Bunker Fuel (Million Gallons)

Fuel Type/Service	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Jet Fuels																	
JP8	**56.7**	**300.4**	**307.6**	**341.2**	**309.5**	**305.1**	**309.8**	**285.6**	**262.5**	**249.1**	**229.4**	**211.4**	**182.5**	**143.4**	**141.2**	**122.0**	**71.8**
Navy	56.7	38.3	53.4	73.8	86.6	76.3	79.2	70.9	64.7	62.7	59.2	55.4	60.8	47.1	50.4	48.9	19.8
Air Force	+	262.2	254.2	267.4	222.9	228.7	230.6	214.7	197.8	186.5	170.3	156.0	121.7	96.2	90.8	73.0	52.0
JP5	**370.5**	**249.8**	**160.3**	**169.7**	**158.3**	**146.1**	**157.9**	**160.6**	**125.0**	**144.5**	**139.2**	**137.0**	**152.5**	**144.9**	**141.2**	**124.9**	**121.9**
Navy	365.3	246.3	155.6	163.7	153.0	141.3	153.8	156.9	122.8	141.8	136.5	133.5	149.7	143.0	139.5	123.6	120.2
Air Force	5.3	3.5	4.7	6.1	5.3	4.9	4.1	3.7	2.3	2.7	2.6	3.5	2.8	1.8	1.7	1.3	1.6
JP4	**420.8**	**21.5**	**0.0**	**0.0**	**0.0**	**0.0**	**0.0**	**0.0**	**0.0**	**0.0**	**0.0**	**0.0**	**0.1**	**0.0**	**0.0**	**0.0**	**0.0**
Navy	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
Air Force	420.8	21.5	+	+	+	+	+	+	+	+	+	+	0.1	+	+	+	+
JAA	**13.7**	**9.2**	**12.5**	**12.6**	**13.7**	**21.7**	**30.0**	**15.5**	**11.7**	**15.6**	**16.8**	**18.1**	**31.4**	**31.1**	**38.6**	**46.5**	**124.5**
Navy	8.5	5.7	7.9	8.0	9.8	15.5	21.5	11.6	9.1	11.7	12.5	12.3	13.7	14.6	14.8	13.4	32.2
Air Force	5.3	3.5	4.5	4.6	3.8	6.2	8.6	3.9	2.6	3.9	4.3	5.9	17.7	16.5	23.8	33.1	92.3
JA1	+	+	**+**	**0.1**	**0.6**	**0.2**	**0.5**	**0.5**	**0.4**	**1.1**	**1.0**	**0.6**	**0.3**	**(0.5)**	**(0.3)**	**0.6**	**0.3**
Navy	+	+	+	+	+	+	+	+	+	0.1	0.1	0.1	0.1	(0.5)	(0.3)	0.6	+
Air Force	+	+	+	0.1	0.6	0.2	0.5	0.5	0.4	1.0	0.8	0.5	0.1	+	+	+	0.3
JAB	+	+	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**
Navy	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
Air Force	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
Navy Subtotal	**430.5**	**290.2**	**216.9**	**245.5**	**249.4**	**233.1**	**254.4**	**239.4**	**196.6**	**216.3**	**208.3**	**201.3**	**224.4**	**204.3**	**204.5**	**186.5**	**172.3**
Air Force Subtotal	**431.3**	**290.7**	**263.5**	**278.1**	**232.7**	**239.9**	**243.7**	**222.9**	**203.1**	**194.0**	**178.1**	**165.9**	**142.4**	**114.5**	**116.3**	**107.4**	**146.2**
Total	**861.8**	**580.9**	**480.4**	**523.6**	**482.1**	**473.0**	**498.1**	**462.3**	**399.7**	**410.3**	**386.3**	**367.2**	**366.7**	**318.8**	**320.8**	**293.9**	**318.5**

+ Does not exceed 0.05 million gallons.
The negative values in this table represent returned products.
Note: Totals may not sum due to independent rounding.

Table A-165: Total U.S. DoD Maritime Bunker Fuel (Million Gallons)

Marine Distillates	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Navy – MGO	0.0	0.0	23.8	22.5	27.1	63.7	56.2	38.0	33.0	31.6	40.9	39.9	32.9	25.5	36.5	32.3	43.3
Navy – F76	522.4	333.8	298.6	282.6	305.6	347.8	434.4	413.1	355.9	404.1	376.9	311.4	402.2	346.6	337.9	273.1	286.2
Navy – IFO	0.0	0.0	6.4	12.9	15.4	47.5	39.4	19.7	25.4	8.8	19.0	23.1	12.9	9.5	6.1	3.0	1.5
Total	**522.4**	**333.8**	**328.8**	**318.0**	**348.2**	**459.0**	**530.0**	**470.7**	**414.3**	**444.4**	**436.7**	**374.4**	**448.0**	**381.5**	**380.6**	**308.5**	**331.0**

Note: Totals may not sum due to independent rounding.
+ Does not exceed 0.05 million gallons.

Table A-166: Aviation and Marine Carbon Contents (MMT Carbon/QBtu) and Fraction Oxidized

Mode (Fuel)	Carbon Content Coefficient	Fraction Oxidized
Aviation (Jet Fuel)	Variable	1.00
Marine (Distillate)	20.17	1.00
Marine (Residual)	20.48	1.00

Source: EPA (2010) and IPCC (2006).

Table A-167: Annual Variable Carbon Content Coefficient for Jet Fuel (MMT Carbon/QBtu)

Fuel	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Jet Fuel	19.40	19.34	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70

Source: EPA (2010)

Table A-168: Total U.S. DoD CO_2 Emissions from Bunker Fuels (MMT CO_2 Eq.)

Mode	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Aviation	8.1	5.5	4.7	5.1	4.7	4.6	4.8	4.5	3.9	4.0	3.8	3.6	3.6	3.1	3.1	2.9	3.1
Marine	5.4	3.4	3.4	3.3	3.6	4.7	5.4	4.8	4.2	4.6	4.5	3.8	4.6	3.9	3.9	3.2	3.4
Total	**13.4**	**9.0**	**8.0**	**8.3**	**8.3**	**9.3**	**10.3**	**9.3**	**8.1**	**8.5**	**8.2**	**7.4**	**8.2**	**7.0**	**7.0**	**6.0**	**6.5**

Note: Totals may not sum due to independent rounding.

References

DLA Energy (2015) Unpublished data from the Defense Fuels Automated Management System (DFAMS). Defense Energy Support Center, Defense Logistics Agency, U.S. Department of Defense. Washington, D.C.

IPCC (2006) *2006 IPCC Guidelines for National Greenhouse Gas Inventories*. The National Greenhouse Gas Inventories Programme, The Intergovernmental Panel on Climate Change. H.S. Eggleston, L. Buendia, K. Miwa, T. Ngara, and K. Tanabe (eds.). Hayama, Kanagawa, Japan.

3.9. Methodology for Estimating HFC and PFC Emissions from Substitution of Ozone Depleting Substances

Emissions of HFCs and PFCs from the substitution of ozone depleting substances (ODS) are developed using a country-specific modeling approach. The Vintaging Model was developed as a tool for estimating the annual chemical emissions from industrial sectors that have historically used ODS in their products. Under the terms of the Montreal Protocol and the United States Clean Air Act Amendments of 1990, the domestic U.S. consumption of ODS—chlorofluorocarbons (CFCs), halons, carbon tetrachloride, methyl chloroform, and hydrochlorofluorocarbons (HCFCs)—has been drastically reduced, forcing these industrial sectors to transition to more ozone friendly chemicals. As these industries have moved toward ODS alternatives such as hydrofluorocarbons (HFCs) and perfluorocarbons (PFCs), the Vintaging Model has evolved into a tool for estimating the rise in consumption and emissions of these alternatives, and the decline of ODS consumption and emissions.

The Vintaging Model estimates emissions from five ODS substitute end-use sectors: refrigeration and air-conditioning, foams, aerosols, solvents, and fire-extinguishing. Within these sectors, there are more than 60 independently modeled end-uses. The model requires information on the market growth for each of the end-uses, a history of the market transition from ODS to alternatives, and the characteristics of each end-use such as market size or charge sizes and loss rates. As ODS are phased out, a percentage of the market share originally filled by the ODS is allocated to each of its substitutes.

The model, named for its method of tracking the emissions of annual "vintages" of new equipment that enter into service, is a "bottom-up" model. It models the consumption of chemicals based on estimates of the quantity of equipment or products sold, serviced, and retired each year, and the amount of the chemical required to manufacture and/or maintain the equipment. The Vintaging Model makes use of this market information to build an inventory of the in-use stocks of the equipment and ODS and ODS substitute in each of the end-uses. The simulation is considered to be a "business-as-usual" baseline case, and does not incorporate measures to reduce or eliminate the emissions of these gases other than those regulated by U.S. law or otherwise common in the industry. Emissions are estimated by applying annual leak rates, service emission rates, and disposal emission rates to each population of equipment. By aggregating the emission and consumption output from the different end-uses, the model produces estimates of total annual use and emissions of each chemical.

The Vintaging Model synthesizes data from a variety of sources, including data from the ODS Tracking System maintained by the Stratospheric Protection Division, the Greenhouse Gas Reporting Program maintained by the Climate Change Division, and information from submissions to EPA under the Significant New Alternatives Policy (SNAP) program. Published sources include documents prepared by the United Nations Environment Programme (UNEP) Technical Options Committees, reports from the Alternative Fluorocarbons Environmental Acceptability Study (AFEAS), and conference proceedings from the International Conferences on Ozone Protection Technologies and Earth Technologies Forums. EPA also coordinates extensively with numerous trade associations and individual companies. For example, the Alliance for Responsible Atmospheric Policy; the Air-Conditioning, Heating and Refrigeration Institute; the Association of Home Appliance Manufacturers; the American Automobile Manufacturers Association; and many of their member companies have provided valuable information over the years. In some instances the unpublished information that the EPA uses in the model is classified as Confidential Business Information (CBI). The annual emissions inventories of chemicals are aggregated in such a way that CBI cannot be inferred. Full public disclosure of the inputs to the Vintaging Model would jeopardize the security of the CBI that has been entrusted to the EPA.

The following sections discuss the emission equations used in the Vintaging Model for each broad end-use category. These equations are applied separately for each chemical used within each of the different end-uses. In the majority of these end-uses, more than one ODS substitute chemical is used.

In general, the modeled emissions are a function of the amount of chemical consumed in each end-use market. Estimates of the consumption of ODS alternatives can be inferred by determining the transition path of each regulated ODS used in the early 1990s. Using data gleaned from a variety of sources, assessments are made regarding which alternatives have been used, and what fraction of the ODS market in each end-use has been captured by a given alternative. By combining this with estimates of the total end-use market growth, a consumption value can be estimated for each chemical used within each end-use.

Methodology

The Vintaging Model estimates the use and emissions of ODS alternatives by taking the following steps:

1. *Gather historical data*. The Vintaging Model is populated with information on each end-use, taken from published sources and industry experts.

2. *Simulate the implementation of new, non-ODS technologies*. The Vintaging Model uses detailed characterizations of the existing uses of the ODS, as well as data on how the substitutes are replacing the ODS, to simulate the implementation of new technologies that enter the market in compliance with ODS phase-out policies. As part of this simulation, the ODS substitutes are introduced in each of the end-uses over time as seen historically and as needed to comply with the ODS phase-out and other regulations.

3. *Estimate emissions of the ODS substitutes*. The chemical use is estimated from the amount of substitutes that are required each year for the manufacture, installation, use, or servicing of products. The emissions are estimated from the emission profile for each vintage of equipment or product in each end-use. By aggregating the emissions from each vintage, a time profile of emissions from each end-use is developed.

Each set of end-uses is discussed in more detail in the following sections.

Refrigeration and Air-Conditioning

For refrigeration and air conditioning products, emission calculations are split into two categories: emissions during equipment lifetime, which arise from annual leakage and service losses, and disposal emissions, which occur at the time of discard. Two separate steps are required to calculate the lifetime emissions from leakage and service, and the emissions resulting from disposal of the equipment. For any given year, these lifetime emissions (for existing equipment) and disposal emissions (from discarded equipment) are summed to calculate the total emissions from refrigeration and air-conditioning. As new technologies replace older ones, it is generally assumed that there are improvements in their leak, service, and disposal emission rates.

Step 1: Calculate lifetime emissions

Emissions from any piece of equipment include both the amount of chemical leaked during equipment operation and the amount emitted during service. Emissions from leakage and servicing can be expressed as follows:

$$Es_j = (l_a + l_s) \times \sum Qc_{j-i+1} \quad for\ i = 1 \rightarrow k$$

where:

Es	=	Emissions from Equipment Serviced. Emissions in year j from normal leakage and servicing (including recharging) of equipment.
l_a	=	Annual Leak Rate. Average annual leak rate during normal equipment operation (expressed as a percentage of total chemical charge).
l_s	=	Service Leak Rate. Average leakage during equipment servicing (expressed as a percentage of total chemical charge).
Qc	=	Quantity of Chemical in New Equipment. Total amount of a specific chemical used to charge new equipment in a given year by weight.
I	=	Counter, runs from 1 to lifetime (k).
j	=	Year of emission.
k	=	Lifetime. The average lifetime of the equipment.

Step 2: Calculate disposal emissions

The disposal emission equations assume that a certain percentage of the chemical charge will be emitted to the atmosphere when that vintage is discarded. Disposal emissions are thus a function of the quantity of chemical contained in the retiring equipment fleet and the proportion of chemical released at disposal:

$$Ed_j = Qc_{j-k+1} \times [1 - (rm \times rc)]$$

where:

Ed	=	Emissions from Equipment Disposed. Emissions in year j from the disposal of equipment.
Qc	=	Quantity of Chemical in New Equipment. Total amount of a specific chemical used to charge new equipment in year $j-k+1$, by weight.

rm	=	Chemical Remaining. Amount of chemical remaining in equipment at the time of disposal (expressed as a percentage of total chemical charge).
rc	=	Chemical Recovery Rate. Amount of chemical that is recovered just prior to disposal (expressed as a percentage of chemical remaining at disposal *(rm)*).
j	=	Year of emission.
k	=	Lifetime. The average lifetime of the equipment.

Step 3: Calculate total emissions

Finally, lifetime and disposal emissions are summed to provide an estimate of total emissions.

$$E_j = Es_j + Ed_j$$

where:

E	=	Total Emissions. Emissions from refrigeration and air conditioning equipment in year *j*.
Es	=	Emissions from Equipment Serviced. Emissions in year *j* from leakage and servicing (including recharging) of equipment.
Ed	=	Emissions from Equipment Disposed. Emissions in year *j* from the disposal of equipment.
j	=	Year of emission.

Assumptions

The assumptions used by the Vintaging Model to trace the transition of each type of equipment away from ODS are presented in Table A-169, below. As new technologies replace older ones, it is generally assumed that there are improvements in their leak, service, and disposal emission rates. Additionally, the market for each equipment type is assumed to grow independently, according to annual growth rates.

Table A-169: Refrigeration and Air-Conditioning Market Transition Assumptions

	Primary Substitute				Secondary Substitute				Tertiary Substitute				
Initial Market Segment	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Growth Rate
Centrifugal Chillers													
CFC-11	HCFC-123	1993	1993	45%	Unknown								1.6%
	HCFC-22	1991	1993	16%	HFC-134a	2000	2010	100%	None				
	HFC-134a	1992	1993	39%	None								
CFC-12	HFC-134a	1992	1994	53%	None								1.5%
	HCFC-22	1991	1994	16%	HFC-134a	2000	2010	100%	None				
	HCFC-123	1993	1994	31%	Unknown								
R-500	HFC-134a	1992	1994	53%	None								1.5%
	HCFC-22	1991	1994	16%	HFC-134a	2000	2010	100%	None				
	HCFC-123	1993	1994	31%	Unknown								
CFC-114	HFC-236fa	1993	1996	100%	HFC-134a	1998	2009	100%	None				1.4%
Cold Storage													
CFC-12	HCFC-22	1990	1993	65%	R-404A	1996	2010	75%	None				3.1%
					R-507	1996	2010	25%	None				
	R-404A	1994	1996	26%	None								
	R-507	1994	1996	9%	None								
HCFC-22	HCFC-22	1992	1993	100%	R-404A	1996	2009	8%	None				3.0%
					R-507	1996	2009	3%	None				
					R-404A	2009	2010	68%	None				
					R-507	2009	2010	23%	None				
R-502	HCFC-22	1990	1993	40%	R-404A	1996	2010	38%	None				2.6%
					R-507	1996	2010	12%	None				
					Non-ODP/GWP	1996	2010	50%	None				
	R-404A	1993	1996	45%	None								
	R-507	1994	1996	15%	None								
Commercial Unitary Air Conditioners (Large)													
HCFC-22	HCFC-22	1992	1993	100%	R-410A	2001	2005	5%	None				1.3%
					R-407C	2006	2009	1%	None				
					R-410A	2006	2009	9%	None				
					R-407C	2009	2010	5%	None				
					R-410A	2009	2010	81%	None				
Commercial Unitary Air Conditioners (Small)													
HCFC-22	HCFC-22	1992	1993	100%	R-410A	1996	2000	3%	None				1.3%
					R-410A	2001	2005	18%	None				
					R-410A	2006	2009	8%	None				
					R-410A	2009	2010	71%	None				

Initial Market Segment	Primary Substitute				Secondary Substitute				Tertiary Substitute				Growth Rate
	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	
Dehumidifiers													
HCFC-22	HFC-134a	1997	1997	89%	None								1.3%
	R-410A	2007	2010	11%	None								
Ice Makers													
CFC-12	HFC-134a	1993	1995	25%	None								2.1%
	R-404A	1993	1995	75%									
Industrial Process Refrigeration													
CFC-11	HCFC-123	1992	1994	70%	Unknown								3.2%
	HFC-134a	1992	1994	15%	None								
	HCFC-22	1991	1994	15%	HFC-134a	1995	2010	100%	None				
CFC-12	HCFC-22	1991	1994	10%	HFC-134a	1995	2010	15%	None				3.1%
					R-404A	1995	2010	50%	None				
					R-410A	1999	2010	20%	None				
					R-507	1995	2010	15%	None				
	HCFC-123	1992	1994	35%	Unknown								
	HFC-134a	1992	1994	50%	None								
	R-401A	1995	1996	5%	HFC-134a	1997	2000	100%	None				
HCFC-22	HFC-134a	1995	2009	2%	None								3.0%
	R-404A	1995	2009	5%	None								
	R-410A	1999	2009	2%	None								
	R-507	1995	2009	2%	None								
	HFC-134a	2009	2010	14%	None								
	R-404A	2009	2010	45%	None								
	R-410A	2009	2010	18%	None								
	R-507	2009	2010	14%	None								
Mobile Air Conditioners (Passenger Cars)													
CFC-12	HFC-134a	1992	1994	100%	HFO-1234yf	2012	2015	1%	None				0.3%
					HFO-1234yf	2016	2021	99%	None				
Mobile Air Conditioners (Light Duty Trucks)													
CFC-12	HFC-134a	1993	1994	100%	HFO-1234yf	2012	2015	1%	None				1.4%
					HFO-1234yf	2016	2021	99%	None				
Mobile Air Conditioners (School and Tour Buses)													
CFC-12	HCFC-22	1994	1995	0.5%	HFC-134a	2006	2007	100%	None				0.3%
	HFC-134a	1194	1997	99.5%	None								
Mobile Air Conditioners (Transit Buses)													
HCFC-22	HFC-134a	1995	2009	100%	None								0.3%
Mobile Air Conditioners (Trains)													
HCFC-22	HFC-134a	2002	2009	50%	None								0.3%

Initial Market Segment	Primary Substitute				Secondary Substitute				Tertiary Substitute				Growth Rate
	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	
	R-407C	2002	2009	50%	None								
Packaged Terminal Air Conditioners and Heat Pumps													
HCFC-22	R-410A	2006	2009	10%	None								3.0%
	R-410A	2009	2010	90%	None								
Positive Displacement Chillers													
HCFC-22	HFC-134a	2000	2009	9%	R-407C	2010	2020	60%	None				2.5%
					R-410A	2010	2020	40%	None				
	R-407C	2000	2009	1%	None								
	HFC-134a	2009	2010	81%	R-407C	2010	2020	60%	None				
									R-410A	2010	2020	40%	
					R-407C	2009	2010	9%	None				
CFC-12	HCFC-22	1993	1993	100%	HFC-134a	2000	2009	9%	R-407C	2010	2020	60%	2.5%
									R-410A	2010	2020	40%	
					R-407C	2000	2009	1%	None				
					HFC-134a	2009	2010	81%	R-407C	2010	2020	60%	
									R-410A	2010	2020	40%	
					R-407C	2009	2010	9%	None				
Refrigerated Appliances													
CFC-12	HFC-134a	1994	1995	100%	None								1.7%
Residential Unitary Air Conditioners													
HCFC-22	HCFC-22	2006	2006	70%	R-410A	2007	2010	29%	None				1.3%
					R-410A	2010	2010	71%	None				
	R-410A	2000	2005	5%	R-410A	2006	2006	100%	None				
	R-410A	2000	2006	5%	None								
	R-410A	2006	2006	20%	None								
Retail Food (Large; Technology Transition)													
DX[1]	DX	2001	2006	67.5%	DX	2006	2015	35%	None				1.7%
					DR[2]	2000	2015	23%	None				
					SLS[3]	2000	2015	15%	None				
	DR	2001	2006	22.5%	None								
	SLS	2001	2006	10%	None								
Retail Food (Large; Refrigerant Transition)													
CFC-12	R-404A	1995	2000	17.5%	R-404A	2000	2000	3.3%	None				1.7%
R-502[4]					R-407A	2011	2015	63.3%	None				
	R-507	1995	2000	7.5%	R-507	2001	2005	70%	R-404A	2006	2010	29%	
									R-407A	2006	2010	71%	
					R-407A	2006	2010	30%	None				
	HCFC-22	1995	2000	75%	R-404A	2006	2010	13.3%	R-407A	2011	2015	100%	

Initial Market Segment	Primary Substitute				Secondary Substitute				Tertiary Substitute				Growth Rate
	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	
					R-407A	2001	2005	1.3%	None				
					R-404A	2001	2005	12%	None				
					R-507	2001	2005	6.7%	R-407A	2011	2015	100%	
					R-404A	2006	2010	34%	R-407A	2011	2015	100%	
					R-404A	2006	2010	7.3%	None				
					R-407A	2006	2010	25.3%	None				
Retail Food (Large Condensing Units)													
HCFC-22	R-402A	1995	2005	5%	R-404A	2006	2006	100%	None				1.5%
	R-404A	1995	2005	25%	None								
	R-507	1995	2005	10%	None								
	R-404A	2008	2010	45%	None								
	R-507	2008	2010	15%	None								
Retail Food (Small Condensing Units)													
HCFC-22	R-401A	1995	2005	6%	HFC-134a	2006	2006	100%	None				1.6%
	R-402A	1995	2005	4%	HFC-134a	2006	2006	100%	None				
	HFC-134a	1993	2005	30%									
	R-404A	1995	2005	30%									
	R-404A	2008	2010	30%									
Retail Food (Small)													
CFC-12	HCFC-22	1990	1993	91%	HFC-134a	1993	1995	91%	CO_2	2012	2015	1%	2.2%
									Non-ODP/GWP	2012	2015	3.7%	
									CO_2	2016	2016	11%	
									Non-ODP/GWP	2016	2016	17.3%	
					HFC-134a	2000	2009	9%					
	R-404A	1990	1993	9%	Non-ODP/GWP	2016	2016	30%					
Retail Food (Vending Machines)													
CFC-12	HFC-134a	1995	1998	90%	CO_2	2012	2012	1%	None				-0.03%
					CO_2	2013	2017	39%	None				
					Propane	2014	2014	1%	None				
					Propane	2015	2015	49%	None				
					R-450A	2019	2019	5%	None				
					R-513A	2019	2019	5%	None				
	R-404A	1995	1998	10%	R-450A	2019	2019	50%	None				
					R-513A	2019	2019	50%	None				
Transport Refrigeration (Road Transport)													
CFC-12	HFC-134a	1993	1995	10%	None								5.5%
	R-404A	1993	1995	60%	None								
	HCFC-22	1993	1995	30%	R-410A	2000	2003	5%	CO_2	2017	2021	5%	

Initial Market Segment	Primary Substitute				Secondary Substitute				Tertiary Substitute				Growth Rate
	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	
					R-404A	2006	2010	95%	CO_2	2017	2021	5%	
Transport Refrigeration (Intermodal Containers)													
CFC-12	HFC-134a	1993	1993	60%	CO_2	2017	2021	5%	None				7.3%
	R-404A	1993	1993	5%	CO_2	2017	2021	5%	None				
	HCFC-22	1993	1993	35%	HFC-134a	2000	2010	10%	CO_2	2017	2021	5%	
Transport Refrigeration (Merchant Fishing Transport)													
HCFC-22	HFC-134a	1993	1995	10%	None								5.7%
	R-507	1994	1995	10%	None								
	R-404A	1993	1995	10%	None								
	HCFC-22	1993	1995	70%	R-407C	2000	2005	3%	R-410A	2005	2007	100%	
					R-507	2006	2010	49%	None				
					R-404	2006	2010	49%	None				
Transport Refrigeration (Reefer Ships)													
HCFC-22	HFC-134a	1993	1995	3.3%	None								4.2%
	R-507	1994	1995	3.3%	None								
	R-404A	1993	1995	3.3%	None								
	HCFC-22	1993	1995	90%	HFC-134a	2006	2010	25%	None				
					R-507	2006	2010	25%	None				
					R-404A	2006	2010	25%	None				
					R-407C	2006	2010	25%	None				
Transport Refrigeration (Vintage Rail Transport)													
CFC-12	HCFC-22	1993	1995	100%	HFC-134a	1996	2000	100%	None				-100%
Transport Refrigeration (Modern Rail Transport)													
HFC-134a	R-404A	1999	1999	50%	None								0.3%
	HFC-134A	2005	2005	50%	None								
Water-Source and Ground-Source Heat Pumps													
HCFC-22	R-407C	2000	2006	5%	None								1.3%
	R-410A	2000	2006	5%	None								
	HFC-134a	2000	2009	2%	None								
	R-407C	2006	2009	2.5%	None								
	R-410A	2006	2009	4.5%	None								
	HFC-134a	2009	2010	18%	None								
	R-407C	2009	2010	22.5%	None								
	R-410A	2009	2010	40.5%	None								
Window Units													
HCFC-22	R-410A	2008	2009	10%	None								4.0%
	R-410A	2009	2010	90%	None								

[1] DX refers to direct expansion systems where the compressors are mounted together in a rack and share suction and discharge refrigeration lines that run throughout the store, feeding refrigerant to the display cases in the sales area.

[2] DR refers to distributed refrigeration systems that consist of multiple smaller units that are located close to the display cases that they serve such as on the roof above the cases, behind a nearby wall, or on top of or next to the case in the sales area.

[3] SLS refers to secondary loop systems wherein a secondary fluid such as glycol or carbon dioxide is cooled by the primary refrigerant in the machine room and then pumped throughout the store to remove heat from the display equipment.

[4] The CFC-12 large retail food market for new systems transitioned to R-502 from 1998 to 1990, and subsequently transitioned to HCFC-22 from 1990 to 1993. These transitions are not shown in the table in order to provide the HFC transitions in greater detail.

[5] HCFC-22 for new equipment after 2010 is assumed to be reclaimed material.

Table A-170 presents the average equipment lifetimes and annual HFC emission rates (for servicing and leaks) for each end-use assumed by the Vintaging Model.

Table A-170: Refrigeration and Air-Conditioning Lifetime Assumptions

End-Use	Lifetime (Years)	HFC Emission Rates (%)
Centrifugal Chillers	20 – 27	2.0 – 10.9
Cold Storage	20 – 25	15.0
Commercial Unitary A/C	15	7.9 – 8.6
Dehumidifiers	11	0.5
Ice Makers	8	3.0
Industrial Process Refrigeration	25	3.6 – 12.3
Mobile Air Conditioners	5 –16	2.3 – 18.0
Positive Displacement Chillers	20	0.5 – 1.5
PTAC/PTHP	12	3.9
Retail Food	10 – 20	1.0 – 25
Refrigerated Appliances	14	0.6
Residential Unitary A/C	15	11.8
Transport Refrigeration	9 – 40	19.4 – 36.4
Water & Ground Source Heat Pumps	20	3.9
Window Units	12	0.6

Aerosols

ODSs, HFCs, and many other chemicals are used as propellant aerosols. Pressurized within a container, a nozzle releases the chemical, which allows the product within the can to also be released. Two types of aerosol products are modeled: metered dose inhalers (MDI) and consumer aerosols. In the United States, the use of CFCs in consumer aerosols was banned in 1978, and many products transitioned to hydrocarbons or "not-in-kind" technologies, such as solid deodorants and finger-pump hair sprays. However, MDIs can continue to use CFCs as propellants because their use has been deemed essential. Essential use exemptions granted to the United States under the Montreal Protocol for CFC use in MDIs are limited to the treatment of asthma and chronic obstructive pulmonary disease.

All HFCs and PFCs used in aerosols are assumed to be emitted in the year of manufacture. Since there is currently no aerosol recycling, it is assumed that all of the annual production of aerosol propellants is released to the atmosphere. The following equation describes the emissions from the aerosols sector.

$$E_j = Qc_j$$

where:

E	=	Emissions. Total emissions of a specific chemical in year j from use in aerosol products, by weight.
Qc	=	Quantity of Chemical. Total quantity of a specific chemical contained in aerosol products sold in year j, by weight.
j	=	Year of emission.

Transition Assumptions

Transition assumptions and growth rates for those items that use ODSs or HFCs as propellants, including vital medical devices and specialty consumer products, are presented in Table A-171.

Table A-171: Aerosol Product Transition Assumptions

Initial Market Segment	Primary Substitute				Secondary Substitute				Growth Rate
	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	
MDIs									
CFC Mix[a]	HFC-134a	1997	1997	6%	None				0.8%
	Non-ODP/GWP	1998	2007	7%	None				
	CFC Mix[a]	2000	2000	87%	HFC-134a	2002	2002	34%	
					HFC-134a	2003	2009	47%	
					HFC-227ea	2006	2009	5%	
					HFC-134a	2010	2011	6%	
					HFC-227ea	2010	2011	1%	
					HFC-134a	2011	2012	3%	
					HFC-227ea	2011	2012	0.3%	
					HFC-134a	2014	2014	3%	
					HFC-227ea	2014	2014	0.3%	
Consumer Aerosols (Non-MDIs)									
NA[b]	HFC-152a	1990	1991	50%	None				2.0%
	HFC-134a	1995	1995	50%	HFC-152a	1997	1998	44%	
					HFC-152a	2001	2005	36%	

[a] CFC Mix consists of CFC-11, CFC-12 and CFC-114 and represents the weighted average of several CFCs consumed for essential use in MDIs from 1993 to 2008.
[b] Consumer Aerosols transitioned away from ODS prior to 1985, the year in which the Vintaging Model begins. The portion of the market that is now using HFC propellants is modeled.

Solvents

ODSs, HFCs, PFCs and other chemicals are used as solvents to clean items. For example, electronics may need to be cleaned after production to remove any manufacturing process oils or residues left. Solvents are applied by moving the item to be cleaned within a bath or stream of the solvent. Generally, most solvents are assumed to remain in the liquid phase and are not emitted as gas. Thus, emissions are considered "incomplete," and are a fixed percentage of the amount of solvent consumed in a year. The remainder of the consumed solvent is assumed to be reused or disposed without being released to the atmosphere. The following equation calculates emissions from solvent applications.

$$E_j = l \times Qc_j$$

where:

E = Emissions. Total emissions of a specific chemical in year j from use in solvent applications, by weight.

l = Percent Leakage. The percentage of the total chemical that is leaked to the atmosphere, assumed to be 90 percent.

Qc = Quantity of Chemical. Total quantity of a specific chemical sold for use in solvent applications in the year j, by weight.

j = Year of emission.

Transition Assumptions

The transition assumptions and growth rates used within the Vintaging Model for electronics cleaning, metals cleaning, precision cleaning, and adhesives, coatings and inks, are presented in Table A-172.

Table A-172: Solvent Market Transition Assumptions

Initial Market Segment	Primary Substitute: Name of Substitute	Primary Substitute: Start Date	Primary Substitute: Date of Full Penetration in New Equipment	Primary Substitute: Maximum Market Penetration	Secondary Substitute: Name of Substitute	Secondary Substitute: Start Date	Secondary Substitute: Date of Full Penetration in New Equipment	Secondary Substitute: Maximum Market Penetration	Growth Rate
Adhesives									
CH_3CCl_3	Non-ODP/GWP	1994	1995	100%	None				2.0%
Electronics									
CFC-113	Semi-Aqueous	1994	1995	52%	None				2.0%
	HCFC-225ca/cb	1994	1995	0.2%	Unknown				
	HFC-43-10mee	1995	1996	0.7%	None				
	HFE-7100	1994	1995	0.7%	None				
	nPB	1992	1996	5%	None				
	Methyl Siloxanes	1992	1996	0.8%	None				
	No-Clean	1992	1996	40%	None				
CH_3CCl_3	Non-ODP/GWP	1996	1997	99.8%	None				2.0%
	PFC/PFPE	1996	1997	0.2%	Non-ODP/GWP	2000	2003	90%	
					Non-ODP/GWP	2005	2009	10%	
Metals									
CH_3CCl_3	Non-ODP/GWP	1992	1996	100%	None				2.0%
CFC-113	Non-ODP/GWP	1992	1996	100%	None				2.0%
CCl_4	Non-ODP/GWP	1992	1996	100%	None				2.0%
Precision									
CH_3CCl_3	Non-ODP/GWP	1995	1996	99.3%	None				2.0%
	HFC-43-10mee	1995	1996	0.6%	None				
	PFC/PFPE	1995	1996	0.1%	Non-ODP/GWP	2000	2003	90%	
					Non-ODP/GWP	2005	2009	10%	
CFC-113	Non-ODP/GWP	1995	1996	96%	None				2.0%
	HCFC-225ca/cb	1995	1996	1%	Unknown				
	HFE-7100	1995	1996	3%	None				

Non-ODP/GWP includes chemicals with zero ODP and low GWP, such as hydrocarbons and ammonia, as well as not-in-kind alternatives such as "no clean" technologies.

Fire Extinguishing

ODSs, HFCs, PFCs and other chemicals are used as fire-extinguishing agents, in both hand-held "streaming" applications as well as in built-up "flooding" equipment similar to water sprinkler systems. Although these systems are generally built to be leak-tight, some leaks do occur and of course emissions occur when the agent is released. Total emissions from fire extinguishing are assumed, in aggregate, to equal a percentage of the total quantity of chemical in operation at a given time. For modeling purposes, it is assumed that fire extinguishing equipment leaks at a constant rate for an average equipment lifetime, as shown in the equation below. In streaming systems, non-halon emissions are assumed to be 3.5 percent of all chemical in use in each year, while in flooding systems 2.5 percent of the installed base of chemical is assumed to leak annually. Halon systems are assumed to leak at higher rates. The equation is applied for a single year, accounting for all fire protection equipment in operation in that year. Each fire protection agent is modeled separately. In the Vintaging Model, streaming applications have a 12-year lifetime and flooding applications have a 20-year lifetime.

$$E_j = \mathrm{r} \times \sum Qc_{j-i+1} \quad for\ i=1 \rightarrow k$$

where:

E = Emissions. Total emissions of a specific chemical in year j for streaming fire extinguishing equipment, by weight.

r = Percent Released. The percentage of the total chemical in operation that is released to the atmosphere.

Qc = Quantity of Chemical. Total amount of a specific chemical used in new fire extinguishing equipment in a given year, j-i+1, by weight.

i = Counter, runs from 1 to lifetime (k).

j = Year of emission.

k = Lifetime. The average lifetime of the equipment.

Transition Assumptions

Transition assumptions and growth rates for these two fire extinguishing types are presented in Table A-173.

Table A-173: Fire Extinguishing Market Transition Assumptions

	Primary Substitute				Secondary Substitute				Growth Rate
Initial Market Segment	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	
Flooding Agents									
Halon-1301	Halon-1301*	1994	1994	4%	Unknown				2.2%
	HFC-23	1994	1999	0.2%	None				
	HFC-227ea	1994	1999	18%	FK-5-1-12	2003	2010	10%	
					HFC-125	2001	2008	10%	
	Non-ODP/GWP	1994	1994	46%	FK-5-1-12	2003	2010	7%	
	Non-ODP/GWP	1995	2034	10%	None				
	Non-ODP/GWP	1998	2027	10%	None				
	C_4F_{10}	1994	1999	1%	FK-5-1-12	2003	2003	100%	
	HFC-125	1997	2006	11%	None				
Streaming Agents									
Halon-1211	Halon-1211*	1992	1992	5%	Unknown				3.0%
	HFC-236fa	1997	1999	3%	None				
	Halotron	1994	1995	0.1%	None				
	Halotron	1996	2000	5.4%	Non-ODP/GWP	2020	2020	56%	
	Non-ODP/GWP	1993	1994	56%	None				
	Non-ODP/GWP	1995	2024	20%	None				
	Non-ODP/GWP	1999	2018	10%	None				

*Despite the 1994 consumption ban, a small percentage of new halon systems are assumed to continue to be built and filled with stockpiled or recovered supplies.

Foam Blowing

ODSs, HFCs, and other chemicals are used to produce foams, including such items as the foam insulation panels around refrigerators, insulation sprayed on buildings, etc. The chemical is used to create pockets of gas within a substrate, increasing the insulating properties of the item. Foams are given emission profiles depending on the foam type (open cell or closed cell). Open cell foams are assumed to be 100 percent emissive in the year of manufacture. Closed cell foams are assumed to emit a portion of their total HFC content upon manufacture, a portion at a constant rate over the lifetime of the foam, a portion at disposal, and a portion after disposal; these portions vary by end-use.

Step 1: Calculate manufacturing emissions (open-cell and closed-cell foams)

Manufacturing emissions occur in the year of foam manufacture, and are calculated as presented in the following equation.

$$Em_j = lm \times Qc_j$$

where:

Em_j = Emissions from manufacturing. Total emissions of a specific chemical in year j due to manufacturing losses, by weight.

lm = Loss Rate. Percent of original blowing agent emitted during foam manufacture. For open-cell foams, lm is 100%.

Qc = Quantity of Chemical. Total amount of a specific chemical used to manufacture closed-cell foams in a given year.

j = Year of emission.

Step 2: Calculate lifetime emissions (closed-cell foams)

Lifetime emissions occur annually from closed-cell foams throughout the lifetime of the foam, as calculated as presented in the following equation.

$$Eu_j = lu \times \sum Qc_{j-i+1} \quad for\ i=1 \rightarrow k$$

where:

Eu_j = Emissions from Lifetime Losses. Total emissions of a specific chemical in year j due to lifetime losses during use, by weight.

lu = Leak Rate. Percent of original blowing agent emitted each year during lifetime use.

Qc = Quantity of Chemical. Total amount of a specific chemical used to manufacture closed-cell foams in a given year.

i = Counter, runs from 1 to lifetime (k).

j = Year of emission.

k = Lifetime. The average lifetime of foam product.

Step 3: Calculate disposal emissions (closed-cell foams)

Disposal emissions occur in the year the foam is disposed, and are calculated as presented in the following equation.

$$Ed_j = ld \times Qc_{j-k}$$

where:

Ed_j = Emissions from disposal. Total emissions of a specific chemical in year j at disposal, by weight.

ld = Loss Rate. Percent of original blowing agent emitted at disposal.

Qc = Quantity of Chemical. Total amount of a specific chemical used to manufacture closed-cell foams in a given year.

j = Year of emission.

k = Lifetime. The average lifetime of foam product.

Step 4: Calculate post-disposal emissions (closed-cell foams)

Post-disposal emissions occur in the years after the foam is disposed; for example, emissions might occur while the disposed foam is in a landfill. Currently, the only foam type assumed to have post-disposal emissions is polyurethane foam used as domestic refrigerator and freezer insulation, which is expected to continue to emit for 26 years post-disposal, calculated as presented in the following equation.

$$Ep_j = lp \times \sum Qc_{j-m} \quad for\ m=k \rightarrow k+26$$

where:

Ep_j = Emissions from post disposal. Total post-disposal emissions of a specific chemical in year j, by weight.

lp = Leak Rate. Percent of original blowing agent emitted post disposal.

Qc = Quantity of Chemical. Total amount of a specific chemical used to manufacture closed-cell foams in a given year.

k = Lifetime. The average lifetime of foam product.

m = Counter. Runs from lifetime (k) to (k+26).

j = Year of emission.

Step 5: Calculate total emissions (open-cell and closed-cell foams)

To calculate total emissions from foams in any given year, emissions from all foam stages must be summed, as presented in the following equation.

$$E_j = Em_j + Eu_j + Ed_j + Ep_j$$

where:

E_j = Total Emissions. Total emissions of a specific chemical in year *j*, by weight.

Em = Emissions from manufacturing. Total emissions of a specific chemical in year *j* due to manufacturing losses, by weight.

Eu_j = Emissions from Lifetime Losses. Total emissions of a specific chemical in year j due to lifetime losses during use, by weight.

Ed_j = Emissions from disposal. Total emissions of a specific chemical in year j at disposal, by weight.

Ep_j = Emissions from post disposal. Total post-disposal emissions of a specific chemical in year j, by weight.

Assumptions

The Vintaging Model contains thirteen foam types, whose transition assumptions away from ODS and growth rates are presented in Table A-174. The emission profiles of these thirteen foam types are shown in Table A-175.

Table A-174: Foam Blowing Market Transition Assumptions

	Primary Substitute				Secondary Substitute				Tertiary Substitute				Growth Rate
Initial Market Segment	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	
Commercial Refrigeration Foam													
CFC-11	HCFC-141b	1989	1996	40%	HFC-245fa	2002	2003	80%	None				6.0%
					Non-ODP/GWP	2002	2003	20%	None				
	HCFC-142b	1989	1996	8%	Non-ODP/GWP	2009	2010	80%	None				
					HFC-245fa	2009	2010	20%	None				
	HCFC-22	1989	1996	52%	Non-ODP/GWP	2009	2010	80%	None				
					HFC-245fa	2009	2010	20%	None				
Flexible PU Foam: Integral Skin Foam													
CFC-11	HCFC-141b	1989	1990	100%	HFC-134a	1993	1996	25%	None				2.0%
					HFC-134a	1994	1996	25%	None				
					CO_2	1993	1996	25%	None				
					CO_2	1994	1996	25%	None				
Flexible PU Foam: Slabstock Foam, Moulded Foam													
CFC-11	Non-ODP/GWP	1992	1992	100%	None								2.0%
Phenolic Foam													
CFC-11	HCFC-141b	1989	1990	100%	Non-ODP/GWP	1992	1992	100%	None				2.0%
Polyolefin Foam													
CFC-114	HFC-152a	1989	1993	10%	Non-ODP/GWP	2005	2010	100%	None				2.0%
	HCFC-142b	1989	1993	90%	Non-ODP/GWP	1994	1996	100%	None				
PU and PIR Rigid: Boardstock													
CFC-11	HCFC-141b	1993	1996	100%	Non-ODP/GWP	2000	2003	95%	None				6.0%
					HC/HFC-245fa Blend	2000	2003	5%	None				
PU Rigid: Domestic Refrigerator and Freezer Insulation													
CFC-11	HCFC-141b	1993	1995	100%	HFC-134a	1996	2001	7%	Non-ODP/GWP	2002	2003	100%	0.8%
					HFC-245fa	2001	2003	50%	Non-ODP/GWP	2015	2029	100%	
					HFC-245fa	2006	2009	10%	Non-ODP/GWP	2015	2029	100%	
					Non-ODP/GWP	2002	2005	10%	None				
					Non-ODP/GWP	2006	2009	3%	None				
					Non-ODP/GWP	2009	2014	20%	None				
PU Rigid: One Component Foam													
CFC-12	HCFC-142b/22 Blend	1989	1996	70%	Non-ODP/GWP	2009	2010	80%	None				4.0%
					HFC-134a	2009	2010	10%	None				

Initial Market Segment	Primary Substitute				Secondary Substitute				Tertiary Substitute				Growth Rate
	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	
					HFC-152a	2009	2010	10%	None				
	HCFC-22	1989	1996	30%	Non-ODP/GWP	2009	2010	80%	None				
					HFC-134a	2009	2010	10%	None				
					HFC-152a	2009	2010	10%	None				
PU Rigid: Other: Slabstock Foam													
CFC-11	HCFC-141b	1989	1996	100%	CO_2	1999	2003	45%	None				2.0%
					Non-ODP/GWP	2001	2003	45%	None				
					HCFC-22	2003	2003	10%	Non-ODP/GWP	2009	2010	100%	
PU Rigid: Sandwich Panels: Continuous and Discontinuous													
CFC-11	HCFC-141b	1989	1996	82%	HCFC-22/Water Blend	2001	2003	20%	HFC-245fa/CO_2 Blend	2009	2010	50%	6.0%
									Non-ODP/GWP	2009	2010	50%	
					HFC-245fa/CO_2 Blend	2002	2004	20%	None				
					Non-ODP/GWP	2001	2004	40%	None				
					HFC-134a	2002	2004	20%	None				
	HCFC-22	1989	1996	18%	HFC-245fa/CO_2 Blend	2009	2010	40%	None				
					Non-ODP/GWP	2009	2010	20%	None				
					CO_2	2009	2010	20%	None				
					HFC-134a	2009	2010	20%	None				
PU Rigid: Spray Foam													
CFC-11	HCFC-141b	1989	1996	100%	HFC-245fa	2002	2003	30%	None				6.0%
					HFC-245fa/CO_2 Blend	2002	2003	60%	None				
					Non-ODP/GWP	2001	2003	10%	None				
XPS: Boardstock Foam													
CFC-12	HCFC-142b/22 Blend	1989	1994	10%	HFC-134a	2009	2010	70%	None				2.5%
					HFC-152a	2009	2010	10%	None				
					CO_2	2009	2010	10%	None				
					Non-ODP/GWP	2009	2010	10%	None				
	HCFC-142b	1989	1994	90%	HFC-134a	2009	2010	70%	None				
					HFC-152a	2009	2010	10%	None				
					CO_2	2009	2010	10%	None				
					Non-ODP/GWP	2009	2010	10%	None				
XPS: Sheet Foam													
CFC-12	CO_2	1989	1994	1%	None								2.0%

Initial Market Segment	Primary Substitute				Secondary Substitute				Tertiary Substitute				Growth Rate
	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	
	Non-ODP/GWP	1989	1994	99%	CO_2	1995	1999	9%	None				
					HFC-152a	1995	1999	10%	None				

Table A-175: Emission Profile for the Foam End-Uses

Foam End-Use	Loss at Manufacturing (%)	Annual Leakage Rate (%)	Leakage Lifetime (years)	Loss at Disposal (%)	Total[a] (%)
Flexible PU Foam: Slabstock Foam, Moulded Foam	100	0	1	0	100
Commercial Refrigeration	6	0.25	15	90.25	100
Rigid PU: Spray Foam	15	1.5	56	1	100
Rigid PU: Slabstock and Other	37.5	0.75	15	51.25	100
Phenolic Foam	23	0.875	32	49	100
Polyolefin Foam	95	2.5	2	0	100
Rigid PU: One Component Foam	100	0	1	0	100
XPS: Sheet Foam[a]	40	2	25	0	90
XPS: Boardstock Foam	25	0.75	50	37.5	100
Flexible PU Foam: Integral Skin Foam	95	2.5	2	0	100
Rigid PU: Domestic Refrigerator and Freezer Insulation[a]	4	0.25	14	40.0	47.5
PU and PIR Rigid: Boardstock	6	1	50	44	100
PU Sandwich Panels: Continuous and Discontinuous	5.5	0.5	50	69.5	100

PIR (Polyisocyanurate)
PU (Polyurethane)
XPS (Extruded Polystyrene)
[a] In general, total emissions from foam end-uses are assumed to be 100 percent, although work is underway to investigate that assumption. In the XPS Sheet/Insulation Board end-use, the source of emission rates and lifetimes did not yield 100 percent emission; it is unclear at this time whether that was intentional. In the Rigid PU Appliance Foam end-use, the source of emission rates and lifetimes did not yield 100 percent emission; the remainder is anticipated to be emitted at a rate of 2.0%/year post-disposal for the next 26 years.

Sterilization

Sterilants kill microorganisms on medical equipment and devices. The principal ODS used in this sector was a blend of 12 percent ethylene oxide (EtO) and 88 percent CFC-12, known as "12/88." In that blend, ethylene oxide sterilizes the equipment and CFC-12 is a dilutent solvent to form a non-flammable blend. The sterilization sector is modeled as a single end-use. For sterilization applications, all chemicals that are used in the equipment in any given year are assumed to be emitted in that year, as shown in the following equation.

$$E_j = Qc_j$$

where:

E = Emissions. Total emissions of a specific chemical in year j from use in sterilization equipment, by weight.

Qc = Quantity of Chemical. Total quantity of a specific chemical used in sterilization equipment in year j, by weight.

j = Year of emission.

Assumptions

The Vintaging Model contains one sterilization end-use, whose transition assumptions away from ODS and growth rates are presented in Table A-176.

Table A-176: Sterilization Market Transition Assumptions

Initial Market Segment	Primary Substitute				Secondary Substitute				Tertiary Substitute				Growth Rate
	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment	Maximum Market Penetration	
12/88	EtO	1994	1995	95%	None								2.0%
	Non-ODP/GWP	1994	1995	1%	None								
	HCFC/EtO Blends	1993	1994	4%	Non-ODP/GWP	2010	2010	100%	None				

Model Output

By repeating these calculations for each year, the Vintaging Model creates annual profiles of use and emissions for ODS and ODS substitutes. The results can be shown for each year in two ways: 1) on a chemical-by-chemical basis, summed across the end-uses, or 2) on an end-use or sector basis. Values for use and emissions are calculated both in metric tons and in million metric tons of CO_2 equivalents (MMT CO_2 Eq.). The conversion of metric tons of chemical to MMT CO_2 Eq. is accomplished through a linear scaling of tonnage by the global warming potential (GWP) of each chemical.

Throughout its development, the Vintaging Model has undergone annual modifications. As new or more accurate information becomes available, the model is adjusted in such a way that both past and future emission estimates are often altered.

Bank of ODS and ODS Substitutes

The bank of an ODS or an ODS substitute is "the cumulative difference between the chemical that has been consumed in an application or sub-application and that which has already been released" (IPCC 2006). For any given year, the bank is equal to the previous year's bank, less the chemical in equipment disposed of during the year, plus chemical in new equipment entering the market during that year, less the amount emitted but not replaced, plus the amount added to replace chemical emitted prior to the given year, as shown in the following equation:

$$Bc_j = Bc_{j-1} - Qd_j + Qp_j + E_e - Q_r$$

where:

Bc_j	=	Bank of Chemical. Total bank of a specific chemical in year *j*, by weight.
Qd_j	=	Quantity of Chemical in Equipment Disposed. Total quantity of a specific chemical in equipment disposed of in year *j*, by weight.
Qp_j	=	Quantity of Chemical Penetrating the Market. Total quantity of a specific chemical that is entering the market in year *j*, by weight.
E_e	=	Emissions of Chemical Not Replaced. Total quantity of a specific chemical that is emitted during year j but is not replaced in that year. The Vintaging Model assumes all chemical emitted from refrigeration, air conditioning and fire extinguishing equipment is replaced in the year it is emitted, hence this term is zero for all sectors except foam blowing.
Q_r	=	Chemical Replacing Previous Year's Emissions. Total quantity of a specific chemical that is used to replace emissions that occurred prior to year j. The Vintaging Model assumes all chemical emitted from refrigeration, air conditioning and fire extinguishing equipment is replaced in the year it is emitted, hence this term is zero for all sectors.
j	=	Year of emission.

Table A-177 provides the bank for ODS and ODS substitutes by chemical grouping in metric tons (MT) for 1990 to 2014.

Table A-177. Banks of ODS and ODS Substitutes, 1990-2014 (MT)

Year	CFC	HCFC	HFC
1990	699,504	281,676	872
1995	792,162	495,808	48,449
2000	667,205	921,390	181,369
2001	639,001	990,934	209,791
2002	614,855	1,044,303	238,162
2003	590,932	1,081,093	272,302
2004	566,576	1,118,737	307,974
2005	543,858	1,159,977	345,872
2006	520,759	1,197,172	389,652
2007	500,425	1,225,447	434,729
2008	485,443	1,242,579	476,428
2009	478,491	1,235,393	522,965
2010	464,946	1,199,995	588,726
2011	451,334	1,155,064	653,741
2012	437,294	1,109,688	725,821
2013	423,535	1,060,341	799,683
2014	411,433	1,009,123	873,569

References

IPCC (2006) *2006 IPCC Guidelines for National Greenhouse Gas Inventories*. The National Greenhouse Gas Inventories Programme, The Intergovernmental Panel on Climate Change, H.S. Eggleston, L. Buendia, K. Miwa, T Ngara, and K. Tanabe (eds.). Hayama, Kanagawa, Japan.

3.10. Methodology for Estimating CH_4 Emissions from Enteric Fermentation

Methane emissions from enteric fermentation were estimated for seven livestock categories: cattle, horses, sheep, swine, goats, American bison, and the non-horse equines (mules and asses). Emissions from cattle represent the majority of U.S. emissions from enteric fermentation; consequently, a more detailed IPCC Tier 2 methodology was used to estimate emissions from cattle. The IPCC Tier 1 methodology was used to estimate emissions for the other types of livestock, including horses, goats, sheep, swine, American bison, and mules and asses.

Estimate Methane Emissions from Cattle

This section describes the process used to estimate CH_4 emissions from enteric fermentation from cattle using the Cattle Enteric Fermentation Model (CEFM). The CEFM was developed based on recommendations provided in the *2006 IPCC Guidelines for National Greenhouse Gas Inventories* (IPCC 2006) and uses information on population, energy requirements, digestible energy, and CH_4 conversion rates to estimate CH_4 emissions.[73] The emission methodology consists of the following three steps: (1) characterize the cattle population to account for animal population categories with different emission profiles; (2) characterize cattle diets to generate information needed to estimate emission factors; and (3) estimate emissions using these data and the IPCC Tier 2 equations.

Step 1: Characterize U.S. Cattle Population

The state-level cattle population estimates are based on data obtained from the U.S. Department of Agriculture's (USDA) National Agricultural Statistics Service Quick Stats database (USDA 2015). A summary of the annual average populations upon which all livestock-related emissions are based is provided in Table A-178. Cattle populations used in the Enteric Fermentation source category were estimated using the cattle transition matrix in the CEFM, which uses January 1 USDA population estimates and weight data to simulate the population of U.S. cattle from birth to slaughter, and results in an estimate of the number of animals in a particular cattle grouping while taking into account the monthly rate of weight gain, the average weight of the animals, and the death and calving rates. The use of supplemental USDA data and the cattle transition matrix in the CEFM results in cattle population estimates for this sector differing slightly from the January 1 or July 1 USDA point estimates and the cattle population data obtained from the Food and Agriculture Organization of the United Nations (FAO).

Table A-178: Cattle Population Estimates from the CEFM Transition Matrix for 1990–2014 (1,000 head)

Livestock Type	1990	1995	2000	2005	2010	2011	2012	2013	2014
Dairy									
Dairy Calves (0–6 months)	5,369	5,091	4,951	4,628	4,666	4,709	4,770	4,758	4,727
Dairy Cows	10,015	9,482	9,183	9,004	9,087	9,156	9,236	9,221	9,208
Dairy Replacements (7–11 months)	1,214	1,216	1,196	1,257	1,351	1,362	1,348	1,341	1,356
Dairy Replacements (12–23 months)	2,915	2,892	2,812	2,905	3,194	3,215	3,233	3,185	3,190
Beef									
Beef Calves (0–6 months)	16,909	18,177	17,431	16,918	16,067	15,817	15,288	14,859	14,946
Bulls	2,160	2,385	2,293	2,214	2,190	2,165	2,100	2,074	2,038
Beef Cows	32,455	35,190	33,575	32,674	31,440	30,913	30,282	29,631	29,085
Beef Replacements (7–11 months)	1,269	1,493	1,313	1,363	1,238	1,232	1,263	1,291	1,342
Beef Replacements (12–23 months)	2,967	3,637	3,097	3,171	3,050	2,889	2,968	3,041	3,113
Steer Stockers	10,321	11,716	8,724	8,185	8,234	7,568	7,173	7,457	7,411
Heifer Stockers	5,946	6,699	5,371	5,015	5,061	4,752	4,456	4,455	4,384
Feedlot Cattle	9,549	11,064	13,006	12,652	13,204	13,601	13,328	13,267	13,222

[73] Additional information on the Cattle Enteric Fermentation Model can be found in ICF (2006).

The population transition matrix in the CEFM simulates the U.S. cattle population over time and provides an estimate of the population age and weight structure by cattle type on a monthly basis.[74] Since cattle often do not remain in a single population type for an entire year (e.g., calves become stockers, stockers become feedlot animals), and emission profiles vary both between and within each cattle type, these monthly age groups are tracked in the enteric fermentation model to obtain more accurate emission estimates than would be available from annual point estimates of population (such as available from USDA statistics) and weight for each cattle type.

The transition matrix tracks both dairy and beef populations, and divides the populations into males and females, and subdivides the population further into specific cattle groupings for calves, replacements, stockers, feedlot, and mature animals. The matrix is based primarily on two types of data: population statistics and weight statistics (including target weights, slaughter weights, and weight gain). Using the weight data, the transition matrix simulates the growth of animals over time by month. The matrix also relies on supplementary data, such as feedlot placement statistics, slaughter statistics, death rates, and calving rates.

The basic method for tracking population of animals per category is based on the number of births (or graduates) into the monthly age group minus those animals that die or are slaughtered and those that graduate to the next category (such as stockers to feedlot placements).

Each stage in the cattle lifecycle was modeled to simulate the cattle population from birth to slaughter. This level of detail accounts for the variability in CH_4 emissions associated with each life stage. Given that a stage can last less than one year (e.g., calves are usually weaned between 4 and 6 months of age), each is modeled on a per-month basis. The type of cattle also influences CH_4 emissions (e.g., beef versus dairy). Consequently, there is an independent transition matrix for each of three separate lifecycle phases, 1) calves, 2) replacements and stockers, and 3) feedlot animals. In addition, the number of mature cows and bulls are tabulated for both dairy and beef stock. The transition matrix estimates total monthly populations for all cattle subtypes. These populations are then reallocated to the state level based on the percent of the cattle type reported in each state in the January 1 USDA data. Each lifecycle is discussed separately below, and the categories tracked are listed in Table A-179.

Table A-179: Cattle Population Categories Used for Estimating CH_4 Emissions

Dairy Cattle	Beef Cattle
Calves	Calves
Heifer Replacements	Heifer Replacements
Cows	Heifer and Steer Stockers
	Animals in Feedlots (Heifers & Steer)
	Cows
	Bulls[a]

[a] Bulls (beef and dairy) are accounted for in a single category.

The key variables tracked for each of these cattle population categories are as follows:

Calves. Although enteric emissions are only calculated for 4- to 6-month old calves, it is necessary to calculate populations from birth as emissions from manure management require total calf populations and the estimates of populations for older cattle rely on the available supply of calves from birth. The number of animals born on a monthly basis was used to initiate monthly cohorts and to determine population age structure. The number of calves born each month was obtained by multiplying annual births by the percentage of births per month. Annual birth information for each year was taken from USDA (2015). For dairy cows, the number of births is assumed to be distributed equally throughout the year (approximately 8.3 percent per month) while beef births are distributed according to Table A-180, based on approximations from the National Animal Health Monitoring System (NAHMS) (USDA/APHIS/VS 1998, 1994, 1993). To determine whether calves were born to dairy or beef cows, the dairy cow calving rate (USDA/APHIS/VS 2002, USDA/APHIS/VS 1996) was multiplied by the total dairy cow population to determine the number of births attributable to dairy cows, with the remainder assumed to be attributable to beef cows. Total annual calf births are obtained from USDA, and distributed into monthly cohorts by cattle type (beef or dairy). Calf growth is modeled by month, based on estimated monthly weight gain for each cohort (approximately 61 pounds per month). The total calf population is modified through time to account for veal calf slaughter at 4 months and a calf death loss of 0.35 percent annually (distributed across age cohorts up to 6 months of age). An example of a transition matrix for calves is shown in Table A-181. Note that 1- to 6-month old calves in January of each year have been tracked through the model based on births and death loss from the previous year.

[74] Mature animal populations are not assumed to have significant monthly fluctuations, and therefore the populations utilized are the January estimates downloaded from USDA (2015).

Table A-180: Estimated Beef Cow Births by Month

Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
7%	15%	28%	22%	9%	3%	2%	2%	3%	4%	3%	3%

Table A-181: Example of Monthly Average Populations from Calf Transition Matrix (1,000 head)

Age (month)	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
6	1,138	1,131	1,389	1,612	1,554	1,538	2,431	4,488	7,755	6,298	2,971	1,522
5	1,131	1,389	1,612	1,554	1,538	2,431	4,488	7,755	6,298	2,971	1,522	1,153
4	1,389	1,612	1,554	1,538	2,431	4,488	7,755	6,298	2,971	1,522	1,153	1,144
3	1,612	1,554	1,538	2,431	4,488	7,755	6,298	2,971	1,522	1,153	1,144	1,402
2	1,554	1,538	2,431	4,488	7,755	6,298	2,971	1,522	1,153	1,144	1,402	1,625
1	1,538	2,431	4,488	7,755	6,298	2,971	1,522	1,153	1,144	1,402	1,625	1,565
0	2,431	4,488	7,755	6,298	2,971	1,522	1,153	1,144	1,402	1,625	1,565	1,547

Note: The cohort starting at age 0 months on January 1 is tracked in order to illustrate how a single cohort moves through the transition matrix. Each month, the cohort reflects the decreases in population due to the estimated 0.35 percent annual death loss, and between months 4 and 5, a more significant loss is seen than in other months due to estimated veal slaughter.

Replacements and Stockers. At 7 months of age, calves "graduate" and are separated into the applicable cattle types. First the number of replacements required for beef and dairy cattle are calculated based on estimated death losses and population changes between beginning and end of year population estimates. Based on the USDA estimates for "replacement beef heifers" and "replacement dairy heifers," the transition matrix for the replacements is back-calculated from the known animal totals from USDA, and the number of calves needed to fill that requirement for each month is subtracted from the known supply of female calves. All female calves remaining after those needed for beef and dairy replacements are removed and become "stockers" that can be placed in feedlots (along with all male calves). During the stocker phase animals are subtracted out of the transition matrix for placement into feedlots based on feedlot placement statistics from USDA (2015).

The data and calculations that occur for the stocker category include matrices that estimate the population of backgrounding heifers and steer, as well as a matrix for total combined stockers. The matrices start with the beginning of year populations in January and model the progression of each cohort. The age structure of the January population is based on estimated births by month from the previous two years, although in order to balance the population properly, an adjustment is added that slightly reduces population percentages in the older populations. The populations are modified through addition of graduating calves (added in month 7, bottom row of Table A-182) and subtraction through death loss and animals placed in feedlots. Eventually, an entire cohort population of stockers may reach zero, indicating that the complete cohort has been transitioned into feedlots. An example of the transition matrix for stockers is shown in Table A-182.

Table A-182: Example of Monthly Average Populations from Stocker Transition Matrix (1,000 head)

Age (month)	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
23	185	180	104	37	15	9	8	8	6	3	1	0
22	320	146	49	19	12	9	9	9	6	3	17	181
21	260	69	25	14	11	11	11	8	6	68	218	313
20	123	35	19	14	14	13	10	8	133	331	387	254
19	63	27	19	17	16	13	10	196	472	615	318	120
18	48	27	23	20	16	13	241	610	900	514	149	61
17	47	33	27	19	15	295	709	1,179	759	237	129	47
16	58	38	26	19	363	828	1,380	1,000	348	340	47	46
15	67	36	25	452	977	1,619	1,172	456	603	47	46	57
14	65	36	599	1,172	1,921	1,378	534	862	47	46	57	66
13	64	845	1,478	2,309	1,639	629	1,117	47	46	57	66	63
12	982	1,602	2,556	1,858	755	1,512	214	46	57	66	63	63
11	1,814	2,770	2,056	855	1,872	277	138	76	89	81	80	1,016
10	3,133	2,255	945	2,241	385	189	184	231	209	185	1,135	2,445
9	2,545	1,062	2,502	484	335	341	420	372	371	1,292	2,786	5,299
8	1,200	2,951	664	482	557	759	658	649	1,503	3,247	5,984	4,877
7	3,381	800	794	956	1,160	1,109	1,100	1,876	3,666	6,504	5,243	2,353

Note: The cohort starting at age 7 months on January 1 is tracked in order to illustrate how a single cohort moves through the transition matrix. Each month, the cohort reflects the decreases in population due to the estimated 0.35 percent annual death loss and loss due to placement in feedlots (the latter resulting in the majority of the loss from the matrix).

In order to ensure a balanced population of both stockers and placements, additional data tables are utilized in the stocker matrix calculations. The tables summarize the placement data by weight class and month, and is based on the total number of animals within the population that are available to be placed in feedlots and the actual feedlot placement statistics provided by USDA (2015). In cases where there are discrepancies between the USDA estimated placements by weight class and the calculated animals available by weight, the model pulls available stockers from one higher weight category if available. If there are still not enough animals to fulfill requirements the model pulls animals from one lower weight category. In the current time series, this method was able to ensure that total placement data matched USDA estimates, and no shortfalls have occurred.

In addition, average weights were tracked for each monthly age group using starting weight and monthly weight gain estimates. Weight gain (i.e., pounds per month) was estimated based on weight gain needed to reach a set target weight, divided by the number of months remaining before target weight was achieved. Birth weight was assumed to be 88 pounds for both beef and dairy animals. Weaning weights were estimated at 515 pounds. Other reported target weights were available for 12-, 15-, 24-, and 36-month-old animals, depending on the animal type. Beef cow mature weight was taken from measurements provided by a major British Bos taurus breed (Enns 2008) and increased during the time series through 2007.[75] Bull mature weight was calculated as 1.5 times the beef cow mature weight (Doren et al. 1989). Beef replacement weight was calculated as 70 percent of mature weight at 15 months and 85 percent of mature weight at 24 months. As dairy weights are not a trait that is typically tracked, mature weight for dairy cows was estimated at 1,500 pounds for all years, based on a personal communication with Kris Johnson (2010) and an estimate from Holstein Association USA (2010).[76] Dairy replacement weight at 15 months was assumed to be 875 pounds and 1,300 pounds at 24 months. Live slaughter weights were estimated from dressed slaughter weight (USDA 2015) divided by 0.63. This ratio represents the dressed weight (i.e., weight of the carcass after removal of the internal organs), to the live weight (i.e., weight taken immediately before slaughter). The annual typical animal mass for each livestock type are presented in Table A-183.

Weight gain for stocker animals was based on monthly gain estimates from Johnson (1999) for 1989, and from average daily estimates from Lippke et al. (2000), Pinchack et al. (2004), Platter et al. (2003), and Skogerboe et al. (2000) for 2000. Interim years were calculated linearly, as shown in Table A-184, and weight gain was held constant starting in 2000. Table A-184 provides weight gains that vary by year in the CEFM.

[75] Mature beef weight is held constant after 2007 but future inventory submissions will incorporate known trends through 2007 and extrapolate to future years, as noted in the Planned Improvements section of 5.1 Enteric Fermentation.

[76] Mature dairy weight is based solely on Holstein weight, so could be higher than the national average. Future Inventory submissions will consider other dairy breeds, as noted in the Planned Improvements section of 5.1 Enteric Fermentation.

Table A-183: Typical Animal Mass (lbs)

Year/Cattle Type	Calves	Dairy Cows[a]	Dairy Replacements[b]	Beef Cows[a]	Bulls[a]	Beef Replacements[b]	Steer Stockers[b]	Heifer Stockers[b]	Steer Feedlot[b]	Heifer Feedlot[b]
1990	269	1,500	900	1,221	1,832	820	692	652	923	846
1991	270	1,500	898	1,225	1,838	822	695	656	934	856
1992	269	1,500	897	1,263	1,895	841	714	673	984	878
1993	270	1,500	899	1,280	1,920	852	721	683	930	864
1994	270	1,500	898	1,280	1,920	854	721	689	944	876
1995	270	1,500	898	1,282	1,923	858	735	701	947	880
1996	269	1,500	898	1,285	1,928	859	739	707	940	878
1997	270	1,500	900	1,286	1,929	861	737	708	939	877
1998	270	1,500	897	1,296	1,944	866	736	710	957	892
1999	270	1,500	899	1,292	1,938	862	731	709	960	895
2000	270	1,500	897	1,272	1,908	849	720	702	961	899
2001	270	1,500	898	1,272	1,908	850	726	707	963	901
2002	270	1,500	897	1,276	1,914	852	726	708	982	915
2003	270	1,500	900	1,308	1,962	872	719	702	973	905
2004	270	1,500	897	1,323	1,985	878	719	702	967	905
2005	270	1,500	895	1,327	1,991	880	718	706	975	917
2006	270	1,500	898	1,341	2,012	890	725	713	984	925
2007	270	1,500	897	1,348	2,022	895	721	707	992	928
2008	270	1,500	898	1,348	2,022	895	721	705	1,000	939
2009	270	1,500	896	1,348	2,022	895	731	715	1,007	948
2010	270	1,500	898	1,348	2,022	897	727	714	997	938
2011	270	1,500	898	1,348	2,022	892	721	713	990	932
2012	270	1,500	899	1,348	2,022	892	714	707	1,004	946
2013	270	1,500	899	1,348	2,022	893	719	710	1,017	958
2014	270	1,500	898	1,348	2,022	891	722	713	1,023	962

[a] Input into the model.
[b] Annual average calculated in model based on age distribution.

Table A-184: Weight Gains that Vary by Year (lbs)

Year/Cattle Type	Steer Stockers to 12 months (lbs/day)	Steer Stockers to 24 months (lbs/day)	Heifer Stockers to 12 months (lbs/day)	Heifer Stockers to 24 months (lbs/day)
1990	1.53	1.23	1.23	1.08
1991	1.56	1.29	1.29	1.15
1992	1.59	1.35	1.35	1.23
1993	1.62	1.41	1.41	1.30
1994	1.65	1.47	1.47	1.38
1995	1.68	1.53	1.53	1.45
1996	1.71	1.59	1.59	1.53
1997	1.74	1.65	1.65	1.60
1998	1.77	1.71	1.71	1.68
1999	1.80	1.77	1.77	1.75
2000–onwards	1.83	1.83	1.83	1.83

Sources: Enns (2008), Johnson (1999), Lippke et al. (2000), NRC (1999), Pinchack et al. (2004), Platter et al. (2003), Skogerboe et al. (2000).

Feedlot Animals. Feedlot placement statistics from USDA provide data on the placement of animals from the stocker population into feedlots on a monthly basis by weight class. The model uses these data to shift a sufficient number of animals from the stocker cohorts into the feedlot populations to match the reported placement data. After animals are placed in feedlots they progress through two steps. First, animals spend 25 days on a step-up diet to become acclimated to the new feed type (e.g., more grain than forage, along with new dietary supplements), during this time weight gain is estimated to be 2.7 to 3 pounds per day (Johnson 1999). Animals are then switched to a finishing diet (concentrated, high energy) for a period of time before they are slaughtered. Weight gain during finishing diets is estimated to be 2.9 to 3.3 pounds per day (Johnson 1999). The length of time an animal spends in a feedlot depends on the start weight (i.e., placement weight), the rate of weight gain during the start-up and finishing phase of diet, and the target weight (as determined by

weights at slaughter). Additionally, animals remaining in feedlots at the end of the year are tracked for inclusion in the following year's emission and population counts. For 1990 to 1995, only the total placement data were available, therefore placements for each weight category (categories displayed in Table A-185) for those years are based on the average of monthly placements from the 1996 to 1998 reported figures. Placement data is available by weight class for all years from 1996 onward. Table A-185 provides a summary of the reported feedlot placement statistics for 2014.

Table A-185: Feedlot Placements in the United States for 2014 (Number of animals placed/1,000 Head)

Weight Placed	When:	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
< 600 lbs		470	390	425	380	435	405	425	410	460	695	555	440
600 – 700 lbs		435	330	290	250	290	245	260	285	350	570	435	365
700 – 800 lbs		559	418	466	393	474	328	354	395	434	463	369	332
> 800 lbs		550	520	620	600	710	490	520	635	770	640	435	400
Total		**2,014**	**1,658**	**1,801**	**1,623**	**1,909**	**1,468**	**1,559**	**1,725**	**2,014**	**2,368**	**1,794**	**1,537**

Source: USDA (2015).
Note: Totals may not sum due to independent rounding.

Mature Animals. Energy requirements and hence, composition of diets, level of intake, and emissions for particular animals, are greatly influenced by whether the animal is pregnant or lactating. Information is therefore needed on the percentage of all mature animals that are pregnant each month, as well as milk production, to estimate CH_4 emissions. A weighted average percent of pregnant cows each month was estimated using information on births by month and average pregnancy term. For beef cattle, a weighted average total milk production per animal per month was estimated using information on typical lactation cycles and amounts (NRC 1999), and data on births by month. This process results in a range of weighted monthly lactation estimates expressed as pounds per animal per month. The monthly estimates for daily milk production by beef cows are shown in Table A-186. Annual estimates for dairy cows were taken from USDA milk production statistics. Dairy lactation estimates for 1990 through 2014 are shown in Table A-187. Beef and dairy cow and bull populations are assumed to remain relatively static throughout the year, as large fluctuations in population size are assumed to not occur. These estimates are taken from the USDA beginning and end of year population datasets.

Table A-186: Estimates of Monthly Milk Production by Beef Cows (lbs/cow)

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Beef Cow Milk Production (lbs/ head)	3.3	5.1	8.7	12.0	13.6	13.3	11.7	9.3	6.9	4.4	3.0	2.8

Table A-187: Dairy Lactation Rates by State (lbs/ year/cow)

State/Year	1990	1995	2000	2005	2010	2011	2012	2013	2014
Alabama	12,214	14,176	13,920	14,000	14,182	14,300	13,000	13,000	13,625
Alaska	13,300	17,000	14,500	12,273	11,833	13,800	14,250	10,667	11,667
Arizona	17,500	19,735	21,820	22,679	23,452	23,473	23,979	23,626	24,347
Arkansas	11,841	12,150	12,436	13,545	12,750	11,917	13,300	11,667	13,714
California	18,456	19,573	21,130	21,404	23,025	23,438	23,457	23,178	23,785
Colorado	17,182	18,687	21,618	22,577	23,664	23,430	24,158	24,292	24,951
Connecticut	15,606	16,438	17,778	19,200	19,158	19,000	19,889	20,556	20,158
Delaware	13,667	14,500	14,747	16,622	16,981	18,300	19,542	19,521	20,146
Florida	14,033	14,698	15,688	16,591	18,711	19,067	19,024	19,374	20,382
Georgia	12,973	15,550	16,284	17,259	17,658	18,354	19,138	19,600	20,790
Hawaii	13,604	13,654	14,358	12,889	13,316	14,421	14,200	13,409	13,591
Idaho	16,475	18,147	20,816	22,332	22,647	22,926	23,376	23,440	24,127
Illinois	14,707	15,887	17,450	18,827	18,400	18,510	19,061	19,063	19,681
Indiana	14,590	15,375	16,568	20,295	20,094	20,657	21,440	21,761	21,865
Iowa	15,118	16,124	18,298	20,641	20,676	21,191	22,015	22,149	22,444
Kansas	12,576	14,390	16,923	20,505	20,983	21,016	21,683	21,881	22,064
Kentucky	10,947	12,469	12,841	12,896	14,769	14,342	15,135	15,070	15,905
Louisiana	11,605	11,908	12,034	12,400	11,750	12,889	13,059	12,875	13,600
Maine	14,619	16,025	17,128	18,030	18,344	18,688	18,576	19,548	20,000
Maryland	13,461	14,725	16,083	16,099	18,537	18,654	19,196	19,440	19,740
Massachusetts	14,871	16,000	17,091	17,059	17,286	16,923	18,250	17,692	17,923
Michigan	15,394	17,071	19,017	21,635	23,277	23,164	23,976	24,116	24,638
Minnesota	14,127	15,894	17,777	18,091	19,366	18,996	19,512	19,694	19,841
Mississippi	12,081	12,909	15,028	15,280	13,118	14,571	14,214	13,286	14,462
Missouri	13,632	14,158	14,662	16,026	14,596	14,611	14,979	14,663	15,539
Montana	13,542	15,000	17,789	19,579	20,643	20,571	21,357	21,286	21,500
Nebraska	13,866	14,797	16,513	17,950	19,797	20,579	21,179	21,574	22,130
Nevada	16,400	18,128	19,000	21,680	23,714	22,966	22,931	22,034	23,793
New Hampshire	15,100	16,300	17,333	18,875	19,600	20,429	19,643	20,923	20,143
New Jersey	13,538	13,913	15,250	16,000	17,500	16,875	18,571	18,143	18,143
New Mexico	18,815	18,969	20,944	21,192	24,551	24,854	24,694	24,944	25,093
New York	14,658	16,501	17,378	18,639	20,807	21,046	21,623	22,070	22,330
North Carolina	15,220	16,314	16,746	18,741	19,682	20,089	20,435	20,326	20,891
North Dakota	12,624	13,094	14,292	14,182	18,286	18,158	19,278	18,944	20,250
Ohio	13,767	15,917	17,027	17,567	19,446	19,194	19,833	20,178	20,318
Oklahoma	12,327	13,611	14,440	16,480	17,125	17,415	17,896	17,311	17,425
Oregon	16,273	17,289	18,222	18,876	20,331	20,488	20,431	20,439	20,605
Pennsylvania	14,726	16,492	18,081	18,722	19,847	19,495	19,549	19,797	20,157
Rhode Island	14,250	14,773	15,667	17,000	17,727	17,909	16,636	19,000	19,000
South Carolina	12,771	14,481	16,087	16,000	17,875	17,438	17,250	16,500	16,375
South Dakota	12,257	13,398	15,516	17,741	20,478	20,582	21,391	21,521	21,742
Tennessee	11,825	13,740	14,789	15,743	16,346	16,200	16,100	15,938	16,304
Texas	14,350	15,244	16,503	19,646	21,375	22,232	22,009	21,991	22,268
Utah	15,838	16,739	17,573	18,875	21,898	22,161	22,863	22,432	22,968
Vermont	14,528	16,210	17,199	18,469	18,537	18,940	19,316	19,448	20,197
Virginia	14,213	15,116	15,833	16,990	18,095	17,906	17,990	18,337	19,140
Washington	18,532	20,091	22,644	23,270	23,514	23,727	23,794	23,820	24,117
West Virginia	11,250	12,667	15,588	14,923	15,700	15,700	15,400	15,200	15,556
Wisconsin	13,973	15,397	17,306	18,500	20,630	20,599	21,436	21,693	21,869
Wyoming	12,337	13,197	13,571	14,878	20,067	20,517	20,650	21,367	21,583

Source: USDA (2015).

Step 2: Characterize U.S. Cattle Population Diets

To support development of digestible energy (DE, the percent of gross energy intake digested by the animal) and CH_4 conversion rate (Y_m, the fraction of gross energy converted to CH_4) values for each of the cattle population categories, data were collected on diets considered representative of different regions. For both grazing animals and animals being fed mixed rations, representative regional diets were estimated using information collected from state livestock specialists, the USDA, expert opinion, and other literature sources. The designated regions for this analysis for dairy cattle for all years and foraging beef cattle from 1990 through 2006 are shown in Table A-188. For foraging beef cattle from 2007 onwards, the regional designations were revised based on data available from the NAHMS 2007–2008 survey on cow-calf system management practices (USDA:APHIS:VS 2010) and are shown in and Table A-189. The data for each of the diets (e.g., proportions of different feed constituents, such as hay or grains) were used to determine feed chemical composition for use in estimating DE and Y_m for each animal type.

Table A-188: Regions used for Characterizing the Diets of Dairy Cattle (all years) and Foraging Cattle from 1990–2006

West	California	Northern Great Plains	Midwestern	Northeast	Southcentral	Southeast
Alaska	California	Colorado	Illinois	Connecticut	Arkansas	Alabama
Arizona		Kansas	Indiana	Delaware	Louisiana	Florida
Hawaii		Montana	Iowa	Maine	Oklahoma	Georgia
Idaho		Nebraska	Michigan	Maryland	Texas	Kentucky
Nevada		North Dakota	Minnesota	Massachusetts		Mississippi
New Mexico		South Dakota	Missouri	New Hampshire		North Carolina
Oregon		Wyoming	Ohio	New Jersey		South Carolina
Utah			Wisconsin	New York		Tennessee
Washington				Pennsylvania		Virginia
				Rhode Island		
				Vermont		
				West Virginia		

Source: USDA (1996).

Table A-189: Regions used for Characterizing the Diets of Foraging Cattle from 2007–2014

West	Central	Northeast	Southeast
Alaska	Illinois	Connecticut	Alabama
Arizona	Indiana	Delaware	**Arkansas**
California	Iowa	Maine	Florida
Colorado	**Kansas**	Maryland	Georgia
Hawaii	Michigan	Massachusetts	Kentucky
Idaho	Minnesota	New Hampshire	**Louisiana**
Montana	Missouri	New Jersey	Mississippi
Nevada	**Nebraska**	New York	North Carolina
New Mexico	**North Dakota**	Pennsylvania	**Oklahoma**
Oregon	Ohio	Rhode Island	South Carolina
Utah	**South Dakota**	Vermont	Tennessee
Washington	Wisconsin	West Virginia	**Texas**
Wyoming			Virginia

Source: Based on data from USDA:APHIS:VS (2010).
Note: States in **bold** represent a change in region from the 1990–2006 assessment.

DE and Y_m vary by diet and animal type. The IPCC recommends Y_m values of 3.0±1.0 percent for feedlot cattle and 6.5±1.0 percent for all other cattle (IPCC 2006). Given the availability of detailed diet information for different regions and animal types in the United States, DE and Y_m values unique to the United States were developed for dairy and beef cattle. Digestible energy and Y_m values were estimated across the time series for each cattle population category based on physiological modeling, published values, and/or expert opinion.

For dairy cows, ruminant digestion models were used to estimate Y_m. The three major categories of input required by the models are animal description (e.g., cattle type, mature weight), animal performance (e.g., initial and final weight, age at start of period), and feed characteristics (e.g., chemical composition, habitat, grain or forage). Data used to simulate ruminant digestion is provided for a particular animal that is then used to represent a group of animals with similar characteristics. The Y_m values were estimated for 1990 using the Donovan and Baldwin model (1999), which represents

physiological processes in the ruminant animals, as well as diet characteristics from USDA (1996). The Donovan and Baldwin model is able to account for differing diets (i.e., grain-based or forage-based), so that Y_m values for the variable feeding characteristics within the U.S. cattle population can be estimated. Subsequently, a literature review of dairy diets was conducted and nearly 250 diets were analyzed from 1990 through 2009 across 23 states—the review indicated highly variable diets, both temporally and spatially. Kebreab et al. (2008) conducted an evaluation of models and found that the COWPOLL model was the best model for estimating Y_m for dairy. The statistical analysis of the COWPOLL model showed a trend in predicting Y_m, and inventory team experts determined that the most comprehensive approach was to use the 1990 baseline from Donovan and Baldwin and then scale Y_m values for each of the diets beyond 1990 with the COWPOLL model. A function based on the national trend observed from the analysis of the dairy diets was used to calculate 1991 and beyond regional values based on the regional 1990 Y_m values from Donovan and Baldwin. The resulting scaling factor (incorporating both Donovan and Baldwin (1999) and COWPOLL) is shown below:

$$Y_m = Y_m(1990)EXP\left(\frac{1.22}{(Year - 1980)}\right) / EXP\left(\frac{1.22}{(1990 - 1980)}\right)$$

DE values for dairy cows were estimated from the literature search based on the annual trends observed in the data collection effort. The regional variability observed in the literature search was not statistically significant, and therefore DE was not varied by region, but did vary over time, and was grouped by the following years 1990–1993, 1994–1998, 1999–2003, 2004–2006, 2007, and 2008 onwards.

Considerably less data was available for dairy heifers and dairy calves. Therefore, for dairy heifers assumptions were based on the relationship of the collected data in the literature on dairy heifers to the data on dairy cow diets. From this relationship, DE was estimated as the mature cow DE minus three percent, and Y_m was estimated as that of the mature dairy cow plus 0.1 percent.

To calculate the DE values for grazing beef cattle, diet composition assumptions were used to estimate weighted DE values for a combination of forage and supplemental diets. The forage portion makes up an estimated 85 to 95 percent of grazing beef cattle diets, and there is considerable variation of both forage type and quality across the United States. Currently there is no comprehensive survey of this data, so for this analysis two regional DE values were developed to account for the generally lower forage quality in the "West" region of the United States versus all other regions in Table A-188 (California, Northern Great Plains, Midwestern, Northeast, Southcentral, Southeast) and Table A-189 (Central, Northeast, and Southeast). For all non-western grazing cattle, the forage DE was an average of the estimated seasonal values for grass pasture diets for a calculated DE of 64.2 percent. For foraging cattle in the west, the forage DE was calculated as the seasonal average for grass pasture, meadow and range diets, for a calculated DE of 61.3 percent. The assumed specific components of each of the broad forage types, along with their corresponding DE value and the calculated regional DE values can be found in Table A-190. In addition, beef cattle are assumed to be fed a supplemental diet, consequently, two sets of supplemental diets were developed, one for 1990 through 2006 (Donovan 1999) and one for 2007 onwards (Preston 2010, Archibeque 2011, USDA:APHIS:VS 2010) as shown in Table A-191 and Table A-192 along with the percent of each total diet that is assumed to be made up of the supplemental portion. By weighting the calculated DE values from the forage and supplemental diets, the DE values for the composite diet were calculated.[77] These values are used for steer and heifer stockers and beef replacements. Finally, for mature beef cows and bulls, the DE value was adjusted downward by two percent to reflect the lower digestibility diets of mature cattle based on Johnson (2002). Y_m values for all grazing beef cattle were set at 6.5 percent based on Johnson (2002). The Y_m values and the resulting final weighted DE values by region for 2007 onwards are shown in Table A-193.

For feedlot animals, DE and Y_m are adjusted over time as diet compositions in actual feedlots are adjusted based on new and improved nutritional information and availability of feed types. Feedlot diets are assumed to not differ significantly by state, and therefore only a single set of national diet values is utilized for each year. The DE and Y_m values for 1990 were estimated by Dr. Don Johnson (1999). In the CEFM, the DE values for 1991 through 1999 were linearly extrapolated based on values for 1990 and 2000. DE and Y_m values from 2000 through the current year were estimated using the MOLLY model as described in Kebreab et al. (2008), based on a series of average diet feed compositions from Galyean and Gleghorn (2001) for 2000 through 2006 and Vasconcelos and Galyean (2007) for 2007 onwards. In addition, feedlot animals are assumed to spend the first 25 days in the feedlot on a "step-up" diet to become accustomed to the higher

[77] For example, the West has a forage DE of 61.3 which makes up 90 percent of the diet and a supplemented diet DE of 67.4 percent was used for 10 percent of the diet, for a total weighted DE of 61.9 percent, as shown in Table A-193

quality feedlot diets. The step-up DE and Y_m are calculated as the average of all state forage and feedlot diet DE and Y_m values.

For calves aged 4 through 6 months, a gradual weaning from milk is simulated, with calf diets at 4 months assumed to be 25 percent forage, increasing to 50 percent forage at age 5 months, and 75 percent forage at age 6 months. The portion of the diet allocated to milk results in zero emissions, as recommended by the IPCC (2006). For calves, the DE for the remainder of the diet is assumed to be similar to that of slightly older replacement heifers (both beef and dairy are calculated separately). The Y_m for beef calves is also assumed to be similar to that of beef replacement heifers (6.5 percent), as literature does not provide an alternative Y_m for use in beef calves. For dairy calves, the Y_m is assumed to be 7.8 percent at 4 months, 8.03 percent at 5 months, and 8.27 percent at 6 months based on estimates provided by Soliva (2006) for Y_m at 4 and 7 months of age and a linear interpolation for 5 and 6 months.

Table A-194 shows the regional DE and Y_m for U.S. cattle in each region for 2014.

Table A-190: Feed Components and Digestible Energy Values Incorporated into Forage Diet Composition Estimates

Forage Type	DE (% of GE)	Grass pasture - Spring	Grass pasture - Summer	Grass pasture - Fall	Range June	Range July	Range August	Range September	Range Winter	Meadow - Spring	Meadow - Fall
Bahiagrass Paspalum notatum, fresh	61.38			x							
Bermudagrass Cynodon dactylon, fresh	66.29		x								
Bremudagrass, Coastal Cynodon dactylon, fresh	65.53		x								
Bluegrass, Canada Poa compressa, fresh, early vegetative	73.99	x									
Bluegrass, Kentucky Poa pratensis, fresh, early vegetative	75.62	x									
Bluegrass, Kentucky Poa pratensis, fresh, mature	59.00		x	x							
Bluestem Andropagon spp, fresh, early vegetative	73.17				x						
Bluestem Andropagon spp, fresh, mature	56.82					x	x	x	x		x
Brome Bromus spp, fresh, early vegetative	78.57	x									
Brome, Smooth Bromus inermis, fresh, early vegetative	75.71	x									
Brome, Smooth Bromus inermis, fresh, mature	57.58		x	x					x		
Buffalograss, Buchloe dactyloides, fresh	64.02				x	x					
Clover, Alsike Trifolium hybridum, fresh, early vegetative	70.62	x									
Clover, Ladino Trifolium repens, fresh, early vegetative	73.22	x									
Clover, Red Trifolium pratense, fresh, early bloom	71.27	x									
Clover, Red Trifolium pratense, fresh, full bloom	67.44		x		x						

Forage Type	DE (% of GE)	Grass pasture - Spring	Grass pasture - Summer	Grass pasture - Fall	Range June	Range July	Range August	Range September	Range Winter	Meadow - Spring	Meadow - Fall
Corn, Dent Yellow Zea mays indentata, aerial part without ears, without husks, sun-cured, (stover)(straw)	55.28			x							
Dropseed, Sand Sporobolus cryptandrus, fresh, stem cured	64.69				x	x	x			x	
Fescue Festuca spp, hay, sun-cured, early vegetative	67.39	x									
Fescue Festuca spp, hay, sun-cured, early bloom	53.57			x							
Grama Bouteloua spp, fresh, early vegetative	67.02	x									
Grama Bouteloua spp, fresh, mature	63.38		x	x						x	
Millet, Foxtail Setaria italica, fresh	68.20	x			x						
Napiergrass Pennisetum purpureum, fresh, late bloom	57.24		x	x							
Needleandthread Stipa comata, fresh, stem cured	60.36					x	x	x			
Orchardgrass Dactylis glomerata, fresh, early vegetative	75.54	x									
Orchardgrass Dactylis glomerata, fresh, midbloom	60.13		x								
Pearlmillet Pennisetum glaucum, fresh	68.04	x									
Prairie plants, Midwest, hay, sun-cured	55.53			x							x
Rape Brassica napus, fresh, early bloom	80.88	x									
Rye Secale cereale, fresh	71.83	x									
Ryegrass, Perennial Lolium perenne, fresh	73.68	x									
Saltgrass Distichlis spp, fresh, post ripe	58.06		x	x							
Sorghum, Sudangrass Sorghum bicolor sudanense, fresh, early vegetative	73.27	x									
Squirreltail Stanion spp, fresh, stem-cured	62.00		x			x					
Summercypress, Gray Kochia vestita, fresh, stem-cured	65.11			x	x	x					

Forage Type	DE (% of GE)	Grass pasture - Spring	Grass pasture - Summer	Grass pasture - Fall	Range June	Range July	Range August	Range September	Range Winter	Meadow - Spring	Meadow - Fall
Timothy Phleum pratense, fresh, late vegetative	73.12	x									
Timothy Phleum pratense, fresh, midbloom	66.87		x								
Trefoil, Birdsfoot Lotus corniculatus, fresh	69.07	x									
Vetch Vicia spp, hay, sun-cured	59.44			x							
Wheat Triticum aestivum, straw	45.77			x							
Wheatgrass, Crested Agropyron desertorum, fresh, early vegetative	79.78	x									
Wheatgrass, Crested Agropyron desertorum, fresh, full bloom	65.89		x			x					
Wheatgrass, Crested Agropyron desertorum, fresh, post ripe	52.99			x					x		x
Winterfat, Common Eurotia lanata, fresh, stem-cured	40.89								x		
Weighted Average DE		**72.99**	**62.45**	**57.26**	**67.11**	**62.70**	**60.62**	**58.59**	**52.07**	**64.03**	**55.11**
Forage Diet for West	**61.3**	**10%**	**10%**	**10%**	**10%**	**10%**	**10%**	**10%**	**10%**	**10%**	**10%**
Forage Diet for All Other Regions	**64.2**	**33.3%**	**33.3%**	**33.3%**	**-**	**-**	**-**	**-**	**-**	**-**	**-**

Note that forages marked with an x indicate that the DE from that specific forage type is included in the general forage type for that column (e.g., grass pasture, range, meadow or meadow by month or season).
Sources: Preston (2010) and Archibeque (2011).

Table A-191: DE Values with Representative Regional Diets for the Supplemental Diet of Grazing Beef Cattle for 1990–2006

Feed	Source of DE (NRC 1984)	Unweighted DE (% of GE)	California *	West	Northern Great Plains	Southcentral	Northeast	Midwest	Southeast
Alfalfa Hay	Table 8, feed #006	61.79	65%	30%	30%	29%	12%	30%	
Barley		85.08	10%	15%					
Bermuda	Table 8, feed #030	66.29							35%
Bermuda Hay	Table 8, feed #031	50.79				40%			
Corn	Table 8, feed #089	88.85	10%	10%	25%	11%	13%	13%	
Corn Silage	Table 8, feed #095	72.88			25%		20%	20%	
Cotton Seed Meal						7%			
Grass Hay	Table 8, feed #126, 170, 274	58.37		40%				30%	
Orchard	Table 8, feed #147	60.13							40%
Soybean Meal Supplement		77.15		5%	5%				5%
Sorghum	Table 8, feed #211	84.23							20%
Soybean Hulls		66.86						7%	
Timothy Hay	Table 8, feed #244	60.51					50%		

Whole Cotton Seed		75.75	5%				5%		
Wheat Middlings	Table 8, feed #257	68.09			15%	13%			
Wheat	Table 8, feed #259	87.95	10%						
Weighted Supplement DE (%)			**70.1**	**67.4**	**73.0**	**62.0**	**67.6**	**66.9**	**68.0**
Percent of Diet that is Supplement			**5%**	**10%**	**15%**	**10%**	**15%**	**10%**	**5%**

Source of representative regional diets: Donovan (1999).
* Note that emissions are currently calculated on a state-by-state basis, but diets are applied by the regions shown in the table above.

Table A-192: DE Values and Representative Regional Diets for the Supplemental Diet of Grazing Beef Cattle for 2007–2014

Feed	Source of DE (NRC1984)	Unweighted DE (% of GE)	West[a]	Central[a]	Northeast[a]	Southeast[a]
Alfalfa Hay	Table 8, feed #006	61.79	65%	30%	12%	
Bermuda	Table 8, feed #030	66.29				20%
Bermuda Hay	Table 8, feed #031	50.79				20%
Corn	Table 8, feed #089	88.85	10%	15%	13%	10%
Corn Silage	Table 8, feed #095	72.88		35%	20%	
Grass Hay	Table 8, feed #126, 170, 274	58.37	10%			
Orchard	Table 8, feed #147	60.13				30%
Protein supplement (West)	Table 8, feed #082, 134, 225[b]	81.01	10%			
Protein Supplement (Central and Northeast)	Table 8, feed #082, 134, 225[b]	80.76		10%	10%	
Protein Supplement (Southeast)	Table 8, feed #082, 134, 101[b]	77.89				10%
Sorghum	Table 8, feed #211	84.23		5%		10%
Timothy Hay	Table 8, feed #244	60.51			45%	
Wheat Middlings	Table 8, feed #257	68.09		5%		
Wheat	Table 8, feed #259	87.95	5%			
Weighted Supplement DE			**67.4**	**73.1**	**68.9**	**66.6**
Percent of Diet that is Supplement			**10%**	**15%**	**5%**	**15%**

[a] Note that emissions are currently calculated on a state-by-state basis, but diets are applied by the regions shown in the table above.
[b] Not in equal proportions.
Sources of representative regional diets: Donovan (1999), Preston (2010), Archibeque (2011), and USDA:APHIS:VS (2010).

Table A-193: Foraging Animal DE (% of GE) and Y_m Values for Each Region and Animal Type for 2007–2014

Animal Type	Data	West[a]	Central	Northeast	Southeast
Beef Repl. Heifers	DE[b]	61.9	65.6	64.5	64.6
	Y_m[c]	6.5%	6.5%	6.5%	6.5%
Beef Calves (4–6 mo)	DE	61.9	65.6	64.5	64.6
	Y_m	6.5%	6.5%	6.5%	6.5%
Steer Stockers	DE	61.9	65.6	64.5	64.6
	Y_m	6.5%	6.5%	6.5%	6.5%
Heifer Stockers	DE	61.9	65.6	64.5	64.6
	Y_m	6.5%	6.5%	6.5%	6.5%
Beef Cows	DE	59.9	63.6	62.5	62.6
	Y_m	6.5%	6.5%	6.5%	6.5%
Bulls	DE	59.9	63.6	62.5	62.6
	Y_m	6.5%	6.5%	6.5%	6.5%

[a] Note that emissions are currently calculated on a state-by-state basis, but diets are applied by the regions shown in the table above. To see the regional designation per state, please see Table A-189.
[b] DE is the digestible energy in units of percent of GE (MJ/Day).
[c] Y_m is the methane conversion rate, the fraction of GE in feed converted to methane.

Table A-194: Regional DE (% of GE) and Y_m Rates for Dairy and Feedlot Cattle by Animal Type for 2014

Animal Type	Data	California[a]	West	Northern Great Plains	Southcentral	Northeast	Midwest	Southeast
Dairy Repl. Heifers	DE[b]	63.7	63.7	63.7	63.7	63.7	63.7	63.7
	Ym[c]	6.0%	6.0%	5.7%	6.5%	6.4%	5.7%	7.0%
Dairy Calves (4–6 mo)	DE	63.7	63.7	63.7	63.7	63.7	63.7	63.7
	Ym	7.8% (4 mo), 8.03% (5 mo), 8.27% (6 mo)-all regions						
Dairy Cows	DE	66.7	66.7	66.7	66.7	66.7	66.7	66.7
	Ym	5.9%	5.9%	5.6%	6.4%	6.3%	5.6%	6.9%
Steer Feedlot	DE	82.5	82.5	82.5	82.5	82.5	82.5	82.5
	Ym	3.9%	3.9%	3.9%	3.9%	3.9%	3.9%	3.9%
Heifer Feedlot	DE	82.5	82.5	82.5	82.5	82.5	82.5	82.5
	Ym	3.9%	3.9%	3.9%	3.9%	3.9%	3.9%	3.9%

[a] Note that emissions are currently calculated on a state-by-state basis, but diets are applied in Table A-188 by the regions shown in the table above. To see the regional designation for foraging cattle per state, please see Table A-188.
[b] DE is the digestible energy in units of percent of GE (MJ/Day).
[c] Y_m is the methane conversion rate, the fraction of GE in feed converted to methane.

Step 3: Estimate CH_4 Emissions from Cattle

Emissions by state were estimated in three steps: a) determine gross energy (GE) intake using the Tier 2 IPCC (2006) equations, b) determine an emission factor using the GE values, Y_m and a conversion factor, and c) sum the daily emissions for each animal type. Finally, the state emissions were aggregated to obtain the national emissions estimate. The necessary data values for each state and animal type include:

- Body Weight (kg)
- Weight Gain (kg/day)
- Net Energy for Activity (C_a, MJ/day)[78]
- Standard Reference Weight (kg)[79]
- Milk Production (kg/day)
- Milk Fat (percent of fat in milk = 4)
- Pregnancy (percent of population that is pregnant)
- DE (percent of GE intake digestible)
- Y_m (the fraction of GE converted to CH_4)
- Population

Step 3a: Determine Gross Energy, GE

As shown in the following equation, GE is derived based on the net energy estimates and the feed characteristics. Only variables relevant to each animal category are used (e.g., estimates for feedlot animals do not require the NE_l factor). All net energy equations are provided in IPCC (2006).

$$GE = \left[\frac{\left(\frac{NE_m + NE_a + NE_l + NE_{work} + NE_p}{REM} \right) + \left(\frac{NE_g}{REG} \right)}{\frac{DE\%}{100}} \right]$$

where,

GE = Gross energy (MJ/day)
NE_m = Net energy required by the animal for maintenance (MJ/day)

[78] Zero for feedlot conditions, 0.17 for high quality confined pasture conditions, and 0.36 for extensive open range or hilly terrain grazing conditions. C_a factor for dairy cows is weighted to account for the fraction of the population in the region that grazes during the year (IPCC 2006).
[79] Standard Reference Weight is the mature weight of a female animal of the animal type being estimated, used in the model to account for breed potential.

NE_a = Net energy for animal activity (MJ/day)
NE_l = Net energy for lactation (MJ/day)
NE_{work} = Net energy for work (MJ/day)
NE_p = Net energy required for pregnancy (MJ/day)
REM = Ratio of net energy available in a diet for maintenance to digestible energy consumed
NE_g = Net energy needed for growth (MJ/day)
REG = Ratio of net energy available for growth in a diet to digestible energy consumed
DE = Digestible energy expressed as a percent of gross energy (percent)

Step 3b: Determine Emission Factor

The daily emission factor (DayEmit) was determined using the GE value and the methane conversion factor (Y_m) for each category. This relationship is shown in the following equation:

$$DayEmit = \frac{GE \times Y_m}{55.65}$$

where,

DayEmit = Emission factor (kg CH_4/head/day)
GE = Gross energy intake (MJ/head/day)
Y_m = CH_4 conversion rate, which is the fraction of GE in feed converted to CH_4 (%)
55.65 = A factor for the energy content of methane (MJ/kg CH_4)

The daily emission factors were estimated for each animal type and state. Calculated annual national emission factors are shown by animal type in Table A-195.

Table A-195: Calculated Annual National Emission Factors for Cattle by Animal Type (kg CH_4/head/year)

Cattle Type	1990	1995	2000	2005	2010	2011	2012	2013	2014
Dairy									
Calves	12	12	12	12	12	12	12	12	12
Cows	124	125	132	133	142	142	144	144	145
Replacements (7–11 months)	48	46	46	45	46	46	46	46	46
Replacements (12–23 months)	73	69	70	67	69	69	69	69	69
Beef									
Calves	11	11	11	11	11	11	11	11	11
Bulls	91	94	94	97	98	98	98	98	98
Cows	89	92	91	94	95	95	95	95	95
Replacements (7–11 months)	54	57	56	59	60	60	60	60	60
Replacements (12–23 months)	63	66	66	68	70	70	70	70	70
Steer Stockers	55	57	58	58	58	58	58	58	58
Heifer Stockers	52	56	60	60	60	60	60	60	60
Feedlot Cattle	39	38	39	39	42	42	42	43	43

Note: To convert to a daily emission factor, the yearly emission factor can be divided by 365 (the number of days in a year).

For quality assurance purposes, U.S. emission factors for each animal type were compared to estimates provided by the other Annex I member countries of the United Nations Framework Convention on Climate Change (UNFCCC) (the most recently available summarized results for Annex I countries are through 2012 only). Results, presented in Table A-196, indicate that U.S. emission factors are comparable to those of other Annex I countries. Results in Table A-196 are presented along with Tier 1 emission factors provided by IPCC (2006). Throughout the time series, beef cattle in the United States generally emit more enteric CH_4 per head than other Annex I member countries, while dairy cattle in the United States generally emit comparable enteric CH_4 per head.

Table A-196: Annex I Countries' Implied Emission Factors for Cattle by Year (kg CH_4/head/year)[80]

	Dairy Cattle		Beef Cattle	
Year	United States Implied Emission Factor	Mean of Implied Emission Factors for Annex I countries (excluding United States)	United States Implied Emission Factor	Mean of Implied Emission Factors for Annex I countries (excluding United States)
1990	107	96	71	53
1991	107	97	71	53
1992	107	96	72	54
1993	106	97	72	54
1994	106	98	73	54
1995	106	98	72	54
1996	105	99	73	54
1997	106	100	73	54
1998	107	101	73	55
1999	110	102	72	55
2000	111	103	72	55
2001	110	104	73	55
2002	111	105	73	55
2003	111	106	73	55
2004	109	107	74	55
2005	110	109	74	55
2006	110	110	74	55
2007	114	111	75	55
2008	115	112	75	55
2009	115	112	75	56
2010	115	113	75	55
2011	116	113	75	55
2012	117	112	75	51
2013	117	NA	75	NA
2014	118	NA	74	NA
Tier I EFs For North America, from IPCC (2006)		**121**		**53**

Step 3c: Estimate Total Emissions

Emissions were summed for each month and for each state population category using the daily emission factor for a representative animal and the number of animals in the category. The following equation was used:

$$Emissions_{state} = DayEmit_{state} \times Days/Month \times SubPop_{state}$$

where,

$Emissions_{state}$	=	Emissions for state during the month (kg CH_4)
DayEmitstate	=	Emission factor for the subcategory and state (kg CH4/head/day)
Days/Month	=	Number of days in the month
$SubPop_{state}$	=	Number of animals in the subcategory and state during the month

This process was repeated for each month, and the monthly totals for each state subcategory were summed to achieve an emission estimate for a state for the entire year and state estimates were summed to obtain the national total. The

[80] Excluding calves.

estimates for each of the 10 subcategories of cattle are listed in Table A-197. The emissions for each subcategory were then aggregated to estimate total emissions from beef cattle and dairy cattle for the entire year.

Table A-197: CH_4 Emissions from Cattle (kt)

Cattle Type	1990	1995	2000	2005	2010	2011	2012	2013	2014
Dairy	**1,574**	**1,498**	**1,519**	**1,503**	**1,627**	**1,645**	**1,670**	**1,664**	**1,677**
Calves (4–6 months)	62	59	59	54	57	57	58	58	58
Cows	1,242	1,183	1,209	1,197	1,287	1,302	1,326	1,325	1,337
Replacements (7–11 months)	58	56	55	56	62	63	62	61	62
Replacements (12–23 months)	212	201	196	196	222	223	224	220	220
Beef	**4,763**	**5,419**	**5,070**	**5,007**	**4,984**	**4,873**	**4,763**	**4,722**	**4,667**
Calves (4–6 months)	182	193	186	179	169	166	161	157	158
Bulls	196	225	215	214	215	212	206	203	200
Cows	2,884	3,222	3,058	3,056	2,976	2,927	2,868	2,806	2,754
Replacements (7–11 months)	69	85	74	80	75	74	76	78	81
Replacements (12–23 months)	188	241	204	217	213	202	208	213	217
Steer Stockers	563	662	509	473	476	436	413	431	428
Heifer Stockers	306	375	323	299	302	283	266	267	262
Feedlot Cattle	375	416	502	488	560	573	565	568	567
Total	**6,338**	**6,917**	**6,589**	**6,510**	**6,612**	**6,518**	**6,433**	**6,386**	**6,344**

Note: Totals may not sum due to independent rounding.

Emission Estimates from Other Livestock

"Other livestock" include horses, sheep, swine, goats, American bison, and mules and asses. All livestock population data, except for American bison for years prior to 2002, were taken from the U.S. Department of Agriculture (USDA) National Agricultural Statistics Service (NASS) agricultural statistics database (USDA 2015) or earlier census data (USDA 1992, 1997). Annex 3.11 Methodology for Estimating CH_4 and N_2O Emissions from Manure Management discusses the methods for obtaining annual average populations and disaggregating into state data where needed and provides the resulting population data for the other livestock that were used for estimating all livestock-related emissions (see Table A-199). For each animal category, the USDA publishes monthly, annual, or multi-year livestock population and production estimates. American bison estimates prior to 2002 were estimated using data from the National Bison Association (1999).

Methane emissions from sheep, goats, swine, horses, mules and asses were estimated by multiplying national population estimates by the default IPCC emission factor (IPCC 2006). For American bison the emission factor for buffalo (IPCC 2006) was used and adjusted based on the ratio of live weights of 300 kilogram for buffalo (IPCC 2006) and 1,130 pounds (513 kg) for American Bison (National Bison Association 2011) to the 0.75 power. This methodology for determining emission factors is recommended by IPCC (2006) for animals with similar digestive systems. Table A-198 shows the emission factors used for these other livestock. National enteric fermentation emissions from all livestock types are shown in Table A-199 and Table A-200. Enteric fermentation emissions from most livestock types, broken down by state, for 2014 are shown in Table A-201 and Table A-202. Livestock populations are shown in Table A-203.

Table A-198: Emission Factors for Other Livestock (kg CH_4/head/year)

Livestock Type	Emission Factor
Swine	1.5
Horses	18
Sheep	8
Goats	5
American Bison	82.2
Mules and Asses	10.0

Source: IPCC (2006), except American Bison, as described in text.

Table A-199: CH_4 Emissions from Enteric Fermentation (MMT CO_2 Eq.)

Livestock Type	1990	1995	2000	2005	2010	2011	2012	2013	2014
Beef Cattle	119.1	135.5	126.7	125.2	124.6	121.8	119.1	118.0	116.7
Dairy Cattle	39.4	37.5	38.0	37.6	40.7	41.1	41.7	41.6	41.9
Swine	2.0	2.2	2.2	2.3	2.4	2.5	2.5	2.5	2.4
Horses	1.0	1.2	1.5	1.7	1.7	1.7	1.6	1.6	1.6
Sheep	2.3	1.8	1.4	1.2	1.1	1.1	1.1	1.1	1.0
Goats	0.3	0.3	0.3	0.4	0.4	0.3	0.3	0.3	0.3
American Bison	0.1	0.2	0.4	0.4	0.4	0.3	0.3	0.3	0.3
Mules and Asses	+	+	+	0.1	0.1	0.1	0.1	0.1	0.1
Total	**164.2**	**178.7**	**170.6**	**168.9**	**171.1**	**168.7**	**166.3**	**164.5**	**164.3**

Notes: Totals may not sum due to independent rounding.
+ Indicates emissions are less than 0.05 MMT CO_2 Eq.

Table A-200: CH_4 Emissions from Enteric Fermentation (kt)

Livestock Type	1990	1995	2000	2005	2010	2011	2012	2013	2014
Beef Cattle	4,763	5,419	5,070	5,007	4,984	4,873	4,763	4,722	4,667
Dairy Cattle	1,574	1,498	1,519	1,503	1,627	1,645	1,670	1,664	1,677
Swine	81	88	88	92	97	98	100	98	96
Horses	40	47	61	70	68	67	65	64	62
Sheep	91	72	56	49	45	44	43	43	42
Goats	13	12	12	14	14	14	13	13	12
American Bison	4	9	16	17	15	14	13	13	12
Mules and Asses	1	1	1	2	3	3	3	3	3
Total	**6,566**	**7,146**	**6,824**	**6,755**	**6,853**	**6,757**	**6,670**	**6,619**	**6,572**

Note: Totals may not sum due to independent rounding.

Table A-201: CH_4 Emissions from Enteric Fermentation from Cattle (metric tons), by State, for 2014

State	Dairy Calves	Dairy Cows	Dairy Repl. Heif. 0-12 Months	Dairy Repl. Heif. 12-24 Months	Bulls	Beef Calves	Beef Cows	Beef Repl. Heif. 0-12 Months	Beef Repl. Heif. 12-24 Months	Steer Stockers	Heifer Stockers	Feedlot	Total
Alabama	56	1,195	63	225	4,866	3,663	64,090	1,587	4,269	1,637	915	249	82,816
Alaska	2	31	1	5	197	25	432	12	33	12	3	1	755
Arizona	1,202	29,450	1,008	3,581	2,078	1,034	17,883	467	1,249	8,956	668	11,844	79,420
Arkansas	50	961	73	260	5,352	4,637	81,125	1,976	5,317	3,956	2,303	598	106,608
California	11,145	267,318	10,215	36,298	7,272	3,484	60,281	1,712	4,581	18,792	7,314	21,784	450,196
Colorado	877	20,629	1,290	4,583	4,675	4,123	71,333	2,334	6,247	22,903	15,583	42,057	196,633
Conn.	119	2,755	130	461	49	22	377	22	58	55	15	7	4,069
Delaware	29	681	37	133	29	15	264	7	19	55	18	7	1,296
Florida	770	20,134	553	1,966	5,839	4,879	85,360	1,659	4,463	682	886	145	127,336
Georgia	501	13,244	395	1,404	2,725	2,690	47,056	1,183	3,183	982	856	184	74,403
Hawaii	14	245	14	48	416	417	7,214	140	375	206	127	32	9,246
Idaho	3,538	86,174	3,678	13,067	4,155	2,700	46,718	1,712	4,581	7,634	6,042	10,298	190,297
Illinois	595	12,138	580	2,062	2,378	1,860	32,662	885	2,387	6,652	2,936	11,171	76,307
Indiana	1,115	24,188	877	3,117	1,617	980	17,205	548	1,477	2,874	1,525	4,527	60,050
Iowa	1,284	28,298	1,548	5,500	5,708	4,690	82,345	2,249	6,061	33,791	18,421	53,578	243,473
Kansas	852	18,581	1,290	4,583	8,562	7,410	130,096	3,373	9,092	47,095	40,295	93,122	364,351
Kentucky	426	9,740	711	2,528	6,812	5,336	93,359	2,164	5,822	5,457	3,690	771	136,815
Louisiana	94	1,795	73	260	2,919	2,421	42,350	1,212	3,260	655	620	121	55,780
Maine	188	4,331	245	870	146	59	1,038	43	117	137	59	20	7,254
Maryland	313	7,164	360	1,280	390	205	3,586	137	370	411	222	433	14,872
Mass.	75	1,629	108	384	98	32	566	29	78	55	15	7	3,075
Michigan	2,386	55,699	2,115	7,516	1,427	624	10,949	408	1,099	4,656	1,353	6,535	94,766
Minn.	2,880	59,049	3,612	12,833	3,330	1,782	31,282	1,124	3,031	13,038	4,893	16,316	153,168
Miss.	81	1,776	95	337	3,698	2,566	44,891	1,313	3,532	1,692	1,270	276	61,526
Missouri	564	10,114	645	2,292	9,513	9,538	167,450	4,287	11,554	10,111	6,188	3,288	235,544
Montana	88	1,883	116	412	10,389	8,571	148,292	6,691	17,908	6,460	6,710	2,271	209,790
Nebraska	332	7,254	258	917	9,038	9,470	166,254	5,622	15,153	61,197	36,842	105,842	418,178
Nevada	182	4,385	123	436	1,351	1,341	23,208	560	1,499	1,292	986	173	35,535
N. Hamp.	85	1,957	101	358	49	16	283	14	39	27	15	4	2,948
N. Jersey	44	956	43	154	98	38	661	14	39	55	30	8	2,139
N. Mexico	2,022	50,489	1,634	5,808	3,636	2,363	40,891	1,089	2,915	2,643	2,226	453	116,170

State	Dairy Calves	Dairy Cows	Dairy Repl. Heif. 0-12 Months	Dairy Repl. Heif. 12-24 Months	Bulls	Beef Calves	Beef Cows	Beef Repl. Heif. 0-12 Months	Beef Repl. Heif. 12-24 Months	Steer Stockers	Heifer Stockers	Feedlot	Total
New York	3,851	94,740	5,116	18,177	1,757	567	9,909	651	1,752	876	1,185	1,012	139,591
N. Car.	282	7,471	316	1,123	2,919	1,910	33,410	1,039	2,794	1,201	709	187	53,360
N. Dakota	106	2,208	129	458	5,232	4,837	84,921	2,389	6,440	7,184	6,044	1,754	121,704
Ohio	1,672	34,747	1,677	5,958	2,378	1,536	26,958	773	2,084	5,188	1,727	6,926	91,623
Oklahoma	282	6,112	292	1,038	12,164	9,656	168,931	4,688	12,614	24,829	10,038	12,140	262,784
Oregon	776	17,197	817	2,904	3,740	2,996	51,842	1,634	4,373	4,698	3,816	3,163	97,957
Penn	3,318	76,855	4,539	16,129	2,440	863	15,100	723	1,946	5,063	2,073	4,837	133,887
R.Island	6	126	7	26	10	8	142	7	19	14	6	2	372
S. Car.	100	2,326	95	337	1,362	909	15,905	433	1,164	327	354	63	23,376
S. Dakota	595	12,866	645	2,292	9,038	8,569	150,429	4,779	12,880	18,359	14,103	16,525	251,079
Tenn.	288	6,673	474	1,685	5,839	4,648	81,313	1,875	5,045	3,683	2,510	130	114,163
Texas	2,755	68,751	3,215	11,423	27,247	21,033	367,978	9,520	25,615	64,665	41,924	108,395	752,521
Utah	595	14,057	627	2,226	2,389	1,974	34,159	1,089	2,915	2,496	2,194	1,125	65,847
Vermont	826	19,163	807	2,867	293	65	1,132	65	175	109	163	27	25,694
Virginia	582	14,695	632	2,247	3,892	3,427	59,949	1,659	4,463	4,638	2,067	891	99,143
Wash.	1,665	40,560	1,703	6,050	1,974	1,243	21,500	778	2,082	4,698	4,134	8,876	95,263
W. Virg.	56	1,133	72	256	1,366	1,031	18,025	506	1,362	1,232	711	208	25,959
Wisconsin	7,952	172,592	8,771	31,166	2,854	1,310	23,001	984	2,652	10,111	2,015	11,255	274,662
Wyoming	38	809	52	183	4,155	4,030	69,725	2,723	7,288	4,404	3,371	3,205	99,984

Table A-202: CH_4 Emissions from Enteric Fermentation from Other Livestock (metric tons), by State, for 2014

State	Swine	Horses	Sheep	Goats	American Bison	Mules and Asses	Total
Alabama	158	978	97	208	17	116	1,574
Alaska	2	23	97	3	143	1	269
Arizona	203	1,833	1,200	417	0	35	3,688
Arkansas	165	971	97	190	14	84	1,520
California	165	2,291	4,720	719	88	65	8,048
Colorado	1,043	1,924	2,920	145	726	63	6,821
Connecticut	4	356	59	22	10	10	460
Delaware	6	127	97	7	8	1	245
Florida	26	2,182	97	249	7	96	2,656
Georgia	230	1,208	97	334	17	88	1,973
Hawaii	14	82	97	73	5	4	274
Idaho	46	1,015	2,000	91	346	39	3,538
Illinois	6,713	1,008	448	153	38	35	8,395
Indiana	5,306	1,870	400	176	89	54	7,895
Iowa	30,263	1,049	1,240	282	117	44	32,994
Kansas	2,603	1,240	600	197	433	37	5,110
Kentucky	488	2,311	392	252	107	130	3,679
Louisiana	12	1,071	97	88	3	73	1,344
Maine	7	215	59	33	18	4	335
Maryland	32	501	97	41	25	12	708
Massachusetts	14	364	59	44	6	5	492
Michigan	1,568	1,489	648	134	99	41	3,979
Minnesota	11,625	1,024	1,080	162	150	30	14,071
Mississippi	833	1,009	97	110	<1	88	2,137
Missouri	3,938	1,882	664	533	112	96	7,224
Montana	264	1,710	1,760	48	1,209	47	5,038
Nebraska	4,613	1,149	608	112	2,077	38	8,596
Nevada	3	434	640	126	4	6	1,213
New Hampshire	5	158	59	26	26	3	277
New Jersey	15	480	97	37	17	9	654
New Mexico	2	892	648	146	435	18	2,142
New York	105	1,660	600	175	54	37	2,631
North Carolina	12,225	1,121	216	268	15	93	13,937
North Dakota	209	819	528	25	578	12	2,170
Ohio	3,101	2,018	936	222	53	71	6,401
Oklahoma	3,000	2,813	472	373	774	136	7,568
Oregon	14	1,131	1,560	156	122	33	3,106
Pennsylvania	1,691	2,184	752	233	62	95	5,016

State	Swine	Horses	Sheep	Goats	American Bison	Mules and Asses	Total
Rhode Island	2	36	59	5	0	1	103
South Carolina	375	1,009	97	184	10	57	1,732
South Dakota	1,853	1,231	2,160	94	2,598	15	7.952
Tennessee	300	1,410	312	380	10	143	2,555
Texas	1,039	6,815	5,840	3,872	310	632	18,508
Utah	1,035	1,056	2,240	68	84	32	4,515
Vermont	5	198	59	61	7	13	343
Virginia	405	1,538	664	230	82	70	2,989
Washington	46	982	440	124	60	35	1,688
West Virginia	6	395	256	76	0	29	762
Wisconsin	450	1,743	664	316	287	58	3,518
Wyoming	126	1,247	2,840	48	688	27	4,975

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3.11. Methodology for Estimating CH_4 and N_2O Emissions from Manure Management

The following steps were used to estimate methane (CH_4) and nitrous oxide (N_2O) emissions from the management of livestock manure.[81]

Step 1: Livestock Population Characterization Data

Annual animal population data for 1990 through 2014 for all livestock types, except American bison, goats, horses, mules and asses were obtained from the USDA National Agricultural Statistics Service (NASS). The population data used in the emissions calculations for cattle, swine, and sheep were downloaded from the USDA NASS Quick Stats Database (USDA 2015a). Poultry population data were obtained from USDA NASS reports (USDA 1995a, 1995b, 1998, 1999, 2004a, 2004b, 2009a, 2009b, 2009c, 2009d, 2010a, 2010b, 2011a, 2011b, 2012a, 2012b, 2013a, 2013b, 2014b, 2014c, 2015b and 2015c). Goat population data for 1992, 1997, 2002, 2007, and 2012 were obtained from the Census of Agriculture (USDA 2014a), as were horse, mule and ass population data for 1987, 1992, 1997, 2002, 2007, and 2012, and American bison population for 2002, 2007, and 2012. American bison population data for 1990-1999 were obtained from the National Bison Association (1999). Additional data sources used and adjustments to these data sets are described below.

Cattle: For all cattle groups (cows, heifers, steers, bulls, and calves), the USDA data provide cattle inventories from January (for each state) and July (as a U. S. total only) of each year. Cattle inventories change over the course of the year, sometimes significantly, as new calves are born and as cattle are moved into feedlots and subsequently slaughtered; therefore, to develop the best estimate for the annual animal population, the populations and the individual characteristics, such as weight and weight gain, pregnancy, and lactation of each animal type were tracked in the Cattle Enteric Fermentation Model (CEFM—see section 5.1 Enteric Fermentation). For animals that have relatively static populations throughout the year, such as mature cows and bulls, the January 1 values were used. For animals that have fluctuating populations throughout the year, such as calves and growing heifers and steer, the populations are modeled based on a transition matrix that uses annual population data from USDA along with USDA data on animal births, placement into feedlots, and slaughter statistics.

Swine: The USDA provides quarterly data for each swine subcategory: breeding, market under 50 pounds (under 23 kg), market 50 to 119 pounds (23 to 54 kg), market 120 to 179 pounds (54 to 81 kg), and market 180 pounds and over (greater than 82 kg). The average of the quarterly data was used in the emission calculations. For states where only December inventory is reported, the December data were used directly.

Sheep: The USDA provides total state-level data annually for lambs and sheep. Population distribution data for lamb and sheep on feed are not available after 1993 (USDA 1994). The number of lamb and sheep on feed for 1994 through 2014 were calculated using the average of the percent of lamb and sheep on feed from 1990 through 1993. In addition, all of the sheep and lamb "on feed" are not necessarily on "feedlots;" they may be on pasture/crop residue supplemented by feed. Data for those animals on feed that are in feedlots versus pasture/crop residue were provided only for lamb in 1993. To calculate the populations of sheep and lamb in feedlots for all years, it was assumed that the percentage of sheep and lamb on feed that are in feedlots versus pasture/crop residue is the same as that for lambs in 1993 (Anderson 2000).

Goats: Annual goat population data by state were available for 1992, 1997, 2002, 2007, and 2012 (USDA 2014a). The data for 1992 were used for 1990 through 1992. Data for 1993 through 1996, 1998 through 2001, 2003 through 2006, 2008 through 2011, and 2013 through 2014 were extrapolated based on the 1992, 1997, 2002, 2007, and 2012 Census data.

Horses: Annual horse population data by state were available for 1987, 1992, 1997, 2002, 2007, and 2012 (USDA 2014a). Data for 1990 through 1991, 1993 through 1996, 1998 through 2001, 2003 through 2006, 2008 through 2011, and 2013 through 2014 were extrapolated based on the 1987, 1992, 1997, 2002, 2007, and 2012 Census data.

Mules and Asses: Annual mule and ass (burro and donkey) population data by state were available for 1987, 1992, 1997, 2002, 2007, and 2012 (USDA 2014a). Data for 1990 through 1991, 1993 through 1996, 1998 through 2001, 2003

[81] Note that direct N_2O emissions from dung and urine spread onto fields either directly as daily spread or after it is removed from manure management systems (e.g., lagoon, pit, etc.) and from livestock dung and urine deposited on pasture, range, or paddock lands are accounted for and discussed in the Agricultural Soil Management source category within the Agriculture sector. Indirect N_2O emissions dung and urine spread onto fields after it is removed from manure management systems (e.g., lagoon, pit, etc.) and from livestock dung and urine deposited on pasture, range, or paddock lands are also included in the Agricultural Soil Management source category.

through 2006, 2008 through 2011, and 2013 through 2014 were extrapolated based on the 1987, 1992, 1997, 2002, 2007, and 2012 Census data.

American Bison: Annual American bison population data by state were available for 2002, 2007, and 2012 (USDA 2014a). Data for 1990 through 1999 were obtained from the Bison Association (1999). Data for 2000, 2001, 2003 through 2006, 2008 through 2011, and 2013 through 2014 were extrapolated based on the Bison Association and 2002 2007, and 2012 Census data.

Poultry: The USDA provides population data for hens (one year old or older), pullets (hens younger than one year old), other chickens, and production (slaughter) data for broilers and turkeys (USDA 1995a, 1995b, 1998, 1999, 2004a, 2004b, 2009b, 2009c, 2009d, 2009e, 2010a, 2010b, 2011a, 2011b, 2012a, 2012b, 2013a, 2013b, 2014b, 2014c, 2015b and 2015c). All poultry population data were adjusted to account for states that report non-disclosed populations to USDA NASS. The combined populations of the states reporting non-disclosed populations are reported as "other" states. State populations for the non-disclosed states were estimated by equally distributing the population attributed to "other" states to each of the non-disclosed states.

Because only production data are available for boilers and turkeys, population data are calculated by dividing the number of animals produced by the number of production cycles per year, or the turnover rate. Based on personal communications with John Lange, an agricultural statistician with USDA NASS, the broiler turnover rate ranges from 3.4 to 5.5 over the course of the inventory (Lange 2000). For turkeys, the turnover rate ranges from 2.4 to 3.0. A summary of the livestock population characterization data used to calculate CH_4 and N_2O emissions is presented in Table A-203.

Step 2: Waste Characteristics Data

Methane and N_2O emissions calculations are based on the following animal characteristics for each relevant livestock population:

- Volatile solids (VS) excretion rate;
- Maximum methane producing capacity (B_o) for U.S. animal waste;
- Nitrogen excretion rate (Nex); and
- Typical animal mass (TAM).

Table A-204 presents a summary of the waste characteristics used in the emissions estimates. Published sources were reviewed for U.S.-specific livestock waste characterization data that would be consistent with the animal population data discussed in Step 1. The USDA's *Agricultural Waste Management Field Handbook* (AWMFH; USDA 1996, 2008) is one of the primary sources of waste characteristics for non-cattle animal groups. Data from the 1996 and 2008 USDA AWMFH were used to estimate VS and Nex for most non-cattle animal groups across the time series of the inventory, as shown in Table A-205 (ERG 2010b and 2010c). The 1996 AWMFH data were based on measured values from U.S. farms; the 2008 AWMFH data were developed using the calculation method created by the American Society of Agricultural and Biological Engineers, which is based on U.S. animal dietary intake and performance measures. Since the values from each of the two AWMFHs result from different estimation methods and reflect changes in animal genetics and nutrition over time, both data sources were used to create a time series across the Inventory as neither value would be appropriate to use across the entire span of Inventory years. Although the AWMFH values are lower than the IPCC values, these values are more appropriate for U.S. systems because they have been calculated using U.S.-specific data. Animal-specific notes about VS and Nex are presented below:

- *Swine:* The VS and Nex data for breeding swine are from a combination of the types of animals that make up this animal group, namely gestating and farrowing swine and boars. It is assumed that a group of breeding swine is typically broken out as 80 percent gestating sows, 15 percent farrowing swine, and 5 percent boars (Safley 2000).
- *Poultry:* Due to the change in USDA reporting of hens and pullets in 2005, new nitrogen and VS excretion rates were calculated for the combined population of hens and pullets; a weighted average rate was calculated based on hen and pullet population data from 1990 to 2004.
- *Goats, Sheep, Horses, Mules and Asses:* In cases where data were not available in the USDA documents, data from the American Society of Agricultural Engineers, Standard D384.1 (ASAE 1998) or the *2006 IPCC Guidelines* were used as a supplement.

The method for calculating VS excretion and Nex for cattle (including American bison, beef and dairy cows, bulls, heifers, and steers) is based on the relationship between animal performance characteristics such as diet, lactation, and weight gain and energy utilization. The method used is outlined by the *2006 IPCC Guidelines* Tier II methodology, and is modeled

using the CEFM as described in the enteric fermentation portion of the inventory (documented in Moffroid and Pape 2013) in order to take advantage of the detailed diet and animal performance data assembled as part of the Tier II analysis for cattle. For American bison, VS and Nex were assumed to be the same as beef NOF bulls.

The VS content of manure is the fraction of the diet consumed by cattle that is not digested and thus excreted as fecal material; fecal material combined with urinary excretions constitutes manure. The CEFM uses the input of digestible energy (DE) and the energy requirements of cattle to estimate gross energy (GE) intake and enteric CH_4 emissions. GE and DE are used to calculate the indigestible energy per animal as gross energy minus digestible energy plus the amount of gross energy for urinary energy excretion per animal (2 or 4 percent). This value is then converted to VS production per animal using the typical conversion of dietary gross energy to dry organic matter of 18.45 MJ/kg, after subtracting out the ash content of manure. The current equation recommended by the *2006 IPCC Guidelines* is:

$$\text{VS production (kg)} = [(\text{GE - DE}) + (\text{UE} \times \text{GE})] \times \frac{1 - ASH}{18.45}$$

where,

GE	=	Gross energy intake (MJ)
DE	=	Digestible energy (MJ)
(UE × GE)	=	Urinary energy expressed as fraction of GE, assumed to be 0.04 except for feedlots which are reduced 0.02 as a result of the high grain content of their diet.
ASH	=	Ash content of manure calculated as a fraction of the dry matter feed intake (assumed to be 0.08).
18.45	=	Conversion factor for dietary GE per kg of dry matter (MJ per kg). This value is relatively constant across a wide range of forage and grain-based feeds commonly consumed by livestock.

Total nitrogen ingestion in cattle is determined by dietary protein intake. When feed intake of protein exceeds the nutrient requirements of the animal, the excess nitrogen is excreted, primarily through the urine. To calculate the nitrogen excreted by each animal type, the CEFM utilizes the energy balance calculations recommended by the IPCC (2006) for gross energy and the energy required for growth along with inputs of weight gain, milk production, and the percent of crude protein in the diets. The total nitrogen excreted is measured in the CEFM as nitrogen consumed minus nitrogen retained by the animal for growth and in milk. The basic equation for calculating Nex is shown below, followed by the equations for each of the constituent parts.

$$\text{N}_{\text{excreted}} = \text{N}_{\text{consumed}} - (\text{N}_{\text{growth}} + \text{N}_{\text{milk}})$$

where,

$N_{excreted}$	=	Daily N excreted per animal, kg per animal per day.
$N_{consumed}$	=	Daily N intake per animal, kg per animal per day
N_{growth}	=	Nitrogen retained by the animal for growth, kg per animal per day
N_{milk}	=	Nitrogen retained in milk, kg per animal per day

The equation for N consumed is based on the *2006 IPCC Guidelines*, and is estimated as:

$$N_{consumed} = \left[\frac{GE}{18.45} * \left(\frac{\frac{CP\%}{100}}{6.25} \right) \right]$$

where,

$N_{consumed}$	=	Daily N intake per animal, kg per animal per day
GE	=	Gross energy intake, as calculated in the CEFM, MJ per animal per day
18.45	=	Conversion factor for dietary GE per kg of dry matter, MJ per kg.
CP%	=	Percent crude protein in diet, input into the CEFM
6.25	=	Conversion from kg of dietary protein to kg of dietary N, kg feed per kg N

The portion of consumed N that is retained as product equals the nitrogen required for weight gain plus that in milk. The nitrogen retained in body weight gain by stockers, replacements, or feedlot animals is calculated using the net energy for growth (NEg), weight gain (WG), and other conversion factors and constants. The equation matches current *2006 IPCC Guidelines* recommendations, and is as follows:

$$N_{growth} = \frac{\left\{ WG * \left[268 - \frac{(7.03 * NEg)}{WG} \right] \right\}}{\frac{1000}{6.25}}$$

where,

N_{growth}	= Nitrogen retained by the animal for growth, kg per animal per day
WG	= Daily weight gain of the animal, as input into the CEFM transition matrix, kg per day
268	= Constant from *2006 IPCC Guidelines*
7.03	= Constant from *2006 IPCC Guidelines*
NEg	= Net energy required for growth, as calculated in the CEFM, MJ per animal per day
1,000	= Conversion from grams to kilograms
6.25	= Conversion from kg of dietary protein to kg of dietary N, kg feed per kg N

The N content of milk produced also currently matches the *2006 IPCC Guidelines*, and is calculated using milk production and percent protein, along with conversion factors. Milk N retained as product is calculated using the following equation:

$$N_{milk} = \frac{milk * \left(\frac{pr\%}{100} \right)}{6.38}$$

where,

N_{milk}	= Nitrogen retained in milk, kg per animal per day
milk	= Milk production, kg per day
pr%	= Percent protein in milk, estimated from the fat content as 1.9 + 0.4 * %Fat (Fat assumed to be 4%)
100	= Conversion from percent to value (e.g., 4% to 0.04)
6.38	= Conversion from kg Protein to kg N

The VS and N equations above were used to calculate VS and Nex rates for each state, animal type (heifers and steer on feed, heifers and steer not on feed, bulls and American bison), and year. Table A-206 presents the state-specific VS and Nex production rates used for cattle in 2014.

Step 3: Waste Management System Usage Data

Table A-207 summarizes 2014 manure distribution data among waste management systems (WMS) at beef feedlots, dairies, dairy heifer facilities, and swine, layer, broiler, and turkey operations. Manure from the remaining animal types (beef cattle not on feed, American bison, goats, horses, mules and asses and sheep) is managed on pasture, range, or paddocks, on drylot, or with solids storage systems. Additional information on the development of the manure distribution estimates for each animal type is presented below. Definitions of each WMS type are presented in Table A-208.

Beef Cattle, Dairy Heifers and American Bison: The beef feedlot and dairy heifer WMS data were developed using information from EPA's Office of Water's engineering cost analyses conducted to support the development of effluent limitations guidelines for Concentrated Animal Feeding Operations (EPA 2002b). Based on EPA site visits and state contacts supporting this work and additional personal communication with the national USDA office to estimate the percent of beef steers and heifers in feedlots (Milton 2000), feedlot manure is almost exclusively managed in drylots. Therefore, for these animal groups, the percent of manure deposited in drylots is assumed to be 100 percent. In addition, there is a

small amount of manure contained in runoff, which may or may not be collected in runoff ponds. Using EPA and USDA data and expert opinions (documented in ERG 2000a), the runoff from feedlots was calculated by region in *Calculations: Percent Distribution of Manure for Waste Management Systems* and was used to estimate the percentage of manure managed in runoff ponds in addition to drylots; this percentage ranges from 0.4 to 1.3 percent (ERG 2000a). The percentage of manure generating emissions from beef feedlots is therefore greater than 100 percent. The remaining population categories of beef cattle outside of feedlots are managed through pasture, range, or paddock systems, which are utilized for the majority of the population of beef cattle in the country. American bison WMS data were assumed to be the same as beef cattle NOF.

Dairy Cows: The WMS data for dairy cows were developed using data from the Census of Agriculture, EPA's Office of Water, USDA, and the expert sources noted below. Farm-size distribution data are reported in the 1992, 1997, 2002, and 2007 Census of Agriculture (USDA 2014a). It was assumed that the Census data provided for 1992 were the same as that for 1990 and 1991, and data provided for 2007 were the same as that for 2008 through 2014. Data for 1993 through 1996, 1998 through 2001, and 2003 through 2006, and 2008 through 2014 were extrapolated using the 1992, 1997, 2002, and 2007 data. The percent of waste by system was estimated using the USDA data broken out by geographic region and farm size.

Based on EPA site visits and the expert opinion of state contacts, manure from dairy cows at medium (200 through 700 head) and large (greater than 700 head) operations are managed using either flush systems or scrape/slurry systems. In addition, they may have a solids separator in place prior to their storage component. Estimates of the percent of farms that use each type of system (by geographic region) were developed by EPA's Office of Water, and were used to estimate the percent of waste managed in lagoons (flush systems), liquid/slurry systems (scrape systems), and solid storage (separated solids) (EPA 2002b).

Manure management system data for small (fewer than 200 head) dairies were obtained from USDA's Animal and Plant Health Inspection Service (APHIS)'s National Animal Health Monitoring System (Ott 2000). These data are based on a statistical sample of farms in the 20 U.S. states with the most dairy cows. Small operations are more likely to use liquid/slurry and solid storage management systems than anaerobic lagoon systems. The reported manure management systems were deep pit, liquid/slurry (includes slurry tank, slurry earth-basin, and aerated lagoon), anaerobic lagoon, and solid storage (includes manure pack, outside storage, and inside storage).

Data regarding the use of daily spread and pasture, range, or paddock systems for dairy cattle were obtained from personal communications with personnel from several organizations. These organizations include state NRCS offices, state extension services, state universities, USDA NASS, and other experts (Deal 2000, Johnson 2000, Miller 2000, Stettler 2000, Sweeten 2000, and Wright 2000). Contacts at Cornell University provided survey data on dairy manure management practices in New York (Poe et al. 1999). Census of Agriculture population data for 1992, 1997, 2002, and 2007 (USDA 2014a) were used in conjunction with the state data obtained from personal communications to determine regional percentages of total dairy cattle and dairy waste that are managed using these systems. These percentages were applied to the total annual dairy cow and heifer state population data for 1990 through 2014, which were obtained from the USDA NASS (USDA 2015a).

Of the dairies using systems other than daily spread and pasture, range, or paddock systems, some dairies reported using more than one type of manure management system. Due to limitations in how USDA APHIS collects the manure management data, the total percent of systems for a region and farm size is greater than 100 percent. However, manure is typically partitioned to use only one manure management system, rather than transferred between several different systems. Emissions estimates are only calculated for the final manure management system used for each portion of manure. To avoid double counting emissions, the reported percentages of systems in use were adjusted to equal a total of 100 percent using the same distribution of systems. For example, if USDA reported that 65 percent of dairies use deep pits to manage manure and 55 percent of dairies use anaerobic lagoons to manage manure, it was assumed that 54 percent (i.e., 65 percent divided by 120 percent) of the manure is managed with deep pits and 46 percent (i.e., 55 percent divided by 120 percent) of the manure is managed with anaerobic lagoons (ERG 2000a).

Finally, the percentage of manure managed with anaerobic digestion (AD) systems with methane capture and combustion was added to the WMS distributions. AD system data were obtained from EPA's AgSTAR Program's project database (EPA 2012). This database includes basic information for AD systems in the United States, based on publically available data and data submitted by farm operators, project developers, financiers, and others involved in the development of farm AD projects.

Swine: The distribution of manure managed in each WMS was estimated using data from a USDA APHIS report and EPA's Office of Water site visits (Bush 1998, ERG 2000a). The USDA APHIS data are based on a statistical sample of farms in the 16 U.S. states with the most hogs. For operations with less than 200 head, manure management system data

were obtained from USDA APHIS (Bush 1998), it was assumed that those operations use pasture, range, or paddock systems. For swine operations with greater than 200 head, the percent of waste managed in each system was estimated using the EPA and USDA data broken out by geographic region and farm size. Farm-size distribution data reported in the 1992, 1997, 2002, and 2007 Census of Agriculture (USDA 2014a) were used to determine the percentage of all swine utilizing the various manure management systems. It was assumed that the swine farm size data provided for 1992 were the same as that for 1990 and 1991, and data provided for 2007 were the same as that for 2008 through 2014. Data for 1993 through 1996, 1998 through 2001, and 2003 through 2006 were extrapolated using the 1992, 1997, 2002, and 2007 data. The manure management systems reported in the census were deep pit, liquid/slurry (includes above- and below-ground slurry), anaerobic lagoon, and solid storage (includes solids separated from liquids).

Some swine operations reported using more than one management system; therefore, the total percent of systems reported by USDA for a region and farm size was greater than 100 percent. Typically, this means that a portion of the manure at a swine operation is handled in one system (e.g., liquid system), and a separate portion of the manure is handled in another system (e.g., dry system). However, it is unlikely that the same manure is moved from one system to another, which could result in increased emissions, so reported systems data were normalized to 100 percent for incorporation into the WMS distribution, using the same method as described above for dairy operations. As with dairy, AD WMS were added to the WMS distribution based on data from EPA's AgSTAR database (EPA 2012).

Sheep: WMS data for sheep were obtained from USDA NASS sheep report for years 1990 through 1993 (USDA 1994). Data for 2001 are obtained from USDA APHIS's national sheep report (USDA, APHIS 2003). The USDA APHIS data are based on a statistical sampled of farms in the 22 U.S. states with the most sheep. The data for years 1994-2000 are calculated assuming a linear progression from 1993 to 2001. Due to lack of additional data, data for years 2002 and beyond are assumed to be the same as 2001. Based on expert opinion, it was assumed that all sheep manure not deposited in feedlots was deposited on pasture, range, or paddock lands (Anderson 2000).

Goats, Horses, and Mules and Asses: WMS data for 1990 to 2014 were obtained from Appendix H of *Global Methane Emissions from Livestock and Poultry Manure* (EPA 1992). This report presents state WMS usage in percentages for the major animal types in the United States, based on information obtained from extension service personnel in each state. It was assumed that all manure not deposited in pasture, range, or paddock lands was managed in dry systems. For mules and asses, the WMS was assumed to be the same as horses.

Poultry—Hens (one year old or older), Pullets (hens less than one year old), and Other Chickens: WMS data for 1992 were obtained from *Global Methane Emissions from Livestock and Poultry Manure* (EPA 1992). These data were also used to represent 1990 and 1991. The percentage of layer operations using a shallow pit flush house with anaerobic lagoon or high-rise house without bedding was obtained for 1999 from a United Egg Producers voluntary survey (UEP 1999). These data were augmented for key poultry states (AL, AR, CA, FL, GA, IA, IN, MN, MO, NC, NE, OH, PA, TX, and WA) with USDA data (USDA, APHIS 2000). It was assumed that the change in system usage between 1990 and 1999 is proportionally distributed among those years of the inventory. It was also assumed that system usage in 2000 through 2014 was equal to that estimated for 1999. Data collected for EPA's Office of Water, including information collected during site visits (EPA 2002b), were used to estimate the distribution of waste by management system and animal type. As with dairy and swine, using information about AD WMS from EPA's AgSTAR database (EPA 2012), AD was added to the WMS distribution for poultry operations.

Poultry—Broilers and Turkeys: The percentage of turkeys and broilers on pasture was obtained from the Office of Air and Radiation's *Global Methane Emissions from Livestock and Poultry Manure* (EPA 1992). It was assumed that one percent of poultry waste is deposited in pastures, ranges, and paddocks (EPA 1992). The remainder of waste is assumed to be deposited in operations with bedding management. As with dairy, swine, and other poultry, AD systems were added to the WMS distributions based on information from EPA's AgSTAR database (EPA 2012).

Step 4: Emission Factor Calculations

Methane conversion factors (MCFs) and N_2O emission factors (EFs) used in the emission calculations were determined using the methodologies presented below.

Methane Conversion Factors (MCFs)

Climate-based IPCC default MCFs (IPCC 2006) were used for all dry systems; these factors are presented in Table A-209. A U.S.-specific methodology was used to develop MCFs for all lagoon and liquid systems.

For animal waste managed in dry systems, the appropriate IPCC default MCF was applied based on annual average temperature data. The average county and state temperature data were obtained from the National Climate Data Center

(NOAA 2014) and each state and year in the inventory was assigned a climate classification of cool, temperate or warm. Although there are some specific locations in the United States that may be included in the warm climate category, no aggregated state-level annual average temperatures are included in this category. In addition, some counties in a particular state may be included in the cool climate category, although the aggregated state-level annual average temperature may be included in the temperate category. Although considering the temperatures at a state level instead of a county level may be causing some specific locations to be classified into an inappropriate climate category, using the state level annual average temperature provides an estimate that is appropriate for calculating the national average.

For anaerobic lagoons and other liquid systems a climate-based approach based on the van't Hoff-Arrhenius equation was developed to estimate MCFs that reflects the seasonal changes in temperatures, and also accounts for long-term retention time. This approach is consistent with the latest guidelines from IPCC (IPCC 2006). The van't Hoff-Arrhenius equation, with a base temperature of 30°C, is shown in the following equation (Safley and Westerman 1990):

$$f = \exp\left[\frac{E(T_2 - T_1)}{RT_1T_2}\right]$$

where,

f	= van't Hoff-Arrhenius f factor, the proportion of VS that are biologically available for conversion to CH_4 based on the temperature of the system
T_1	= 303.15K
T_2	= Ambient temperature (K) for climate zone (in this case, a weighted value for each state)
E	= Activation energy constant (15,175 cal/mol)
R	= Ideal gas constant (1.987 cal/K mol)

For those animal populations using liquid manure management systems or manure runoff ponds (i.e., dairy cow, dairy heifer, layers, beef in feedlots, and swine) monthly average state temperatures were based on the counties where the specific animal population resides (i.e., the temperatures were weighted based on the percent of animals located in each county). County population data were calculated from state-level population data from NASS and county-state distribution data from the 1992, 1997, 2002, and 2007 Census data (USDA 2014a). County population distribution data for 1990 and 1991 were assumed to be the same as 1992; county population distribution data for 1993 through 1996 were extrapolated based on 1992 and 1997 data; county population data for 1998 through 2001 were extrapolated based on 1997 and 2002 data; county population data for 2003 through 2006 were extrapolated based on 2002 and 2007 data; and county population data for 2008 to 2014 were assumed to be the same as 2007.

Annual MCFs for liquid systems are calculated as follows for each animal type, state, and year of the inventory:

- The weighted-average temperature for a state is calculated using the county population estimates and average monthly temperature in each county. Monthly temperatures are used to calculate a monthly van't Hoff-Arrhenius f factor, using the equation presented above. A minimum temperature of 5°C is used for uncovered anaerobic lagoons and 7.5°C is used for liquid/slurry and deep pit systems due to the biological activity in the lagoon which keeps the temperature above freezing.
- Monthly production of VS added to the system is estimated based on the animal type, number of animals present, and the volatile solids excretion rate of the animals.
- For lagoon systems, the calculation of methane includes a management and design practices (MDP) factor. This factor, equal to 0.8, was developed based on model comparisons to empirical CH_4 measurement data from anaerobic lagoon systems in the United States (ERG 2001). The MDP factor represents management and design factors which cause a system to operate at a less than optimal level.
- For all systems other than anaerobic lagoons, the amount of VS available for conversion to CH_4 each month is assumed to be equal to the amount of VS produced during the month (from Step 3). For anaerobic lagoons, the amount of VS available also includes VS that may remain in the system from previous months.
- The amount of VS consumed during the month is equal to the amount available for conversion multiplied by the f factor.

- For anaerobic lagoons, the amount of VS carried over from one month to the next is equal to the amount available for conversion minus the amount consumed. Lagoons are also modeled to have a solids clean-out once per year, occurring in the month of October.
- The estimated amount of CH_4 generated during the month is equal to the monthly VS consumed multiplied by the maximum CH_4 potential of the waste (B_o).

The annual MCF is then calculated as:

$$MCF_{annual} = \frac{CH_4 \text{ generated}_{annual}}{VS \text{ produced}_{annual} \times B_o}$$

where,

MCF_{annual}	= Methane conversion factor
$VS \text{ produced}_{annual}$	= Volatile solids excreted annually
B_o	= Maximum CH_4 producing potential of the waste

In order to account for the carry-over of VS from one year to the next, it is assumed that a portion of the VS from the previous year are available in the lagoon system in the next year. For example, the VS from October, November, and December of 2005 are available in the lagoon system starting January of 2006 in the MCF calculation for lagoons in 2006. Following this procedure, the resulting MCF for lagoons accounts for temperature variation throughout the year, residual VS in a system (carry-over), and management and design practices that may reduce the VS available for conversion to CH_4. It is assumed that liquid-slurry systems have a retention time less than 30 days, so the liquid-slurry MCF calculation doesn't reflect the VS carry-over.

The liquid system MCFs are presented in Table A-210 by state, WMS, and animal group for 2014.

Nitrous Oxide Emission Factors

Direct N_2O EFs for manure management systems (kg N_2O-N/kg excreted N) were set equal to the most recent default IPCC factors (IPCC 2006), presented in Table A-211.

Indirect N_2O EFs account for two fractions of nitrogen losses: volatilization of ammonia (NH_3) and NO_X ($Frac_{gas}$) and runoff/leaching ($Frac_{runoff/leach}$). IPCC default indirect N_2O EFs were used to estimate indirect N_2O emissions. These factors are 0.010 kg N_2O-N/kg N for volatilization and 0.0075 kg N_2O/kg N for runoff/leaching.

Country-specific estimates of N losses were developed for $Frac_{gas}$ and $Frac_{runoff/leach}$ for the United States. The vast majority of volatilization losses are NH_3. Although there are also some small losses of NO_X, no quantified estimates were available for use and those losses are believed to be small (about 1 percent) in comparison to the NH_3 losses. Therefore, $Frac_{gas}$ values were based on WMS-specific volatilization values estimated from U.S. EPA's *National Emission Inventory - Ammonia Emissions from Animal Agriculture Operations* (EPA 2005). To estimate $Frac_{runoff/leach}$, data from EPA's Office of Water were used that estimate the amount of runoff from beef, dairy, and heifer operations in five geographic regions of the country (EPA 2002b). These estimates were used to develop U.S. runoff factors by animal type, WMS, and region. Nitrogen losses from leaching are believed to be small in comparison to the runoff losses and there are a lack of data to quantify these losses. Therefore, leaching losses were assumed to be zero and $Frac_{runoff/leach}$ was set equal to the runoff loss factor. Nitrogen losses from volatilization and runoff/leaching are presented in Table A-212.

Step 5: CH_4 Emission Calculations

To calculate CH_4 emissions for animals other than cattle, first the amount of VS excreted in manure that is managed in each WMS was estimated:

$$VS \text{ excreted}_{State, Animal, WMS} = Population_{State, Animal} \times \frac{TAM}{1000} \times VS \times WMS \times 365.25$$

where,

$VS \text{ excreted}_{State, Animal, WMS}$	= Amount of VS excreted in manure managed in each WMS for each animal type (kg/yr)
$Population_{State, Animal}$	= Annual average state animal population by animal type (head)

TAM	= Typical animal mass (kg)
VS	= Volatile solids production rate (kg VS/1000 kg animal mass/day)
WMS	= Distribution of manure by WMS for each animal type in a state (percent)
365.25	= Days per year

Using the CEFM VS data for cattle, the amount of VS excreted in manure that is managed in each WMS was estimated using the following equation:

$$\text{VS excreted}_{\text{State, Animal, WMS}} = \text{Population}_{\text{State, Animal}} \text{ x VS x WMS}$$

where,

VS excreted $_{\text{State, Animal, WMS}}$	= Amount of VS excreted in manure managed in each WMS for each animal type (kg/yr)
Population $_{\text{State, Animal}}$	= Annual average state animal population by animal type (head)
VS	= Volatile solids production rate (kg VS/animal/year)
WMS	= Distribution of manure by WMS for each animal type in a state (percent)

For all animals, the estimated amount of VS excreted into a WMS was used to calculate CH_4 emissions using the following equation:

$$CH_4 = \sum_{\text{State, Animal, WMS}} \left(\text{VS excreted}_{\text{State, Animal, WMS}} \times B_o \times MCF \times 0.662\right)$$

where,

CH_4	= CH_4 emissions (kg CH_4/yr)
VS excreted $_{\text{WMS, State}}$	= Amount of VS excreted in manure managed in each WMS (kg/yr)
B_o	= Maximum CH_4 producing capacity (m^3 CH_4/kg VS)
MCF $_{\text{animal, state, WMS}}$	= MCF for the animal group, state and WMS (percent)
0.662	= Density of methane at 25° C (kg CH_4/m^3 CH_4)

A calculation was developed to estimate the amount of CH_4 emitted from AD systems utilizing CH_4 capture and combustion technology. First, AD systems were assumed to produce 90 percent of the maximum CH_4 producing capacity (B_0) of the manure. This value is applied for all climate regions and AD system types. However, this is a conservative assumption as the actual amount of CH_4 produced by each AD system is very variable and will change based on operational and climate conditions and an assumption of 90 percent is likely overestimating CH_4 production from some systems and underestimating CH_4 production in other systems. The CH_4 production of AD systems is calculated using the equation below:

$$CH_4\ ProductionAD_{ADSystem} = ProductionAD_{ADSystem} \times \frac{TAM}{1000} \times VS \times B_O \times 0.662 \times 365.25 \times 0.90$$

where,

CH_4 Production $AD_{\text{AD system}}$	=	CH_4 production from a particular AD system, (kg/yr)
Population AD $_{\text{state}}$	=	Number of animals on a particular AD system
VS	=	Volatile solids production rate (kg VS/1000 kg animal mass-day)
TAM	=	Typical Animal Mass (kg/head)
B_o	=	Maximum CH_4 producing capacity (CH4 m^3/kg VS)
0.662	=	Density of CH_4 at 25° C (kg CH_4/m^3 CH_4)
365.25	=	Days/year
0.90	=	CH_4 production factor for AD systems

The total amount of CH_4 produced by AD is calculated only as a means to estimate the emissions from AD; i.e., only the estimated amount of CH_4 actually entering the atmosphere from AD is reported in the inventory. The emissions to the atmosphere from AD are a result of leakage from the system (e.g. from the cover, piping, tank, etc.) and incomplete

combustion and are calculated using the collection efficiency (CE) and destruction efficiency (DE) of the AD system. The three primary types of AD systems in the United States are covered lagoons, complete mix and plug flow systems. The CE of covered lagoon systems was assumed to be 75 percent, and the CE of complete mix and plug flow AD systems was assumed to be 99 percent (EPA 2008). The CH_4 DE from flaring or burning in an engine was assumed to be 98 percent; therefore, the amount of CH_4 that would not be flared or combusted was assumed to be 2 percent (EPA 2008). The amount of CH_4 produced by systems with AD was calculated with the following equation:

$$CH_4 \text{ Emissions AD} = \sum_{\text{State, Animal, AD Systems}} \left(\begin{array}{l} \left[CH_4 \text{ Production AD}_{\text{AD system}} \times CE_{\text{AD system}} \times (1 - DE) \right] \\ + \left[CH_4 \text{ Production AD}_{\text{AD system}} \times (1 - CE_{\text{AD system}}) \right] \end{array} \right)$$

where,

CH_4 Emissions AD	=	CH_4 emissions from AD systems, (kg/yr)
CH_4 Production $AD_{AD\ system}$	=	CH_4 production from a particular AD system, (kg/yr)
$CE_{AD\ system}$	=	Collection efficiency of the AD system, varies by AD system type
DE	=	Destruction efficiency of the AD system, 0.98 for all systems

Step 6: N_2O Emission Calculations

Total N_2O emissions from manure management systems were calculated by summing direct and indirect N_2O emissions. The first step in estimating direct and indirect N_2O emissions was calculating the amount of N excreted in manure and managed in each WMS. For calves and animals other than cattle the following equation was used:

$$\text{N excreted}_{\text{State, Animal, WMS}} = \text{Population}_{\text{State, Animal}} \times \text{WMS} \times \frac{\text{TAM}}{1000} \times \text{Nex} \times 365.25$$

where,

N excreted $_{\text{State, Animal, WMS}}$	= Amount of N excreted in manure managed in each WMS for each animal type (kg/yr)
Population $_{\text{state}}$	= Annual average state animal population by animal type (head)
WMS	= Distribution of manure by waste management system for each animal type in a state (percent)
TAM	= Typical animal mass (kg)
Nex	= Total Kjeldahl nitrogen excretion rate (kg N/1000 kg animal mass/day)
365.25	= Days per year

Using the CEFM Nex data for cattle other than calves, the amount of N excreted was calculated using the following equation:

$$\text{N excreted}_{\text{State, Animal, WMS}} = \text{Population}_{\text{State, Animal}} \times \text{WMS} \times \text{Nex}$$

where,

N excreted $_{\text{State, Animal, WMS}}$	= Amount of N excreted in manure managed in each WMS for each animal type (kg/yr)
Population $_{\text{state}}$	= Annual average state animal population by animal type (head)
WMS	= Distribution of manure by waste management system for each animal type in a state (percent)
Nex	= Total Kjeldahl N excretion rate (kg N/animal/year)

For all animals, direct N_2O emissions were calculated as follows:

$$\text{Direct } N_2O = \sum_{\text{State, Animal, WMS}} \left(\text{N excreted}_{\text{State, Animal, WMS}} \times EF_{\text{WMS}} \times \frac{44}{28} \right)$$

where,

Direct N_2O	= Direct N_2O emissions (kg N_2O/yr)

N excreted $_{State, Animal, WMS}$	= Amount of N excreted in manure managed in each WMS for each animal type (kg/yr)
EF_{WMS}	= Direct N_2O emission factor from IPCC guidelines (kg N_2O-N /kg N)
44/28	= Conversion factor of N_2O-N to N_2O

Indirect N_2O emissions were calculated for all animals with the following equation:

$$\text{Indirect } N_2O = \sum_{State, Animal, WMS} \left(\left[N\ excreted_{State, Animal, WMS} \times \frac{Frac_{gas, WMS}}{100} \times EF_{volatilization} \times \frac{44}{28} \right] + \left[N\ excreted_{State, Animal, WMS} \times \frac{Frac_{runoff/leach, WMS}}{100} \times EF_{runoff/leach} \times \frac{44}{28} \right] \right)$$

where,

Indirect N_2O	= Indirect N_2O emissions (kg N_2O/yr)
N excreted $_{State, Animal, WMS}$	= Amount of N excreted in manure managed in each WMS for each animal type (kg/yr)
$Frac_{gas,WMS}$	= Nitrogen lost through volatilization in each WMS
$Frac_{runoff/leach,WMS}$	= Nitrogen lost through runoff and leaching in each WMS (data were not available for leaching so the value reflects only runoff)
$EF_{volatilization}$	= Emission factor for volatilization (0.010 kg N_2O-N/kg N)
$EF_{runoff/leach}$	= Emission factor for runoff/leaching (0.0075 kg N_2O-N/kg N)
44/28	= Conversion factor of N_2O-N to N_2O

Emission estimates of CH_4 and N_2O by animal type are presented for all years of the inventory in Table A-213 and Table A-214 respectively. Emission estimates for 2014 are presented by animal type and state in Table A-215 and Table A-216 respectively.

Table A-203: Livestock Population (1,000 Head)

Animal Type	1990	1995	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Dairy Cattle	19,512	18,681	17,927	17,833	17,919	17,642	17,793	18,078	18,190	18,423	18,560	18,298	18,442	18,587	18,505	18,481
Dairy Cows	*10,015*	*9,482*	*9,172*	*9,106*	*9,142*	*8,988*	*9,004*	*9,104*	*9,145*	*9,257*	*9,333*	*9,087*	*9,156*	*9,236*	*9,221*	*9,208*
Dairy Heifer	*4,129*	*4,108*	*4,045*	*4,060*	*4,073*	*4,033*	*4,162*	*4,294*	*4,343*	*4,401*	*4,437*	*4,545*	*4,577*	*4,581*	*4,525*	*4,546*
Dairy Calves	*5,369*	*5,091*	*4,710*	*4,668*	*4,704*	*4,621*	*4,628*	*4,680*	*4,703*	*4,765*	*4,791*	*4,666*	*4,709*	*4,770*	*4,758*	*4,727*
Swine[a]	53,941	58,899	58,913	60,028	59,827	60,735	61,073	61,887	65,417	67,183	65,842	64,723	65,572	66,363	65,437	64,230
Market <50 lb.	*18,359*	*19,656*	*19,659*	*19,863*	*19,929*	*20,222*	*20,228*	*20,514*	*21,812*	*19,933*	*19,411*	*19,067*	*19,285*	*19,472*	*19,002*	*18,900*
Market 50-119 lb.	*11,734*	*12,836*	*12,900*	*13,284*	*13,138*	*13,400*	*13,519*	*13,727*	*14,557*	*17,163*	*16,942*	*16,645*	*16,904*	*17,140*	*16,834*	*16,560*
Market 120-179 lb.	*9,440*	*10,545*	*10,708*	*11,013*	*11,050*	*11,227*	*11,336*	*11,443*	*12,185*	*12,825*	*12,517*	*12,377*	*12,514*	*12,714*	*12,674*	*12,314*
Market >180 lb.	*7,510*	*8,937*	*9,465*	*9,738*	*9,701*	*9,922*	*9,997*	*10,113*	*10,673*	*11,161*	*11,067*	*10,856*	*11,078*	*11,199*	*11,116*	*10,548*
Breeding	*6,899*	*6,926*	*6,181*	*6,129*	*6,011*	*5,963*	*5,993*	*6,090*	*6,190*	*6,102*	*5,905*	*5,778*	*5,791*	*5,839*	*5,812*	*5,908*
Beef Cattle[b]	81,576	90,361	84,237	84,260	83,361	81,672	82,193	83,263	82,801	81,528	80,993	80,485	78,937	76,858	76,075	75,540
Feedlot Steers	*6,357*	*7,233*	*7,932*	*8,116*	*8,416*	*8,018*	*8,116*	*8,724*	*8,674*	*8,474*	*8,434*	*8,584*	*8,771*	*8,586*	*8,614*	*8,685*
Feedlot Heifers	*3,192*	*3,831*	*4,569*	*4,557*	*4,676*	*4,521*	*4,536*	*4,801*	*4,730*	*4,585*	*4,493*	*4,620*	*4,830*	*4,742*	*4,653*	*4,537*
NOF Bulls	*2,160*	*2,385*	*2,274*	*2,244*	*2,248*	*2,201*	*2,214*	*2,258*	*2,214*	*2,207*	*2,188*	*2,190*	*2,165*	*2,100*	*2,074*	*2,038*
Beef Calves	*16,909*	*18,177*	*17,508*	*17,483*	*17,126*	*17,013*	*16,918*	*16,814*	*16,644*	*16,229*	*16,051*	*16,067*	*15,817*	*15,288*	*14,859*	*14,946*
NOF Heifers	*10,182*	*11,829*	*9,832*	*9,843*	*9,564*	*9,321*	*9,550*	*9,716*	*9,592*	*9,356*	*9,473*	*9,350*	*8,874*	*8,687*	*8,787*	*8,838*
NOF Steers	*10,321*	*11,716*	*8,724*	*8,883*	*8,347*	*8,067*	*8,185*	*8,248*	*8,302*	*8,243*	*8,560*	*8,234*	*7,568*	*7,173*	*7,457*	*7,411*
NOF Cows	*32,455*	*35,190*	*33,398*	*33,134*	*32,983*	*32,531*	*32,674*	*32,703*	*32,644*	*32,435*	*31,794*	*31,440*	*30,913*	*30,282*	*29,631*	*29,085*
Sheep	11,358	8,989	6,908	6,623	6,321	6,065	6,135	6,200	6,120	5,950	5,747	5,620	5,470	5,375	5,335	5,245
Sheep On Feed	*1,180*	*1,771*	*3,256*	*3,143*	*3,049*	*2,923*	*2,971*	*3,026*	*3,000*	*2,911*	*2,806*	*2,778*	*2,687*	*2,666*	*2,626*	*2,593*
Sheep NOF	*10,178*	*7,218*	*3,652*	*3,480*	*3,272*	*3,142*	*3,164*	*3,174*	*3,120*	*3,039*	*2,941*	*2,842*	*2,783*	*2,709*	*2,709*	*2,652*
Goats	2,516	2,357	2,475	2,530	2,652	2,774	2,897	3,019	3,141	3,037	2,933	2,829	2,725	2,622	2,518	2,414
Poultry[c]	1,537,074	1,826,977	2,060,398	2,097,691	2,085,268	2,130,877	2,150,410	2,154,236	2,166,936	2,175,990	2,088,828	2,104,335	2,095,951	2,168,697	2,106,502	2,111,689
Hens >1 yr.	*273,467*	*299,071*	*340,317*	*340,209*	*340,979*	*343,922*	*348,203*	*349,888*	*346,613*	*339,859*	*341,005*	*341,884*	*338,944*	*346,965*	*361,403*	*366,045*
Pullets	*73,167*	*81,369*	*95,656*	*95,289*	*100,346*	*101,429*	*96,809*	*96,596*	*103,816*	*99,458*	*102,301*	*105,738*	*102,233*	*104,460*	*106,646*	*106,602*
Chickens	*6,545*	*7,637*	*8,126*	*8,353*	*8,439*	*8,248*	*8,289*	*7,938*	*8,164*	*7,589*	*8,487*	*7,390*	*6,922*	*6,827*	*6,853*	*6,403*
Broilers	*1,066,209*	*1,331,940*	*1,525,413*	*1,562,015*	*1,544,155*	*1,589,209*	*1,613,091*	*1,612,327*	*1,619,400*	*1,638,055*	*1,554,582*	*1,567,927*	*1,565,018*	*1,625,945*	*1,551,600*	*1,553,473*
Turkeys	*117,685*	*106,960*	*90,887*	*91,826*	*91,349*	*88,069*	*84,018*	*87,487*	*88,943*	*91,029*	*82,453*	*81,396*	*82,833*	*84,500*	*80,000*	*79,167*
Horses	2,212	2,632	3,519	3,644	3,721	3,798	3,875	3,952	4,029	3,947	3,866	3,784	3,703	3,621	3,540	3,458
Mules and Asses	63	101	109	105	141	177	212	248	284	286	287	289	291	293	294	296
American Bison	47	104	213	232	225	218	212	205	198	191	184	177	169	162	155	148

[a] Prior to 2008, the Market <50 lbs category was <60 lbs and the Market 50-119 lbs category was Market 60-119 lbs; USDA updated the categories to be more consistent with international animal categories.
[b] NOF - Not on Feed
[c] Pullets includes laying pullets, pullets younger than 3 months, and pullets older than 3 months.
Note: Totals may not sum due to independent rounding.

Table A-204: Waste Characteristics Data

	Typical Animal Mass, TAM		Total Kjeldahl Nitrogen Excreted, Nex[a]		Maximum Methane Generation Potential, B_0		Volatile Solids Excreted, VS[a]	
Animal Group	Value (kg)	Source	Value	Source	Value (m^3 CH_4/kg VS added)	Source	Value	Source
Dairy Cows	680	CEFM	Table A-206	CEFM	0.24	Morris 1976	Table A-206	CEFM
Dairy Heifers	406-408	CEFM	Table A-206	CEFM	0.17	Bryant et al. 1976	Table A-206	CEFM
Feedlot Steers	419-457	CEFM	Table A-206	CEFM	0.33	Hashimoto 1981	Table A-206	CEFM
Feedlot Heifers	384-430	CEFM	Table A-206	CEFM	0.33	Hashimoto 1981	Table A-206	CEFM
NOF Bulls	831-917	CEFM	Table A-206	CEFM	0.17	Hashimoto 1981	Table A-206	CEFM
NOF Calves	118	ERG 2003b	Table A-205	USDA 1996, 2008	0.17	Hashimoto 1981	Table A-205	USDA 1996, 2008
NOF Heifers	296-407	CEFM	Table A-206	CEFM	0.17	Hashimoto 1981	Table A-206	CEFM
NOF Steers	314-335	CEFM	Table A-206	CEFM	0.17	Hashimoto 1981	Table A-206	CEFM
NOF Cows	554-611	CEFM	Table A-206	CEFM	0.17	Hashimoto 1981	Table A-206	CEFM
American Bison	578.5	Meagher 1986	Table A-206	CEFM	0.17	Hashimoto 1981	Table A-206	CEFM
Market Swine <50 lbs.	13	ERG 2010a	Table A-205	USDA 1996, 2008	0.48	Hashimoto 1984	Table A-205	USDA 1996, 2008
Market Swine <60 lbs.	16	Safley 2000	Table A-205	USDA 1996, 2008	0.48	Hashimoto 1984	Table A-205	USDA 1996, 2008
Market Swine 50-119 lbs.	39	ERG 2010a	Table A-205	USDA 1996, 2008	0.48	Hashimoto 1984	Table A-205	USDA 1996, 2008
Market Swine 60-119 lbs.	41	Safley 2000	Table A-205	USDA 1996, 2008	0.48	Hashimoto 1984	Table A-205	USDA 1996, 2008
Market Swine 120-179 lbs.	68	Safley 2000	Table A-205	USDA 1996, 2008	0.48	Hashimoto 1984	Table A-205	USDA 1996, 2008
Market Swine >180 lbs.	91	Safley 2000	Table A-205	USDA 1996, 2008	0.48	Hashimoto 1984	Table A-205	USDA 1996, 2008
Breeding Swine	198	Safley 2000	Table A-205	USDA 1996, 2008	0.48	Hashimoto 1984	Table A-205	USDA 1996, 2008
Feedlot Sheep	25	EPA 1992	Table A-205	ASAE 1998, USDA 2008	0.36	EPA 1992	Table A-205	ASAE 1998, USDA 2008
NOF Sheep	80	EPA 1992	Table A-205	ASAE 1998, USDA 2008	0.19	EPA 1992	Table A-205	ASAE 1998, USDA 2008
Goats	64	ASAE 1998	Table A-205	ASAE 1998	0.17	EPA 1992	Table A-205	ASAE 1998
Horses	450	ASAE 1998	Table A-205	ASAE 1998, USDA 2008	0.33	EPA 1992	Table A-205	ASAE 1998, USDA 2008
Mules and Asses	130	IPCC 2006	Table A-205	IPCC 2006	0.33	EPA 1992	Table A-205	IPCC 2006
Hens >/= 1 yr	1.8	ASAE 1998	Table A-205	USDA 1996, 2008	0.39	Hill 1982	Table A-205	USDA 1996, 2008
Pullets	1.8	ASAE 1998	Table A-205	USDA 1996, 2008	0.39	Hill 1982	Table A-205	USDA 1996, 2008

Other Chickens	1.8	ASAE 1998	Table A-205	USDA 1996, 2008	0.39	Hill 1982	Table A-205	USDA 1996, 2008
Broilers	0.9	ASAE 1998	Table A-205	USDA 1996, 2008	0.36	Hill 1984	Table A-205	USDA 1996, 2008
Turkeys	6.8	ASAE 1998	Table A-205	USDA 1996, 2008	0.36	Hill 1984	Table A-205	USDA 1996, 2008

[a] Nex and VS values vary by year; Table A-206 shows state-level values for 2014 only.

Table A-205: Estimated Volatile Solids (VS) and Total Kjeldahl Nitrogen Excreted (Nex) Production Rates by year for Swine, Poultry, Sheep, Goats, Horses, Mules and Asses, and Cattle Calves (kg/day/1000 kg animal mass)

Animal Type	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
VS																									
Swine, Market <50 lbs.	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8	8.8
Swine, Market 50-119 lbs.	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4
Swine, Market 120-179 lbs.	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4
Swine, Market >180 lbs.	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4	5.4
Swine, Breeding	2.6	2.6	2.6	2.6	2.6	2.6	2.6	2.6	2.6	2.6	2.6	2.7	2.7	2.7	2.7	2.7	2.7	2.7	2.7	2.7	2.7	2.7	2.7	2.7	2.7
NOF Cattle Calves	6.4	6.4	6.4	6.4	6.4	6.4	6.4	6.5	6.6	6.7	6.8	6.9	7.1	7.2	7.3	7.4	7.5	7.6	7.7	7.7	7.7	7.7	7.7	7.7	7.7
Sheep	9.2	9.2	9.2	9.2	9.2	9.2	9.2	9.2	9.2	9.1	9.0	8.9	8.8	8.8	8.7	8.6	8.5	8.4	8.3	8.3	8.3	8.3	8.3	8.3	8.3
Goats	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5	9.5
Hens >1yr.	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.2	10.2	10.2	10.2	10.2	10.2	10.2	10.2	10.2
Pullets	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.1	10.2	10.2	10.2	10.2	10.2	10.2	10.2	10.2	10.2
Chickens	10.8	10.8	10.8	10.8	10.8	10.8	10.8	10.8	10.8	10.9	10.9	10.9	10.9	10.9	10.9	11.0	11.0	11.0	11.0	11.0	11.0	11.0	11.0	11.0	11.0
Broilers	15.0	15.0	15.0	15.0	15.0	15.0	15.0	15.2	15.3	15.5	15.7	15.8	16.0	16.2	16.3	16.5	16.7	16.8	17.0	17.0	17.0	17.0	17.0	17.0	17.0
Turkeys	9.7	9.7	9.7	9.7	9.7	9.7	9.7	9.6	9.5	9.4	9.3	9.2	9.1	9.0	8.9	8.8	8.7	8.6	8.5	8.5	8.5	8.5	8.5	8.5	8.5
Horses	10.0	10.0	10.0	10.0	10.0	10.0	10.0	10.0	10.0	9.6	9.2	8.8	8.4	8.1	7.7	7.3	6.9	6.5	6.1	6.1	6.1	6.1	6.1	6.1	6.1
Mules and Asses	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2	7.2
Nex																									
Swine, Market <50 lbs.	0.60	0.60	0.60	0.60	0.60	0.60	0.60	0.63	0.65	0.68	0.71	0.73	0.76	0.79	0.81	0.84	0.87	0.89	0.92	0.92	0.92	0.92	0.92	0.92	0.92

Animal Type	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Swine, Market 50-119 lbs.	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.43	0.44	0.45	0.46	0.47	0.48	0.49	0.50	0.51	0.52	0.53	0.54	0.54	0.54	0.54	0.54	0.54	0.54
Swine, Market 120-179 lbs.	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.43	0.44	0.45	0.46	0.47	0.48	0.49	0.50	0.51	0.52	0.53	0.54	0.54	0.54	0.54	0.54	0.54	0.54
Swine, Market >180 lbs.	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.43	0.44	0.45	0.46	0.47	0.48	0.49	0.50	0.51	0.52	0.53	0.54	0.54	0.54	0.54	0.54	0.54	0.54
Swine, Breeding	0.24	0.24	0.24	0.24	0.24	0.24	0.24	0.23	0.23	0.23	0.22	0.22	0.22	0.22	0.21	0.21	0.21	0.21	0.20	0.20	0.20	0.20	0.20	0.20	0.20
NOF Cattle Calves	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.31	0.33	0.34	0.35	0.36	0.38	0.39	0.40	0.41	0.43	0.44	0.45	0.45	0.45	0.45	0.45	0.45	0.45
Sheep	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.43	0.43	0.43	0.44	0.44	0.44	0.44	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45
Goats	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45	0.45
Hens >1yr.	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.71	0.72	0.73	0.73	0.74	0.75	0.76	0.77	0.77	0.78	0.79	0.79	0.79	0.79	0.79	0.79	0.79
Pullets	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.71	0.72	0.73	0.73	0.74	0.75	0.76	0.77	0.77	0.78	0.79	0.79	0.79	0.79	0.79	0.79	0.79
Chickens	0.83	0.83	0.83	0.83	0.83	0.83	0.83	0.85	0.88	0.90	0.92	0.94	0.97	0.99	1.01	1.03	1.06	1.08	1.10	1.10	1.10	1.10	1.10	1.10	1.10
Broilers	1.10	1.10	1.10	1.10	1.10	1.10	1.10	1.09	1.08	1.07	1.05	1.04	1.03	1.02	1.01	1.00	0.98	0.97	0.96	0.96	0.96	0.96	0.96	0.96	0.96
Turkeys	0.74	0.74	0.74	0.74	0.74	0.74	0.74	0.73	0.72	0.71	0.70	0.69	0.68	0.67	0.66	0.65	0.64	0.63	0.63	0.63	0.63	0.63	0.63	0.63	0.63
Horses	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.29	0.29	0.28	0.28	0.27	0.27	0.26	0.26	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25
Mules and Asses	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30

Table A-206: Estimated Volatile Solids (VS) and Total Kjeldahl Nitrogen Excreted (Nex) Production Rates by State for Cattle (other than Calves) and American Bison[a] for 2014 (kg/animal/year)

	Volatile Solids									Nitrogen Excreted								
State	Dairy Cow	Dairy Heifers	Beef NOF Cow	Beef NOF Heifers	Beef NOF Steer	Beef OF Heifers	Beef OF Steer	Beef NOF Bull	American Bison	Dairy Cow	Dairy Heifers	Beef NOF Cow	Beef NOF Heifers	Beef NOF Steer	Beef OF Heifers	Beef OF Steer	Beef NOF Bull	American Bison
Alabama	2,171	1,252	1,664	1,100	971	682	663	1,721	1,721	132	69	73	51	42	55	56	83	83
Alaska	1,971	1,252	1,891	1,272	1,116	682	663	1,956	1,956	121	69	59	42	33	55	56	69	69
Arizona	2,918	1,252	1,891	1,244	1,116	682	663	1,956	1,956	162	69	59	40	33	55	56	69	69
Arkansas	2,128	1,252	1,664	1,088	971	682	663	1,721	1,721	128	69	73	50	42	55	56	83	83
California	2,857	1,252	1,891	1,211	1,116	682	663	1,956	1,956	159	69	59	39	33	55	56	69	69
Colorado	2,963	1,252	1,891	1,198	1,116	682	663	1,956	1,956	164	69	59	38	33	55	56	69	69
Connecticut	2,605	1,252	1,674	1,104	977	682	664	1,731	1,731	148	69	74	51	42	55	56	84	84
Delaware	2,604	1,252	1,674	1,076	977	682	663	1,731	1,731	148	69	74	49	42	55	56	84	84
Florida	2,676	1,252	1,664	1,101	971	682	662	1,721	1,721	153	69	73	51	42	55	56	83	83
Georgia	2,707	1,252	1,664	1,097	971	682	664	1,721	1,721	155	69	73	50	42	55	57	83	83
Hawaii	2,114	1,252	1,891	1,254	1,116	682	664	1,956	1,956	127	69	59	41	33	55	57	69	69
Idaho	2,902	1,252	1,891	1,217	1,116	682	663	1,956	1,956	161	69	59	39	33	55	56	69	69
Illinois	2,569	1,252	1,589	1,009	923	682	663	1,643	1,643	147	69	75	49	43	55	56	85	85
Indiana	2,733	1,252	1,589	1,014	923	682	662	1,643	1,643	154	69	75	50	43	55	56	85	85
Iowa	2,776	1,252	1,589	987	923	682	663	1,643	1,643	156	69	75	48	43	55	56	85	85
Kansas	2,747	1,252	1,589	979	923	682	663	1,643	1,643	155	69	75	47	43	55	56	85	85
Kentucky	2,342	1,252	1,664	1,079	971	682	663	1,721	1,721	139	69	73	49	42	55	56	83	83
Louisiana	2,120	1,252	1,664	1,102	971	682	663	1,721	1,721	128	69	73	51	42	55	56	83	83
Maine	2,593	1,252	1,674	1,091	977	682	664	1,731	1,731	148	69	74	50	42	55	57	84	84
Maryland	2,574	1,252	1,674	1,087	977	682	663	1,731	1,731	147	69	74	50	42	55	56	84	84
Massachusetts	2,438	1,252	1,674	1,108	977	682	664	1,731	1,731	141	69	74	52	42	55	57	84	84
Michigan	2,940	1,252	1,589	1,009	923	682	663	1,643	1,643	163	69	75	49	43	55	56	85	85
Minnesota	2,581	1,252	1,589	1,002	923	682	663	1,643	1,643	147	69	75	49	43	55	56	85	85
Mississippi	2,234	1,252	1,664	1,092	971	682	662	1,721	1,721	134	69	73	50	42	55	56	83	83
Missouri	2,260	1,252	1,589	1,030	923	682	663	1,643	1,643	134	69	75	51	43	55	56	85	85
Montana	2,705	1,252	1,891	1,252	1,116	682	662	1,956	1,956	153	69	59	41	33	55	56	69	69
Nebraska	2,752	1,252	1,589	992	923	682	663	1,643	1,643	155	69	75	48	43	55	56	85	85
Nevada	2,877	1,252	1,891	1,238	1,116	682	663	1,956	1,956	160	69	59	40	33	55	56	69	69
New Hampshire	2,604	1,252	1,674	1,097	977	682	664	1,731	1,731	148	69	74	51	42	55	57	84	84
New Jersey	2,454	1,252	1,674	1,081	977	682	664	1,731	1,731	142	69	74	50	42	55	56	84	84
New Mexico	2,974	1,252	1,891	1,234	1,116	682	664	1,956	1,956	164	69	59	40	33	55	57	69	69
New York	2,767	1,252	1,674	1,084	977	682	663	1,731	1,731	155	69	74	50	42	55	56	84	84
North Carolina	2,714	1,252	1,664	1,098	971	682	663	1,721	1,721	155	69	73	50	42	55	56	83	83

	Volatile Solids									Nitrogen Excreted								
State	Dairy Cow	Dairy Heifers	Beef NOF Cow	Beef NOF Heifers	Beef NOF Steer	Beef OF Heifers	Beef OF Steer	Beef NOF Bull	American Bison	Dairy Cow	Dairy Heifers	Beef NOF Cow	Beef NOF Heifers	Beef NOF Steer	Beef OF Heifers	Beef OF Steer	Beef NOF Bull	American Bison
North Dakota	2,612	1,252	1,589	1,016	923	682	664	1,643	1,643	149	69	75	50	43	55	57	85	85
Ohio	2,617	1,252	1,589	1,020	923	682	663	1,643	1,643	149	69	75	50	43	55	56	85	85
Oklahoma	2,405	1,252	1,664	1,073	971	682	664	1,721	1,721	140	69	73	49	42	55	57	83	83
Oregon	2,638	1,252	1,891	1,230	1,116	682	662	1,956	1,956	150	69	59	40	33	55	56	69	69
Pennsylvania	2,605	1,252	1,674	1,072	977	682	663	1,731	1,731	148	69	74	49	42	55	56	84	84
Rhode Island	2,519	1,252	1,674	1,101	977	682	662	1,731	1,731	145	69	74	51	42	54	56	84	84
South Carolina	2,377	1,252	1,664	1,095	971	682	662	1,721	1,721	140	69	73	50	42	55	56	83	83
South Dakota	2,723	1,252	1,589	1,012	923	682	663	1,643	1,643	154	69	75	50	43	55	56	85	85
Tennessee	2,372	1,252	1,664	1,085	971	682	663	1,721	1,721	140	69	73	50	42	55	56	83	83
Texas	2,767	1,252	1,664	1,053	971	682	663	1,721	1,721	156	69	73	47	42	55	56	83	83
Utah	2,815	1,252	1,891	1,234	1,116	682	663	1,956	1,956	157	69	59	40	33	55	56	69	69
Vermont	2,608	1,252	1,674	1,075	977	682	664	1,731	1,731	149	69	74	49	42	55	57	84	84
Virginia	2,584	1,252	1,664	1,086	971	682	663	1,721	1,721	149	69	73	50	42	55	56	83	83
Washington	2,901	1,252	1,891	1,204	1,116	682	664	1,956	1,956	161	69	59	38	33	55	57	69	69
West Virginia	2,261	1,252	1,674	1,090	977	682	662	1,731	1,731	134	69	74	50	42	55	56	84	84
Wisconsin	2,733	1,252	1,589	1,022	923	682	663	1,643	1,643	154	69	75	50	43	55	56	85	85
Wyoming	2,712	1,252	1,891	1,247	1,116	682	663	1,956	1,956	153	69	59	40	33	55	56	69	69

[a] Beef NOF Bull values were used for American bison Nex and VS.

Source: CEFM. NA: Not available; no population exists in this state.

Table A-207: 2014 Manure Distribution Among Waste Management Systems by Operation (Percent)

	Beef Feedlots		Beef Not on Feed Operations	Dairy Cow Farms[a]						Dairy Heifer Facilities				Swine Operations[a]					Layer Operations		Broiler and Turkey Operations	
State	Dry Lot[b]	Liquid/ Slurry[b]	Pasture, Range, Paddock	Pasture, Range, Paddock	Daily Spread	Solid Storage	Liquid/ Slurry	Anaerobic Lagoon	Deep Pit	Daily Spread[b]	Dry Lot[b]	Liquid/ Slurry[b]	Pasture, Range, Paddock[b]	Pasture, Range, Paddock	Solid Storage	Liquid/ Slurry	Anaerobic Lagoon	Deep Pit	Anaerobic Lagoon	Poultry without Litter	Pasture, Range, Paddock	Poultry with Litter
Alabama	100	1	100	51	16	7	10	16	0	17	38	0	45	5	4	7	54	31	42	58	1	99
Alaska	100	1	100	5	9	34	19	24	9	6	90	1	4	64	2	10	7	17	25	75	1	99
Arizona	100	0	100	0	10	9	19	61	0	10	90	0	0	6	3	6	55	29	60	40	1	99
Arkansas	100	1	100	60	14	10	7	9	0	15	28	0	57	4	4	13	45	35	0	100	1	99
California	100	1	100	1	11	9	20	59	0	11	88	1	1	10	3	7	50	29	12	88	1	99
Colorado	100	0	100	1	1	11	23	64	0	1	98	0	1	1	6	26	17	50	60	40	1	99
Connecticut	100	1	100	6	43	16	20	13	2	43	51	0	6	78	1	6	5	11	5	95	1	99
Delaware	100	1	100	6	44	19	19	10	2	44	50	0	6	8	5	25	17	46	5	95	1	99
Florida	100	1	100	13	22	7	15	43	0	22	61	1	17	72	1	8	6	13	42	58	1	99
Georgia	100	1	100	37	18	9	12	23	0	18	42	0	40	4	4	8	53	31	42	58	1	99
Hawaii	100	1	100	10	0	9	23	57	0	0	99	1	1	31	3	19	14	32	25	75	1	99
Idaho	100	0	100	0	0	11	23	65	0	1	99	0	0	12	5	23	15	44	60	40	1	99
Illinois	100	1	100	4	6	39	31	16	5	8	87	0	5	1	5	29	14	52	2	98	1	99
Indiana	100	1	100	5	8	29	31	24	3	13	79	0	8	1	5	28	14	52	0	100	1	99
Iowa	100	1	100	4	8	34	30	20	4	10	83	0	6	1	4	9	54	33	0	100	1	99
Kansas	100	1	100	2	3	21	37	36	2	5	92	0	3	2	5	28	13	52	2	98	1	99
Kentucky	100	1	100	60	14	14	7	3	2	14	24	0	61	5	4	10	48	33	5	95	1	99
Louisiana	100	1	100	59	15	10	7	9	1	14	26	0	60	88	1	3	3	6	60	40	1	99
Maine	100	1	100	7	45	20	17	10	2	45	48	0	7	65	2	10	7	16	5	95	1	99
Maryland	100	1	100	7	44	22	16	8	3	44	49	0	7	7	5	25	17	47	5	95	1	99
Massachusetts	100	1	100	7	44	22	16	8	3	45	47	0	7	56	2	12	9	20	5	95	1	99
Michigan	100	1	100	2	4	24	38	29	3	6	91	0	3	4	5	26	17	48	2	98	1	99
Minnesota	100	1	100	5	8	39	28	17	4	10	84	0	6	1	5	26	18	50	0	100	1	99
Mississippi	100	1	100	54	15	10	8	12	0	15	28	0	57	2	4	6	58	31	60	40	1	99
Missouri	100	1	100	7	12	42	22	11	5	14	77	0	8	2	5	28	13	52	0	100	1	99
Montana	100	0	100	2	4	19	28	42	4	4	93	0	3	3	5	25	17	49	60	40	1	99
Nebraska	100	1	100	2	4	26	35	29	3	6	90	0	4	1	5	28	14	51	2	98	1	99
Nevada	100	0	100	0	0	10	24	65	0	0	99	0	0	34	3	18	14	31	0	100	1	99
New Hampshire	100	1	100	7	44	19	18	10	2	44	49	0	7	64	2	10	8	17	5	95	1	99
New Jersey	100	1	100	7	45	25	13	6	3	45	47	0	8	36	3	18	14	30	5	95	1	99
New Mexico	100	0	100	0	10	9	19	61	0	10	90	0	0	100	0	0	0	0	60	40	1	99

	Beef Feedlots		Beef Not on Feed Operations	Dairy Cow Farms[a]						Dairy Heifer Facilities				Swine Operations[a]					Layer Operations		Broiler and Turkey Operations	
State	Dry Lot[b]	Liquid/ Slurry[b]	Pasture, Range, Paddock	Pasture, Range, Paddock	Daily Spread	Solid Storage	Liquid/ Slurry	Anaerobic Lagoon	Deep Pit	Daily Spread[b]	Dry Lot[b]	Liquid/ Slurry[b]	Pasture, Range, Paddock[b]	Pasture, Range, Paddock	Solid Storage	Liquid/ Slurry	Anaerobic Lagoon	Deep Pit	Anaerobic Lagoon	Poultry without Litter	Pasture, Range, Paddock	Poultry with Litter
New York	100	1	100	6	44	17	18	13	2	45	48	0	7	13	5	23	15	44	5	95	1	99
North Carolina	100	1	100	46	17	11	15	10	2	15	31	0	54	0	4	7	57	32	42	58	1	99
North Dakota	100	1	100	7	11	38	26	15	4	11	83	0	6	5	5	25	17	48	2	98	1	99
Ohio	100	1	100	6	11	38	26	15	4	14	78	0	8	3	5	28	14	51	0	100	1	99
Oklahoma	100	0	100	0	7	21	22	45	4	6	94	0	0	1	4	6	58	31	60	40	1	99
Oregon	100	1	100	16	0	11	22	50	1	0	80	1	20	48	2	14	11	24	25	75	1	99
Pennsylvania	100	1	100	8	46	24	12	6	2	47	44	0	9	4	5	26	18	48	0	100	1	99
Rhode Island	100	1	100	9	47	25	13	5	2	47	44	0	9	72	1	8	6	13	5	95	1	99
South Carolina	100	1	100	47	17	8	11	18	0	15	31	0	54	3	4	7	55	31	60	40	1	99
South Dakota	100	1	100	3	4	24	36	31	2	8	87	0	5	1	5	26	17	50	2	98	1	99
Tennessee	100	1	100	58	15	12	9	4	2	15	26	0	59	13	3	11	41	32	5	95	1	99
Texas	100	0	100	0	9	11	22	58	1	8	92	0	0	3	4	6	57	30	12	88	1	99
Utah	100	0	100	1	1	15	26	56	2	1	98	0	1	1	6	26	17	51	60	40	1	99
Vermont	100	1	100	6	44	17	19	13	2	44	49	0	7	63	2	10	8	18	5	95	1	99
Virginia	100	1	100	56	15	11	10	5	2	15	28	0	57	4	4	7	54	31	5	95	1	99
Washington	100	1	100	11	0	11	22	56	1	0	83	1	17	43	3	15	11	28	12	88	1	99
West Virginia	100	1	100	8	46	23	14	7	2	45	48	0	7	59	2	11	7	20	5	95	1	99
Wisconsin	100	1	100	5	9	38	28	17	4	12	82	0	7	13	4	23	17	42	2	98	1	99
Wyoming	100	0	100	4	6	19	23	43	4	12	81	0	7	4	5	25	16	49	60	40	1	99

[a] In the methane inventory for manure management, the percent of dairy cows and swine with AD systems is estimated using data from EPA's AgSTAR Program.

[b] Because manure from beef feedlots and dairy heifers may be managed for long periods of time in multiple systems (i.e., both drylot and runoff collection pond), the percent of manure that generates emissions is greater than 100 percent.

Table A-208: Manure Management System Descriptions

Manure Management System	Description[a]
Pasture, Range, Paddock	The manure from pasture and range grazing animals is allowed to lie as is, and is not managed. Methane emissions are accounted for under Manure Management, but the N_2O emissions from manure deposited on PRP are included under the Agricultural Soil Management category.
Daily Spread	Manure is routinely removed from a confinement facility and is applied to cropland or pasture within 24 hours of excretion. Methane and indirect N_2O emissions are accounted for under Manure Management. Direct N_2O emissions from land application are covered under the Agricultural Soil Management category.
Solid Storage	The storage of manure, typically for a period of several months, in unconfined piles or stacks. Manure is able to be stacked due to the presence of a sufficient amount of bedding material or loss of moisture by evaporation.
Dry Lot	A paved or unpaved open confinement area without any significant vegetative cover where accumulating manure may be removed periodically. Dry lots are most typically found in dry climates but also are used in humid climates.
Liquid/ Slurry	Manure is stored as excreted or with some minimal addition of water to facilitate handling and is stored in either tanks or earthen ponds, usually for periods less than one year.
Anaerobic Lagoon	Uncovered anaerobic lagoons are designed and operated to combine waste stabilization and storage. Lagoon supernatant is usually used to remove manure from the associated confinement facilities to the lagoon. Anaerobic lagoons are designed with varying lengths of storage (up to a year or greater), depending on the climate region, the VS loading rate, and other operational factors. Anaerobic lagoons accumulate sludge over time, diminishing treatment capacity. Lagoons must be cleaned out once every 5 to 15 years, and the sludge is typically applied to agricultural lands. The water from the lagoon may be recycled as flush water or used to irrigate and fertilize fields. Lagoons are sometimes used in combination with a solids separator, typically for dairy waste. Solids separators help control the buildup of nondegradable material such as straw or other bedding materials.
Anaerobic Digester	Animal excreta with or without straw are collected and anaerobically digested in a large containment vessel (complete mix or plug flow digester) or covered lagoon. Digesters are designed and operated for waste stabilization by the microbial reduction of complex organic compounds to CO_2 and CH_4, which is captured and flared or used as a fuel.
Deep Pit	Collection and storage of manure usually with little or no added water typically below a slatted floor in an enclosed animal confinement facility. Typical storage periods range from 5 to 12 months, after which manure is removed from the pit and transferred to a treatment system or applied to land.
Poultry with Litter	Enclosed poultry houses use bedding derived from wood shavings, rice hulls, chopped straw, peanut hulls, or other products, depending on availability. The bedding absorbs moisture and dilutes the manure produced by the birds. Litter is typically cleaned out completely once a year. These manure systems are typically used for all poultry breeder flocks and for the production of meat type chickens (broilers) and other fowl.
Poultry without Litter	In high-rise cages or scrape-out/belt systems, manure is excreted onto the floor below with no bedding to absorb moisture. The ventilation system dries the manure as it is stored. When designed and operated properly, this high-rise system is a form of passive windrow composting.

[a] Manure management system descriptions are based on the *2006 IPCC Guidelines for National Greenhouse Gas Inventories* (Volume 4: Agriculture, Forestry and Other Land Use, Chapter 10: Emissions from Livestock and Manure Management, Tables 10.18 and 10.21) and the *Development Document for the Final Revisions to the National Pollutant Discharge Elimination System Regulation and the Effluent Guidelines for Concentrated Animal Feeding Operations* (EPA-821-R-03-001, December 2002).

Table A-209: Methane Conversion Factors (percent) for Dry Systems

Waste Management System	Cool Climate MCF	Temperate Climate MCF	Warm Climate MCF
Aerobic Treatment	0	0	0
Anaerobic Digester	0	0	0
Cattle Deep Litter (<1 month)	3	3	30
Cattle Deep Litter (>1 month)	21	44	76

Waste Management System	Cool Climate MCF	Temperate Climate MCF	Warm Climate MCF
Composting - In Vessel	0.5	0.5	0.5
Composting - Static Pile	0.5	0.5	0.5
Composting-Extensive/ Passive	0.5	1	1.5
Composting-Intensive	0.5	1	1.5
Daily Spread	0.1	0.5	1
Dry Lot	1	1.5	5
Fuel	10	10	10
Pasture	1	1.5	2
Poultry with bedding	1.5	1.5	1.5
Poultry without bedding	1.5	1.5	1.5
Solid Storage	2	4	5

Source: IPCC 2006

Table A-210: Methane Conversion Factors by State for Liquid Systems for 2014 (percent)

	Dairy		Swine		Beef	Poultry
State	Anaerobic Lagoon	Liquid/Slurry and Deep Pit	Anaerobic Lagoon	Liquid/Slurry and Deep Pit	Liquid/Slurry	Anaerobic Lagoon
Alabama	75	37	75	36	38	75
Alaska	47	15	47	15	15	47
Arizona	78	57	77	47	52	74
Arkansas	75	34	76	37	35	75
California	73	32	72	31	41	74
Colorado	65	22	68	24	24	65
Connecticut	69	25	69	26	26	69
Delaware	73	31	73	31	31	73
Florida	79	55	79	53	53	79
Georgia	76	39	75	38	37	75
Hawaii	76	57	76	57	57	76
Idaho	69	25	66	22	22	68
Illinois	72	29	72	28	27	72
Indiana	70	27	71	27	27	71
Iowa	70	25	70	26	26	70
Kansas	74	32	74	32	32	74
Kentucky	73	31	73	31	30	73
Louisiana	77	45	77	46	46	77
Maine	63	21	63	21	21	64
Maryland	72	30	72	30	31	73
Massachusetts	67	24	68	25	25	68
Michigan	67	23	67	24	24	67
Minnesota	68	24	69	24	24	67
Mississippi	76	40	76	38	41	76
Missouri	73	30	73	30	30	74
Montana	61	19	64	21	21	64
Nebraska	72	27	72	27	27	72
Nevada	70	26	71	27	25	70
New Hampshire	64	22	65	22	22	65
New Jersey	71	28	71	29	28	71
New Mexico	73	31	71	28	30	70
New York	65	23	66	23	23	66
North Carolina	73	31	75	36	30	73
North Dakota	66	22	66	22	22	66
Ohio	69	26	70	27	27	70
Oklahoma	76	37	75	35	36	76
Oregon	64	21	63	21	22	63
Pennsylvania	69	26	70	27	27	70
Rhode Island	69	26	69	26	26	69

State	Dairy		Swine		Beef	Poultry
	Anaerobic Lagoon	Liquid/Slurry and Deep Pit	Anaerobic Lagoon	Liquid/Slurry and Deep Pit	Liquid/Slurry	Anaerobic Lagoon
South Carolina	75	37	76	38	36	75
South Dakota	69	24	70	25	25	70
Tennessee	73	31	74	32	31	73
Texas	76	41	76	44	38	77
Utah	65	22	69	25	24	65
Vermont	63	21	63	21	21	63
Virginia	71	28	72	31	29	71
Washington	64	21	66	22	23	65
West Virginia	69	26	70	27	26	69
Wisconsin	66	23	68	24	23	67
Wyoming	63	20	64	21	22	64

Note: MCFs developed using Tier 2 methods described in IPCC 2006, Section 10.4.2.

Table A-211: Direct Nitrous Oxide Emission Factors for 2014 (kg N_2O-N/kg Kjdl N)

Waste Management System	Direct N_2O Emission Factor
Aerobic Treatment (forced aeration)	0.005
Aerobic Treatment (natural aeration)	0.01
Anaerobic Digester	0
Anaerobic Lagoon	0
Cattle Deep Bed (active mix)	0.07
Cattle Deep Bed (no mix)	0.01
Composting_in vessel	0.006
Composting_intensive	0.1
Composting_passive	0.01
Composting_static	0.006
Daily Spread	0
Deep Pit	0.002
Dry Lot	0.02
Fuel	0
Liquid/Slurry	0.005
Pasture	0
Poultry with bedding	0.001
Poultry without bedding	0.001
Solid Storage	0.005

Source: IPCC 2006

Table A-212: Indirect Nitrous Oxide Loss Factors (percent)

Animal Type	Waste Management System	Volatilization Nitrogen Loss	Runoff/Leaching Nitrogen Loss[a]				
			Central	Pacific	Mid-Atlantic	Midwest	South
Beef Cattle	Dry Lot	23	1.1	3.9	3.6	1.9	4.3
Beef Cattle	Liquid/Slurry	26	0	0	0	0	0
Beef Cattle	Pasture	0	0	0	0	0	0
Dairy Cattle	Anaerobic Lagoon	43	0.2	0.8	0.7	0.4	0.9
Dairy Cattle	Daily Spread	10	0	0	0	0	0
Dairy Cattle	Deep Pit	24	0	0	0	0	0
Dairy Cattle	Dry Lot	15	0.6	2	1.8	0.9	2.2
Dairy Cattle	Liquid/Slurry	26	0.2	0.8	0.7	0.4	0.9
Dairy Cattle	Pasture	0	0	0	0	0	0

Animal Type	Waste Management System	Volatilization Nitrogen Loss	Runoff/Leaching Nitrogen Loss[a]				
			Central	Pacific	Mid-Atlantic	Midwest	South
Dairy Cattle	Solid Storage	27	0.2	0	0	0	0
American Bison	Pasture	0	0	0	0	0	0
Goats	Dry Lot	23	1.1	3.9	3.6	1.9	4.3
Goats	Pasture	0	0	0	0	0	0
Horses	Dry Lot	23	0	0	0	0	0
Horses	Pasture	0	0	0	0	0	0
Mules and Asses	Dry Lot	23	0	0	0	0	0
Mules and Asses	Pasture	0	0	0	0	0	0
Poultry	Anaerobic Lagoon	54	0.2	0.8	0.7	0.4	0.9
Poultry	Liquid/Slurry	26	0.2	0.8	0.7	0.4	0.9
Poultry	Pasture	0	0	0	0	0	0
Poultry	Poultry with bedding	26	0	0	0	0	0
Poultry	Poultry without bedding	34	0	0	0	0	0
Poultry	Solid Storage	8	0	0	0	0	0
Sheep	Dry Lot	23	1.1	3.9	3.6	1.9	4.3
Sheep	Pasture	0	0	0	0	0	0
Swine	Anaerobic Lagoon	58	0.2	0.8	0.7	0.4	0.9
Swine	Deep Pit	34	0	0	0	0	0
Swine	Liquid/Slurry	26	0.2	0.8	0.7	0.4	0.9
Swine	Pasture	0	0	0	0	0	0
Swine	Solid Storage	45	0	0	0	0	0

[a] Data for nitrogen losses due to leaching were not available, so the values represent only nitrogen losses due to runoff.
Source: EPA 2002b, 2005.

Table A-213: Methane Emissions from Livestock Manure Management (kt)[a]

Animal Type	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Dairy Cattle	**590**	**607**	**591**	**614**	**656**	**685**	**695**	**725**	**771**	**841**	**889**	**951**	**985**	**1,036**	**988**	**1,057**	**1,091**	**1,212**	**1,230**	**1,218**	**1,217**	**1,245**	**1,306**	**1,271**	**1,289**
Dairy Cows	*581*	*598*	*583*	*606*	*647*	*676*	*687*	*716*	*763*	*832*	*880*	*942*	*977*	*1,027*	*980*	*1,049*	*1,083*	*1,202*	*1,220*	*1,208*	*1,207*	*1,236*	*1,295*	*1,261*	*1,278*
Dairy Heifer	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*6*	*7*	*7*	*7*	*7*	*7*	*6*	*7*	*7*	*8*	*8*	*8*	*8*	*8*	*9*	*8*	*8*
Dairy Calves	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*	*2*
Swine	**622**	**674**	**637**	**678**	**740**	**763**	**729**	**782**	**891**	**849**	**835**	**854**	**877**	**859**	**858**	**916**	**902**	**984**	**936**	**896**	**945**	**942**	**972**	**920**	**896**
Market Swine	483	522	499	533	584	608	581	625	720	693	681	697	719	705	707	755	742	816	779	748	790	788	814	771	744
Market <50 lbs.	*102*	*110*	*103*	*108*	*119*	*121*	*116*	*125*	*140*	*133*	*131*	*134*	*137*	*135*	*135*	*142*	*141*	*155*	*109*	*103*	*110*	*109*	*113*	*105*	*104*
Market 50-119 lbs.	*101*	*110*	*104*	*110*	*119*	*123*	*117*	*127*	*144*	*138*	*136*	*138*	*144*	*140*	*141*	*150*	*148*	*163*	*174*	*167*	*176*	*0*	*0*	*0*	*0*
Market 120-179 lbs.	*136*	*147*	*139*	*150*	*164*	*170*	*163*	*175*	*201*	*193*	*189*	*192*	*199*	*196*	*196*	*210*	*206*	*228*	*228*	*218*	*232*	*230*	*238*	*228*	*221*
Market >180 lbs.	*144*	*156*	*152*	*164*	*182*	*193*	*184*	*198*	*234*	*229*	*225*	*232*	*240*	*234*	*235*	*252*	*247*	*270*	*268*	*259*	*272*	*274*	*281*	*266*	*250*
Breeding Swine	139	151	138	146	156	155	148	157	171	157	155	158	158	154	151	161	160	168	157	148	155	154	158	150	152
Beef Cattle	**126**	**126**	**129**	**130**	**135**	**139**	**136**	**134**	**137**	**137**	**131**	**134**	**131**	**131**	**129**	**133**	**137**	**134**	**130**	**130**	**132**	**131**	**128**	**121**	**120**
Feedlot Steers	*14*	*14*	*14*	*13*	*14*	*14*	*14*	*13*	*13*	*14*	*15*	*15*	*15*	*16*	*15*	*15*	*16*	*16*	*16*	*16*	*16*	*17*	*16*	*16*	*16*
Feedlot Heifers	*7*	*7*	*7*	*7*	*8*	*8*	*8*	*8*	*8*	*8*	*9*	*9*	*9*	*9*	*9*	*9*	*9*	*9*	*9*	*9*	*9*	*9*	*9*	*9*	*9*
NOF Bulls	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*	*5*
Beef Calves	*6*	*6*	*6*	*6*	*7*	*7*	*6*	*6*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*6*	*6*
NOF Heifers	*12*	*12*	*13*	*14*	*14*	*15*	*15*	*14*	*15*	*14*	*13*	*13*	*13*	*13*	*12*	*13*	*13*	*13*	*13*	*13*	*13*	*12*	*12*	*12*	*12*
NOF Steers	*12*	*12*	*13*	*14*	*13*	*14*	*14*	*13*	*13*	*12*	*11*	*11*	*11*	*10*	*10*	*10*	*11*	*10*	*10*	*11*	*10*	*10*	*9*	*9*	*9*
NOF Cows	*69*	*69*	*70*	*71*	*74*	*76*	*75*	*74*	*76*	*76*	*71*	*73*	*71*	*71*	*71*	*73*	*75*	*73*	*70*	*70*	*71*	*71*	*69*	*64*	*63*
Sheep	**7**	**7**	**7**	**6**	**6**	**5**	**5**	**5**	**5**	**4**	**4**	**4**	**4**	**4**	**3**	**3**	**3**	**3**	**3**	**3**	**3**	**3**	**3**	**3**	**3**
Goats	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**	**1**
Poultry	**131**	**131**	**127**	**131**	**131**	**128**	**125**	**128**	**130**	**126**	**127**	**131**	**129**	**130**	**129**	**129**	**131**	**134**	**129**	**128**	**129**	**127**	**128**	**128**	**130**
Hens >1 yr.	*73*	*72*	*70*	*73*	*72*	*69*	*68*	*67*	*70*	*66*	*66*	*70*	*67*	*68*	*66*	*66*	*66*	*67*	*64*	*64*	*64*	*64*	*63*	*65*	*66*
Total Pullets	*25*	*26*	*23*	*23*	*23*	*22*	*21*	*23*	*23*	*21*	*22*	*22*	*22*	*22*	*23*	*22*	*23*	*25*	*23*	*23*	*24*	*23*	*23*	*24*	*24*
Chickens	*4*	*4*	*4*	*4*	*4*	*4*	*3*	*3*	*4*	*4*	*3*	*3*	*4*	*4*	*3*	*3*	*3*	*3*	*3*	*4*	*3*	*3*	*3*	*3*	*3*
Broilers	*19*	*20*	*20*	*21*	*22*	*23*	*24*	*25*	*26*	*27*	*28*	*28*	*29*	*29*	*30*	*31*	*32*	*32*	*33*	*31*	*31*	*31*	*32*	*31*	*31*
Turkeys	*10*	*10*	*10*	*10*	*9*	*9*	*9*	*9*	*8*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*7*	*6*	*6*	*6*	*6*	*6*	*6*
Horses	**9**	**9**	**9**	**9**	**10**	**11**	**11**	**12**	**13**	**13**	**13**	**13**	**13**	**13**	**12**	**12**	**12**	**11**	**10**	**10**	**10**	**10**	**10**	**9**	**9**
Mules and Asses	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**
American Bison	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**

+ Does not exceed 0.5 kt.

[a] Accounts for CH_4 reductions due to capture and destruction of CH_4 at facilities using anaerobic digesters.

Table A-214: Total (Direct and Indirect) Nitrous Oxide Emissions from Livestock Manure Management (kt)

Animal Type	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Dairy Cattle	**17.7**	**17.6**	**17.7**	**17.9**	**18.0**	**18.2**	**18.3**	**18.4**	**18.5**	**18.1**	**18.4**	**18.7**	**18.9**	**19.1**	**18.2**	**18.7**	**19.3**	**19.3**	**19.0**	**19.2**	**19.3**	**19.5**	**19.7**	**19.6**	**19.7**
Dairy Cows	*10.6*	*10.6*	*10.5*	*10.5*	*10.6*	*10.7*	*10.8*	*10.9*	*10.9*	*10.6*	*10.8*	*10.9*	*11.0*	*11.1*	*10.6*	*10.8*	*11.1*	*11.1*	*10.9*	*11.1*	*10.9*	*11.1*	*11.3*	*11.3*	*11.4*
Dairy Heifer	*7.1*	*7.0*	*7.2*	*7.3*	*7.4*	*7.5*	*7.5*	*7.5*	*7.6*	*7.5*	*7.6*	*7.8*	*7.9*	*8.0*	*7.6*	*7.8*	*8.2*	*8.2*	*8.0*	*8.1*	*8.3*	*8.4*	*8.5*	*8.3*	*8.3*
Dairy Calves	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*	*NA*
Swine	**4.0**	**4.2**	**4.3**	**4.4**	**4.6**	**4.5**	**4.4**	**4.7**	**5.1**	**5.0**	**5.0**	**5.1**	**5.3**	**5.4**	**5.6**	**5.7**	**5.9**	**6.3**	**6.4**	**6.3**	**6.2**	**6.3**	**6.4**	**6.3**	**6.2**
Market Swine	*3.0*	*3.1*	*3.3*	*3.3*	*3.5*	*3.5*	*3.3*	*3.6*	*4.0*	*4.1*	*4.1*	*4.2*	*4.4*	*4.5*	*4.7*	*4.9*	*5.0*	*5.5*	*5.6*	*5.5*	*5.4*	*5.5*	*5.6*	*5.5*	*5.4*
Market <50 lbs.	*0.6*	*0.6*	*0.6*	*0.6*	*0.6*	*0.6*	*0.6*	*0.7*	*0.7*	*0.7*	*0.8*	*0.8*	*0.8*	*0.9*	*0.9*	*0.9*	*1.0*	*1.1*	*0.8*	*0.8*	*0.8*	*0.8*	*0.8*	*0.8*	*0.8*
Market 50-119 lbs.	*0.6*	*0.7*	*0.7*	*0.7*	*0.7*	*0.7*	*0.7*	*0.8*	*0.8*	*0.8*	*0.8*	*0.8*	*0.9*	*0.9*	*0.9*	*1.0*	*1.0*	*1.1*	*1.3*	*1.2*	*1.2*	*1.2*	*1.3*	*1.2*	*1.2*
Market 120-179 lbs.	*0.9*	*0.9*	*0.9*	*1.0*	*1.0*	*1.0*	*1.0*	*1.0*	*1.1*	*1.1*	*1.1*	*1.2*	*1.2*	*1.3*	*1.3*	*1.4*	*1.4*	*1.5*	*1.6*	*1.6*	*1.6*	*1.6*	*1.6*	*1.6*	*1.6*
Market >180 lbs.	*0.9*	*1.0*	*1.0*	*1.0*	*1.1*	*1.1*	*1.1*	*1.2*	*1.3*	*1.3*	*1.3*	*1.4*	*1.5*	*1.5*	*1.6*	*1.6*	*1.6*	*1.8*	*1.9*	*1.9*	*1.8*	*1.9*	*1.9*	*1.9*	*1.8*
Breeding Swine	*1.0*	*1.1*	*1.1*	*1.1*	*1.1*	*1.1*	*1.0*	*1.1*	*1.1*	*1.0*	*0.9*	*0.9*	*0.9*	*0.9*	*0.9*	*0.9*	*0.9*	*0.9*	*0.8*	*0.8*	*0.8*	*0.8*	*0.8*	*0.8*	*0.8*
Beef Cattle	**19.8**	**20.3**	**20.1**	**19.1**	**20.9**	**21.8**	**21.4**	**21.5**	**21.6**	**24.0**	**25.0**	**24.1**	**24.8**	**25.0**	**23.6**	**24.0**	**25.7**	**25.6**	**25.1**	**25.1**	**25.3**	**25.9**	**25.8**	**26.0**	**26.0**
Feedlot Steers	*13.4*	*13.6*	*13.5*	*12.8*	*13.9*	*14.4*	*14.0*	*13.9*	*14.1*	*15.5*	*16.1*	*15.4*	*16.0*	*16.3*	*15.3*	*15.5*	*16.7*	*16.7*	*16.5*	*16.5*	*16.6*	*16.9*	*16.7*	*17.0*	*17.3*
Feedlot Heifers	*6.4*	*6.6*	*6.6*	*6.3*	*7.0*	*7.4*	*7.4*	*7.6*	*7.6*	*8.5*	*8.9*	*8.6*	*8.7*	*8.8*	*8.4*	*8.5*	*9.0*	*8.9*	*8.7*	*8.6*	*8.7*	*9.1*	*9.0*	*9.0*	*8.8*
Sheep	**0.4**	**0.4**	**0.4**	**0.4**	**0.6**	**0.7**	**0.8**	**0.9**	**0.9**	**1.0**	**1.1**	**1.2**	**1.2**	**1.2**	**1.1**	**1.2**	**1.2**	**1.2**	**1.2**	**1.1**	**1.1**	**1.1**	**1.1**	**1.0**	**1.0**
Goats	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**
Poultry	**4.7**	**4.8**	**4.9**	**5.0**	**5.1**	**5.1**	**5.3**	**5.3**	**5.3**	**5.3**	**5.3**	**5.3**	**5.4**	**5.3**	**5.4**	**5.4**	**5.4**	**5.4**	**5.4**	**5.2**	**5.2**	**5.2**	**5.3**	**5.2**	**5.2**
Hens >1 yr.	*1.0*	*1.0*	*1.0*	*1.0*	*1.0*	*1.0*	*1.0*	*1.1*	*1.1*	*1.1*	*1.1*	*1.2*	*1.2*	*1.2*	*1.2*	*1.3*	*1.3*	*1.3*	*1.3*	*1.3*	*1.3*	*1.3*	*1.3*	*1.3*	*1.4*
Total Pullets	*0.3*	*0.3*	*0.3*	*0.3*	*0.3*	*0.3*	*0.3*	*0.3*	*0.3*	*0.3*	*0.3*	*0.3*	*0.3*	*0.4*	*0.4*	*0.4*	*0.4*	*0.4*	*0.4*	*0.4*	*0.4*	*0.4*	*0.4*	*0.4*	*0.4*
Chickens	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
Broilers	*2.2*	*2.3*	*2.4*	*2.5*	*2.6*	*2.7*	*2.8*	*2.8*	*2.9*	*2.9*	*2.9*	*2.9*	*3.0*	*2.9*	*2.9*	*3.0*	*2.9*	*2.9*	*2.9*	*2.7*	*2.8*	*2.8*	*2.9*	*2.7*	*2.7*
Turkeys	*1.2*	*1.2*	*1.2*	*1.1*	*1.1*	*1.1*	*1.1*	*1.1*	*1.0*	*0.9*	*0.9*	*0.9*	*0.9*	*0.9*	*0.8*	*0.8*	*0.8*	*0.8*	*0.8*	*0.7*	*0.7*	*0.7*	*0.7*	*0.7*	*0.7*
Horses	**0.3**	**0.3**	**0.3**	**0.3**	**0.3**	**0.4**	**0.4**	**0.4**	**0.4**	**0.4**	**0.5**	**0.5**	**0.5**	**0.5**	**0.5**	**0.5**	**0.5**	**0.5**	**0.4**	**0.4**	**0.4**	**0.4**	**0.4**	**0.4**	**0.4**
Mules and Asses	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**	**+**
American Bison	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**	**NA**

+ Does not exceed 0.5 kt.
NA (Not applicable)
Note: American bison are maintained entirely on unmanaged WMS; there are no American bison N_2O emissions from managed systems.

Table A-215: Methane Emissions by State from Livestock Manure Management for 2014 (kt)[a]

State	Beef on Feedlots	Beef Not on Feed[b]	Dairy Cow	Dairy Heifer	Swine—Market	Swine—Breeding	Layer	Broiler	Turkey	Sheep	Goats	Horses	Mules and Asses	American Bison
Alabama	0.0170	2.4961	0.5233	0.0106	1.6284	0.4402	8.9934	3.8556	0.0211	0.0085	0.0156	0.1785	0.0131	0.0006
Alaska	0.0001	0.0154	0.0154	0.0002	0.0018	0.0015	0.1926	+	0.0210	0.0057	0.0002	0.0029	+	0.0038
Arizona	0.6906	1.0848	52.7906	0.1741	1.9359	0.7272	0.6745	+	0.0211	0.1057	0.0313	0.3346	0.0039	+
Arkansas	0.0296	2.1448	0.2667	0.0094	0.6193	1.9556	0.5434	3.5225	0.7503	0.0085	0.0143	0.1772	0.0094	0.0003
California	1.5156	3.8144	402.0657	1.9264	1.6039	0.1800	3.0921	0.1985	0.2751	0.4158	0.0539	0.4182	0.0073	0.0035
Colorado	1.5724	2.7254	31.0978	0.1501	4.0379	2.8453	4.0236	+	0.0210	0.1715	0.0073	0.2341	0.0047	0.0194
Connecticut	0.0003	0.0158	1.0347	0.0153	0.0049	0.0036	0.2501	+	0.0210	0.0034	0.0011	0.0433	0.0007	0.0002
Delaware	0.0003	0.0092	0.2790	0.0046	0.0269	0.0218	0.0754	0.8837	0.0210	0.0057	0.0003	0.0154	0.0001	0.0002
Florida	0.0108	3.2376	22.1675	0.1010	0.0635	0.0424	6.4911	0.2423	0.0211	0.0085	0.0187	0.3982	0.0108	0.0003
Georgia	0.0124	1.8294	7.6846	0.0667	1.9133	0.9216	15.6498	4.8098	0.0211	0.0085	0.0250	0.2205	0.0099	0.0006
Hawaii	0.0024	0.2895	0.4264	0.0029	0.0686	0.0639	0.3014	+	0.0211	0.0085	0.0054	0.0149	0.0005	0.0002
Idaho	0.3828	1.6793	125.0109	0.4087	0.1619	0.0987	0.6159	+	0.0210	0.1175	0.0046	0.1235	0.0029	0.0093
Illinois	0.4437	1.0049	8.1855	0.0725	40.2193	10.3785	0.2649	0.1978	0.0210	0.0263	0.0077	0.1227	0.0027	0.0008
Indiana	0.1800	0.5545	14.3566	0.1086	32.9344	5.5527	0.8942	0.1978	0.4736	0.0235	0.0088	0.2275	0.0040	0.0020
Iowa	2.1095	3.0403	20.9373	0.1904	293.3499	31.0226	1.9147	0.1978	0.2617	0.0728	0.0141	0.1277	0.0033	0.0026
Kansas	3.7901	4.8366	23.3681	0.1634	18.5299	3.8114	0.0440	+	0.0210	0.0352	0.0099	0.1508	0.0028	0.0097
Kentucky	0.0343	2.5216	1.4173	0.0789	4.0954	1.2243	0.6210	1.1150	0.0210	0.0230	0.0126	0.2812	0.0097	0.0025
Louisiana	0.0087	1.6486	0.5940	0.0138	0.0130	0.0093	2.3022	0.1985	0.0211	0.0085	0.0066	0.1955	0.0082	0.0001
Maine	0.0008	0.0383	1.3343	0.0280	0.0102	0.0068	0.2830	+	0.0210	0.0034	0.0017	0.0261	0.0003	0.0004
Maryland	0.0193	0.1179	2.4502	0.0436	0.1827	0.0640	0.3077	1.0419	0.0210	0.0057	0.0021	0.0610	0.0009	0.0006
Massachusetts	0.0003	0.0201	0.4851	0.0126	0.0303	0.0186	0.0127	+	0.0210	0.0034	0.0022	0.0443	0.0004	0.0002
Michigan	0.2545	0.4752	48.2147	0.2582	8.7176	1.9544	0.7766	0.1978	0.1271	0.0381	0.0067	0.1812	0.0031	0.0022
Minnesota	0.6363	1.2341	31.5912	0.4408	63.2767	10.6950	0.3802	0.1694	1.1341	0.0634	0.0081	0.1246	0.0022	0.0034
Mississippi	0.0191	1.8134	0.5460	0.0161	8.7714	1.6819	7.6425	2.6413	0.0211	0.0085	0.0083	0.1841	0.0099	+
Missouri	0.1323	4.3993	5.6464	0.0811	19.5727	7.7160	0.3223	1.0444	0.4237	0.0390	0.0266	0.2290	0.0072	0.0025
Montana	0.0841	4.4117	1.7741	0.0134	1.1634	0.3959	0.4143	+	0.0210	0.1034	0.0024	0.2081	0.0035	0.0324
Nebraska	4.1931	5.9583	7.3544	0.0320	25.7524	7.9160	0.5798	0.1978	0.0210	0.0357	0.0056	0.1398	0.0029	0.0467
Nevada	0.0065	0.6579	6.8818	0.0137	0.0126	0.0073	0.0224	+	0.0210	0.0376	0.0063	0.0528	0.0004	0.0001
New Hampshire	0.0002	0.0116	0.6205	0.0116	0.0103	0.0057	0.0693	+	0.0210	0.0034	0.0013	0.0192	0.0002	0.0006
New Jersey	0.0004	0.0204	0.2564	0.0052	0.0671	0.0150	0.0744	+	0.0210	0.0057	0.0018	0.0584	0.0007	0.0004
New Mexico	0.0173	1.2629	77.5423	0.1849	0.0002	0.0003	0.6320	+	0.0210	0.0381	0.0073	0.1086	0.0014	0.0117
New York	0.0425	0.4557	31.2877	0.5901	0.4865	0.1467	0.5324	0.1978	0.0210	0.0352	0.0088	0.2020	0.0028	0.0013
North Carolina	0.0083	0.9086	2.4938	0.0352	119.6529	30.7160	11.8916	2.8787	0.7104	0.0127	0.0134	0.1364	0.0070	0.0003
North Dakota	0.0677	2.3399	1.2266	0.0157	0.7321	0.6034	0.0416	+	0.0210	0.0310	0.0012	0.0996	0.0009	0.0130
Ohio	0.2740	0.8580	19.7712	0.2071	17.8795	3.2873	1.0144	0.2737	0.1271	0.0550	0.0111	0.2455	0.0053	0.0012
Oklahoma	0.4728	4.9499	7.8066	0.0454	25.9088	15.5288	3.5335	0.7432	0.0210	0.0277	0.0186	0.3423	0.0102	0.0182

State	Beef on Feedlots	Beef Not on Feed[b]	Dairy Cow	Dairy Heifer	Swine—Market	Swine—Breeding	Layer	Broiler	Turkey	Sheep	Goats	Horses	Mules and Asses	American Bison
Oregon	0.1394	1.5941	17.9099	0.1025	0.0301	0.0195	0.8158	0.1978	0.0210	0.0916	0.0078	0.1376	0.0025	0.0033
Pennsylvania	0.2089	0.6687	16.7144	0.5361	10.2501	1.9201	0.7949	0.6563	0.1745	0.0442	0.0116	0.2657	0.0071	0.0015
Rhode Island	0.0001	0.0044	0.0290	0.0009	0.0032	0.0032	0.0729	+	0.0210	0.0034	0.0002	0.0044	0.0001	+
South Carolina	0.0042	0.6335	1.1129	0.0158	4.7818	0.4586	4.5615	0.8445	0.0211	0.0085	0.0138	0.1841	0.0065	0.0004
South Dakota	0.6473	4.3569	12.3807	0.0790	10.1884	3.3233	0.1697	+	0.1122	0.1269	0.0047	0.1498	0.0012	0.0584
Tennessee	0.0058	2.1576	1.2620	0.0527	2.5978	0.5478	0.2375	0.6538	0.0210	0.0183	0.0190	0.1716	0.0107	0.0002
Texas	6.1094	17.0779	100.3698	0.5029	10.2790	3.1653	4.5570	2.1495	0.0211	0.5145	0.2903	1.2439	0.0711	0.0110
Utah	0.0420	1.0336	18.1451	0.0691	5.3791	1.2998	3.5876	+	0.0997	0.1316	0.0034	0.1285	0.0024	0.0023
Vermont	0.0011	0.0658	5.9194	0.0921	0.0084	0.0072	0.0121	+	0.0210	0.0034	0.0030	0.0241	0.0010	0.0002
Virginia	0.0391	1.6537	2.8422	0.0689	4.5280	0.1581	0.3495	0.9485	0.4187	0.0390	0.0115	0.1871	0.0053	0.0019
Washington	0.3929	0.8259	47.0772	0.2127	0.1092	0.0651	1.3173	0.1978	0.0210	0.0258	0.0062	0.1195	0.0027	0.0016
West Virginia	0.0089	0.4978	0.3084	0.0085	0.0154	0.0088	0.1706	0.3450	0.0773	0.0150	0.0038	0.0481	0.0022	+
Wisconsin	0.4381	1.0851	93.9587	1.0665	2.1879	0.6948	0.3439	0.1933	0.0210	0.0390	0.0158	0.2121	0.0043	0.0065
Wyoming	0.1190	2.0593	0.8553	0.0060	0.1890	0.3462	0.5771	+	0.0210	0.1668	0.0024	0.1517	0.0020	0.0184

+ Does not exceed 0.00005 kt.

[a] Accounts for CH_4 reductions due to capture and destruction of CH_4 at facilities using anaerobic digesters.

[b] Beef Not on Feed includes calves.

Table A-216: Nitrous Oxide Emissions by State from Livestock Manure Management for 2014 (kt)

	Beef Feedlot-Heifer	Beef Feedlot-Steers	Dairy Cow	Dairy Heifer	Swine-Market	Swine-Breeding	Layer	Broiler	Turkey	Sheep	Goats	Horses	Mules and Asses
Alabama	0.0039	0.0077	0.0040	0.0037	0.0085	0.0017	0.0650	0.3411	0.0024	0.0046	0.0012	0.0061	0.0005
Alaska	+	+	0.0003	0.0002	+	+	0.0033	+	0.0024	0.0015	+	0.0001	+
Arizona	0.1828	0.3594	0.2417	0.1565	0.0091	0.0026	0.0035	+	0.0024	0.0165	0.0025	0.0115	0.0001
Arkansas	0.0094	0.0184	0.0029	0.0034	0.0036	0.0084	0.0766	0.3116	0.0869	0.0040	0.0011	0.0061	0.0003
California	0.3396	0.6690	2.1973	1.5656	0.0090	0.0007	0.0651	0.0176	0.0319	0.0735	0.0043	0.0144	0.0003
Colorado	0.6505	1.2767	0.1943	0.2294	0.0429	0.0223	0.0242	+	0.0024	0.0402	0.0009	0.0121	0.0003
Connecticut	0.0001	0.0002	0.0172	0.0112	+	+	0.0109	+	0.0024	0.0028	0.0001	0.0022	+
Delaware	0.0001	0.0002	0.0042	0.0032	0.0002	0.0001	0.0031	0.0784	0.0024	0.0046	+	0.0008	+
Florida	0.0023	0.0045	0.1122	0.0512	0.0003	0.0002	0.0439	0.0214	0.0024	0.0046	0.0015	0.0137	0.0004
Georgia	0.0029	0.0057	0.0552	0.0252	0.0100	0.0036	0.1128	0.4255	0.0024	0.0046	0.0020	0.0076	0.0004
Hawaii	0.0005	0.0010	0.0022	0.0023	0.0004	0.0002	0.0033	+	0.0024	0.0015	0.0004	0.0005	+
Idaho	0.1593	0.3126	0.7753	0.6240	0.0018	0.0008	0.0035	+	0.0024	0.0276	0.0005	0.0064	0.0002
Illinois	0.1728	0.3401	0.1380	0.0920	0.3798	0.0716	0.0190	0.0176	0.0024	0.0184	0.0009	0.0063	0.0001
Indiana	0.0695	0.1377	0.2528	0.1261	0.3206	0.0396	0.1242	0.0176	0.0551	0.0164	0.0010	0.0117	0.0002
Iowa	0.8306	1.6316	0.3025	0.2352	1.7882	0.1387	0.2658	0.0176	0.0304	0.0508	0.0017	0.0066	0.0002
Kansas	1.4446	2.8361	0.1995	0.2154	0.1617	0.0246	0.0031	+	0.0024	0.0246	0.0012	0.0078	0.0001
Kentucky	0.0120	0.0236	0.0296	0.0265	0.0240	0.0053	0.0259	0.0990	0.0024	0.0187	0.0015	0.0145	0.0005
Louisiana	0.0019	0.0037	0.0057	0.0031	0.0001	+	0.0118	0.0176	0.0024	0.0040	0.0005	0.0067	0.0003
Maine	0.0003	0.0006	0.0263	0.0200	0.0001	0.0001	0.0129	+	0.0024	0.0028	0.0002	0.0013	+
Maryland	0.0068	0.0133	0.0443	0.0300	0.0016	0.0004	0.0128	0.0925	0.0024	0.0046	0.0002	0.0031	+
Massachusetts	0.0001	0.0002	0.0103	0.0087	0.0003	0.0001	0.0006	+	0.0024	0.0028	0.0003	0.0023	+
Michigan	0.1013	0.1990	0.5981	0.3485	0.0915	0.0153	0.0578	0.0176	0.0148	0.0266	0.0008	0.0093	0.0002
Minnesota	0.2529	0.4969	0.6511	0.5530	0.6434	0.0801	0.0528	0.0150	0.1319	0.0443	0.0010	0.0064	0.0001
Mississippi	0.0043	0.0085	0.0058	0.0041	0.0448	0.0062	0.0399	0.2337	0.0024	0.0046	0.0007	0.0063	0.0004
Missouri	0.0510	0.1002	0.1086	0.0910	0.1885	0.0542	0.0449	0.0927	0.0493	0.0272	0.0032	0.0118	0.0004
Montana	0.0349	0.0689	0.0191	0.0196	0.0134	0.0034	0.0025	+	0.0024	0.0243	0.0003	0.0107	0.0002
Nebraska	1.6420	3.2236	0.0792	0.0423	0.2495	0.0564	0.0417	0.0176	0.0024	0.0249	0.0007	0.0072	0.0002
Nevada	0.0027	0.0053	0.0398	0.0209	0.0001	+	0.0031	+	0.0024	0.0088	0.0007	0.0027	+
New Hampshire	0.0001	0.0001	0.0120	0.0084	0.0001	+	0.0031	+	0.0024	0.0028	0.0002	0.0010	+
New Jersey	0.0001	0.0003	0.0059	0.0035	0.0006	0.0001	0.0031	+	0.0024	0.0046	0.0002	0.0030	+
New Mexico	0.0070	0.0138	0.4120	0.2537	+	+	0.0035	+	0.0024	0.0089	0.0009	0.0056	0.0001
New York	0.0158	0.0311	0.5698	0.4185	0.0051	0.0011	0.0237	0.0176	0.0024	0.0286	0.0010	0.0104	0.0001
North Carolina	0.0029	0.0057	0.0288	0.0147	0.6303	0.1194	0.0875	0.2555	0.0826	0.0103	0.0016	0.0070	0.0004
North Dakota	0.0275	0.0535	0.0229	0.0194	0.0079	0.0048	0.0031	+	0.0024	0.0217	0.0001	0.0051	+
Ohio	0.1073	0.2109	0.3649	0.2401	0.1756	0.0237	0.1407	0.0243	0.0148	0.0443	0.0013	0.0127	0.0003

Oklahoma	0.1892	0.3689	0.0547	0.0438	0.1336	0.0584	0.0184	0.0660	0.0024	0.0193	0.0022	0.0176	0.0005
Oregon	0.0492	0.0971	0.1396	0.1126	0.0003	0.0002	0.0107	0.0176	0.0024	0.0243	0.0009	0.0071	0.0001
Pennsylvania	0.0756	0.1484	0.4531	0.3435	0.0984	0.0138	0.1105	0.0583	0.0203	0.0358	0.0014	0.0137	0.0004
Rhode Island	+	0.0001	0.0008	0.0005	+	+	0.0031	+	0.0024	0.0028	+	0.0002	+
South Carolina	0.0010	0.0019	0.0084	0.0044	0.0245	0.0017	0.0239	0.0747	0.0024	0.0046	0.0011	0.0063	0.0002
South Dakota	0.2567	0.5034	0.1393	0.1025	0.1012	0.0243	0.0124	+	0.0130	0.0886	0.0006	0.0077	0.0001
Tennessee	0.0020	0.0040	0.0208	0.0190	0.0155	0.0024	0.0100	0.0580	0.0024	0.0149	0.0023	0.0088	0.0006
Texas	1.6794	3.2913	0.5474	0.4745	0.0583	0.0132	0.0930	0.1902	0.0024	0.0805	0.0229	0.0427	0.0025
Utah	0.0174	0.0342	0.1320	0.1051	0.0546	0.0108	0.0214	+	0.0116	0.0309	0.0004	0.0066	0.0001
Vermont	0.0004	0.0008	0.1176	0.0671	0.0001	0.0001	0.0006	+	0.0024	0.0028	0.0004	0.0012	0.0001
Virginia	0.0140	0.0273	0.0462	0.0267	0.0254	0.0007	0.0149	0.0842	0.0487	0.0316	0.0014	0.0096	0.0003
Washington	0.1399	0.2730	0.3354	0.2451	0.0011	0.0005	0.0311	0.0176	0.0024	0.0068	0.0007	0.0062	0.0001
West Virginia	0.0032	0.0064	0.0070	0.0059	0.0001	0.0001	0.0075	0.0306	0.0090	0.0122	0.0005	0.0025	0.0001
Wisconsin	0.1743	0.3427	1.8468	1.3065	0.0220	0.0051	0.0256	0.0172	0.0024	0.0272	0.0019	0.0109	0.0002
Wyoming	0.0493	0.0972	0.0077	0.0076	0.0034	0.0046	0.0035	+	0.0024	0.0391	0.0003	0.0078	0.0001

+ Does not exceed 0.00005 kt.

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3.12. Methodology for Estimating N_2O Emissions, CH_4 Emissions and Soil Organic C Stock Changes from Agricultural Lands (Cropland and Grassland)

Nitrous oxide (N_2O) is produced in soils through the microbial processes of nitrification and denitrification[82] Management influences these processes by modifying the availability of mineral nitrogen (N), which is a key control on the N_2O emissions rates (Mosier et al. 1998). Emissions can occur directly in the soil where the N is made available or can be transported to another location following volatilization, leaching, or runoff, and then converted into N_2O. Management practices influence soil organic C stocks in agricultural soils by modifying the natural processes of photosynthesis (i.e., crop and forage production) and microbial decomposition. CH_4 emissions from rice cultivation occur under flooded conditions through the process of methanogenesis. This sub-annex describes the methodologies used to calculate N_2O emissions from agricultural soil management and annual carbon (C) stock changes from mineral and organic soils classified as *Cropland Remaining Cropland*, *Land Converted to Cropland*, *Grassland Remaining Grassland*, and *Land Converted to Grassland*[83], and CH_4 emissions from *Rice Cultivation.* This annex provides the underlying methodologies for these three emission sources because there is considerable overlap in the methods with the majority of emissions estimated using the DAYCENT biogeochemical[84] simulation model.

A combination of Tier 1, 2 and 3 approaches are used to estimate direct and indirect N_2O emissions and C stock changes in agricultural soils.

More specifically, the methodologies used to estimate soil N_2O emissions include:

1) A Tier 3 method using the DAYCENT biogeochemical simulation model to estimate direct emissions from mineral soils that have less than 35 percent coarse fragments by volume and are used to produce alfalfa hay, barley, corn, cotton, dry beans, grass hay, grass-clover hay, oats, onions, peanuts, potatoes, rice, sorghum, soybeans, sugar beets, sunflowers, tomatoes, and wheat, as well as non-federal grasslands and land use change between grassland and cropland (with the crops listed above and less than 35 percent coarse fragments);
2) A combination of the Tier 3 and 1 methods to estimate indirect N_2O emissions associated with management of cropland and grassland simulated with DAYCENT in Item 1;
3) A Tier 1 method to estimate direct and indirect N_2O emissions from mineral soils that are not simulated with DAYCENT, including very gravelly, cobbly, or shaley soils (greater than 35 percent coarse fragments by volume); mineral soils with less than 35 percent coarse fragments that are used to produce crops that are not simulated by DAYCENT; and crops that are rotated with the crops that are not simulated with DAYCENTPasture/Range/Paddock (PRP) manure N deposited on federal grasslands; and
4) A Tier 1 method to estimate direct N_2O emissions due to partial or complete drainage of organic soils in croplands and grasslands.

The methodologies used to estimate soil CH_4 emissions from rice cultivation include:

1) A Tier 3 method using the DAYCENT biogeochemical simulation model to estimate CH_4emissions from mineral soils that have less than 35 percent coarse fragments by volume and rice grown continuously or in rotation with a crop listed in (1) for soil N_2O emissions; and
2) A Tier 1 method to estimate CH_4 emissions from all other soils used to produce rice that are not estimated with the Tier 3 method, including rice grown on organic soils (i.e., *Histosols*), mineral soils with very gravelly, cobbly, or shaley soils (greater than 35 percent coarse fragments by volume), and rice grown in rotation with crops that are not simulated by DAYCENT.

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[82] Nitrification and denitrification are driven by the activity of microorganisms in soils. Nitrification is the aerobic microbial oxidation of ammonium (NH_4^+) to nitrate (NO_3^-), and denitrification is the anaerobic microbial reduction of nitrate to N_2. Nitrous oxide is a gaseous intermediate product in the reaction sequence of denitrification, which leaks from microbial cells into the soil and then into the atmosphere. Nitrous oxide is also produced during nitrification, although by a less well-understood mechanism (Nevison 2000).

[83] Soil C stock change methods for forestland are described in the *Forestland Remaining Forestland* section.

[84] Biogeochemical cycles are the flow of chemical elements and compounds between living organisms and the physical environment.

The methodologies used to estimate soil organic C stock changes include:

1) A Tier 3 method using the DAYCENT biogeochemical simulation model to estimate soil organic C stock changes in mineral soils as described in Item 1 for N_2O emissions;
2) Tier 2 methods with country-specific stock change factors for estimating mineral soil organic C stock changes for mineral soils that are very gravelly, cobbly, or shaley (greater than 35 percent coarse fragments by volume), are used to produce crops or have land use changes to cropland and grassland (other than the conversions between cropland and grassland that are included in Item 1) that are not simulated with DAYCENT;
3) Tier 2 methods with country-specific stock change factors for estimating mineral soil organic C stock changes on federal lands;
4) Tier 2 methods with country-specific emission factors for estimating losses of C from organic soils that are partly or completely drained for agricultural production; and
5) Tier 2 methods for estimating additional changes in mineral soil C stocks due to sewage sludge additions to soils and enrollment changes in the Conservation Reserve Program (CRP) after 2010.

As described above, the Inventory uses a Tier 3 approach to estimate direct soil N_2O emissions, CH_4 emissions from rice cultivation, and C stock changes for the majority of agricultural lands. This approach has the following advantages over the IPCC Tier 1 or 2 approaches:

1) It utilizes actual weather data at sub-county scales enabling quantification of inter-annual variability in N_2O emissions and C stock changes at finer spatial scales, as opposed to a single emission factor for the entire country for soil N_2O or broad climate region classification for soil C stock changes;
2) The model uses a more detailed characterization of spatially-mapped soil properties that influence soil C and N dynamics, as opposed to the broad soil taxonomic classifications of the IPCC methodology;
3) The simulation approach provides a more detailed representation of management influences and their interactions than are represented by a discrete factor-based approach in the Tier 1 and 2 methods; and
4) Soil N_2O and CH_4 emissions, and C stock changes are estimated on a more continuous, daily basis as a function of the interaction of climate, soil, and land management, compared with the linear rate changes that are estimated with the Tier 1 and 2 methods.

The DAYCENT process-based simulation model (daily time-step version of the Century model) has been selected for the Tier 3 approach based on the following criteria:

1) The model has been developed in the U.S. and extensively tested and verified for U.S. conditions (e.g., Parton et al. 1987, 1993). In addition, the model has been widely used by researchers and agencies in many other parts of the world for simulating soil C dynamics at local, regional and national scales (e.g., Brazil, Canada, India, Jordan, Kenya, Mexico), soil N_2O emissions (e.g., Canada, China, Ireland, New Zealand) (Abdalla et al. 2010; Li et al. 2005; Smith et al. 2008; Stehfest and Muller 2004; Cheng et al. 2014), and CH_4 emissions (Cheng et al. 2013).
2) The model is capable of simulating cropland, grassland, forest, and savanna ecosystems, and land-use transitions between these different land uses. It is, thus, well suited to model land-use change effects.
3) The model is designed to simulate management practices that influence soil C dynamics, CH_4 emissions and direct N_2O emissions, with the exception of cultivated organic soils; cobbly, gravelly, or shaley soils; and crops that have not been parameterized for DAYCENT simulations (e.g., some vegetables, tobacco, perennial/horticultural crops, and crops that are rotated with these crops). For these latter cases, an IPCC Tier 2 method has been used for soil C stock changes and IPCC Tier 1 method for CH_4 and N_2O emissions. The model can also be used estimate the amount of N leaching and runoff, as well as volatilization of N, which is subject to indirect N_2O emissions.
4) Much of the data needed for the model is available from existing national databases. The exceptions are CRP enrollment after 2010, management of federal grasslands, and sewage sludge amendments to soils, which are not known at a sufficient resolution to use the Tier 3 model. Soil N_2O emissions and C stock changes associated with these practices are addressed with a Tier 1 and 2 method, respectively.

Overall, the Tier 3 approach is used to estimate approximately 89 percent of direct soil N_2O emissions 94 percent of the rice cultivation, and 88 percent of the land area associated with estimation of soil organic C stock changes under agricultural management in the United States.

Tier 3 Method Description and Model Evaluation

The DAYCENT biogeochemical model (Parton et al. 1998; Del Grosso et al. 2001, 2011) simulates biogeochemical C and N fluxes between the atmosphere, vegetation, and soil; and provides a more complete estimation of soil C stock changes and N_2O emissions than IPCC Tier 1 or 2 methods by more thoroughly accounting for the influence of environmental conditions. These conditions include soil characteristics, weather patterns, crop and forage characteristics, and management practices. The DAYCENT model utilizes the soil C modeling framework developed in the Century model (Parton et al. 1987, 1988, 1994; Metherell et al. 1993), but has been refined to simulate dynamics at a daily time-step. Carbon and N dynamics are linked in plant-soil systems through biogeochemical processes of microbial decomposition and plant production (McGill and Cole 1981). Coupling the three source categories (i.e., agricultural soil C, rice CH_4 and soil N_2O) in a single inventory analysis ensures that there is a consistent treatment of the processes and interactions between C and N cycling in soils. For example, plant growth is controlled by nutrient availability, water, and temperature stress. Plant growth, along with residue management, determines C inputs to soils, which influence C stock changes, and removal of mineral N from the soil where plant growth influences the amount of N that can be converted into N_2O. Nutrient supply is a function of external nutrient additions as well as litter and soil organic matter (SOM) decomposition rates, and increasing decomposition can lead to a reduction in soil organic C stocks due to microbial respiration, and greater N_2O emissions by enhancing mineral N availability in soils.

Key processes simulated by DAYCENT include (1) plant growth; (2) organic matter formation and decomposition; (3) soil water and temperature regimes by layer; (4) nitrification and denitrification processes; and (5) methanogenesis (Figure A-7). Each of these submodels will be described separately below.

1) The plant-growth submodel simulates C assimilation through photosynthesis; N uptake; dry matter production; partitioning of C within the crop or forage; senescence; and mortality. The primary function of the growth submodel is to estimate the amount, type, and timing of organic matter inputs to soil, and to represent the influence of the plant on soil water, temperature, and N balance. Yield and removal of harvested biomass are also simulated. Separate submodels are designed to simulate herbaceous plants (i.e., agricultural crops and grasses) and woody vegetation (i.e., trees and scrub). Maximum daily net primary production (NPP) is estimated using the NASA-CASA production algorithm (Potter et al.1993, 2007) and MODIS Enhanced Vegetation Index (EVI) products, MOD13Q1 and MYD13Q1, or an approximation of EVI data derived from the MODIS products (Gurung et al. 2009). The NASA-CASA production algorithm is only used for the following major crops: corn, soybeans, sorghum, cotton and wheat.[85] Other regions and crops are simulated with a single value for the maximum daily NPP, instead of the more dynamic NASA-CASA algorithm. The maximum daily NPP rate is modified by air temperature and available water (to capture temperature and moisture stress). If the NASA-CASA algorithm is not used in the simulation, then production is further subject to nutrient limitations (i.e., nitrogen). Model evaluation has shown that the NASA-CASA algorithm improves the precision of NPP estimates using the EVI products to inform the production model. The r^2 is 83 percent for the NASA-CASA algorithm and 64 percent for the single parameter value approach. See Figure A-8.

2) Dynamics of soil organic C and N (Figure A-7) are simulated for the surface and belowground litter pools and soil organic matter in the top 20 cm of the soil profile; mineral N dynamics are simulated through the whole soil profile. Organic C and N stocks are represented by two plant litter pools (metabolic and structural) and three soil organic matter (SOM) pools (active, slow, and passive). The metabolic litter pool represents the easily decomposable constituents of plant residues, while the structural litter pool is composed of more recalcitrant, ligno-cellulose plant materials. The three SOM pools represent a gradient in decomposability, from active SOM (representing microbial biomass and associated metabolites) having a rapid turnover (months to years), to passive SOM (representing highly processed, humified, condensed decomposition products), which is highly recalcitrant, with mean residence times on the order of several hundred years. The slow pool represents decomposition products of intermediate stability, having a mean residence time on the order of decades and is the fraction that tends to change the most in response to changes in land use and management. Soil texture influences turnover rates of the slow and passive pools. The clay and silt-sized mineral fraction of the soil provides physical protection from microbial decomposition, leading to enhanced SOM stabilization in finely textured soils. Soil temperature and moisture, tillage disturbance, aeration, and other factors influence decomposition and loss of C from the soil organic matter pools.

3) The soil-water balance submodel calculates water balance components and changes in soil water availability, which influences both plant growth and decomposition/nutrient cycling processes. The moisture content of soils are simulated

[85] It is a planned improvement to estimate NPP for additional crops and grass forage with the NASA-CASA method in the future.

through a multi-layer profile based on precipitation, snow accumulation and melting, interception, soil and canopy evaporation, transpiration, soil water movement, runoff, and drainage.

Figure A-7: DAYCENT Model Flow Diagram

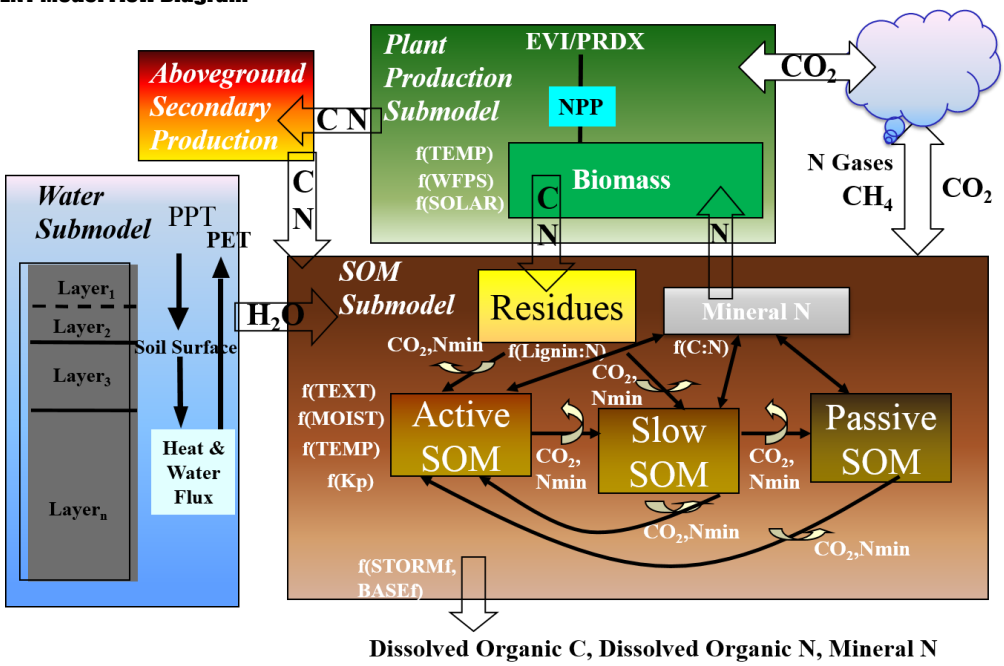
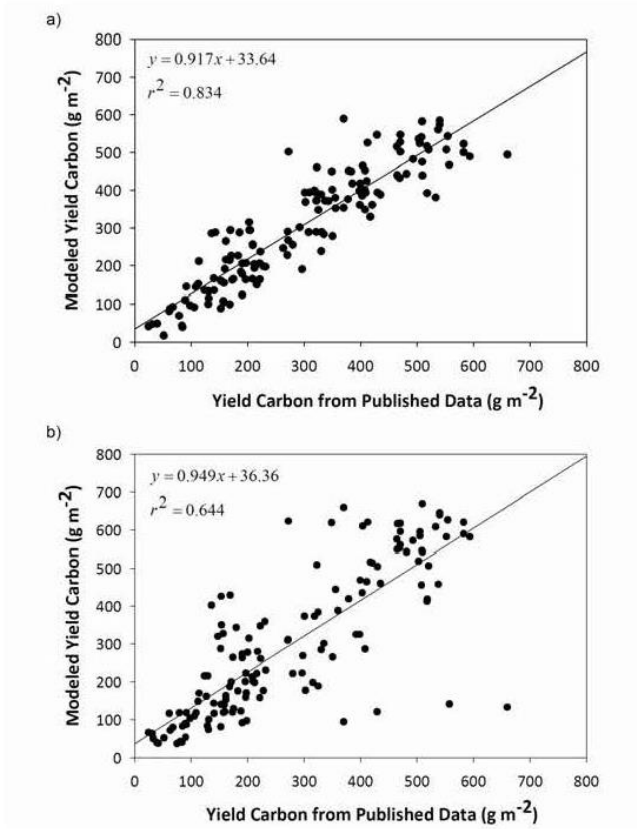


Figure A-8: Modeled versus measured net primary production (g C m^{-2})



Part a) presents results of the NASA-CASA algorithm (r^2 = 83%) and part b) presents the results of a single parameter value for maximum net primary production (r^2 = 64%).

4) Soil mineral N dynamics are modeled based on N inputs from fertilizer inputs (synthetic and organic), residue N inputs, soil organic matter mineralization in addition to symbiotic and asymbiotic N fixation. Mineral N is available for plant and microbial uptake, and is largely controlled by the specified stoichiometric limits for these organisms (i.e., C:N ratios). Mineral and organic N losses are simulated with leaching and runoff, and nitrogen can be volatilized and lost from the soil through ammonia volatilization, nitrification and denitrification. N_2O emissions occur through nitrification and denitrification. Denitrification is a function of soil NO_3^- concentration, water filled pore space (WFPS), heterotrophic (i.e., microbial) respiration, and texture. Nitrification is controlled by soil ammonium (NH_4^+) concentration, water filled pore space, temperature, and pH (See Box 2 for more information).

5) Methanogenesis is modeled under anaerobic conditions and is controlled by carbon substrate availability, temperature, and redox potential (Cheng et al. 2013). Carbon substrate supply is determined by decomposition of residues and soil organic matter, in addition to root exudation. The transport of CH_4 to the atmosphere occurs through the rice plant and via ebullition (i.e., bubbles). CH_4 can be oxidized (methanotrophy) as it moves through a flooded soil and the oxidation rates are higher as the plants mature and in soils with more clay (Sass et al. 1994).

The model allows for a variety of management options to be simulated, including specifying different crop types, crop sequences (e.g., rotation), tillage practices, fertilization, organic matter addition (e.g., manure amendments), harvest events (with variable residue removal), drainage, flooding, irrigation, burning, and grazing intensity. An input "schedule" file is used to simulate the timing of management activities and temporal trends; schedules can be organized into discrete time blocks to define a repeated sequence of events (e.g., a crop rotation or a frequency of disturbance such as a burning cycle for perennial grassland). Management options can be specified for any day of a year within a scheduling block, where management codes point to operation-specific parameter files (referred to as *.100 files), which contain the information used

to simulate management effects with the model algorithms. User-specified management activities can be defined by adding to or editing the contents of the *.100 files. Additional details of the model formulation are given in Parton et al. (1987, 1988, 1994, 1998), Del Grosso et al. (2001, 2011), Cheng et al. (2013) and Metherell et al. (1993), and archived copies of the model source code are available.

[BEGIN TEXT BOX]

Box 2. DAYCENT Model Simulation of Nitrification and Denitrification

The DAYCENT model simulates the two biogeochemical processes, nitrification and denitrification, that result in N_2O emissions from soils (Del Grosso et al. 2000, Parton et al. 2001). Nitrification is calculated for the top 15 cm of soil (where nitrification mostly occurs) while denitrification is calculated for the entire soil profile (accounting for denitrification near the surface and subsurface as nitrate leaches through the profile). The equations and key parameters controlling N_2O emissions from nitrification and denitrification are described below.

Nitrification is controlled by soil ammonium (NH_4^+) concentration, temperature (t), Water Filled Pore Space (WFPS) and pH according to the following equation:

$$Nit = NH_{4+} \times K_{max} \times F(t) \times F(WFPS) \times F(pH)$$

where,

Nit	=	the soil nitrification rate (g N/m^2/day)
NH_{4+}	=	the model-derived soil ammonium concentration (g N/m^2)
K_{max}	=	the maximum fraction of NH_4^+ nitrified (K_{max} = 0.10/day)
F(t)	=	the effect of soil temperature on nitrification (Figure A-9a)
F(WFPS)	=	the effect of soil water content and soil texture on nitrification (Figure A-9b)
F(pH)	=	the effect of soil pH on nitrification (Figure A-9c)

The current parameterization used in the model assumes that 1.2 percent of nitrified N is converted to N_2O.

The model assumes that denitrification rates are controlled by the availability of soil NO_3^- (electron acceptor), labile C compounds (electron donor) and oxygen (competing electron acceptor). Heterotrophic soil respiration is used as a proxy for labile C availability, while oxygen availability is a function of soil physical properties that influence gas diffusivity, soil WFPS, and oxygen demand. The model selects the minimum of the NO_3^- and CO_2 functions to establish a maximum potential denitrification rate. These rates vary for particular levels of electron acceptor and C substrate, and account for limitations of oxygen availability to estimate daily denitrification rates according to the following equation:

$$Den = \min[F(CO_2), F(NO_3)] \times F(WFPS)$$

where,

Den	=	the soil denitrification rate (μg N/g soil/day)
$F(NO_3)$	=	a function relating N gas flux to nitrate levels (Figure A-10a)
$F(CO_2)$	=	a function relating N gas flux to soil respiration (Figure A-10b)

F(WFPS) = a dimensionless multiplier (Figure A-10c).

The x inflection point of F(WFPS) is a function of respiration and soil gas diffusivity at field capacity (D_{FC}):

$$x \text{ inflection} = 0.90 - M(CO_2)$$

where,

M = a multiplier that is a function of D_{FC}. In technical terms, the inflection point is the domain where either F(WFPS) is not differentiable or its derivative is 0. In this case, the inflection point can be interpreted as the WFPS value at which denitrification reaches half of its maximum rate.

Respiration has a much stronger effect on the water curve in clay soils with low D_{FC} than in loam or sandy soils with high D_{FC} (Figure A-9b). The model assumes that microsites in fine-textured soils can become anaerobic at relatively low water contents when oxygen demand is high. After calculating total N gas flux, the ratio of N_2/N_2O is estimated so that total N gas emissions can be partitioned between N_2O and N_2:

$$R_{N2/N2O} = F_r(NO_3/CO_2) \times F_r(WFPS).$$

where,

$R_{N2/N2O}$ = the ratio of N_2/N_2O

$F_r(NO_3/CO_2)$ = a function estimating the impact of the availability of electron donor relative to substrate

$F_r(WFPS)$ = a multiplier to account for the effect of soil water on N_2:N_2O.

For $F_r(NO_3/CO_2)$, as the ratio of electron donor to substrate increases, a higher portion of N gas is assumed to be in the form of N_2O. For $F_r(WFPS)$, as WFPS increases, a higher portion of N gas is assumed to be in the form of N_2.

[End Box]

Comparison of model results and plot level data show that DAYCENT reliably simulates soil organic matter levels (Ogle et al. 2007). The model was tested and shown to capture the general trends in C storage across 908 treatment observations from over 80 experimental sites (Figure A-11). Some bias and imprecision occur in predictions of soil organic C, which is reflected in the uncertainty associated with DAYCENT model results. Regardless, the Tier 3 approach has considerably less uncertainty than Tier 1 and 2 methods (Del Grosso et al. 2010; Figure A-12).

Similarly, DAYCENT model results have been compared to trace gas N_2O fluxes for a number of native and managed systems (Del Grosso et al. 2001, 2005, 2010) (Figure A-13). In general, the model simulates accurate emissions, but some bias and imprecision does occur in predictions, which is reflected in the uncertainty associated with DAYCENT model results. Comparisons with measured data showed that DAYCENT estimated N_2O emissions more accurately and precisely than the IPCC Tier 1 methodology (IPCC 2006) (See Agricultural Soil Management, QA/QC and Verification Section). The linear regression of simulated vs. measured emissions for DAYCENT had higher r^2 values and a fitted line closer to a perfect 1:1 relationship between measured and modeled N_2O emissions compared to the IPCC Tier 1 approach (Del Grosso et al. 2005, 2008). This is not surprising, since DAYCENT includes site-specific factors (climate, soil properties, and previous management) that influence N_2O emissions. Furthermore, DAYCENT also simulated NO_3- leaching (root mean square error = 20 percent) more accurately than IPCC Tier 1 methodology (root mean square error = 69 percent) (Del Grosso et al. 2005). Volatilization of N gases that contribute to indirect soil N_2O emissions is the only component that has not been thoroughly tested, which is due to a lack of measurement data. Overall, the Tier 3 approach has reduced uncertainties in the agricultural soil C stock changes and N_2O emissions compared to using lower Tier methods.

DAYCENT predictions of soil CH_4 emissions have also been compared to experimental measurements from sites in California, Texas, Arkansas and Louisiana (Figure A-14). There are 10 experiments and 126 treatment observations. In general, the model estimates CH_4 emissions in most states with no apparent bias, but there is a lack of precision, which is addressed in the uncertainty analysis. The exception is California where the model tends to over-estimate low emission rates, and this additional uncertainty is captured in the error propagation associated with the inventory analysis for California.

Figure A-9: Effect of Soil Temperature (a) , Water-Filled Pore Space (b) , and pH (c) on Nitrification Rates

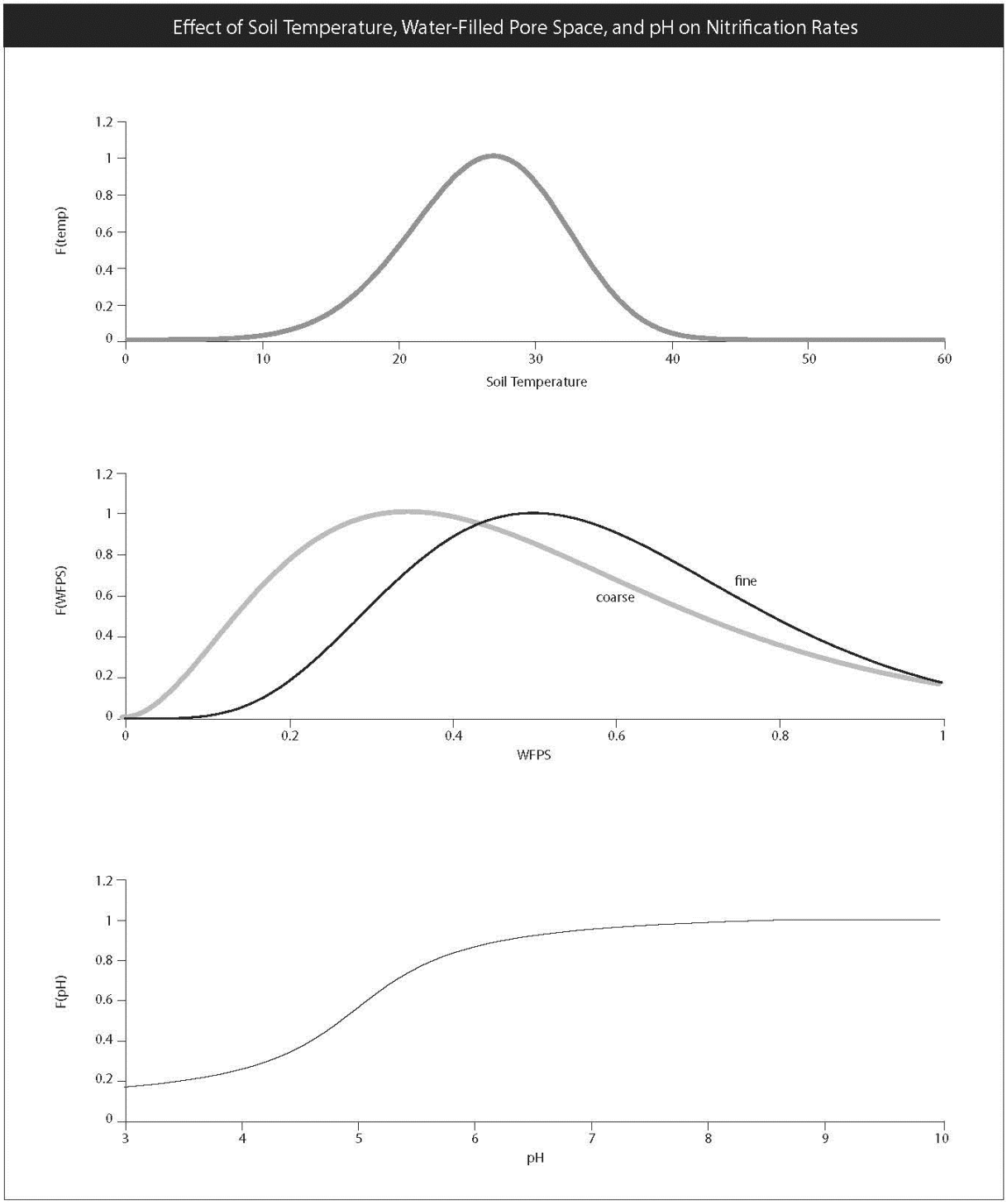


Figure A-10: Effect of Soil Nitrite Concentration (a), Heterotrophic Respiration Rates (b), and Water-Filled Pore Space (c) on Denitrification Rates

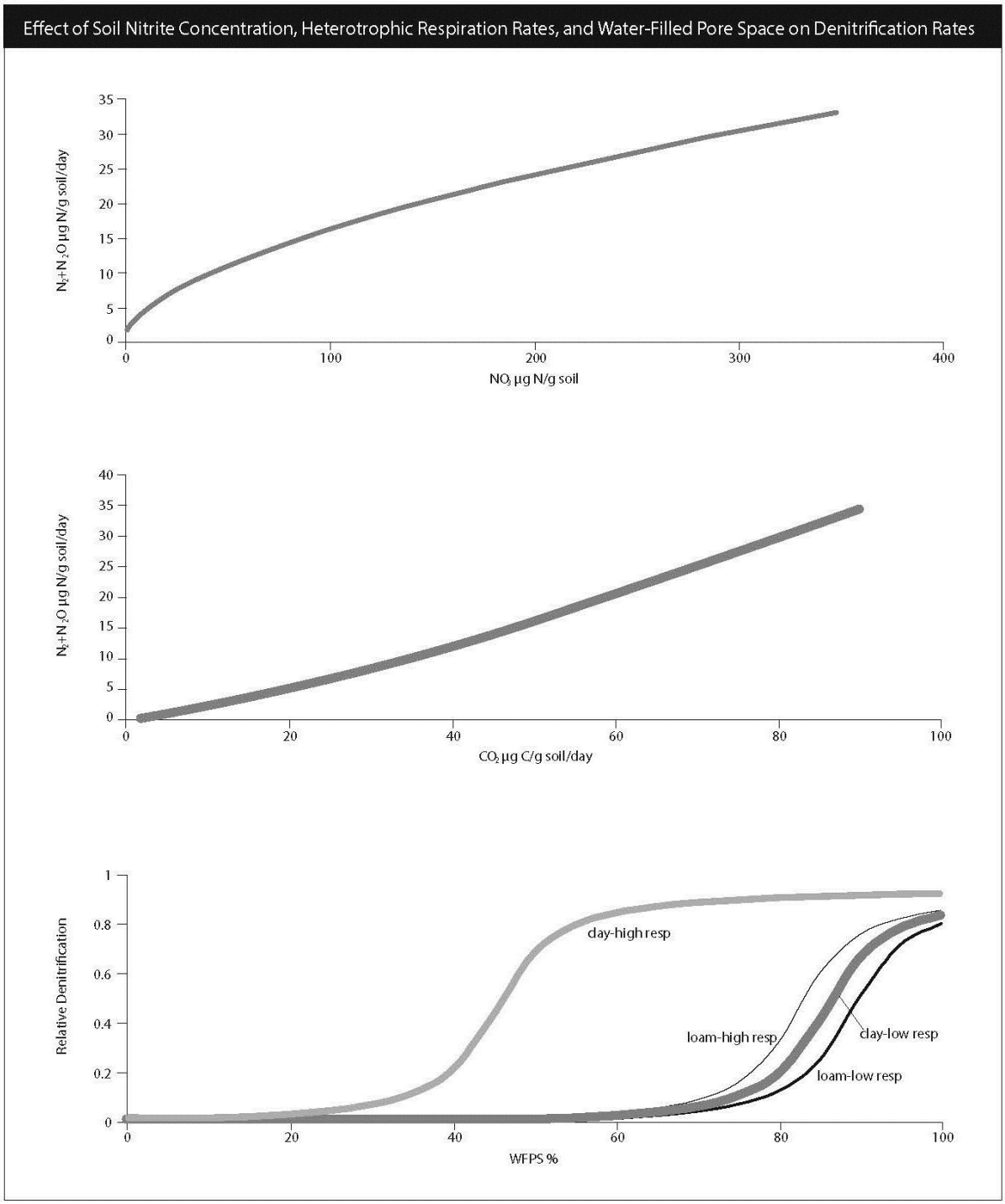


Figure A-11: Comparisons of Results from DAYCENT Model and Measurements of Soil Organic C Stocks

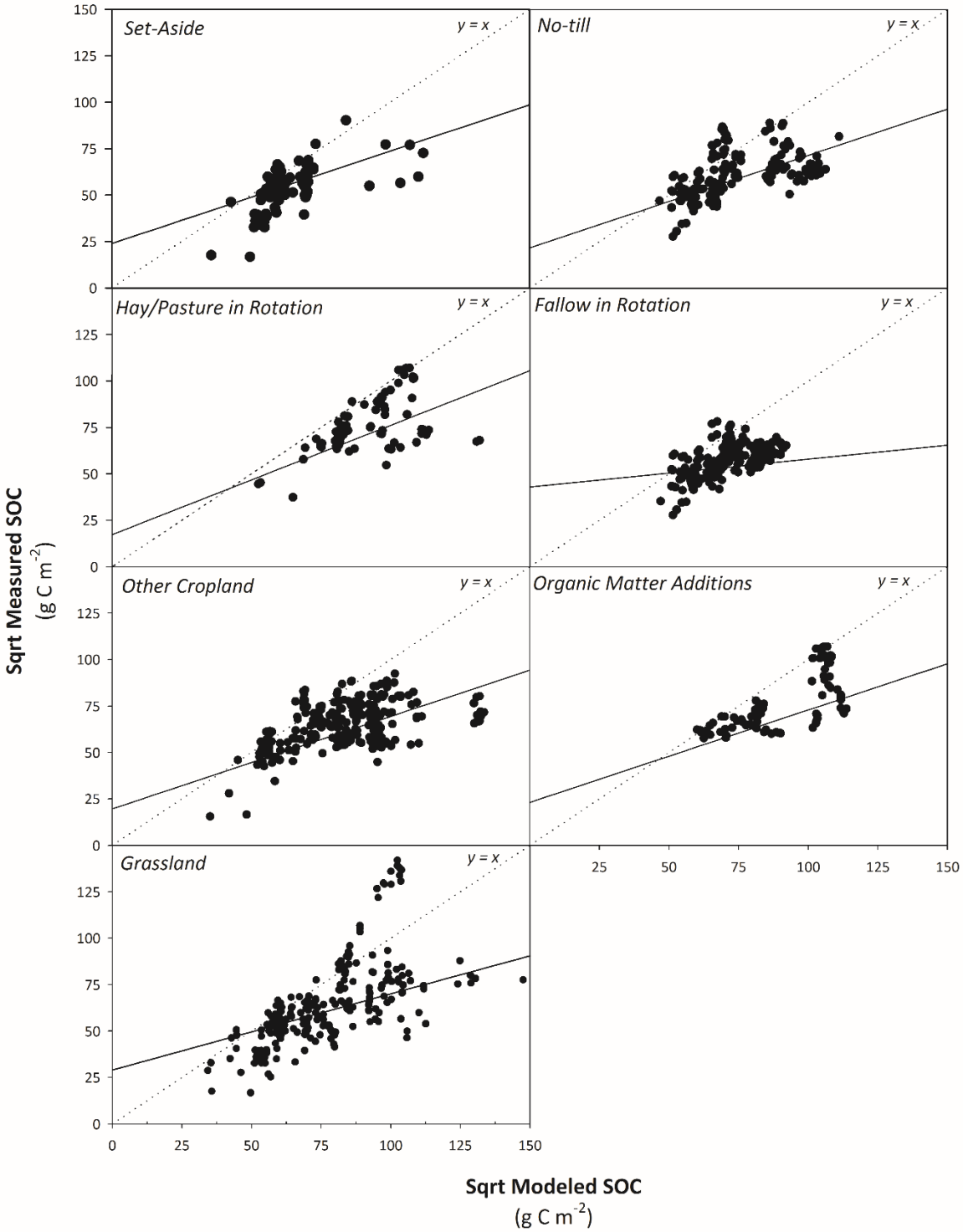
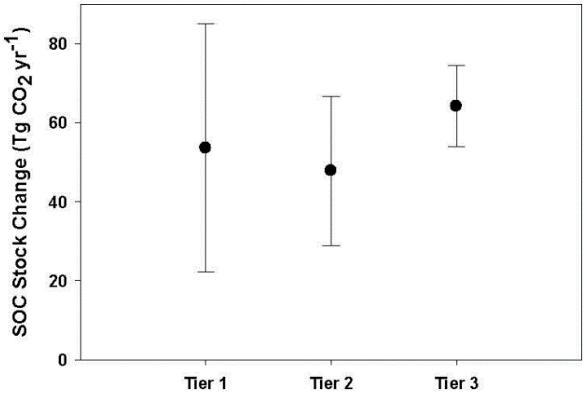


Figure A-12: Comparison of Estimated Soil Organic C Stock Changes and Uncertainties using Tier 1 (IPCC 2006), Tier 2 (Ogle et al. 2003, 2006) and Tier 3 Methods



Source: Tier 1 (IPCC 2007), Tier 2 (Ogle et al. 2003, 2006), Tier 3 (Ogle et al. 2010).

Figure A-13: Comparisons of Results from DAYCENT Model and Measurements of Soil Nitrous Oxide Emissions

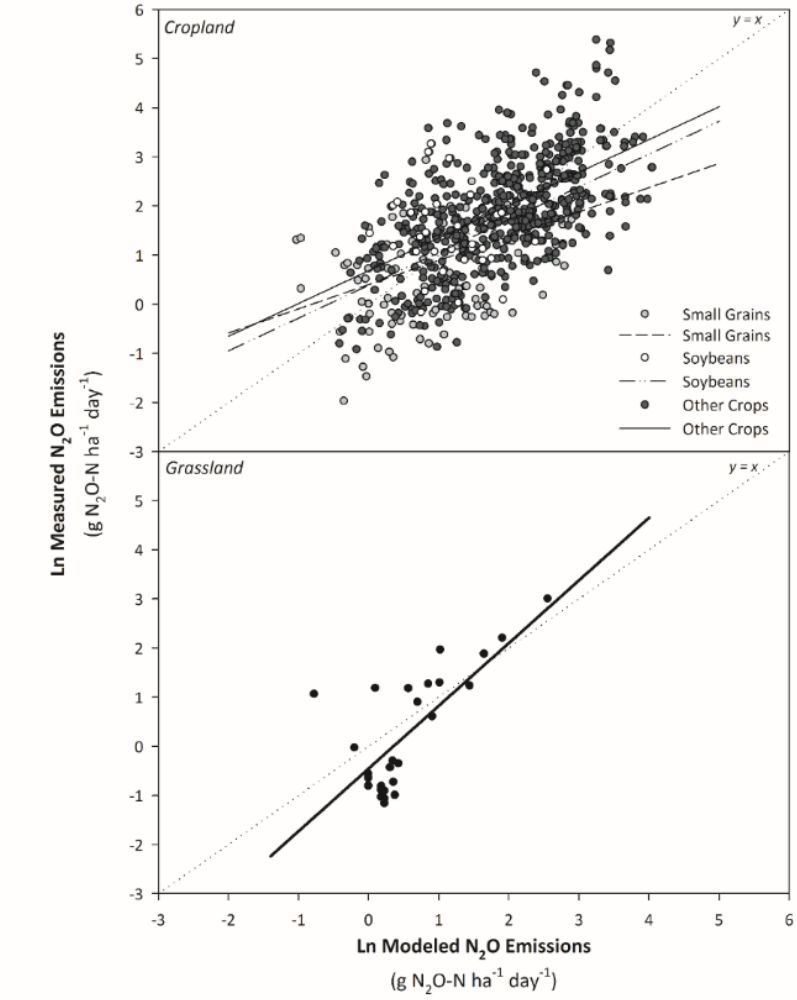
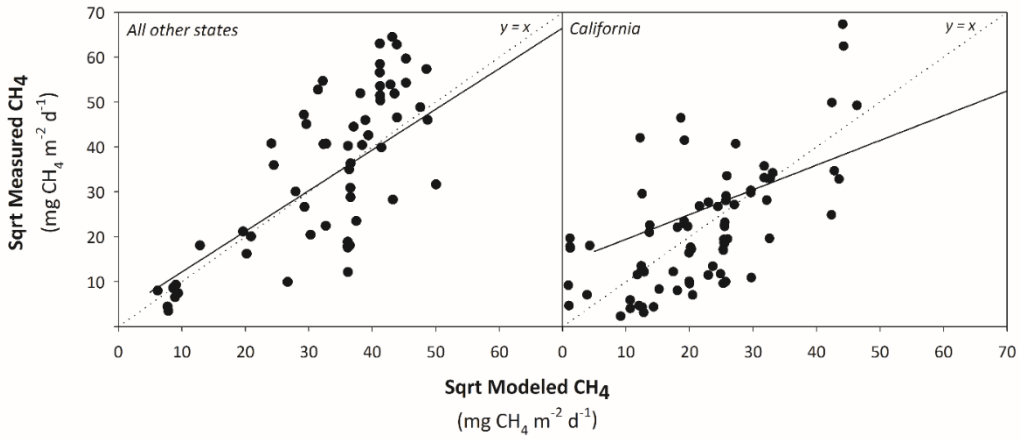


Figure A-14: Comparisons of Results from DAYCENT Model and Measurements of Soil Methane Emissions



Inventory Compilation Steps

There are five steps involved in estimating soil organic C stock changes for *Cropland Remaining Cropland*, *Land Converted to Cropland*, *Grassland Remaining Grassland* and *Land Converted to Grassland;* direct N_2O emissions from cropland and grassland soils; indirect N_2O emissions from volatilization, leaching, and runoff from croplands and grasslands; and CH_4 emissions from rice cultivation. First, the activity data are derived from a combination of land-use, livestock, crop, and grassland management surveys, as well as expert knowledge. In the second, third, and fourth steps, soil organic C stock changes, direct and indirect N_2O emissions are estimated using DAYCENT and/or the Tier 1 and 2 methods. In the fifth step, total emissions are computed by summing all components separately for soil organic C stock changes and N_2O emissions. The remainder of this annex describes the methods underlying each step.

Step 1: Derive Activity Data

The following describes how the activity data are derived to estimate soil organic C stock changes and direct and indirect N_2O emissions. The activity data requirements include: (1) land base and history data, (2) crop-specific mineral N fertilizer rates,[86] (3) crop-specific manure amendment N rates and timing, (4) other N inputs, (5) tillage practices, (6) irrigation data, (7) Enhanced Vegetation Index (EVI), (8) daily weather data, and (9) edaphic characteristics.[87]

Step 1a: Activity Data for the Agricultural Land Base and Histories

The U.S. Department of Agriculture's 2010 National Resources Inventory (NRI) (USDA-NRCS 2013) provides the basis for identifying the U.S. agricultural land base on non-federal lands, and classifying parcels into *Cropland Remaining Cropland*, *Land Converted to Cropland*, *Grassland Remaining Grassland*, and *Land Converted to Grassland*. In 1998, the NRI program began collecting annual data, and data are currently available through 2012 (USDA-NRCS, 2015) although this Inventory only uses NRI data through 2010 because newer data were not made available in time to incorporate the additional years into this Inventory. Note that the Inventory does not include estimates of N_2O emissions for federal grasslands (with the exception of soil N_2O from PRP manure N, i.e., manure deposited directly onto pasture, range or paddock by grazing livestock) and a minor amount of croplands on federal lands.

The NRI has a stratified multi-stage sampling design, where primary sample units are stratified on the basis of county and township boundaries defined by the U.S. Public Land Survey (Nusser and Goebel 1997). Within a primary sample unit, typically a 160-acre (64.75 ha) square quarter-section, three sample points are selected according to a restricted randomization procedure. Each point in the survey is assigned an area weight (expansion factor) based on other known areas and land-use information (Nusser and Goebel 1997). In principle, the expansion factors represent the amount of area with the land use and land use change history that is the same as the point location. It is important to note that the NRI uses a sampling approach, and therefore there is some uncertainty associated with scaling the point data to a region or the country using the expansion factors. In general, those uncertainties decline at larger scales, such as states compared to smaller county units, because of a larger sample size. An extensive amount of soils, land-use, and land management data have been collected through the survey (Nusser et al. 1998).[88] Primary sources for data include aerial photography and remote sensing imagery as well as field visits and county office records.

The annual NRI data product provides crop data for most years between 1979 and 2010, with the exception of 1983, 1988, and 1993. These years are gap-filled using an automated set of rules so that cropping sequences are filled with the most likely crop type given the historical cropping pattern at each NRI point location. Grassland data are reported on 5-year increments prior to 1998, but it is assumed that the land use is also grassland between the years of data collection (see Easter et al. 2008 for more information).

NRI points are included in the land base for the agricultural soil C and N_2O emissions inventories if they are identified as cropland or grassland[89] between 1990 and 2010 (Table A-217).[90] NRI does not provide land use data on federal

[86] No data are currently available at the national scale to distinguish the type of fertilizer applied or timing of applications rates. It is a planned improvement to address variation in these practices in future inventories.
[87] Edaphic characteristics include such factors as water content, acidity, aeration, and the availability of nutrients.
[88] In the current Inventory, NRI data only provide land-use and management statistics through 2010. More recent data will be incorporated in the future to extend the time series of land use and management data.
[89] Includes only non-federal lands because federal lands are not classified into land uses as part of the NRI survey (i.e., they are only designated as federal lands).
[90] Land use for 2011 to 2014 is assumed to be the same as 2010, but will be updated with newer NRI (i.e. USDA-NRCS 2015).

lands, therefore land use on federal lands are derived from the National Land Cover Database (NLCD) (Fry et al. 2011; Homer et al. 2007; Homer et al. 2015). Federal NRI points classified as cropland or grassland according to the NLCD are included in the agricultural land base. The NRI data are reconciled with the Forest Inventory and Analysis Dataset, and in this process, the time series for *Grassland Remaining Grassland* and *Land Converted to Grassland* is modified to account for differences in forest land area between the two national surveys (See Section 6.1 for more information on the U.S. land representation). Overall, 674,613 NRI survey points are included in the inventory (USDA-NRCS 2013).

For each year, land parcels are subdivided into *Cropland Remaining Cropland*, *Land Converted to Cropland*, *Grassland Remaining Grassland*, and *Land Converted to Grassland*. Land parcels under cropping management in a specific year are classified as *Cropland Remaining Cropland* if the parcel has been used as cropland for at least 20 years[91]. Similarly land parcels under grassland management in a specific year of the inventory are classified as *Grassland Remaining Grassland* if they have been designated as grassland for at least 20 years. Otherwise, land parcels are classified as *Land Converted to Cropland* or *Land Converted to Grassland* based on the most recent use in the inventory time period. Lands are retained in the land-use change categories (i.e., *Land Converted to Cropland* and *Land Converted to Grassland*) for 20 years as recommended by the *2006 IPCC Guidelines*. Lands converted into Cropland and Grassland are further subdivided into the specific land use conversions (e.g., *Forest Land Converted to Cropland)*.

Table A-217: Total Land Areas for the Agricultural Soil C and N_2O Inventory, Subdivided by Land Use Categories (Million Hectares)

	Land Areas (million ha)											
Category	**1990**	**1991**	**1992**	**1993**	**1994**	**1995**	**1996**	**1997**	**1998**	**1999**	**2000**	**2001**
Mineral Soils	**447.61**	**446.84**	**446.07**	**445.35**	**444.64**	**443.71**	**442.77**	**441.91**	**441.02**	**439.68**	**439.60**	**438.95**
Croplands	**173.95**	**173.85**	**173.64**	**173.11**	**172.79**	**172.32**	**172.05**	**171.70**	**169.18**	**168.33**	**168.39**	**168.11**
Cropland Remaining Cropland	161.24	160.80	160.28	158.53	156.83	156.20	155.60	155.03	151.39	150.27	150.76	150.86
Grassland Converted to Cropland	12.07	12.40	12.71	13.88	15.20	15.36	15.70	15.92	16.99	17.26	16.84	16.48
Forest Converted to Cropland	0.24	0.24	0.23	0.22	0.21	0.21	0.20	0.20	0.19	0.17	0.15	0.14
Other Lands Converted to Cropland	0.19	0.20	0.21	0.23	0.26	0.26	0.27	0.27	0.28	0.30	0.32	0.31
Settlements Converted to Croplands	0.08	0.08	0.08	0.09	0.09	0.09	0.09	0.09	0.12	0.13	0.13	0.13
Wetlands Converted to Croplands	0.13	0.13	0.13	0.16	0.19	0.19	0.19	0.20	0.20	0.20	0.20	0.20
Grasslands	**273.65**	**272.99**	**272.43**	**272.24**	**271.86**	**271.39**	**270.71**	**270.20**	**271.84**	**271.35**	**271.21**	**270.83**
Grasslands Remaining Grasslands	263.76	262.86	262.03	260.35	258.59	257.79	256.97	256.24	253.84	252.77	252.34	251.64
Croplands Converted to Grasslands	8.76	8.87	9.06	10.42	11.67	11.94	12.08	12.26	15.07	15.60	15.89	16.16
Forest Converted to Grasslands	0.33	0.33	0.33	0.33	0.33	0.36	0.35	0.34	1.34	1.34	1.32	1.31
Other Lands Converted to Grasslands	0.43	0.45	0.50	0.59	0.70	0.74	0.74	0.78	0.93	0.95	0.99	1.05
Settlements Converted to Grasslands	0.06	0.07	0.07	0.08	0.09	0.09	0.10	0.10	0.12	0.13	0.13	0.14
Wetlands Converted to Grasslands	0.32	0.41	0.45	0.46	0.48	0.47	0.48	0.48	0.54	0.55	0.55	0.55
Organic Soils	**1.35**	**1.33**	**1.32**	**1.32**	**1.33**	**1.32**	**1.31**	**1.29**	**1.30**	**1.28**	**1.28**	**1.27**
Croplands	**0.75**	**0.75**	**0.74**	**0.73**	**0.74**	**0.74**	**0.74**	**0.73**	**0.73**	**0.74**	**0.74**	**0.75**
Cropland Remaining Cropland	0.66	0.66	0.65	0.64	0.63	0.63	0.63	0.63	0.61	0.61	0.62	0.61
Grassland Converted to Cropland	0.07	0.07	0.07	0.07	0.08	0.09	0.09	0.08	0.10	0.10	0.10	0.12
Forest Converted to Cropland	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Other Lands Converted to Cropland	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Settlements Converted to Croplands	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Wetlands Converted to Croplands	0.01	0.01	0.01	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02
Grasslands	**0.59**	**0.58**	**0.58**	**0.59**	**0.59**	**0.58**	**0.57**	**0.56**	**0.56**	**0.55**	**0.55**	**0.52**
Grasslands Remaining Grasslands	0.53	0.53	0.52	0.51	0.50	0.49	0.49	0.48	0.47	0.46	0.45	0.41
Croplands Converted to Grasslands	0.05	0.05	0.05	0.06	0.07	0.07	0.07	0.06	0.08	0.07	0.07	0.08
Forest Converted to Grasslands	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Other Lands Converted to Grasslands	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Settlements Converted to Grasslands	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Wetlands Converted to Grasslands	0.01	0.01	0.01	0.01	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02
Total	**448.95**	**448.17**	**447.39**	**446.67**	**445.97**	**445.03**	**444.08**	**443.20**	**442.31**	**440.96**	**440.89**	**440.22**

Category	**2002**	**2003**	**2004**	**2005**	**2006**	**2007**	**2008**	**2009**	**2010**	**2011**	**2012**	**2013**	**2014**
Mineral Soils	**438.34**	**437.49**	**436.72**	**435.66**	**434.83**	**434.06**	**433.27**	**432.59**	**431.88**	**431.60**	**431.31**	**431.31**	**431.31**
Croplands	**167.84**	**167.22**	**165.74**	**165.28**	**164.79**	**164.47**	**164.03**	**163.58**	**162.98**	**162.98**	**162.98**	**162.98**	**162.98**
Cropland Remaining Cropland	150.89	151.50	151.29	151.10	150.82	151.23	151.48	151.79	151.38	151.38	151.39	151.39	151.39

[91] NRI points are classified according to land-use history records starting in 1982 when the NRI survey began, and consequently the classifications are based on less than 20 years from 1990 to 1998.

Grassland Converted to Cropland	16.21	15.02	13.81	13.59	13.39	12.71	12.05	11.33	11.16	11.16	11.16	11.16	11.16
Forest Converted to Cropland	0.13	0.12	0.11	0.09	0.09	0.06	0.05	0.05	0.05	0.05	0.05	0.05	0.05
Other Lands Converted to Cropland	0.30	0.28	0.26	0.24	0.25	0.24	0.23	0.22	0.21	0.21	0.21	0.21	0.21
Settlements Converted to Croplands	0.13	0.11	0.10	0.10	0.10	0.10	0.09	0.09	0.09	0.09	0.09	0.09	0.09
Wetlands Converted to Croplands	0.19	0.18	0.16	0.15	0.15	0.14	0.12	0.10	0.10	0.10	0.10	0.10	0.10
Grasslands	**270.49**	**270.27**	**270.99**	**270.38**	**270.04**	**269.59**	**269.24**	**269.00**	**268.90**	**268.62**	**268.33**	**268.33**	**268.33**
Grasslands Remaining Grasslands	251.09	251.24	251.18	250.46	250.10	250.07	250.31	250.33	250.18	249.90	249.62	249.62	249.62
Croplands Converted to Grasslands	16.36	16.06	16.85	16.55	16.58	16.22	15.79	15.61	15.79	15.79	15.79	15.79	15.79
Forest Converted to Grasslands	1.30	1.25	1.25	1.82	1.82	1.77	1.75	1.75	1.73	1.73	1.73	1.73	1.73
Other Lands Converted to Grasslands	1.06	1.04	1.03	0.93	0.92	0.91	0.89	0.87	0.82	0.82	0.82	0.82	0.82
Settlements Converted to Grasslands	0.14	0.14	0.14	0.13	0.13	0.13	0.13	0.12	0.12	0.12	0.12	0.12	0.12
Wetlands Converted to Grasslands	0.54	0.54	0.54	0.49	0.49	0.48	0.39	0.31	0.26	0.26	0.26	0.26	0.26
Organic Soils	**1.26**	**1.25**	**1.24**	**1.24**	**1.24**	**1.23**	**1.23**	**1.22**	**1.22**	**1.22**	**1.21**	**1.21**	**1.21**
Croplands	**0.75**	**0.74**	**0.74**	**0.74**	**0.73**	**0.73**	**0.72**	**0.70**	**0.70**	**0.70**	**0.70**	**0.70**	**0.70**
Cropland Remaining Cropland	0.61	0.61	0.64	0.64	0.63	0.63	0.63	0.62	0.62	0.62	0.62	0.62	0.62
Grassland Converted to Cropland	0.12	0.11	0.09	0.09	0.09	0.08	0.08	0.07	0.07	0.07	0.07	0.07	0.07
Forest Converted to Cropland	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Other Lands Converted to Cropland	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Settlements Converted to Croplands	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Wetlands Converted to Croplands	0.02	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
Grasslands	**0.51**	**0.50**	**0.50**	**0.50**	**0.50**	**0.50**	**0.50**	**0.52**	**0.51**	**0.51**	**0.51**	**0.51**	**0.51**
Grasslands Remaining Grasslands	0.41	0.40	0.39	0.39	0.39	0.39	0.39	0.39	0.39	0.38	0.38	0.38	0.38
Croplands Converted to Grasslands	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.10	0.10	0.10	0.10	0.10	0.10
Forest Converted to Grasslands	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Other Lands Converted to Grasslands	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Settlements Converted to Grasslands	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Wetlands Converted to Grasslands	0.01	0.01	0.01	0.02	0.02	0.02	0.02	0.03	0.03	0.03	0.03	0.03	0.03
Total	**439.60**	**438.73**	**437.96**	**436.90**	**436.07**	**435.29**	**434.50**	**433.81**	**433.10**	**432.81**	**432.53**	**432.53**	**432.53**

Note: The area estimates are not consistent with the land area values shown in the Representation of the U.S. Land Base chapter because the current Inventory does not estimate emissions and removals for all managed lands. Specifically, grassland and cropland in Alaska are not included in the current Inventory.

The Tier 3 method using the DAYCENT model is applied to estimate soil C stock changes and N_2O emissions for most of the NRI points that occur on mineral soils. For the Tier 3 inventory, the actual crop and grassland histories are simulated with the DAYCENT model. Parcels of land that are not simulated with DAYCENT are allocated to the Tier 2 approach for estimating soil organic C stock change, and a Tier 1 method (IPCC 2006) to estimate soil N_2O emissions (Table A-218) (Note: the Tier 1 method for soil N_2O does not require land area data with the exception of emissions from drainage and cultivation of organic soils, so in practice it is only the amount of N input to mineral soils that is addressed by the Tier 1 method and not the actual land area).

The land base for the Tier 1 and 2 methods includes (1) land parcels occurring on organic soils; (2) land parcels that include non-agricultural uses such as forest and federal lands in one or more years of the inventory; (3) land parcels on mineral soils that are very gravelly, cobbly, or shaley (i.e., classified as soils that have greater than 35 percent of soil volume comprised of gravel, cobbles, or shale); or (4) land parcels that are used to produce some of the vegetable crops, perennial/horticultural crops, and tobacco, which are either grown continuously or in rotation with other crops. DAYCENT has not been fully tested or developed to simulate biogeochemical processes in soils used to produce some annual (e.g., tobacco), horticultural (e.g., flowers), or perennial (e.g., vineyards, orchards) crops and agricultural use of organic soils. In addition, DAYCENT has not been adequately tested for soils with a high gravel, cobble, or shale content.

Table A-218: Total Land Area Estimated with Tier 2 and 3 Inventory Approaches (Million Hectares)

	Land Areas (million ha)				
	Mineral			Organic	
Year	Tier 1/2	Tier 3	Total	Tier 1/2	Total
1990	115.64	331.96	447.61	1.35	**448.95**
1991	114.57	332.26	446.84	1.33	**448.17**
1992	113.52	332.55	446.07	1.32	**447.39**
1993	112.33	333.01	445.35	1.32	**446.67**
1994	111.16	333.48	444.64	1.33	**445.97**
1995	109.77	333.94	443.71	1.32	**445.03**
1996	108.31	334.46	442.77	1.31	**444.08**
1997	106.92	334.98	441.91	1.29	**443.20**
1998	105.47	335.55	441.02	1.30	**442.31**
1999	103.25	336.43	439.68	1.28	**440.96**
2000	103.32	336.28	439.60	1.28	**440.89**

2001	102.46	336.48	438.95	1.27	**440.22**
2002	101.66	336.68	438.34	1.26	**439.60**
2003	100.86	336.63	437.49	1.25	**438.73**
2004	100.29	336.44	436.72	1.24	**437.96**
2005	99.44	336.22	435.66	1.24	**436.90**
2006	98.76	336.07	434.83	1.24	**436.07**
2007	98.17	335.89	434.06	1.23	**435.29**
2008	97.69	335.58	433.27	1.23	**434.50**
2009	97.20	335.39	432.59	1.22	**433.81**
2010	96.78	335.10	431.88	1.22	**433.10**
2011	96.61	334.99	431.60	1.22	**432.81**
2012	96.44	334.87	431.31	1.21	**432.53**
2013	96.44	334.87	431.31	1.21	**432.53**
2014	96.44	334.87	431.31	1.21	**432.53**

NRI points on mineral soils are classified into specific crop categories, continuous pasture/rangeland, and other non-agricultural uses for the Tier 2 inventory analysis (Table A-219). NRI points are assigned to IPCC input categories (low, medium, high, and high with organic amendments) according to the classification provided in IPCC (2006). For croplands on federal lands, information on specific cropping systems is not available, so all croplands are assumed to be medium input. In addition, NRI differentiates between improved and unimproved grassland, where improvements include irrigation and interseeding of legumes. Grasslands on federal lands (as identified with the NLCD) are classified according to rangeland condition (nominal, moderately degraded and severely degraded) in areas where information is available. For lands managed for livestock grazing by the Bureau of Land Management (BLM), IPCC rangeland condition classes are interpreted at the state-level from the Rangeland Inventory, Monitoring and Evaluation Report (BLM 2014). In order to estimate uncertainties, probability distribution functions (PDFs) for the NRI land-use data are constructed as multivariate normal based on the total area estimates for each land-use/management category and associated covariance matrix. Through this approach, dependencies in land use are taken into account resulting from the likelihood that current use is correlated with past use. These dependencies occur because as some land use/management categories increase in area, the area of other land use/management categories will decline. The covariance matrix addresses these relationships.

Table A-219: Total Land Areas by Land-Use and Management System for the Tier 2 Mineral Soil Organic C Approach (Million Hectares)

	Land Areas (million hectares)											
Land-Use/Management System	**1990**	**1991**	**1992**	**1993**	**1994**	**1995**	**1996**	**1997**	**1998**	**1999**	**2000**	**2001**
Cropland Systems	**21.65**	**21.35**	**21.02**	**20.55**	**20.10**	**19.68**	**19.27**	**18.88**	**17.65**	**16.91**	**17.03**	**16.82**
Conservation Reserve Program	1.79	2.02	2.06	1.90	1.77	1.68	1.55	1.51	1.13	0.96	1.02	1.01
High Input Cropping Systems	2.25	2.12	1.95	1.89	1.91	1.92	1.98	1.85	1.72	1.67	1.64	1.54
Medium Input Cropping Systems	10.85	10.54	10.24	10.05	9.84	9.55	9.41	9.23	8.44	8.21	8.25	8.21
Low Input Cropping Systems	2.35	2.32	2.42	2.39	2.32	2.45	2.43	2.42	2.32	2.23	2.19	2.13
Hay with Legumes or Irrigation	1.08	1.05	1.05	1.00	1.02	0.95	0.83	0.79	0.70	0.63	0.62	0.60
Hay, Unimproved	0.80	0.80	0.80	0.80	0.71	0.64	0.60	0.63	0.69	0.54	0.54	0.51
Perennial Croplands	2.43	2.40	2.40	2.43	2.44	2.41	2.39	2.37	2.55	2.59	2.65	2.70
Rice	0.11	0.10	0.11	0.09	0.10	0.08	0.09	0.08	0.10	0.08	0.12	0.11
Grassland Systems	**94.00**	**93.22**	**92.50**	**91.79**	**91.06**	**90.09**	**89.03**	**88.04**	**87.82**	**86.34**	**86.29**	**85.65**
Pasture with Legumes or Irrigation	4.14	3.87	3.49	3.65	3.61	3.47	3.07	2.48	2.13	2.00	2.06	1.93
Rangelands and Unimproved Pasture	89.86	89.36	89.01	88.14	87.45	86.62	85.97	85.56	85.70	84.34	84.24	83.71
Total	**115.64**	**114.57**	**113.52**	**112.33**	**111.16**	**109.77**	**108.31**	**106.92**	**105.47**	**103.25**	**103.32**	**102.46**

	Land Areas (million hectares)												
Land-Use/Management System	**2002**	**2003**	**2004**	**2005**	**2006**	**2007**	**2008**	**2009**	**2010**	**2011**	**2012**	**2013**	**2014**
Cropland Systems	**16.59**	**16.36**	**15.96**	**15.74**	**15.54**	**15.38**	**15.24**	**15.08**	**14.94**	**14.94**	**14.94**	**14.94**	**14.94**
Conservation Reserve Program	0.94	0.81	0.52	0.58	0.56	0.58	0.60	0.49	0.46	0.46	0.46	0.46	0.46
High Input Cropping Systems	1.44	1.40	1.38	1.36	1.32	1.26	1.25	1.28	1.24	1.24	1.24	1.24	1.24
Medium Input Cropping Systems	8.16	8.11	8.10	8.01	7.96	7.95	7.95	7.94	7.91	7.91	7.91	7.91	7.91
Low Input Cropping Systems	2.01	2.03	1.98	1.86	1.80	1.74	1.67	1.58	1.58	1.58	1.58	1.58	1.58
Hay with Legumes or Irrigation	0.66	0.67	0.66	0.65	0.61	0.61	0.61	0.58	0.59	0.59	0.59	0.59	0.59
Hay, Unimproved	0.54	0.55	0.53	0.54	0.53	0.53	0.53	0.53	0.52	0.52	0.52	0.52	0.52
Perennial Croplands	2.70	2.68	2.68	2.65	2.66	2.62	2.54	2.57	2.54	2.54	2.54	2.54	2.54
Rice	0.12	0.11	0.11	0.10	0.10	0.10	0.09	0.09	0.10	0.10	0.10	0.10	0.10
Grassland Systems	**85.06**	**84.50**	**84.33**	**83.69**	**83.22**	**82.78**	**82.46**	**82.12**	**81.84**	**81.67**	**81.50**	**81.50**	**81.50**

Pasture with Legumes or Irrigation	1.83	1.80	1.79	1.73	1.64	1.58	1.53	1.49	1.42	1.42	1.42	1.42	1.42
Rangelands and Unimproved Pasture	83.23	82.70	82.54	81.96	81.58	81.21	80.92	80.63	80.41	80.25	80.08	80.08	80.08
Total	**101.66**	**100.86**	**100.29**	**99.44**	**98.77**	**98.17**	**97.69**	**97.20**	**96.78**	**96.61**	**96.44**	**96.44**	**96.44**

Organic soils are categorized into land-use systems based on drainage (IPCC 2006). Undrained soils are treated as having no loss of organic C or soil N_2O emissions. Drained soils are subdivided into those used for cultivated cropland, which are assumed to have high drainage and relatively large losses of C, and those used for managed pasture, which are assumed to have less drainage with smaller losses of C. N_2O emissions are assumed to be similar for both drained croplands and grasslands. Overall, the area of organic soils drained for cropland and grassland has remained relatively stable since 1990 (see Table A-220).

Table A-220: Total Land Areas for Drained Organic Soils By Land Management Category and Climate Region (Million Hectares)

IPCC Land-Use Category for Organic Soils	Land Areas (million ha)													
	1990	**1991**	**1992**	**1993**	**1994**	**1995**	**1996**	**1997**	**1998**	**1999**	**2000**	**2001**	**2002**	**2003**
Cold Temperate														
Cultivated Cropland (high drainage)	0.41	0.40	0.41	0.40	0.40	0.40	0.40	0.41	0.41	0.41	0.40	0.39	0.39	0.39
Managed Pasture (low drainage)	0.37	0.37	0.36	0.37	0.37	0.36	0.36	0.34	0.35	0.34	0.34	0.33	0.33	0.33
Undrained	0.04	0.05	0.05	0.04	0.04	0.04	0.04	0.03	0.02	0.03	0.04	0.03	0.02	0.02
Total	**0.82**	**0.82**	**0.81**	**0.81**	**0.81**	**0.80**	**0.80**	**0.78**	**0.78**	**0.77**	**0.77**	**0.75**	**0.74**	**0.73**
Warm Temperate														
Cultivated Cropland (high drainage)	0.09	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.09	0.09
Managed Pasture (low drainage)	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08
Undrained	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.00	0.00	0.00	0.00	0.00	0.00
Total	**0.18**	**0.17**	**0.16**	**0.17**	**0.17**	**0.17**	**0.17**	**0.16**	**0.16**	**0.16**	**0.17**	**0.16**	**0.17**	**0.17**
Sub-Tropical														
Cultivated Cropland (high drainage)	0.20	0.20	0.20	0.20	0.21	0.21	0.21	0.21	0.21	0.20	0.20	0.25	0.24	0.24
Managed Pasture (low drainage)	0.14	0.14	0.14	0.14	0.14	0.14	0.13	0.13	0.13	0.12	0.13	0.10	0.10	0.09
Undrained	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.02	0.02	0.00	0.01	0.00
Total	**0.34**	**0.35**	**0.34**	**0.34**	**0.35**	**0.35**	**0.35**	**0.35**	**0.35**	**0.35**	**0.35**	**0.35**	**0.35**	**0.34**

IPCC Land-Use Category for Organic Soils	Land Areas (million ha)										
	2004	**2005**	**2006**	**2007**	**2008**	**2009**	**2010**	**2011**	**2012**	**2013**	**2014**
Cold Temperate											
Cultivated Cropland (high drainage)	0.39	0.39	0.39	0.39	0.38	0.38	0.39	0.39	0.39	0.39	0.39
Managed Pasture (low drainage)	0.32	0.32	0.32	0.32	0.33	0.33	0.32	0.32	0.32	0.32	0.32
Undrained	0.02	0.02	0.02	0.02	0.03	0.02	0.02	0.02	0.02	0.02	0.02
Total	**0.73**	**0.73**	**0.73**	**0.73**	**0.73**	**0.73**	**0.72**	**0.72**	**0.72**	**0.72**	**0.72**
Warm Temperate											
Cultivated Cropland (high drainage)	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09
Managed Pasture (low drainage)	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08
Undrained	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	**0.17**	**0.17**	**0.17**	**0.17**	**0.17**	**0.17**	**0.17**	**0.17**	**0.17**	**0.17**	**0.17**
Sub-Tropical											
Cultivated Cropland (high drainage)	0.23	0.23	0.23	0.21	0.20	0.21	0.21	0.21	0.21	0.21	0.21
Managed Pasture (low drainage)	0.10	0.10	0.10	0.10	0.10	0.11	0.11	0.11	0.11	0.11	0.11

Undrained	0.00	0.01	0.00	0.02	0.02	0.00	0.00	0.00	0.00	0.00	0.00
Total	**0.34**	**0.34**	**0.33**	**0.33**	**0.33**	**0.32**	**0.32**	**0.32**	**0.32**	**0.32**	**0.32**

The harvested rice cultivation area is estimated based on the NRI points classified as flooded rice (Table A-221). Ratoon crops occurs in the Southeast with a second season of rice during the year. Ratoon cropping occurs in Louisiana (LSU 2015 for years 2000 through 2013, 2015) and Texas (TAMU 2015 for years 1993 through 2014), averaging 32 percent and 48 percent of rice acres planted, respectively. Florida also has a large fraction of area with a ratoon crop (45 percent), and ratoon cropping occurs in Arkansas on relatively small fraction of fields estimated at about 1 percent. No data are available about ratoon crops in Missouri or Mississippi, and so amount of ratooning is assumed to be similar to Arkansas. Ratoon rice crops are not grown in California.

Table A-221: Total Rice Harvested Area Estimated with Tier 1 and 3 Inventory Approaches (Million Hectares)

	Land Areas (Million Hectares)		
Year	Tier 1	Tier 3	Total
1990	0.11	1.53	1.64
1991	0.11	1.61	1.72
1992	0.11	1.66	1.78
1993	0.10	1.64	1.74
1994	0.11	1.52	1.63
1995	0.07	1.59	1.66
1996	0.10	1.55	1.65
1997	0.08	1.50	1.58
1998	0.11	1.49	1.60
1999	0.12	1.51	1.63
2000	0.16	1.55	1.71
2001	0.11	1.56	1.66
2002	0.12	1.72	1.83
2003	0.11	1.49	1.59
2004	0.12	1.52	1.64
2005	0.10	1.75	1.85
2006	0.09	1.35	1.45
2007	0.09	1.35	1.44
2008	0.10	1.30	1.40
2009	0.10	1.54	1.63
2010	0.10	1.52	1.62
2011	0.10	1.52	1.62
2012	0.10	1.52	1.62
2013	0.10	1.52	1.62
2014	0.10	1.52	1.62

Note: Land use data for 2011 through 2014 are based on the 2010 NRI data product.

Step 1b: Obtain Management Activity Data for the Tier 3 Method to estimate Soil C Stock Changes, CH_4 and N_2O Emissions from Mineral Soils

Synthetic N Fertilizer Application: Data on N fertilizer rates are based primarily on the USDA–Economic Research Service Cropping Practices Survey through 1995 (USDA-ERS 1997), which became the Agricultural Resource Management Surveys (ARMS) in 1996 (USDA-ERS 2015)[92]. In these surveys, data on inorganic N fertilization rates are collected for crops simulated by DAYCENT (barley, corn, cotton, dry beans, hay, oats, onions, peanuts, potatoes, rice, sorghum, soybeans, sugar beets, sunflowers, tomatoes, and wheat) in the high production states and for a subset of low production states. These data are used to build a time series of fertilizer application rates for specific crops and states for the 1990 through 1999 time period and 2000 through 2014 time period. If only a single survey is available for a crop, as is the case with sorghum, the rates for the one survey are used for both time periods.

[92] Available online at <http://www.ers.usda.gov/data-products/arms-farm-financial-and-crop-production-practices/arms-data.aspx>

Mean fertilizer rates and standard deviations for irrigated and rainfed crops are produced for each state. If a state is not surveyed for a particular crop or if there are not enough data to produce a state-level estimate, then data are aggregated to USDA Farm Production Regions in order to estimate a mean and standard deviation for fertilization rates (Farm Production Regions are groups of states in the United States with similar agricultural commodities). If Farm Production Region data are not available, crop data are aggregated to the entire United States (all major states surveyed) to estimate a mean and standard deviation. Standard deviations for fertilizer rates are used to construct PDFs with log-normal densities in order to address uncertainties in application rates (see Step 2a for discussion of uncertainty methods). The survey summaries also present estimates for fraction of crop acres receiving fertilizer, and these fractions are used to determine if a crop is receiving fertilizer. Alfalfa hay and grass-clover hay are assumed to not be fertilized, but grass hay is fertilized according to rates from published farm enterprise budgets (NRIAI 2003). Total fertilizer application data are found in Table A-222.

Simulations are conducted for the period prior to 1990 in order to initialize the DAYCENT model (see Step 2a), and crop-specific regional fertilizer rates prior to 1990 are based largely on extrapolation/interpolation of fertilizer rates from the years with available data. For crops in some states, little or no data are available, and, therefore, a geographic regional mean is used to simulate N fertilization rates (e.g., no data are available for the State of Alabama during the 1970s and 1980s for corn fertilization rates; therefore, mean values from the southeastern United States are used to simulate fertilization to corn fields in this state).

Managed Livestock Manure Amendments:[93] County-level manure addition estimates have been derived from manure N addition rates developed by the USDA Natural Resources Conservation Service (NRCS) (Edmonds et al. 2003). Working with the farm-level crop and animal data from the 1997 Census of Agriculture, USDA-NRCS has coupled estimates of manure N produced with estimates of manure N recoverability by animal waste management system to produce county-level rates of manure N application to cropland and pasture. Edmonds et al. (2003) defined a hierarchy that included 24 crops, permanent pasture, and cropland used as pasture. They estimated the area amended with manure and application rates in 1997 for both manure-producing farms and manure-receiving farms within a county and for two scenarios—before implementation of Comprehensive Nutrient Management Plans (baseline) and after implementation (Edmonds et al. 2003). The goal of nutrient management plans is to apply manure nutrients at a rate meeting plant demand, thus limiting leaching losses of nutrients to groundwater and waterways.

For DAYCENT simulations, the rates for manure-producing farms and manure-receiving farms have been area-weighted and combined to produce a single county-level estimate for the amount of land amended with manure and the manure N application rate for each crop in each county. The estimates were based on the assumption that Comprehensive Nutrient Management Plans have not been fully implemented. This is a conservative assumption because it allows for higher leaching rates due to some over-application of manure to soils. In order to address uncertainty in these data, uniform probability distributions are constructed based on the proportion of land receiving manure versus the amount not receiving manure for each crop type and pasture. For example, if 20 percent of land producing corn in a county is amended with manure, randomly drawing a value equal to or greater than 0 and less than 20 would lead to a simulation with a manure amendment, while drawing a value greater than or equal to 20 and less than 100 would lead to no amendment in the simulation (see Step 2a for further discussion of uncertainty methods).

Edmonds et al. (2003) only provides manure application rate data for 1997, but the amount of managed manure available for soil application changes annually, so the area amended with manure is adjusted relative to 1997 to account for all the manure available for application in other years. Specifically, the manure N available for application in other years is divided by the manure N available in 1997. If the ratio is greater than 1, there is more manure N available in that county relative to the amount in 1997, and so it is assumed a larger area is amended with manure. In contrast, ratios less than one imply less area is amended with manure because there is a lower amount available in the year compared to 1997. The amendment area in each county for 1997 is multiplied by the ratio to reflect the impact of manure N availability on the area amended. The amount of managed manure N available for application to soils is calculated by determining the populations of livestock on feedlots or otherwise housed, requiring collection and management of the manure. The methods are described in the Manure Management section (Section 5.2) and annex (Annex 3.11). The total managed manure N applied to soils is found in Table A-223.

To estimate C inputs (associated with manure N application rates derived from Edmonds et al. (2003), carbon-nitrogen (C:N) ratios for livestock-specific manure types are adapted from the Agricultural Waste Management Field

[93] For purposes of the Inventory, total livestock manure is divided into two general categories: (1) managed manure, and (2) unmanaged manure. Managed manure includes manure that is stored in manure management systems such as drylots, pits and lagoons, as well as manure applied to soils through daily spread manure operations. Unmanaged manure encompasses all manure deposited on soils by animals on PRP.

Handbook (USDA 1996), On-Farm Composting Handbook (NRAES 1992), and recoverability factors provided by Edmonds et al (2003). The C:N ratios are applied to county-level estimates of manure N excreted by animal type and management system to produce a weighted county average C:N ratio for manure amendments. The average C:N ratio is used to determine the associated C input for crop amendments derived from Edmonds et al. (2003).

To account for the common practice of reducing inorganic N fertilizer inputs when manure is added to a cropland soil, crop-specific reduction factors are derived from mineral fertilization data for land amended with manure versus land not amended with manure in the ERS 1995 Cropping Practices Survey (USDA-ERS 1997). Mineral N fertilization rates are reduced for crops receiving manure N based on a fraction of the amount of manure N applied, depending on the crop and whether it is irrigated or rainfed. The reduction factors are randomly selected from PDFs with normal densities in order to address uncertainties in the dependence between manure amendments and mineral fertilizer application.

PRP Manure N: Another key source of N for grasslands is PRP manure N deposition (i.e., manure deposited by grazing livestock). The total amount of PRP manure N is estimated using methods described in the Manure Management section (Section 5.2) and annex (Annex 3.11). Nitrogen from PRP animal waste deposited on non-federal grasslands in a county is generated by multiplying the total PRP N (based on animal type and population data in a county) by the fraction of non-federal grassland area in the county. PRP manure N input rates for the Tier 3 DAYCENT simulations are estimated by dividing the total PRP manure N amount by the land area associated with non-federal grasslands in the county from the NRI survey data. The total PRP manure N added to soils is found in Table A-223.

Residue N Inputs: Crop residue N, fixation by legumes, and N residue inputs from senesced grass litter are included as sources of N to the soil, and are estimated in the DAYCENT simulations as a function of vegetation type, weather, and soil properties. That is, while the model accounts for the contribution of N from crop residues to the soil profile and subsequent N_2O emissions, this source of mineral soil N is not "activity data" as it is not a model input. The simulated total N inputs of above- and below-ground residue N and fixed N that is not harvested and not burned (the DAYCENT simulations assumed that 3 percent of non-harvested above ground residues for crops are burned[94]) are provided in Table A-224.

Other N Inputs: Other N inputs are estimated within the DAYCENT simulation, and thus input data are not required, including mineralization from decomposition of soil organic matter and asymbiotic fixation of N from the atmosphere. Mineralization of soil organic matter will also include the effect of land use change on this process as recommended by the IPCC (2006). The influence of additional inputs of N are estimated in the simulations so that there is full accounting of all emissions from managed lands, as recommended by the IPCC (2006). The simulated N input from residues, soil organic matter mineralization and asymbiotic N fixation are provided in Table A-224.

Tillage Practices: Tillage practices are estimated for each cropping system based on data from the Conservation Technology Information Center[95] (CTIC 2004). CTIC compiles data on cropland area under five tillage classes by major crop species and year for each county. Because the surveys involve county-level aggregate area, they do not fully characterize tillage practices as they are applied within a management sequence (e.g., crop rotation). This is particularly true for area estimates of cropland under no-till, which include a relatively high proportion of "intermittent" no-till, where no-till in one year may be followed by tillage in a subsequent year. For example, a common practice in maize-soybean rotations is to use tillage in the maize crop while no-till is used for soybean, such that no-till practices are not continuous in time. Estimates of the area under continuous no-till are provided by experts at CTIC to account for intermittent tillage activity and its impact on soil C (Towery 2001).

Tillage practices are grouped into 3 categories: full, reduced, and no-tillage. Full tillage is defined as multiple tillage operations every year, including significant soil inversion (e.g., plowing, deep disking) and low surface residue coverage. This definition corresponds to the intensive tillage and "reduced" tillage systems as defined by CTIC (2004). No-till is defined as not disturbing the soil except through the use of fertilizer and seed drills and where no-till is applied to all crops in the rotation. Reduced tillage made up the remainder of the cultivated area, including mulch tillage and ridge tillage as defined by CTIC and intermittent no-till. The specific tillage implements and applications used for different crops, rotations, and regions to represent the three tillage classes are derived from the 1995 Cropping Practices Survey by the Economic Research Service (USDA-ERS 1997).

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[94] Another improvement is to reconcile the amount of crop residues burned with the *Field Burning of Agricultural Residues* source category (Section 5.5).

[95] National scale tillage data are no longer collected by CTIC, and a new data source will be needed, which is a planned improvement.

Tillage data are further processed to construct PDFs. Transitions between tillage systems are based on observed county-level changes in the frequency distribution of the area under full, reduced, and no-till from the 1980s through 2004. Generally, the fraction of full tillage decreased during this time span, with concomitant increases in reduced till and no-till management. Transitions that are modeled and applied to NRI points occurring within a county are full tillage to reduced and no-till, and reduced tillage to no-till. The remaining amount of cropland is assumed to have no change in tillage (e.g., full tillage remained in full tillage). Transition matrices are constructed from CTIC data to represent tillage changes for three time periods, 1980 through 1989, 1990 through 1999, 2000 through 2007. Areas in each of the three tillage classes—full till (FT), reduced till (RT), no-till (NT)—in 1989 (the first year the CTIC data are available) are used for the first time period, data from 1997 are used for the second time period, and data from 2004 are used for the last time period. Percentage areas of cropland in each county are calculated for each possible transition (e.g., FT→FT, FT→RT, FT→NT, RT→RT, RT→NT) to obtain a probability for each tillage transition at an NRI point. It is assumed that there are no transitions for NT→FT or NT→NT after accounting for NT systems that have intermittent tillage. Uniform probability distributions are established for each tillage scenario in the county. For example, a particular crop rotation had 80 percent chance of remaining in full tillage over the two decades, a 15 percent chance of a transition from full to reduced tillage and a 5 percent chance of a transition from full to no-till. The uniform distribution is subdivided into three segments with random draws in the Monte Carlo simulation (discussed in Step 2b) leading to full tillage over the entire time period if the value is greater than or equal to 0 and less than 80, a transition from full to reduced till if the random draw is equal to or greater than 80 and less than 95, or a transition from full to no-till if the draw is greater than or equal to 95. See step 2b for additional discussion of the uncertainty analysis.

Irrigation: NRI (USDA-NRCS 2013) differentiates between irrigated and non-irrigated land, but does not provide more detailed information on the type and intensity of irrigation. Hence, irrigation is modeled by assuming that applied water to field capacity with intervals between irrigation events where the soils drain to about 60 percent of field capacity.

Daily Weather Data: Daily maximum/minimum temperature and precipitation data are based on gridded weather data from the PRISM Climate Group (2015). It is necessary to use computer-generated weather data because weather station data do not exist near all NRI points, and moreover weather station data are for a point in space. The PRISM product uses this information with interpolation algorithms to derive weather patterns for areas between these stations (Daly et al. 1998). PRISM weather data are available for the U.S. from 1981 through 2010 at a 4 km resolution. Each NRI point is assigned the PRISM weather data for the grid cell containing the point.

Enhanced Vegetation Index: The Enhanced Vegetation Index (EVI) from the MODIS vegetation products, (MOD13Q1 and MYD13Q1) is an input to DAYCENT for estimating net primary production using the NASA-CASA production algorithm (Potter et al. 1993, 2007). MODIS imagery is collected on a nominal 8 day-time frequency when combining the two products. A best approximation of the daily time series of EVI data is derived using a smoothing process based on the Savitzky-Golay Filter (Savitzky and Golay 1964) after pre-screening for outliers and for cloud-free, high quality data as identified in the MODIS data product quality layer. The NASA-CASA production algorithm is only used for the following crops: corn, soybeans, sorghum, cotton, wheat and other close-grown crops such as barley and oats.[96]

The MODIS EVI products have a 250 m spatial resolution, and some pixels in images have mixed land uses and crop types at this resolution, which is problematic for estimating NPP associated with a specific crop at a NRI point. Therefore, a threshold of 90 percent purity in an individual pixel is the cutoff for estimating NPP using the EVI data derived from the imagery (i.e., pixels with less than 90 percent purity for a crop are assumed to generate bias in the resulting NPP estimates). The USDA-NASS Crop Data Layer (CDL) (Johnson and Mueller 2010) is used to determine the purity levels of the EVI data. CDL data have a 30 to 58 m spatial resolution, depending on the year. The level of purity for individual pixels in the MODIS EVI products is determined by aggregating the crop cover data in CDL to the 250m resolution of the EVI data. In this step, the percent cover of individual crops is determined for the 250m EVI pixels. Pixels that did not meet a 90 percent purity level for any crop are eliminated from the dataset. CDL did not provide full coverage of crop maps for the conterminous United States until 2009 so it is not possible to evaluate purity for the entire cropland area prior to 2009. The nearest pixel with at least 90 percent purity for a crop is assigned to the NRI point based on a 10 km buffer surrounding the survey location. EVI data are not assigned to a point if there are no pixels with at least 90 percent purity within the 10 km buffer. In these cases, production is simulated with a single value for the maximum daily NPP, which is reduced if there is water, temperature or nutrient stress affecting the plants growth.

Water Management for Rice Cultivation: While rice crop production in the U.S. includes a minor amount of land with mid-season drainage or alternate wet-dry periods, the majority of rice growers use continuously flooded water management systems (Hardke 2015; UCCE 2015; Hollier 1999; Way et al. 2014). Therefore, continuous flooding is applied

[96] Additional crops and grassland will be used with the NASA-CASA method in the future, as a planned improvement.

to all rice cultivation areas in the inventory. Winter flooding is another key practice associated with water management in rice fields. Winter flooding occurs on 34 percent of rice fields in California (Miller et al. 2010; Fleskes et al. 2005), and approximately 21 percent of the fields in Arkansas (Wilson and Branson 2005 and 2006; Wilson and Runsick 2007 and 2008; Wilson et al. 2009 and 2010; Hardke and Wilson 2013 and 2014; Hardke 2015). No data are available on winter flooding for Texas, Louisiana, Florida, Missouri, or Mississippi. For these states, the average amount of flooding is assumed to be similar to Arkansas. In addition, the amount of winter flooding is assumed to be relatively constant over the Inventory time period.

Organic Amendments for Rice Cultivation: Rice straw is not typically harvested from fields in the U.S. The C input from rice straw is simulated directly within the DAYCENT model for the Tier 3 method. For the Tier 1 method, residue inputs are assumed to be left on the field for more than 30 days prior to cultivation and flooding for the next crop, with the exception of ratoon crops, which are assumed to have residues on the field for less than 30 days prior to the next crop. To estimate the amount of rice straw, crop yield data (except rice in Florida) are compiled from USDA NASS QuickStats (USDA 2015). Rice yield data for Florida are estimated separately because yield data are not collected by USDA. Total rice production for Florida is determined using NRI crop areas, and total yields are based on average primary and ratoon rice yields from Deren (2002). Relative proportions of ratoon crops are derived from information in several publications (Schueneman 1997, 1999, 2000, 2001; Deren 2002; Kirstein 2003, 2004, 2006; Cantens 2004, 2005; Gonzalez 2007 through 2014). The yields are multiplied by residue:crop product ratios from Strehler and Stützle (1987), to estimate rice straw input amounts for the Tier 1 method.

Soil Properties: Soil texture and natural drainage capacity (i.e., hydric vs. non-hydric soil characterization) are the main soil variables used as input to the DAYCENT model. Texture is one of the main controls on soil C turnover and stabilization in the DAYCENT model, which uses particle size fractions of sand (50-2,000 μm), silt (2-50 μm), and clay (<2 μm) as inputs. Hydric condition are poorly-drained, and hence prone to have a high water table for part of the year in their native (pre-cultivation) condition , Non-hydric soils are moderately to well-drained .[97] Poorly drained soils can be subject to anaerobic (lack of oxygen) conditions if water inputs (precipitation and irrigation) exceed water losses from drainage and evapotranspiration. Depending on moisture conditions, hydric soils can range from being fully aerobic to completely anaerobic, varying over the year. Decomposition rates are modified according to a linear function that varies from 0.3 under completely anaerobic conditions to 1.0 under fully aerobic conditions (default parameters in DAYCENT).[98] Other soil characteristics needed in the simulation, such as field capacity and wilting-point water contents, are estimated from soil texture data using a standardized hydraulic properties calculator (Saxton et al. 1986). Soil input data are derived from Soil Survey Geographic Database (SSURGO) (Soil Survey Staff 2015). The data are based on field measurements collected as part of soil survey and mapping. Each NRI point is assigned the dominant soil component in the polygon containing the point from the SSURGO data product.

Step 1c: Obtain Additional Management Activity Data for the Tier 1 Method to estimate Soil N_2O Emissions from Mineral Soils

Synthetic N Fertilizer: A process-of-elimination approach is used to estimate synthetic N fertilizer additions to crops in the Tier 1 method. The total amount of fertilizer used on-farms has been estimated by the USGS from 1990 through 2001 on a county scale from fertilizer sales data (Ruddy et al. 2006). For 2002 through 2014, county-level fertilizer used on-farms is adjusted based on annual fluctuations in total U.S. fertilizer sales (AAPFCO 1995 through 2014). Fertilizer application data are available for crops and grasslands simulated by DAYCENT (discussed in Step 1a section for Tier 3). Thus, the amount of N applied to crops in the Tier 1 method (i.e., not simulated by DAYCENT) is assumed to be the remainder of the fertilizer used on farms after subtracting the amount applied to crops and non-federal grasslands simulated by DAYCENT. The differences are aggregated to the state level, and PDFs are derived based on uncertainties in the amount of N applied to crops and non-federal grasslands for the Tier 3 method. Total fertilizer application to crops in the Tier 1 method is found in Table A-225.

Managed Livestock Manure and Other Organic Amendments: Manure N that is not applied to crops and grassland simulated by DAYCENT is assumed to be applied to other crops that are included in the Tier 1 method. Estimates of total national annual N additions from other commercial organic fertilizers are derived from organic fertilizer statistics (TVA 1991 through 1994; AAPFCO 1995 through 2014). Commercial organic fertilizers include dried blood, tankage, compost,

[97] Artificial drainage (e.g., ditch- or tile-drainage) is simulated as a management variable.

[98] Hydric soils are primarily subject to anaerobic conditions outside the plant growing season (i.e., in the absence of active plant water uptake). Soils that are water-logged during much of the year are typically classified as organic soils (e.g., peat), which are not simulated with the DAYCENT model.

and other; dried manure and sewage sludge that are used as commercial fertilizer are subtracted from totals to avoid double counting. The dried manure N is counted with the non-commercial manure applications, and sewage sludge is assumed to be applied only to grasslands. The organic fertilizer data, which are recorded in mass units of fertilizer, had to be converted to mass units of N by multiplying the consumption values by the average organic fertilizer N content of 0.5 percent (AAPFCO 2000). The fertilizer consumption data are recorded in "fertilizer year" totals, (i.e., July to June), but are converted to calendar year totals. This is done by assuming that approximately 35 percent of fertilizer usage occurred from July to December and 65 percent from January to June (TVA 1992b). Values for July to December are not available for calendar year 2013 so a "least squares line" statistical extrapolation using the previous 5 years of data is used to arrive at an approximate value. PDFs are derived for the organic fertilizer applications assuming a default ±50 percent uncertainty. Annual consumption of other organic fertilizers is presented in Table A-226. The fate of manure N is summarized in Table A-223.

PRP Manure N: Soil N_2O emissions from PRP manure N deposited on federal grasslands are estimated with a Tier 1 method. PRP manure N data are derived using methods described in the Manure Management section (Section 5.2) and Annex 3.11. PRP N deposited on federal grasslands is calculated using a process of elimination approach. The amount of PRP N generated by DAYCENT model simulations of non-federal grasslands was subtracted from total PRP N and this difference was assumed to be applied to federal grasslands. The total PRP manure N added to soils is found in Table A-223.

Sewage Sludge Amendments: Sewage sludge is generated from the treatment of raw sewage in public or private wastewater treatment works and is typically used as a soil amendment, or is sent to waste disposal facilities, such as landfills. In this Inventory, all sewage sludge that is amended to agricultural soils is assumed to be applied to grasslands. Estimates of the amounts of sewage sludge N applied to agricultural lands are derived from national data on sewage sludge generation, disposition, and N content. Total sewage sludge generation data for 1990 through 2012, in dry mass units, are obtained from AAPFCO (1995 through 2014). Values for 2013 and 2014 were not available so a "least squares line" statistical extrapolation using the previous 5 years of data was used to arrive at an approximate value. The total sludge generation estimates are then converted to units of N by applying an average N content of 69 percent (AAPFCO 2000), and disaggregated into use and disposal practices using historical data in EPA (1993) and NEBRA (2007). The use and disposal practices are agricultural land application, other land application, surface disposal, incineration, landfilling, ocean dumping (ended in 1992), and other disposal methods. The resulting estimates of sewage sludge N applied to agricultural land are used to estimate N_2O emissions from agricultural soil management; the estimates of sewage sludge N applied to other land and surface-disposed are used in estimating N_2O fluxes from soils in Settlements Remaining Settlements (see section 6.9 of the Land Use, Land-Use Change, and Forestry chapter). Sewage sludge disposal data are provided in Table A-227.

Residue N Inputs: Soil N_2O emissions for residue N inputs from croplands that are not simulated by DAYCENT are estimated with a Tier 1 method. Annual crop production statistics for all major commodity and specialty crops are taken from U.S. Department of Agriculture crop production reports (USDA-NASS 2015). Total production for each crop is converted to tons of dry matter product using the residue dry matter fractions shown in Table A-228. Dry matter yield is then converted to tons of above- and below-ground biomass N. Above-ground biomass is calculated by using linear equations to estimate above-ground biomass given dry matter crop yields, and below-ground biomass is calculated by multiplying above-ground biomass by the below-to-above-ground biomass ratio. N inputs are estimated by multiplying above- and below-ground biomass by respective N concentrations and by the portion of cropland that was not simulated by DAYCENT. All ratios and equations used to calculate residue N inputs are from IPCC (2006) and Williams (2006). PDFs are derived assuming a ±50 percent uncertainty in the yield estimates (USDA-NASS does not provide uncertainty), along with uncertainties provided by the IPCC (2006) for dry matter fractions, above-ground residue, ratio of below-ground to above-ground biomass, and residue N fractions. The resulting annual residue N inputs are presented in Table A-229.

Step 1d: Obtain Additional Management Activity Data for the Tier 2 Method to estimate Soil C Stock Changes in Mineral Soils

Tillage Practices: For the Tier 2 method that is used to estimate soil organic C stock changes, PDFs are constructed for the CTIC tillage data (CTIC 2004) as bivariate normal on a log-ratio scale to reflect negative dependence among tillage classes. This structure ensured that simulated tillage percentages are non-negative and summed to 100 percent. CTIC data do not differentiate between continuous and intermittent use of no-tillage, which is important for estimating SOC storage. Thus, regionally based estimates for continuous no-tillage (defined as 5 or more years of continuous use) are modified based on consultation with CTIC experts, as discussed in Step 1a (downward adjustment of total no-tillage area based on the amount of no-tillage that is rotated with more intensive tillage practices) (Towery 2001).

Managed Livestock Manure Amendments: USDA provides information on the amount of land amended with manure for 1997 based on manure production data and field-scale surveys detailing application rates that had been collected

in the *Census of Agriculture* (Edmonds et al. 2003). Similar to the DAYCENT model discussion in Step1b, the amount of land receiving manure is based on the estimates provided by Edmonds et al. (2003), as a proportion of crop and grassland amended with manure within individual climate regions. The resulting proportions are used to re-classify a portion of crop and grassland into a new management category. Specifically, a portion of medium input cropping systems is re-classified as high input, and a portion of the high input systems is re-classified as high input with amendment. In grassland systems, the estimated proportions for land amended with manure are used to re-classify a portion of nominally-managed grassland as improved, and a portion of improved grassland as improved with high input. These classification approaches are consistent with the IPCC inventory methodology (IPCC 2006). Uncertainties in the amount of land amended with manure are based on the sample variance at the climate region scale, assuming normal density PDFs (i.e., variance of the climate region estimates, which are derived from county-scale proportions).

Sewage Sludge Amendments: Sewage sludge is generated from the treatment of raw sewage in public or private wastewater treatment facilities and is typically used as a soil amendment or is sent for waste disposal to landfills. In this Inventory, all sewage sludge that is amended to agricultural soils is assumed to be applied to grasslands. See section on sewage sludge in Step 1c for more information about the methods used to derive sewage sludge N estimates. The total amount of sewage sludge N is given in Table A-227. Sewage sludge N is assumed to be applied at the assimilative capacity provided in Kellogg et al. (2000), which is the amount of nutrients taken up by a crop and removed at harvest, representing the recommended application rate for manure amendments. This capacity varies from year to year, because it is based on specific crop yields during the respective year (Kellogg et al. 2000). Total sewage sludge N available for application is divided by the assimilative capacity to estimate the total land area over which sewage sludge had been applied. The resulting estimates are used for the estimation of soil C stock change.

CRP Enrollment after 2010: The change in enrollment for the Conservation Reserve Program after 2010 is based on the amount of land under active contracts from 2011 through 2014 relative to 2010 (USDA-FSA 2014).

Wetland Reserve: Wetlands enrolled in the Conservation Reserve Program have been restored in the Northern Prairie Pothole Region through the Partners for Wildlife Program funded by the U.S. Fish and Wildlife Service (USFWS 2010). The area of restored wetlands is estimated from contract agreements (Euliss and Gleason 2002). While the contracts provide reasonable estimates of the amount of land restored in the region, they do not provide the information necessary to estimate uncertainty. Consequently, a ±50 percent range is used to construct the PDFs for the uncertainty analysis.

Table A-222: Synthetic Fertilizer N Added to Tier 3 Crops (kt N)

	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Fertilizer N	9,809	9,529	10,063	9,877	9,996	9,918	10,113	10,168	9,863	10,613	10,085	10,117	9,598	9,598	9,599	9,599	9,599

Table A-223: Fate of Livestock Manure Nitrogen (kt N)

Activity	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Managed Manure N Applied to Tier 3 Cropland and Non-federal Grasslands[a,b]	957	887	1,123	1,083	1,089	1,071	1,070	1,075	1,055	1,152	1,108	1,187	1,211	1,211	1,211	1,211	1,211
Managed Manure N Applied to Tier 1 Cropland[C]	1,453	1,645	1,487	1,521	1,553	1,585	1,511	1,545	1,647	1,576	1,599	1,499	1,469	1,498	1,526	1,519	1,519
Managed Manure N Applied to Grasslands	53	55	72	72	71	72	68	68	68	67	68	71	72	72	72	72	72
Pasture, Range, & Paddock Manure N	4,266	4,533	4,149	4,143	4,146	4,155	4,106	4,133	4,174	4,061	4,009	3,978	3,931	3,843	3,743	3,672	3,672
Total	**6,729**	**7,121**	**6,831**	**6,818**	**6,860**	**6,883**	**6,755**	**6,822**	**6,944**	**6,856**	**6,782**	**6,735**	**6,683**	**6,625**	**6,552**	**6,475**	**6,475**

[a] Accounts for N volatilized and leached/runoff during treatment, storage and transport before soil application.
[b] Includes managed manure and daily spread manure amendments
[c] Totals may not sum exactly due to rounding.

Table A-224: Crop Residue N and Other N Inputs to Tier 3 Crops as Simulated by DAYCENT (kt N)

Activity	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Residue N[a]	4,345	4,785	4,728	4,624	4,693	4,834	4,286	4,648	4,446	4,565	4,455	4,487	4,875	4,875	4,875	4,875	4,875
Mineralization & Asymbiotic Fixation	13,323	13,089	12,766	13,177	12,887	13,319	14,414	13,236	12,957	13,508	13,362	14,016	15,254	15,254	15,255	15,255	15,255

[a] Residue N inputs include unharvested fixed N from legumes as well as crop residue N.

Table A-225: Synthetic Fertilizer N Added to Tier 1 Crops (kt N)

Activity	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Fertilizer N	1,263	1,389	1,309	1,213	1,344	1,569	1,541	1,438	1,579	1,573	1,424	1,289	1,574	2,003	2,153	1,710	1,663

Table A-226: Other Organic Commercial Fertilizer Consumption on Agricultural Lands (kt N)

Activity	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Other Commercial Organic Fertilizer N[a]	4	10	9	7	8	8	9	10	12	15	12	10	10	11	11	10	10

[a] Includes dried blood, tankage, compost, other. Excludes dried manure and sewage sludge used as commercial fertilizer to avoid double counting.

Table A-227: Sewage Sludge Nitrogen by Disposal Practice (kt N)

Disposal Practice	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Applied to Agricultural Soils	52	68	84	86	89	91	94	98	101	104	107	110	113	116	119	122	122
Other Land Application	25	28	30	30	30	30	30	31	31	32	32	32	32	33	33	33	33
Surface Disposal	20	16	10	9	8	6	5	5	4	4	3	3	3	2	2	2	2
Total	**97**	**111**	**124**	**125**	**127**	**128**	**130**	**134**	**137**	**140**	**142**	**145**	**148**	**151**	**153**	**156**	**156**

Note: Totals may not sum due to independent rounding.

Table A-228: Key Assumptions for Crop Production in the Tier 1 Method

Crop	Dry Matter Fraction of Harvested Product	Above-ground Residue Slope	Above-ground Residue Intercept	Ratio of Below-ground Residue to Above-ground Biomass	Residue N Fraction Above-ground	Residue N Fraction Below-ground
Alfalfa	0.9	0.29	0	0.4	0.027	0.019
Artichokes	0.16	0.3	0	0.2	0.006	0.009
Asparagus	0.07	0.5	0	0.2	0.006	0.009
Barley	0.89	0.98	0.59	0.22	0.007	0.014
Beans	0.91	1.13	0.85	0.19	0.008	0.008
Beets	0.22	0.1	1.06	0.2	0.019	0.014
Broccoli	0.09	0.1	0	0.11	0.006	0.009
Brussels sprouts	0.14	0.1	0	0.11	0.0093	0.0093
Cabbage	0.08	0.1	0	0.11	0.006	0.009
Cantaloup	0.06	1.77	0	0.04	0.006	0.009
Carrots	0.13	0.46	0.02	0.15	0.019	0.014
Cauliflower	0.08	0.1	0	0.11	0.006	0.009
Celery	0.05	0.23	0	0.11	0.006	0.009
Collard greens	0.08	0.1	0	0.11	0.006	0.009
Corn	0.87	1.03	0.61	0.22	0.006	0.007
Corn silage	0.3	0.3	0	0.22	0.006	0.007
Cotton	0.93	1.49	4.41	0.13	0.012	0.007
Cucumbers	0.04	1.77	0	0.03	0.006	0.009
Dry beans	0.9	0.36	0.68	0.19	0.01	0.01
Eggplant	0.08	1.77	0	0.04	0.006	0.009
Escarole	0.94	1.07	1.54	0.2	0.016	0.014
Flaxseed	0.88	1.09	0.88	0.22	0.006	0.009
Garlic	0.11	0.23	0	0.15	0.019	0.014
Hay	0.9	0.2075	0	0.505	0.018	0.01375
Honeydew	0.06	1.77	0	0.04	0.006	0.009
Kale greens	0.08	0.1	0	0.11	0.006	0.009
Lentils	0.91	1.13	0.85	0.2	0.019	0.014
Lettuce	0.04	0.1	0	0.11	0.006	0.009
Millet	0.88	1.09	0.88	0.22	0.006	0.009
Mustard greens	0.08	0.1	0	0.11	0.006	0.009
Oats	0.89	0.91	0.89	0.25	0.007	0.008
Okra	0.1	1.4	0	0.14	0.006	0.009
Onions	0.12	0.23	0	0.14	0.019	0.014
Peanuts	0.94	1.07	1.54	0.2	0.016	0.014
Peas	0.91	1.13	0.85	0.05	0.011	0.008

Peppermint Oil	0.09	1	0	1.48	0.008	0.008
Peppers	0.08	1.4	0	0.14	0.006	0.009
Potatoes	0.22	0.1	1.06	0.2	0.019	0.014
Pumpkins	0.1	1.77	0	0.04	0.006	0.009
Radishes	0.05	1.21	0.46	0.15	0.019	0.014
Rapeseed	0.88	1.09	0.88	0.22	0.006	0.009
Rice	0.89	0.95	2.46	0.16	0.007	0.009
Rye	0.88	1.09	0.88	0.22	0.006	0.009
Safflower	0.88	1.09	0.88	0.22	0.006	0.009
Sorghum	0.89	0.88	1.33	0.22	0.007	0.006
Sorghum silage	0.3	0.3	0	0.22	0.007	0.006
Soybeans	0.91	0.93	1.35	0.19	0.008	0.008
Spearmint Oil	0.09	1	0	1.48	0.008	0.008
Spinach	0.08	0.9	0	0.11	0.006	0.009
Squash	0.05	1.57	0	0.04	0.006	0.009
Sugarcane	0.25	0.41	0	0.16	0.007	0.005
Sunflower	0.88	1.09	0.88	0.22	0.006	0.009
Sweet potatoes	0.35	0.27	1.74	0.15	0.019	0.014
Tobacco	0.87	0.3	0	0.4	0.008	0.018
Tomatoes	0.05	0.59	0.57	0.19	0.006	0.009
Turnip greens	0.08	0.1	0	0.11	0.006	0.009
Watermelon	0.085	1.77	0	0.04	0.006	0.009
Wheat	0.89	1.51	0.52	0.24	0.006	0.009

Table A-229: Nitrogen in Crop Residues Retained on Soils Producing Crops not Simulated by DAYCENT (kt N)

Crop Type	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007
Alfalfa	68,294	61,587	41,929	34,943	34,573	34,231	35,115	34,279	30,769	30,213
Artichokes	4	4	4	4	4	4	3	2	3	3
Asparagus	5	5	5	5	4	4	5	2	2	2
Barley	5,900	4,574	3,165	2,228	2,487	2,517	1,454	1,969	1,405	1,822
Beans	1,888	1,881	1,836	1,697	1,706	1,623	1,832	1,020	1,233	1,170
Beets	1,795	2,282	1,548	1,192	746	1,033	999	893	1,928	505
Broccoli	18	18	18	17	16	17	18	10	12	12
Brussels sprouts	1	1	1	1	0	0	0	0	0	0
Cabbage	22	22	21	21	19	17	20	11	13	13
Cantaloup	103	102	91	95	89	90	92	50	57	58
Carrots	403	401	345	345	298	324	335	195	208	200
Cauliflower	6	6	6	5	5	5	5	3	4	4
Celery	16	16	14	15	14	15	16	9	10	10
Collard greens	0	0	1	1	0	0	0	0	0	0
Corn	157,096	111,997	109,000	99,081	96,451	101,376	120,332	107,939	106,560	118,834
Corn silage	9,419	8,109	6,779	6,513	4,855	6,431	6,867	5,223	5,754	5,751
Cotton	40,634	52,686	52,456	57,126	51,494	50,597	48,554	55,583	52,681	38,979
Cucumbers	72	72	63	61	60	59	62	32	36	38
Dry beans	2,264	2,099	1,576	1,205	1,671	1,300	1,155	913	1,019	1,006
Eggplant	5	5	9	10	0	0	0	0	0	0
Escarole	91	90	128	129	0	0	0	0	0	0
Flaxseed	1,234	732	2,064	2,055	1,925	1,079	694	819	846	481
Garlic	29	29	25	27	25	28	25	13	14	13
Hay	124,991	115,116	88,222	85,416	76,725	80,977	81,141	76,822	68,257	70,885
Honeydew	24	24	21	20	20	21	21	10	12	12
Kale greens	0	0	1	1	0	0	0	0	0	0
Lentils	267	563	661	634	566	585	985	720	617	564
Lettuce	40	40	39	39	38	41	44	23	29	26
Millet	3,898	3,992	1,278	2,609	597	1,040	829	463	596	978
Mustard greens	0	0	1	1	0	0	0	0	0	0
Oats	3,848	2,151	1,287	847	1,203	1,579	1,528	1,487	1,745	1,303
Okra	0	0	1	1	0	0	0	0	0	0
Onions	378	376	354	344	323	349	423	210	247	261

Peanuts	13,885	11,663	9,748	10,315	8,565	11,914	14,400	13,586	13,023	13,702
Peas	308	526	348	387	484	554	1,163	854	1,003	1,087
Peppermint Oil	1	2	1	1	1	1	1	1	1	1
Peppers	113	113	112	109	99	110	122	68	78	74
Potatoes	4,344	3,843	2,931	2,577	2,886	3,216	4,156	3,555	3,945	5,490
Pumpkins	71	70	64	59	56	55	73	44	51	54
Radishes	0	0	17	19	0	0	0	0	0	0
Rapeseed	79	15	17	12	10	2	12	2	1	2
Rice	6,719	4,601	9,910	7,407	8,515	7,120	8,577	7,541	7,033	6,454
Rye	2,541	2,603	1,390	1,060	878	735	477	274	422	427
Safflower	984	892	695	623	674	736	713	788	794	694
Sorghum	7,944	5,731	4,118	4,517	3,458	4,036	3,253	1,867	1,505	2,000
Sorghum silage	873	504	387	185	162	117	104	217	14	38
Soybeans	72,959	54,617	51,834	55,888	52,456	48,123	59,561	60,651	57,665	53,229
Spearmint Oil	1	0	0	0	0	0	0	0	0	0
Spinach	21	21	24	21	22	25	30	19	18	17
Squash	29	29	27	24	26	22	23	14	17	13
Sugarcane	19,061	12,147	15,376	15,019	15,472	15,441	17,283	16,033	18,923	16,296
Sunflower	415	504	251	431	433	379	247	152	247	209
Sweet potatoes	437	424	409	424	352	431	462	254	305	328
Tobacco	12,508	11,597	8,865	8,073	7,305	5,978	5,911	3,458	5,808	5,644
Tomatoes	587	584	575	517	584	518	654	324	392	429
Turnip greens	0	0	1	1	0	0	0	0	0	0
Watermelon	251	250	221	242	222	221	226	129	164	149
Wheat	40,306	30,994	26,666	22,252	21,399	26,664	22,829	23,199	17,851	21,863
Total	**607,182**	**510,710**	**446,941**	**426,852**	**399,973**	**411,740**	**442,832**	**421,735**	**403,316**	**401,341**

Crop Type	2008	2009	2010	2011	2012	2013	2014
Alfalfa	30,605	29,463	29,711	29,711	26,300	28,309	29,095
Artichokes	3	4	3	4	3	3	3
Asparagus	2	2	1	2	2	2	1
Barley	1,781	2,443	2,622	2,495	2,426	2,565	2,610
Beans	1,239	1,440	1,257	1,419	1,289	1,256	1,166
Beets	1,238	1,030	1,339	1,198	1,397	1,364	1,328
Broccoli	13	15	13	16	15	17	16
Brussels sprouts	0	0	0	0	0	0	0
Cabbage	14	15	14	16	13	16	15
Cantaloup	57	68	59	77	58	67	52
Carrots	214	232	208	264	232	258	244
Cauliflower	4	5	4	5	4	5	5
Celery	11	13	12	15	13	12	12
Collard greens	0	0	0	0	0	0	0
Corn	111,272	117,718	104,642	101,834	86,596	108,517	116,268
Corn silage	5,893	5,795	5,790	5,520	4,710	5,640	6,030
Cotton	38,123	29,267	39,439	39,193	40,317	39,522	39,712
Cucumbers	39	47	40	45	40	41	37
Dry beans	1,047	1,261	1,409	1,152	1,485	1,234	1,406
Eggplant	0	0	0	0	0	0	0
Escarole	0	0	0	0	0	0	0
Flaxseed	475	545	577	278	533	214	434
Garlic	14	15	13	19	16	16	15
Hay	70,656	69,663	66,882	64,680	58,899	64,128	67,431
Honeydew	11	13	12	15	11	13	13
Kale greens	0	0	0	0	0	0	0
Lentils	437	1,087	1,458	1,099	1,024	972	631
Lettuce	25	29	28	36	31	30	28

Millet	875	517	574	672	270	913	716
Mustard greens	0	0	0	0	0	0	0
Oats	1,234	1,338	1,553	1,426	1,493	1,544	1,610
Okra	0	0	0	0	0	0	0
Onions	259	314	272	356	288	302	295
Peanuts	13,798	15,525	11,364	11,550	13,620	13,092	12,895
Peas	949	1,444	1,081	596	941	1,393	1,439
Peppermint Oil	1	1	1	1	1	1	1
Peppers	78	100	82	109	91	91	91
Potatoes	4,295	2,984	5,073	5,056	5,130	5,181	5,242
Pumpkins	53	55	57	73	69	69	76
Radishes	0	0	0	0	0	0	0
Rapeseed	0	2	4	4	6	2	3
Rice	6,910	6,964	6,813	7,074	7,414	7,592	7,498
Rye	547	457	420	489	496	415	428
Safflower	842	633	567	453	469	432	549
Sorghum	1,602	1,225	1,132	919	866	985	1,081
Sorghum silage	102	207	38	31	36	43	39
Soybeans	53,300	58,487	57,209	55,863	54,070	57,660	60,801
Spearmint Oil	0	0	0	0	0	0	0
Spinach	19	26	19	26	20	23	22
Squash	15	19	15	21	19	17	15
Sugarcane	13,743	13,828	11,800	12,669	13,471	12,754	13,207
Sunflower	186	268	131	128	133	126	132
Sweet potatoes	350	433	470	686	563	557	622
Tobacco	5,380	4,430	5,023	4,346	5,349	4,797	5,462
Tomatoes	435	586	473	599	526	543	577
Turnip greens	0	0	0	0	0	0	0
Watermelon	168	196	187	210	177	190	157
Wheat	26,921	27,396	25,467	24,219	25,510	25,960	24,262
Total	**395,233**	**397,604**	**385,359**	**376,667**	**356,441**	**388,884**	**403,771**

Step 1e: Additional Activity Data for Indirect N_2O Emissions

A portion of the N that is applied as synthetic fertilizer, livestock manure, sewage sludge, and other organic amendments volatilizes as NH_3 and NO_x. In turn, this N is returned to soils through atmospheric deposition, thereby increasing mineral N availability and enhancing N_2O production. Additional N is lost from soils through leaching as water percolates through a soil profile and through runoff with overland water flow. N losses from leaching and runoff enter groundwater and waterways, from which a portion is emitted as N_2O. However, N leaching is assumed to be an insignificant source of indirect N_2O in cropland and grassland systems where the amount of precipitation plus irrigation does not exceed 80 percent of the potential evapotranspiration. These areas are typically semi-arid to arid, and nitrate leaching to groundwater is a relatively uncommon event; moreover IPCC (2006) recommends limiting the amount of nitrate leaching assumed to be a source of indirect N_2O emissions based on precipitation, irrigation and potential evapotranspiration.

The activity data for synthetic fertilizer, livestock manure, other organic amendments, residue N inputs, sewage sludge N, and other N inputs are the same as those used in the calculation of direct emissions from agricultural mineral soils, and may be found in Table A-222 through Table A-227, and Table A-229.

Using the DAYCENT model, volatilization and leaching/surface run-off of N from soils is computed internally for crops and non-federal grasslands in the Tier 3 method. DAYCENT simulates the processes leading to these losses of N based on environmental conditions (i.e., weather patterns and soil characteristics), management impacts (e.g., plowing, irrigation, harvest), and soil N availability. Note that the DAYCENT model accounts for losses of N from all anthropogenic activity, not just the inputs of N from mineral fertilization and organic amendments, which are addressed in the Tier 1 methodology. Similarly, the N available for producing indirect emissions resulting from grassland management as well as deposited PRP manure is also estimated by DAYCENT. Estimated leaching losses of N from DAYCENT are not used in

the indirect N_2O calculation if the amount of precipitation plus irrigation did not exceed 80 percent of the potential evapotranspiration. Volatilized losses of N are summed for each day in the annual cycle to provide an estimate of the amount of N subject to indirect N_2O emissions. In addition, the daily losses of N through leaching and runoff in overland flow are summed for the annual cycle. Uncertainty in the estimates is derived from uncertainties in the activity data for the N inputs (i.e., fertilizer and organic amendments; see Step 1a for further information).

The Tier 1 method is used to estimate N losses from mineral soils due to volatilization and leaching/runoff for crops, sewage sludge applications, and PRP manure on federal grasslands, which is simulated by DAYCENT. To estimate volatilized losses, synthetic fertilizers, manure, sewage sludge, and other organic N inputs are multiplied by the fraction subject to gaseous losses using the respective default values of 0.1 kg N/kg N added as mineral fertilizers and 0.2 kg N/kg N added as manure (IPCC 2006). Uncertainty in the volatilized N ranges from 0.03-0.3 kg NH_3-N+NO_x-N/kg N for synthetic fertilizer and 0.05-0.5 kg NH_3-N+NO_x-N/kg N for organic amendments (IPCC 2006). Leaching/runoff losses of N are estimated by summing the N additions from synthetic and other organic fertilizers, manure, sewage sludge, and above- and below-ground crop residues, and then multiplying by the default fraction subject to leaching/runoff losses of 0.3 kg N/kg N applied, with an uncertainty from 0.1–0.8 kg NO_3-N/kg N (IPCC 2006). However, N leaching is assumed to be an insignificant source of indirect N_2O emissions if the amount of precipitation plus irrigation did not exceed 80 percent of the potential evapotranspiration. PDFs are derived for each of the N inputs in the same manner as direct N_2O emissions, discussed in Steps 1a and 1c.

Volatilized N is summed for losses from croplands and grasslands. Similarly, the annual amounts of N lost from soil profiles through leaching and surface runoff are summed to obtain the total losses for this pathway.

Step 2: Estimate Soil Organic C Stock Changes, Direct N_2O Emissions from Mineral Soils, and CH_4 Emissions from Rice Cultivation

In this step, soil organic C stock changes, N_2O emissions, and CH_4 emissions from rice cultivation are estimated for cropland and non-federal grasslands. Three methods are used to estimate soil organic C stock changes, direct N_2O emissions from mineral soils, and CH_4 emissions from rice cultivation. The DAYCENT process-based model is used for the croplands and non-federal grasslands included in the Tier 3 method. A Tier 2 method is used to estimate soil organic C stock changes for crop histories that included crops that were not simulated by DAYCENT and land use change other than conversions between cropland and grassland. A Tier 1 methodology is used to estimate N_2O emissions from crops that are not simulated by DAYCENT, PRP manure N deposition on federal grasslands, and CH_4 emissions from rice cultivation. Soil organic C stock changes and N_2O emissions are not estimated for federal grasslands (other than the effect of PRP manure N), but are under evaluation as a planned improvement and may be estimated in future inventories.

Step 2a: Estimate Soil Organic C Stock Changes, N_2O Emissions, and CH_4 emissions for Crops and Non-Federal Grassland with the Tier 3 DAYCENT Model

Crops that are simulated with DAYCENT include alfalfa hay, barley, corn, cotton, dry beans, grass hay, grass-clover hay, oats, onions, peanuts, potatoes, rice, sorghum, soybeans, sugar beets, sunflowers, tomatoes, and wheat, which combined represent approximately 87 to 90 percent of total cropland in the U.S. The DAYCENT simulations also included all non-federal grasslands in the U.S.

The methodology description is divided into two sub-steps. First, the model is used to establish the initial conditions and C stocks for 1979, which is the last year before the NRI survey is initiated. In the second sub-step, DAYCENT is used to estimate changes in soil organic C stocks, direct N_2O emissions, and CH_4 emissions from rice cultivation based on the land-use and management histories recorded in the NRI from 1990 through 2010 (USDA-NRCS 2013).

Simulate Initial Conditions (Pre-NRI Conditions): DAYCENT model initialization involves two steps, with the goal of estimating the most accurate stock for the pre-NRI history, and the distribution of organic C among the pools represented in the model (e.g., Structural, Metabolic, Active, Slow, and Passive). Each pool has a different turnover rate (representing the heterogeneous nature of soil organic matter), and the amount of C in each pool at any point in time influences the forward trajectory of the total soil organic C storage. There is currently no national set of soil C measurements that can be used for establishing initial conditions in the model. Sensitivity analysis of the soil organic C algorithms showed that the rate of change of soil organic matter is relatively insensitive to the *amount* of total soil organic C but is highly sensitive to the relative *distribution* of C among different pools (Parton et al. 1987). By simulating the historical land use prior to the inventory period, initial pool distributions are estimated in an unbiased way.

The first step involves running the model to a steady-state condition (e.g., equilibrium) under native vegetation, historical climate data based on the PRISM product (1981 through 2010), and the soil physical attributes for the NRI points.

Native vegetation is represented at the MLRA level for pre-settlement time periods in the United States. The model simulates 5,000 years in the pre-settlement era in order to achieve a steady-state condition.

The second step is to simulate the period of time from European settlement and expansion of agriculture to the beginning of the NRI survey, representing the influence of historic land-use change and management, particularly the conversion of native vegetation to agricultural uses. This encompasses a varying time period from land conversion (depending on historical settlement patterns) to 1979. The information on historical cropping practices used for DAYCENT simulations has been gathered from a variety of sources, ranging from the historical accounts of farming practices reported in the literature (e.g., Miner 1998) to national level databases (e.g., NASS 2004). A detailed description of the data sources and assumptions used in constructing the base history scenarios of agricultural practices can be found in Williams and Paustian (2005).

NRI History Simulations: After model initialization, DAYCENT is used to simulate the NRI land use and management histories from 1979 through 2010.[99] The simulations address the influence of soil management on direct N_2O emissions, soil organic C stock changes and losses of N from the profile through leaching/runoff and volatilization. The NRI histories identify the land use and land use change histories for the NRI survey locations, as well as cropping patterns and irrigation history (see Step 1a for description of the NRI data). The input data for the model simulations also include the PRISM weather dataset and SSURGO soils data, synthetic N fertilizer rates, managed manure amendments to cropland and grassland, manure deposition on grasslands (i.e., PRP), tillage histories and EVI data (See Step 1b for description of the inputs). The total number of DAYCENT simulations is over 18 million with a 100 repeated simulations (i.e., iterations) for each NRI point location in a Monte Carlo Analysis. The simulation system incorporates a dedicated MySQL database server and a 30-node parallel processing computer cluster. Input/output operations are managed by a set of run executive programs written in PERL.

The simulations for the NRI history are integrated with the uncertainty analysis. Evaluating uncertainty is an integral part of the analysis and includes three components: (1) uncertainty in the main activity data inputs affecting soil C and N_2O emissions (input uncertainty); (2) uncertainty in the model formulation and parameterization (structural uncertainty); and (3) uncertainty in the land-use and management system areas (scaling uncertainty) (Ogle et al. 2010; Del Grosso et al. 2010). For component 1, input uncertainty is evaluated for fertilization management, manure applications, and tillage, which are primary management activity data that are supplemental to the NRI observations and have significant influence on soil organic C dynamics, soil N_2O and CH_4 emissions. As described in Step 1b, PDFs are derived from surveys at the county scale for the inputs in most cases. In addition, uncertainty is included for predictions of EVI data that are needed to fill-data gaps and extend the time series (see Enhanced Vegetation Index in Step 1b). To represent uncertainty in all of these inputs, a Monte-Carlo Analysis is used with 100 iterations for each NRI point; random draws are made from PDFs for fertilizer, manure application, tillage, and EVI predictions. As described above, an adjustment factor is also selected from PDFs with normal densities to represent the dependence between manure amendments and N fertilizer application rates.

The second component deals with uncertainty inherent in model formulation and parameterization. This component is the largest source of uncertainty in the Tier 3 model-based inventory analysis, accounting for more than 80 percent of the overall uncertainty in the final estimates (Ogle et al. 2010; Del Grosso et al. 2010). An empirically-based procedure is applied to develop a structural uncertainty estimator from the relationship between modeled results and field measurements from agricultural experiments (Ogle et al. 2007). For soil organic C, the DAYCENT model is evaluated with measurements from 84 long-term field experiments that have over 900 treatment observations, representing a variety of management conditions (e.g., variation in crop rotation, tillage, fertilization rates, and manure amendments). There are 27 experimental sites available with 93 treatment observations to evaluate structural uncertainty in the N_2O emission predictions from DAYCENT (Del Grosso et al. 2010). There are 10 experiments with 126 treatment observations for CH_4 emissions from rice cultivation,. The inputs to the model are essentially known in the simulations for the long-term experiments, and, therefore, the analysis is designed to evaluate uncertainties associated with the model structure (i.e., model algorithms and parameterization). USDA is developing a national soil monitoring network to evaluate the Inventory in the future (Spencer et al. 2011).

The relationship between modeled soil organic C stocks and field measurements are statistically analyzed using linear-mixed effect modeling techniques. Additional fixed effects are included in the mixed effect model if they explained

[99] The estimated soil C stock change in 2010 is currently assumed to represent the changes between 2011 and 2014. More recent data will be incorporated in the future to extend the time series of land use and management data.

significant variation in the relationship between modeled and measured stocks (i.e., if they met an alpha level of 0.05 for significance). Several variables are tested, including land-use class; type of tillage; cropping system; geographic location; climate; soil texture; time since the management change; original land cover (i.e., forest or grassland); grain harvest as predicted by the model compared to the experimental values; and variation in fertilizer and residue management. The final cropland model includes variables for modeled soil organic C inclusion of hay/pasture in cropping rotations, use of no-till, set-aside lands, organic matter amendments, and inclusion of bare fallow in the rotation, which are significant at an alpha level of 0.05. The final grassland model only included the model soil organic C. These fixed effects are used to make an adjustment to modeled values due to biases that are creating significant mismatches between the modeled and measured stocks. For soil N_2O, simulated DAYCENT emissions are a highly significant predictor of the measurements, with a p-value of <0.01. Several other variables are considered in the statistical model to evaluate if DAYCENT exhibits bias under certain conditions related to climate, soil types, and management practices. Random effects are included in the model to capture the dependence in time series and data collected from the same site, which are needed to estimate appropriate standard deviations for parameter coefficients. For rice CH_4 emissions, simulated DAYCENT emissions are a significant predictor of measured emission, similar to the results for soil N_2O emissions. Several other variables are tested including soil characteristics, geographic location (i.e., state), and management practices (e.g., with and without winter flooding). The only other significant variable is geographic location because the model does not predict emissions as accurately for California as other rice-producing states. Random effects are included to capture the dependence in time series and the data collected from the same site.

A Monte Carlo approach is used to apply the uncertainty estimator (Ogle et al. 2010). Parameter values for the statistical equation (i.e., fixed effects) are selected from their joint probability distribution, as well as random error associated with fine-scale estimates at NRI points, and the residual or unexplained error associated with the linear mixed-effect model. The estimate and associated management information is then used as input into the equation, and adjusted values are computed for each C stock, N_2O and CH_4 emissions estimate. The variance of the adjusted estimates is computed from the 100 simulated values from the Monte Carlo analysis.

The third element is the uncertainty associated with scaling the DAYCENT results for each NRI point to the entire land base, using the expansion factors provided with the NRI survey dataset. The expansion factors represent the number of hectares associated with the land-use and management history for a particular point. This uncertainty is determined by computing the variances from a set of replicated weights for the expansion factor. For the land base that is simulated with the DAYCENT model, soil organic C stock changes are provided in Table A-230, soil N_2O emissions are provided in Table A-231, and rice cultivation CH_4 emissions in Table A-232.

Table A-230: Annual Change in Soil Organic Carbon Stocks (95% Confidence Interval) for the Land Base Simulated with the Tier 3 DAYCENT Model-Based Approach (MMT CO_2 Eq.)

	Cropland Remaining Cropland		Land Converted to Cropland		Grassland Remaining Grassland		Land Converted to Grassland	
Year	**Estimate**	**95% CI**	**Estimate**	**95% CI**	**Estimate**	**95% CI**	**Estimate**	**95% CI**
1990	(56.17)	(85.13) to (27.20)	12.91	3.82 to 21.99	(17.87)	(57.42) to 21.68	(3.81)	(8.28) to 0.67
1991	(57.01)	(88.19) to (25.83)	12.80	3.55 to 22.05	(8.44)	(41.12) to 24.25	(4.72)	(9.42) to (0.01)
1992	(58.33)	(92.79) to (23.88)	13.63	3.30 to 23.96	(9.35)	(42.21) to 23.52	(4.67)	(9.62) to 0.29
1993	(37.09)	(70.77) to (3.40)	11.65	1.84 to 21.46	(8.68)	(46.74) to 29.38	(5.12)	(11.21) to 0.96
1994	(48.47)	(77.48) to (19.47)	6.47	(4.12) to 17.06	(24.18)	(66.44) to 18.08	(5.42)	(11.13) to 0.28
1995	(42.96)	(74.79) to (11.13)	13.62	3.64 to 23.61	(7.36)	(40.08) to 25.37	(5.61)	(11.06) to (0.16)
1996	(50.59)	(83.49) to (17.70)	11.18	0.04 to 22.33	(16.46)	(54.41) to 21.49	(5.96)	(11.88) to (0.04)
1997	(47.13)	(78.45) to (15.81)	13.12	2.94 to 23.30	(9.94)	(53.81) to 33.94	(6.64)	(12.54) to (0.74)
1998	(33.49)	(63.73) to (3.26)	7.63	(2.64) to 17.90	(13.09)	(50.63) to 24.44	(6.83)	(13.57) to (0.10)
1999	(46.54)	(80.91) to (12.17)	8.52	(3.15) to 20.18	(3.76)	(43.22) to 35.69	(6.88)	(14.67) to 0.91
2000	(51.06)	(79.03) to (23.10)	8.41	(2.24) to 19.06	(19.22)	(52.43) to 13.99	(7.14)	(15.49) to 1.21
2001	(50.85)	(83.65) to (18.06)	8.16	(2.66) to 18.99	(8.46)	(51.41) to 34.49	(7.63)	(15.88) to 0.62
2002	(42.21)	(72.67) to (11.75)	8.62	(0.84) to 18.08	(6.80)	(42.05) to 28.46	(8.16)	(16.50) to 0.18
2003	(32.95)	(64.45) to (1.45)	7.68	(2.02) to 17.37	(5.15)	(45.88) to 35.58	(7.31)	(16.01) to 1.39
2004	(38.11)	(69.39) to (6.84)	6.93	(3.16) to 17.03	(7.38)	(45.0) to 30.24	(8.01)	(17.05) to 1.03
2005	(37.19)	(69.31) to (5.07)	7.94	(1.98) to 17.86	(5.26)	(44.04) to 33.52	(7.23)	(16.46) to 2.0
2006	(37.66)	(71.38) to (3.95)	7.94	(2.31) to 18.18	(14.35)	(55.71) to 27.01	(7.98)	(16.23) to 0.27
2007	(37.76)	(69.09) to (6.44)	5.84	(3.94) to 15.62	1.26	(34.83) to 37.35	(7.87)	(16.75) to 1.0
2008	(29.74)	(63.12) to 3.64	6.79	(1.73) to 15.31	(8.44)	(47.35) to 30.48	(8.13)	(17.73) to 1.47
2009	(22.33)	(51.73) to 7.07	5.77	(5.39) to 16.93	(10.79)	(45.11) to 23.53	(7.98)	(16.89) to 0.94
2010	(22.03)	(56.14) to 12.07	6.65	(3.93) to 17.23	(9.05)	(46.92) to 28.82	(7.67)	(16.74) to 1.39
2011	(36.74)	(68.95) to (4.53)	4.54	(5.34) to 14.42	1.06	(35.84) to 37.95	(7.16)	(15.94) to 1.61
2012	(36.75)	(68.95) to (4.54)	4.54	(5.34) to 14.42	1.08	(35.81) to 37.97	(7.16)	(15.94) to 1.61

2013	(36.75)	(68.95) to (4.54)	4.54	(5.34) to 14.42	1.08	(35.81) to 37.97	(7.16)	(15.94) to 1.61
2014	(36.75)	(68.95) to (4.54)	4.54	(5.34) to 14.42	1.08	(35.81) to 37.97	(7.16)	(15.94) to 1.61

Note: Estimates after 2010 are based on NRI data from 2010 and therefore do not fully reflect changes occurring in the latter part of the time series.

Table A-231: Annual N_2O Emissions (95% Confidence Interval) for the Land Base Simulated with the Tier 3 DAYCENT Model-Based Approach (MMT CO_2 Eq.)

	Tier 3 Cropland		Non-Federal Grasslands	
Year	**Estimate**	**95% CI**	**Estimate**	**95% CI**
1990	153.1	144.73 to 164.12	65.6	61.13 to 72.06
1991	150.0	142.04 to 160.62	66.2	61.67 to 72.46
1992	151.0	142.84 to 161.88	66.6	62.37 to 72.78
1993	153.1	144.62 to 164.31	66.2	61.92 to 72.23
1994	149.0	141.15 to 159.37	62.5	58.41 to 68.26
1995	149.5	141.58 to 160.1	64.1	59.93 to 69.93
1996	149.9	141.91 to 160.64	66.5	62 to 72.93
1997	149.9	141.91 to 160.56	67.1	62.78 to 73.07
1998	160.0	151.21 to 171.61	72.0	67.3 to 78.85
1999	151.2	143.17 to 161.89	62.1	58.28 to 67.58
2000	155.9	147.86 to 166.29	63.7	59.41 to 70.08
2001	152.9	144.92 to 163.37	64.3	60.03 to 70.38
2002	152.9	144.94 to 163.33	67.1	62.54 to 73.77
2003	155.6	147.63 to 166.14	66.9	62.5 to 73.33
2004	164.9	156.33 to 176.14	77.4	72.13 to 85.08
2005	155.2	147.08 to 165.93	66.4	62.24 to 72.41
2006	155.1	147.08 to 165.62	68.4	63.93 to 74.82
2007	162.1	153.37 to 173.56	70.6	65.83 to 77.54
2008	156.0	147.76 to 166.86	67.8	63.45 to 74.11
2009	156.8	148.59 to 167.66	70.7	66.05 to 77.44
2010	166.5	157.5 to 178.49	70.3	65.83 to 76.87
2011	165.7	156.83 to 177.23	70.5	65.81 to 77.27
2012	165.9	157.07 to 177.48	70.4	65.71 to 77.16
2013	165.2	156.36 to 176.72	70.4	65.71 to 77.16
2014	165.2	156.36 to 176.72	70.4	65.71 to 77.16

Table A-232: Annual CH_4 Emissions (95% Confidence Interval) for Rice Cultivation Simulated with the Tier 3 DAYCENT Model-Based Approach (MMT CO_2 Eq.)

Year	Estimate	95% CI
1990	12.08	10.55 to 13.61
1991	11.93	10.57 to 13.28
1992	11.91	10.18 to 13.64
1993	12.65	11.18 to 14.13
1994	9.32	8.37 to 10.27
1995	12.41	10.78 to 14.04
1996	11.45	10.02 to 12.87
1997	10.47	9.37 to 11.57
1998	12.39	9.94 to 14.85
1999	11.37	8.04 to 14.71
2000	12.83	10.21 to 15.45
2001	10.97	8.87 to 13.06
2002	14.01	9.98 to 18.03
2003	9.87	8.55 to 11.20
2004	9.70	7.78 to 11.63
2005	11.93	10.26 to 13.60
2006	9.25	7.74 to 10.76
2007	8.95	6.93 to 10.98
2008	7.84	6.21 to 9.46
2009	9.88	6.81 to 12.95
2010	10.71	8.78 to 12.64
2011	10.71	8.76 to 12.66
2012	10.72	8.79 to 12.65
2013	10.71	8.78 to 12.64

2014	10.72	8.79 to 12.65

In DAYCENT, the model cannot distinguish among the original sources of N after the mineral N enters the soil pools, and therefore it is not possible to determine which management activity led to specific N_2O emissions. This means, for example, that N_2O emissions from applied synthetic fertilizer cannot be separated from emissions due to other N inputs, such as crop residues. It is desirable, however, to report emissions associated with specific N inputs. Thus, for each NRI point, the N inputs in a simulation are determined for anthropogenic practices discussed in IPCC (2006), including synthetic mineral N fertilization, organic amendments, and crop residue N added to soils (including N-fixing crops). The percentage of N input for anthropogenic practices is divided by the total N input, and this proportion is used to determine the amount of N_2O emissions assigned to each of the practices.[100] For example, if 70 percent of the mineral N made available in the soil is due to mineral fertilization, then 70 percent of the N_2O emissions are assigned to this practice. The remainder of soil N_2O emissions is reported under "other N inputs," which includes mineralization due to decomposition of soil organic matter and litter, as well as asymbiotic N fixation from the atmosphere. Asymbiotic N fixation by soil bacteria is a minor source of N, typically not exceeding 10 percent of total N inputs to agroecosystems. Mineralization of soil organic matter is a more significant source of N, but is still typically less than half of the amount of N made available in the cropland soils compared to application of synthetic fertilizers and manure amendments, along with symbiotic fixation. Mineralization of soil organic matter accounts for the majority of available N in grassland soils. Accounting for the influence of "other N inputs" is necessary in order to meet the recommendation for reporting all emissions from managed lands (IPCC 2006). While this method allows for attribution of N_2O emissions to the individual N inputs to the soils, it is important to realize that sources such as synthetic fertilization may have a larger impact on N_2O emissions than would be suggested by the associated level of N input for this source (Delgado et al. 2009). Further research will be needed to improve upon this attribution method, however. The results associated with subdividing the N_2O emissions based on N inputs are provided in Table A-233 and Table A-234.

[100] This method is a simplification of reality to allow partitioning of N_2O emissions, as it assumes that all N inputs have an identical chance of being converted to N_2O. This is unlikely to be the case, but DAYCENT does not track N_2O emissions by source of mineral N so this approximation is the only approach that can be used currently for partitioning N_2O emissions by source of N input. Moreover, this approach is similar to the IPCC Tier 1 method (IPCC 2006), which uses the same direct emissions factor for most N sources (e.g., PRP). Further research and model development may allow for other approaches in the future.

Table A-233: Direct N_2O Emissions from Cropland Soils (MMT CO_2 Eq.)

N Source	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Total Mineral Soils	**168.6**	**165.5**	**166.2**	**167.2**	**168.0**	**166.2**	**168.4**	**167.2**	**177.7**	**169.0**	**171.1**	**167.7**	**168.4**	**172.4**	**181.3**	**171.2**	**172.2**	**178.8**	**172.1**	**171.8**	**182.6**	**183.9**	**184.9**	**182.2**	**182.0**
Tier 3 Cropland	**153.1**	**150.0**	**151.0**	**153.1**	**149.0**	**149.5**	**149.9**	**149.9**	**160.0**	**151.2**	**155.9**	**152.9**	**152.9**	**155.6**	**164.9**	**155.2**	**155.1**	**162.1**	**156.0**	**156.8**	**166.5**	**165.7**	**165.9**	**165.2**	**165.2**
Synthetic Fertilizer	53.3	53.0	55.2	53.4	54.3	50.8	53.8	53.3	53.5	50.7	55.6	52.9	54.0	53.8	56.6	54.7	54.9	58.2	55.0	53.9	51.9	51.6	51.7	51.5	51.5
Managed Manure	5.0	4.9	5.3	5.1	5.0	4.5	4.9	4.9	5.1	5.8	6.0	5.6	5.7	5.6	5.8	5.6	5.6	6.1	5.8	6.2	6.5	6.4	6.4	6.4	6.4
Residue N[a]	23.1	25.5	23.2	23.9	22.9	25.1	24.3	24.2	23.4	26.8	25.5	24.3	24.9	25.7	23.4	24.6	24.2	24.4	23.7	23.4	26.0	25.8	25.8	25.7	25.7
Mineralization and Asymbiotic Fixation	71.6	66.6	67.3	70.7	66.8	69.1	67.0	67.5	78.0	67.9	68.7	70.1	68.3	70.5	79.1	70.3	70.3	73.3	71.5	73.4	82.2	81.8	82.0	81.6	81.6
Tier 1 Cropland	**15.6**	**15.4**	**15.1**	**14.2**	**19.0**	**16.6**	**18.4**	**17.3**	**17.8**	**17.7**	**15.2**	**14.8**	**15.5**	**16.7**	**16.4**	**16.0**	**17.1**	**16.7**	**16.1**	**15.0**	**16.1**	**18.2**	**19.0**	**17.0**	**16.8**
Synthetic Fertilizer	5.9	5.8	5.6	4.9	9.0	6.5	8.6	7.4	7.9	8.8	6.1	5.7	6.3	7.3	7.2	6.7	7.4	7.4	6.7	6.0	7.4	9.4	10.1	8.0	7.8
Managed Manure and Other Organic Commercial Fertilizer	6.8	7.0	6.9	6.8	7.3	7.8	7.5	7.6	7.6	6.9	7.0	7.2	7.3	7.5	7.1	7.3	7.8	7.5	7.5	7.1	6.9	7.1	7.2	7.2	7.2
Residue N	2.8	2.6	2.7	2.4	2.7	2.4	2.4	2.3	2.2	2.1	2.1	2.0	1.9	1.9	2.1	2.0	1.9	1.9	1.9	1.9	1.8	1.8	1.7	1.8	1.9
Organic Soils	**3.2**	**3.2**	**3.2**	**3.1**	**3.1**	**3.2**	**3.2**	**3.2**	**3.2**	**3.2**	**3.2**	**3.3**	**3.3**	**3.2**	**3.2**	**3.2**	**3.2**	**3.2**	**3.1**	**3.0**	**3.0**	**3.0**	**3.0**	**3.0**	**3.0**
Total*	**171.9**	**168.7**	**169.3**	**170.4**	**171.1**	**169.4**	**171.6**	**170.3**	**180.9**	**172.2**	**174.3**	**171.0**	**171.7**	**175.6**	**184.5**	**174.4**	**175.3**	**182.0**	**175.2**	**174.8**	**185.7**	**186.9**	**187.9**	**185.2**	**185.0**

+ Does not exceed 0.05 MMT CO_2 Eq.

[a] Residue N inputs include unharvested fixed N from legumes as well as crop residue N.

Table A-234: Direct N_2O Emissions from Grasslands (MMT CO_2 Eq.)

N Source	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Total Mineral Soils	**70.3**	**70.4**	**71.4**	**71.9**	**69.0**	**71.5**	**74.4**	**73.7**	**77.8**	**66.8**	**68.3**	**68.2**	**70.8**	**71.2**	**81.3**	**71.0**	**74.0**	**75.6**	**73.1**	**75.9**	**75.5**	**74.9**	**74.0**	**73.3**	**73.3**
Tier 3	**65.6**	**66.2**	**66.6**	**66.2**	**62.5**	**64.1**	**66.5**	**67.1**	**72.0**	**62.1**	**63.7**	**64.3**	**67.1**	**66.9**	**77.4**	**66.4**	**68.4**	**70.6**	**67.8**	**70.7**	**70.3**	**70.5**	**70.4**	**70.4**	**70.4**
Synthetic Fertilizer	1.1	1.1	1.1	1.2	1.1	1.1	1.1	1.1	1.4	1.1	1.2	1.2	1.3	1.3	1.5	1.3	1.4	1.3	1.3	1.4	1.3	1.2	1.2	1.2	1.4
PRP Manure	8.9	8.8	8.8	8.5	8.5	8.2	8.5	8.3	9.3	8.0	8.4	8.5	8.9	8.6	9.6	8.2	8.5	8.4	8.0	8.3	7.9	8.0	8.0	8.0	8.0
Managed Manure	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	0.2
Residue N[a]	19.7	20.1	20.3	20.5	18.3	20.3	19.8	20.4	20.2	20.3	19.3	19.8	20.1	20.2	20.9	21.0	20.3	21.5	20.7	20.3	21.8	21.7	21.7	21.7	21.6
Mineralization and Asymbiotic Fixation	35.8	36.1	36.3	35.8	34.4	34.4	36.9	37.1	41.1	32.5	34.7	34.6	36.7	36.6	45.3	35.8	38.0	39.3	37.7	40.5	39.1	39.4	39.4	39.4	39.2
Tier 1	**4.7**	**4.2**	**4.8**	**5.7**	**6.6**	**7.4**	**7.8**	**6.7**	**5.8**	**4.7**	**4.5**	**3.9**	**3.7**	**4.3**	**3.8**	**4.6**	**5.6**	**5.0**	**5.4**	**5.3**	**5.1**	**4.4**	**3.5**	**2.9**	**2.9**
PRP Manure	4.5	4.0	4.5	5.4	6.2	7.1	7.5	6.3	5.4	4.3	4.1	3.5	3.3	3.9	3.4	4.1	5.1	4.5	4.9	4.8	4.6	3.8	3.0	2.3	2.3
Sewage Sludge	0.2	0.3	0.3	0.3	0.3	0.3	0.3	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.6	0.6	0.6
Organic Soils	**2.9**	**2.8**	**2.8**	**2.8**	**2.9**	**2.9**	**2.9**	**2.8**	**2.8**	**2.8**	**2.8**	**3.0**	**3.0**	**2.9**	**2.9**	**2.9**	**2.8**	**2.8**	**2.8**	**2.7**	**2.7**	**2.7**	**2.7**	**2.7**	**2.7**
Total	**73.2**	**73.2**	**74.2**	**74.7**	**71.9**	**74.3**	**77.2**	**76.5**	**80.6**	**69.6**	**71.1**	**71.1**	**73.8**	**74.1**	**84.1**	**73.9**	**76.8**	**78.4**	**75.9**	**78.7**	**78.1**	**77.6**	**76.6**	**76.0**	**76.0**

+ Does not exceed 0.05 MMT CO_2 Eq.

[a] Residue N inputs include unharvested fixed N from legumes as well as crop residue N.

Step 2b: Soil N_2O Emissions from Agricultural Lands on Mineral Soils Approximated with the Tier 1 Approach

To estimate direct N_2O emissions from N additions to crops in the Tier 1 method, the amount of N in applied synthetic fertilizer, manure and other commercial organic fertilizers (i.e., dried blood, tankage, compost, and other) is added to N inputs from crop residues, and the resulting annual totals are multiplied by the IPCC default emission factor of 0.01 kg N_2O-N/kg N (IPCC 2006) (see Table A-233). The uncertainty is determined based on simple error propagation methods (IPCC 2006). The uncertainty in the default emission factor ranges from 0.3–3.0 kg N_2O-N/kg N (IPCC 2006). For flooded rice soils, the IPCC default emission factor is 0.003 kg N_2O-N/kg N and the uncertainty range is 0.000–0.006 kg N_2O-N/kg N (IPCC 2006).[101] Uncertainties in the emission factor and fertilizer additions are combined with uncertainty in the equations used to calculate residue N additions from above- and below-ground biomass dry matter and N concentration to derive overall uncertainty.

The Tier 1 method is also used to estimate emissions from manure N deposited by livestock on federal lands (i.e., PRP manure N), and from sewage sludge application to grasslands. These two sources of N inputs to soils are multiplied by the IPCC (2006) default emission factors (0.01 kg N_2O-N/kg N for sludge and horse, sheep, and goat manure, and 0.02 kg N_2O-N/kg N for cattle, swine, and poultry manure) to estimate N_2O emissions (Table A-234). The uncertainty is determined based on the Tier 1 error propagation methods provided by the IPCC (2006) with uncertainty in the default emission factor ranging from 0.007 to 0.06 kg N_2O-N/kg N (IPCC 2006).

Step 2c: Soil CH_4 Emissions from Agricultural Lands Approximated with the Tier 1 Approach To estimate CH_4 emissions from rice cultivation for the Tier 1 method, an adjusted daily emission factor is calculated using the default baseline emission factor of 1.30 kg CH_4 ha^{-1} d^{-1} (ranging 0.8-2.2 kg CH_4 ha^{-1} d^{-1}) multiplied by a scaling factor for the cultivation water regime, pre-cultivation water regime and a scaling factor for organic amendments (IPCC 2006). The water regime during cultivation is continuously flooded for rice production in the United States and so the scaling factor is always 1 (ranging from 0.79 to 1.26). The pre-season water regime varies based on the proportion of land with winter flooding; land that does not have winter flooding is assigned a value of 0.68 (ranging from 0.58 to 0.80) and areas with winter flooding are assigned a value of 1 (ranging from 0.88 to 1.14). Organic amendments are estimated based on the amount of rice straw and multiplied by 1 (ranging 0.97 to 1.04) for straw incorporated greater than 30 days before cultivation, and by 0.29 (0.2 to 0.4) for straw incorporated greater than 30 days before cultivation. The adjusted daily emission factor is multiplied by the cultivation period and harvested area to estimate the total CH_4 emissions. The uncertainty is propagated through the calculation using an Approach 2 method with a Monte Carlo simulation (IPCC 2006), combining uncertainties associated with the calculation of the adjusted daily emission factor and the harvested areas derived from the USDA NRI survey data.

Step 2d: Soil Organic C Stock Changes in Agricultural Lands on Mineral Soils Approximated with the Tier 2 Approach

Mineral soil organic C stock values are derived for crop rotations that were not simulated by DAYCENT and land converted from non-agricultural land uses to cropland or grassland from 1990-2010, based on the land-use and management activity data in conjunction with appropriate reference C stocks, land-use change, management, input, and wetland restoration factors. Each input to the inventory calculations for the Tier 2 approach has some level of uncertainty that is quantified in PDFs, including the land-use and management activity data, reference C stocks, and management factors. A Monte Carlo Analysis is used to quantify uncertainty in soil organic C stock changes for the inventory period based on uncertainty in the inputs. Input values are randomly selected from PDFs in an iterative process to estimate SOC change for 50,000 times and produce a 95 percent confidence interval for the inventory results.

Derive Mineral Soil Organic C Stock Change Factors: Stock change factors representative of U.S. conditions are estimated from published studies (Ogle et al. 2003; Ogle et al. 2006). The numerical factors quantify the impact of changing land use and management on SOC storage in mineral soils, including tillage practices, cropping rotation or intensification, and land conversions between cultivated and native conditions (including set-asides in the Conservation Reserve Program). Studies from the United States and Canada are used in this analysis under the assumption that they would best represent management impacts for the Inventory.

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[101] Due to lack of data, uncertainties in managed manure N production, PRP manure N production, other commercial organic fertilizer amendments, indirect losses of N in the DAYCENT simulations, and sewage sludge amendments to soils are currently treated as certain; these sources of uncertainty will be included in future Inventories.

The IPCC inventory methodology for agricultural soils divides climate into eight distinct zones based upon average annual temperature, average annual precipitation, and the length of the dry season (IPCC 2006) (Table A-235). Seven of these climate zones occur in the conterminous United States and Hawaii (Eve et al. 2001).

Table A-235: Characteristics of the IPCC Climate Zones that Occur in the United States

Climate Zone	Annual Average Temperature (˚C)	Average Annual Precipitation (mm)	Length of Dry Season (months)
Cold Temperate, Dry	< 10	< Potential Evapotranspiration	NA
Cold Temperate, Moist	< 10	≥ Potential Evapotranspiration	NA
Warm Temperate, Dry	10 – 20	< 600	NA
Warm Temperate, Moist	10 – 20	≥ Potential Evapotranspiration	NA
Sub-Tropical, Dry[a]	> 20	< 1,000	Usually long
Sub-Tropical, Moist (w/short dry season)[a]	> 20	1,000 – 2,000	< 5

[a] The climate characteristics listed in the table for these zones are those that correspond to the tropical dry and tropical moist zones of the IPCC. They have been renamed "sub-tropical" here.

Mean precipitation and temperature (1950-2000) variables from the WorldClim data set (Hijmans et al. 2005)) and potential evapotranspiration data from the Consortium for Spatial Information (CGIAR-CSI) (Zomer et al. 2008; Zomer et al. 2007) are used to classify climate zones (Figure A-15). IPCC climate zones are assigned to NRI point locations.

Soils are classified into one of seven classes based upon texture, morphology, and ability to store organic matter (IPCC 2006). Six of the categories are mineral types and one is organic (i.e., *Histosol)*. Reference C stocks, representing estimates from conventionally managed cropland, are computed for each of the mineral soil types across the various climate zones, based on pedon (i.e., soil) data from the National Soil Survey Characterization Database (NRCS 1997) (Table A-236). These stocks are used in conjunction with management factors to estimate the change in SOC stocks that result from management and land-use activity. PDFs, which represent the variability in the stock estimates, are constructed as normal densities based on the mean and variance from the pedon data. Pedon locations are clumped in various parts of the country, which reduces the statistical independence of individual pedon estimates. To account for this lack of independence, samples from each climate by soil zone are tested for spatial autocorrelation using the Moran's I test, and variance terms are inflated by 10 percent for all zones with significant p-values.

Figure A-15: IPCC Climate Zones

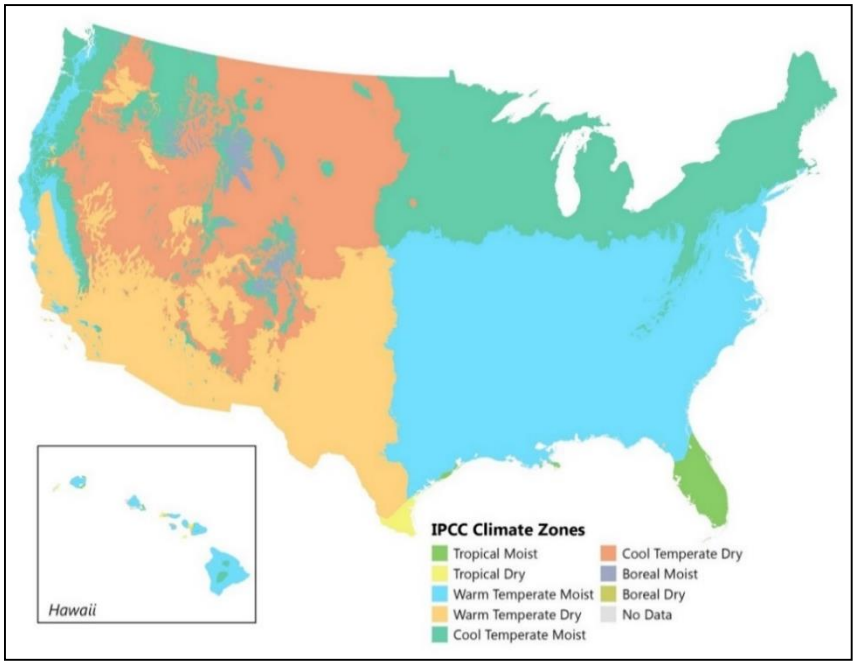


Table A-236: U.S. Soil Groupings Based on the IPCC Categories and Dominant Taxonomic Soil, and Reference Carbon Stocks (Metric Tons C/ha)

		Reference Carbon Stock in Climate Regions					
IPCC Inventory Soil Categories	**USDA Taxonomic Soil Orders**	**Cold Temperate, Dry**	**Cold Temperate, Moist**	**Warm Temperate, Dry**	**Warm Temperate, Moist**	**Sub-Tropical, Dry**	**Sub-Tropical, Moist**
High Clay Activity Mineral Soils	Vertisols, Mollisols, Inceptisols, Aridisols, and high base status Alfisols	42 (n = 133)	65 (n = 526)	37 (n = 203)	51 (n = 424)	42 (n = 26)	57 (n = 12)
Low Clay Activity Mineral Soils	Ultisols, Oxisols, acidic Alfisols, and many Entisols	45 (n = 37)	52 (n = 113)	25 (n = 86)	40 (n = 300)	39 (n = 13)	47 (n = 7)
Sandy Soils	Any soils with greater than 70 percent sand and less than 8 percent clay (often Entisols)	24 (n = 5)	40 (n = 43)	16 (n = 19)	30 (n = 102)	33 (n = 186)	50 (n = 18)
Volcanic Soils	Andisols	124 (n = 12)	114 (n = 2)	124 (n = 12)	124 (n = 12)	124 (n = 12)	128 (n = 9)
Spodic Soils	Spodosols	86 (n=20)	74 (n = 13)	86 (n=20)	107 (n = 7)	86 (n=20)	86 (n=20)
Aquic Soils	Soils with Aquic suborder	86 (n = 4)	89 (n = 161)	48 (n = 26)	51 (n = 300)	63 (n = 503)	48 (n = 12)
Organic Soils[a]	Histosols	NA	NA	NA	NA	NA	NA

[a] C stocks are not needed for organic soils.

Notes: C stocks are for the top 30 cm of the soil profile, and are estimated from pedon data available in the National Soil Survey Characterization database (NRCS 1997); sample size provided in parentheses (i.e., 'n' values refer to sample size).

To estimate the land use, management and input factors, studies had to report SOC stocks (or information to compute stocks), depth of sampling, and the number of years since a management change to be included in the analysis. The data are analyzed using linear mixed-effect modeling, accounting for both fixed and random effects. Fixed effects included depth, number of years since a management change, climate, and the type of management change (e.g., reduced tillage vs. no-till). For depth increments, the data are not aggregated for the C stock measurements; each depth increment (e.g., 0-5 cm, 5-10 cm, and 10-30 cm) is included as a separate point in the dataset. Similarly, time series data are not aggregated in these datasets. Linear regression models assume that the underlying data are independent observations, but this is not the case with data from the same experimental site, or plot in a time series. These data are more related to each other than data from other sites (i.e., not independent). Consequently, random effects are needed to account for the dependence in time series data and the dependence among data points representing different depth increments from the same study. Factors are estimated for the effect of management practices at 20 years for the top 30 cm of the soil (Table A-237). Variance is calculated for each of the U.S. factor values, and used to construct PDFs with a normal density. In the IPCC method, specific factor values are given for improved grassland, high input cropland with organic amendments, and for wetland rice, each of which influences C stock changes in soils. Specifically, higher stocks are associated with increased productivity and C inputs (relative to native grassland) on improved grassland with both medium and high input.[102] Organic amendments in annual cropping systems also increase SOC stocks due to greater C inputs, while high SOC stocks in rice cultivation are associated with reduced decomposition due to periodic flooding. There are insufficient field studies to derive factor values for these systems from the published literature, and, thus, estimates from IPCC (2006) are used under the assumption that they would best approximate the impacts, given the lack of sufficient data to derive U.S.-specific factors. A measure of uncertainty is provided for these factors in IPCC (2006), which is used to construct PDFs.

[102] Improved grasslands are identified in the 2010 *National Resources Inventory* as grasslands that are irrigated or seeded with legumes, in addition to those reclassified as improved with manure amendments.

Table A-237: Soil Organic Carbon Stock Change Factors for the United States and the IPCC Default Values Associated with Management Impacts on Mineral Soils

		U.S. Factor			
	IPCC default	Warm Moist Climate	Warm Dry Climate	Cool Moist Climate	Cool Dry Climate
Land-Use Change Factors					
Cultivated[a]	1	1	1	1	1
General Uncult.[a,b] (n=251)	1.4	1.42±0.06	1.37±0.05	1.24±0.06	1.20±0.06
Set-Asidea (n=142)	1.25	1.31±0.06	1.26±0.04	1.14±0.06	1.10±0.05
Improved Grassland Factors					
Medium Input	1.1	1.14±0.06	1.14±0.06	1.14±0.06	1.14±0.06
High Input	NA	1.11±0.04	1.11±0.04	1.11±0.04	1.11±0.04
Wetland Rice Production Factor[b]	1.1	1.1	1.1	1.1	1.1
Tillage Factors					
Conv. Till	1	1	1	1	1
Red. Till (n=93)	1.05	1.08±0.03	1.01±0.03	1.08±0.03	1.01±0.03
No-till (n=212)	1.1	1.13±0.02	1.05±0.03	1.13±0.02	1.05±0.03
Cropland Input Factors					
Low (n=85)	0.9	0.94±0.01	0.94±0.01	0.94±0.01	0.94±0.01
Medium	1	1	1	1	1
High (n=22)	1.1	1.07±0.02	1.07±0.02	1.07±0.02	1.07±0.02
High with amendment[b]	1.2	1.38±0.06	1.34±0.08	1.38±0.06	1.34±0.08

[a] Factors in the IPCC documentation (IPCC 2006) are converted to represent changes in SOC storage from a cultivated condition rather than a native condition.
[b] U.S.-specific factors are not estimated for land improvements, rice production, or high input with amendment because of few studies addressing the impact of legume mixtures, irrigation, or manure applications for crop and grassland in the United States, or the impact of wetland rice production in the US. Factors provided in IPCC (2006) are used as the best estimates of these impacts.
Note: The "n" values refer to sample size.

Wetland restoration management also influences SOC storage in mineral soils, because restoration leads to higher water tables and inundation of the soil for at least part of the year. A stock change factor is estimated assessing the difference in SOC storage between restored and unrestored wetlands enrolled in the Conservation Reserve Program (Euliss and Gleason 2002), which represents an initial increase of C in the restored soils over the first 10 years (Table A-238). A PDF with a normal density is constructed from these data based on results from a linear regression model. Following the initial increase of C, natural erosion and deposition leads to additional accretion of C in these wetlands. The mass accumulation rate of organic C is estimated using annual sedimentation rates (cm/yr) in combination with percent organic C, and soil bulk density (g/cm^3) (Euliss and Gleason 2002). Procedures for calculation of mass accumulation rate are described in Dean and Gorham (1998); the resulting rate and standard deviation are used to construct a PDF with a normal density (Table A-238).

Table A-238: Rate and standard deviation for the Initial Increase and Subsequent Annual Mass Accumulation Rate (Mg C/ha-yr) in Soil Organic C Following Wetland Restoration of Conservation Reserve Program

Variable	Value
Factor (Initial Increase—First 10 Years)	1.22±0.18
Mass Accumulation (After Initial 10 Years)	0.79±0.05

Note: Mass accumulation rate represents additional gains in C for mineral soils after the first 10 years (Euliss and Gleason 2002).

Estimate Annual Changes in Mineral Soil Organic C Stocks: In accordance with IPCC methodology, annual changes in mineral soil C are calculated by subtracting the beginning stock from the ending stock and then dividing by 20.[103] For this analysis, stocks are estimated for each year and difference between years is the stock change. From the final distribution of 50,000 values, a 95 percent confidence interval is generated based on the simulated values at the 2.5 and 97.5 percentiles in the distribution (Ogle et al. 2003). Soil organic C stock changes are provided in Table A-239 through Table A-244.

[103] The difference in C stocks is divided by 20 because the stock change factors represent change over a 20-year time period.

Step 2e: Estimate Additional Changes in Soil Organic C Stocks Due to CRP Enrollment after 2010 and Sewage Sludge Amendments

There are two additional land use and management activities in U.S. agricultural lands that are not estimated in Steps 2a and 2b. The first activity involves the application of sewage sludge to agricultural lands. Minimal data exist on where and how much sewage sludge is applied to U.S. agricultural soils, but national estimates of mineral soil land area receiving sewage sludge can be approximated based on sewage sludge N production data, and the assumption that amendments are applied at a rate equivalent to the assimilative capacity from Kellogg et al. (2000). It is assumed that sewage sludge for agricultural land application is applied to grassland because of the high heavy metal content and other pollutants found in human waste, which limits its application to crops. The impact of organic amendments on SOC is calculated as 0.38 metric tonnes C/ha-yr. This rate is based on the IPCC default method and country-specific factors (see Table A-237), by calculating the effect of converting nominal, medium-input grassland to high input improved grassland. The assumptions are that reference C stock are 50 metric tonnes C/ha, which represents a mid-range value of reference C stocks for the cropland soils in the United States,[104] that the land use factor for grassland of 1.4 and 1.11 for high input improved grassland are representative of typical conditions, and that the change in stocks are occurring over a 20 year (default value) time period (i.e., $[50 \times 1.4 \times 1.11 - 50 \times 1.4] / 20 = 0.38$). A nominal ±50 percent uncertainty is attached to these estimates due to limited information on application and the rate of change in soil C stock change with sewage sludge amendments. The influence of sewage sludge on soil organic C stocks are provided in Table A-245.

The second activity is the change in enrollment for the Conservation Reserve Program after 2010 for mineral soils. Relative to the enrollment in 2010, the total area in the Conservation Reserve Program has decreased from 2011 to 2014 (USDA-FSA 2014). An average annual change in SOC of 0.5 metric tonnes C/ha-yr is used to estimate the effect of the enrollment changes. This rate is based on the IPCC default method and country-specific factors (see Table A-237) by estimating the impact of setting aside a medium input cropping system in the Conservation Reserve Program. The assumptions are that reference C stock are 50 metric tonnes C/ha, which represents a mid-range value for the dominant cropland soils in the United States, and the average country-specific factor is 1.2 for setting-aside cropland from production, with the change in stocks occurring over a 20 year (default value) time period equal to 0.5 (i.e., $[50 \times 1.2 - 50] / 20 = 0.5$). A nominal ±50 percent uncertainty is attached to these estimates due to limited information about the enrollment trends at subregional scales, which creates uncertainty in the rate of soil C stock change (stock change factors for set-aside lands vary by climate region). Estimates are provided in Table A-252.

[104] Reference C stocks are based on cropland soils for the Tier 2 method applied in this Inventory.

Table A-239: Annual Change in Soil Organic Carbon Stocks (95% Confidence Interval) for the Non-Federal Cropland Land Base Estimated with the Tier 2 Analysis using U.S. Factor Values (MMT CO_2 Eq./yr)

Non-Federal Croplands:	Cropland Remaining Cropland		Grassland Converted to Cropland		Forest Converted to Cropland		Other Land Converted to Cropland		Settlements Converted to Cropland		Wetlands Converted to Cropland	
Year	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI
Mineral Soils												
1990	-6.13	(8.66) to (3.71)	1.31	(0.43) to 2.22	0.20	(0.07) to 0.34	0.16	(0.05) to 0.27	0.06	(0.02) to 0.11	0.11	(0.04) to 0.18
1991	-6.69	(9.39) to (4.11)	1.25	(0.43) to 2.23	0.18	(0.06) to 0.32	0.15	(0.05) to 0.26	0.06	(0.02) to 0.11	0.10	(0.03) to 0.18
1992	-6.69	(10.35) to (4.40)	1.31	(0.42) to 2.32	0.18	(0.06) to 0.31	0.16	(0.05) to 0.28	0.07	(0.02) to 0.12	0.10	(0.03) to 0.18
1993	-7.05	(10.12) to (4.05)	1.44	(0.41) to 2.50	0.17	(0.05) to 0.30	0.18	(0.05) to 0.32	0.07	(0.02) to 0.12	0.13	(0.04) to 0.22
1994	-6.91	(9.98) to (3.91)	1.44	(0.38) to 2.56	0.16	(0.04) to 0.28	0.20	(0.05) to 0.35	0.07	(0.02) to 0.13	0.14	(0.04) to 0.25
1995	-6.39	(9.26) to (3.59)	1.55	(0.38) to 2.68	0.17	(0.04) to 0.30	0.21	(0.05) to 0.36	0.07	(0.02) to 0.13	0.15	(0.04) to 0.27
1996	-6.04	(8.84) to (3.32)	1.77	(0.37) to 2.97	0.18	(0.04) to 0.30	0.24	(0.05) to 0.40	0.08	(0.02) to 0.14	0.17	(0.04) to 0.29
1997	-7.50	(11.01) to (4.13)	1.46	(0.32) to 2.65	0.14	(0.03) to 0.26	0.20	(0.04) to 0.36	0.06	(0.01) to 0.12	0.14	(0.03) to 0.25
1998	-7.20	(10.72) to (3.83)	1.50	(0.35) to 2.78	0.13	(0.03) to 0.24	0.20	(0.05) to 0.37	0.07	(0.02) to 0.13	0.14	(0.03) to 0.26
1999	-6.53	(9.82) to (3.38)	1.51	(0.27) to 2.80	0.12	(0.02) to 0.22	0.21	(0.04) to 0.39	0.07	(0.01) to 0.13	0.14	(0.02) to 0.26
2000	-6.96	(10.25) to (3.85)	1.41	(0.27) to 2.63	0.10	(0.02) to 0.18	0.21	(0.04) to 0.39	0.07	(0.01) to 0.13	0.13	(0.03) to 0.25
2001	-6.78	(9.99) to (3.77)	1.32	(0.26) to 2.54	0.08	(0.02) to 0.16	0.19	(0.04) to 0.37	0.06	(0.01) to 0.12	0.12	(0.02) to 0.24
2002	-6.68	(9.74) to (3.85)	1.12	(0.24) to 2.25	0.07	(0.01) to 0.13	0.16	(0.03) to 0.32	0.06	(0.01) to 0.11	0.10	(0.02) to 0.21
2003	-6.04	(8.92) to (3.36)	1.02	(0.23) to 2.07	0.06	(0.01) to 0.12	0.15	(0.03) to 0.30	0.05	(0.01) to 0.09	0.09	(0.02) to 0.19
2004	-5.43	(8.35) to (2.73)	1.14	(0.23) to 2.29	0.06	(0.01) to 0.12	0.16	(0.03) to 0.32	0.05	(0.01) to 0.10	0.10	(0.02) to 0.20
2005	-5.51	(8.26) to (2.97)	1.11	(0.24) to 2.24	0.06	(0.01) to 0.11	0.15	(0.03) to 0.30	0.05	(0.01) to 0.10	0.09	(0.02) to 0.19
2006	-4.58	(7.18) to (2.24)	1.34	(0.23) to 2.41	0.06	(0.01) to 0.12	0.19	(0.03) to 0.34	0.06	(0.01) to 0.11	0.11	(0.02) to 0.20
2007	-4.49	(6.96) to (2.29)	1.38	(0.21) to 2.41	0.04	(0.01) to 0.08	0.19	(0.03) to 0.33	0.07	(0.01) to 0.12	0.11	(0.02) to 0.19
2008	-3.85	(6.11) to (1.86)	1.37	(0.20) to 2.34	0.04	(0.01) to 0.08	0.19	(0.03) to 0.33	0.06	(0.01) to 0.11	0.10	(0.01) to 0.17
2009	-3.85	(6.09) to (1.89)	1.23	(0.18) to 2.18	0.04	(0.01) to 0.07	0.18	(0.03) to 0.31	0.05	(0.01) to 0.09	0.08	(0.01) to 0.15
2010	-3.90	(6.13) to (1.94)	1.24	(0.17) to 2.15	0.04	(0.01) to 0.07	0.18	(0.02) to 0.32	0.06	(0.01) to 0.11	0.08	(0.01) to 0.15
2011	-3.60	(5.71) to (1.80)	1.24	(0.17) to 2.16	0.04	(0.01) to 0.07	0.18	(0.02) to 0.32	0.06	(0.01) to 0.11	0.09	(0.01) to 0.15
2012	-3.26	(5.31) to (1.53)	1.25	(0.16) to 2.17	0.04	(0.01) to 0.07	0.18	(0.02) to 0.32	0.06	(0.01) to 0.11	0.09	(0.01) to 0.15
2013	-3.08	(5.10) to (1.37)	1.26	(0.16) to 2.18	0.04	(0.01) to 0.07	0.19	(0.02) to 0.32	0.06	(0.01) to 0.11	0.09	(0.01) to 0.15
2014	-3.11	(5.14) to (1.40)	1.27	(0.16) to 2.18	0.04	(0.01) to 0.07	0.19	(0.02) to 0.32	0.06	(0.01) to 0.11	0.09	(0.01) to 0.15

Note: Estimates after 2010 are based on NRI data from 2010 and therefore do not fully reflect changes occurring in the latter part of the time series.

Table A-240: Annual Change in Soil Organic Carbon Stocks (95% Confidence Interval) for the Federal Cropland Land Base Estimated with the Tier 2 Analysis using U.S. Factor Values (MMT CO_2 Eq./yr)

Federal Croplands:	Cropland Remaining Cropland		Grassland Converted to Cropland		Forest Converted to Cropland		Other Land Converted to Cropland		Settlements Converted to Cropland		Wetlands Converted to Cropland	
Year	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI
Mineral Soils												
1990	-0.02	(0.06) to 0.01	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1991	-0.04	(0.09) to 0.01	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1992	-0.04	(0.15) to 0.03	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1993	-0.09	(0.19) to 0.01	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1994	-0.10	(0.23) to 0.03	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1995	-0.10	(0.23) to 0.03	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1996	-0.10	(0.23) to 0.03	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1997	-0.23	(0.43) to (0.03)	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1998	-0.11	(0.20) to (0.02)	0.05	0.03 to 0.08	0.01	0.0 to 0.01	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
1999	-0.10	(0.19) to (0.03)	0.05	0.03 to 0.08	0.01	0.0 to 0.01	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2000	-0.10	(0.18) to (0.03)	0.05	0.03 to 0.08	0.01	0.0 to 0.01	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2001	-0.10	(0.18) to (0.03)	0.05	0.03 to 0.08	0.01	0.0 to 0.01	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2002	-0.09	(0.17) to (0.03)	0.05	0.03 to 0.08	0.01	0.0 to 0.01	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2003	-0.10	(0.18) to (0.03)	0.05	0.03 to 0.08	0.01	0.0 to 0.01	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2004	-0.11	(0.18) to (0.04)	0.05	0.03 to 0.08	0.01	0.0 to 0.01	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2005	-0.10	(0.17) to (0.04)	0.06	0.03 to 0.09	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2006	-0.10	(0.17) to (0.04)	0.06	0.03 to 0.09	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2007	-0.10	(0.17) to (0.04)	0.06	0.03 to 0.09	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2008	-0.10	(0.17) to (0.04)	0.06	0.03 to 0.09	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2009	-0.09	(0.15) to (0.04)	0.06	0.03 to 0.09	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2010	-0.09	(0.14) to (0.04)	0.06	0.03 to 0.09	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2011	-0.07	(0.12) to (0.04)	0.06	0.03 to 0.09	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2012	-0.06	(0.10) to (0.03)	0.06	0.03 to 0.09	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2013	-0.05	(0.08) to (0.03)	0.06	0.03 to 0.09	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07
2014	-0.06	(0.09) to (0.03)	0.06	0.03 to 0.09	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.05	0.02 to 0.07

Note: Estimates after 2010 are based on NRI data from 2010 and therefore do not fully reflect changes occurring in the latter part of the time series.

Table A-241: Annual Change in Soil Organic Carbon Stocks (95% Confidence Interval) for the Total Cropland Land Base Estimated with the Tier 2 Analysis using U.S. Factor Values (MMT CO_2 Eq./yr)

Total Croplands:	Cropland Remaining Cropland		Grassland Converted to Cropland		Forest Converted to Cropland		Other Land Converted to Cropland		Settlements Converted to Cropland		Wetlands Converted to Cropland	
Year	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI
Mineral Soils												
1990	-6.15	(8.68) to (3.74)	1.31	(0.43) to 2.22	0.20	(0.07) to 0.34	0.16	(0.05) to 0.27	0.06	(0.02) to 0.11	0.11	(0.04) to 0.18
1991	-6.73	(9.43) to (4.14)	1.25	(0.43) to 2.23	0.18	(0.06) to 0.32	0.15	(0.05) to 0.26	0.06	(0.02) to 0.11	0.10	(0.03) to 0.18
1992	-6.73	(10.41) to (4.46)	1.31	(0.42) to 2.32	0.18	(0.06) to 0.31	0.16	(0.05) to 0.28	0.07	(0.02) to 0.12	0.10	(0.03) to 0.18
1993	-7.14	(10.21) to (4.14)	1.44	(0.41) to 2.50	0.17	(0.05) to 0.30	0.18	(0.05) to 0.32	0.07	(0.02) to 0.12	0.13	(0.04) to 0.22
1994	-7.01	(10.08) to (4.01)	1.44	(0.38) to 2.56	0.16	(0.04) to 0.28	0.20	(0.05) to 0.35	0.07	(0.02) to 0.13	0.14	(0.04) to 0.25
1995	-6.49	(9.36) to (3.68)	1.55	(0.38) to 2.68	0.17	(0.04) to 0.30	0.21	(0.05) to 0.36	0.07	(0.02) to 0.13	0.15	(0.04) to 0.27
1996	-6.14	(8.94) to (3.41)	1.77	(0.37) to 2.97	0.18	(0.04) to 0.30	0.24	(0.05) to 0.40	0.08	(0.02) to 0.14	0.17	(0.04) to 0.29
1997	-7.72	(11.24) to (4.35)	1.46	(0.32) to 2.65	0.14	(0.03) to 0.26	0.20	(0.04) to 0.36	0.06	(0.01) to 0.12	0.14	(0.03) to 0.25
1998	-7.30	(10.83) to (3.93)	1.55	(0.30) to 2.83	0.14	(0.02) to 0.25	0.20	(0.05) to 0.37	0.07	(0.02) to 0.13	0.19	0.01 to 0.31
1999	-6.63	(9.92) to (3.49)	1.56	(0.22) to 2.85	0.12	(0.01) to 0.22	0.21	(0.04) to 0.39	0.07	(0.01) to 0.13	0.19	0.02 to 0.31
2000	-7.06	(10.35) to (3.95)	1.46	(0.22) to 2.69	0.10	(0.01) to 0.19	0.21	(0.04) to 0.39	0.07	(0.01) to 0.13	0.18	0.02 to 0.30
2001	-6.88	(10.09) to (3.87)	1.37	(0.20) to 2.60	0.09	(0.01) to 0.17	0.19	(0.04) to 0.38	0.06	(0.01) to 0.12	0.17	0.02 to 0.29
2002	-6.78	(9.84) to (3.94)	1.17	(0.19) to 2.30	0.07	(0.01) to 0.14	0.16	(0.03) to 0.32	0.06	(0.01) to 0.12	0.15	0.02 to 0.26
2003	-6.15	(9.02) to (3.46)	1.07	(0.18) to 2.12	0.07	(0.01) to 0.13	0.15	(0.03) to 0.30	0.05	(0.01) to 0.09	0.14	0.02 to 0.24
2004	-5.53	(8.46) to (2.83)	1.19	(0.17) to 2.34	0.07	(0.01) to 0.13	0.16	(0.03) to 0.32	0.05	(0.01) to 0.10	0.15	0.03 to 0.25
2005	-5.61	(8.37) to (3.07)	1.17	(0.18) to 2.29	0.06	(0.01) to 0.11	0.15	(0.03) to 0.30	0.05	(0.01) to 0.10	0.14	0.02 to 0.24
2006	-4.68	(7.28) to (2.34)	1.40	(0.18) to 2.46	0.07	(0.01) to 0.12	0.19	(0.03) to 0.34	0.06	(0.01) to 0.11	0.16	0.02 to 0.25
2007	-4.59	(7.06) to (2.39)	1.44	(0.16) to 2.46	0.04	(0.01) to 0.08	0.19	(0.03) to 0.33	0.07	(0.01) to 0.12	0.15	0.03 to 0.24
2008	-3.95	(6.21) to (1.96)	1.42	(0.14) to 2.39	0.04	(0.01) to 0.08	0.19	(0.03) to 0.33	0.06	(0.01) to 0.11	0.15	0.03 to 0.22
2009	-3.95	(6.18) to (1.98)	1.29	(0.13) to 2.24	0.04	(0.01) to 0.07	0.18	(0.03) to 0.31	0.05	(0.01) to 0.09	0.13	0.03 to 0.20
2010	-3.98	(6.22) to (2.02)	1.29	(0.11) to 2.21	0.04	0.0 to 0.07	0.18	(0.02) to 0.32	0.06	(0.01) to 0.11	0.13	0.03 to 0.20
2011	-3.68	(5.78) to (1.87)	1.30	(0.11) to 2.22	0.04	0.0 to 0.07	0.18	(0.02) to 0.32	0.06	(0.01) to 0.11	0.13	0.03 to 0.20
2012	-3.32	(5.37) to (1.59)	1.31	(0.11) to 2.23	0.04	0.0 to 0.07	0.19	(0.02) to 0.32	0.06	(0.01) to 0.11	0.13	0.03 to 0.20
2013	-3.13	(5.16) to (1.42)	1.32	(0.10) to 2.23	0.04	0.0 to 0.07	0.19	(0.02) to 0.32	0.06	(0.01) to 0.11	0.13	0.03 to 0.20
2014	-3.17	(5.20) to (1.45)	1.32	(0.10) to 2.24	0.04	0.0 to 0.07	0.19	(0.02) to 0.32	0.06	(0.01) to 0.11	0.13	0.03 to 0.20
Organic Soils												
1990	18.41	40.41 to 3.19	1.90	4.94 to 0.10	0.04	0.18 to 0.11	0.01	0.25 to 0.02	0.00	0.06 to 0.61	0.30	1.04 to 6.09
1991	18.07	39.89 to 3.20	1.91	4.91 to 0.10	0.04	0.18 to 0.11	0.01	0.25 to 0.02	0.00	0.06 to 0.62	0.30	1.04 to 5.99
1992	18.00	39.96 to 3.18	1.90	4.90 to 0.09	0.04	0.17 to 0.04	0.00	0.14 to 0.02	0.00	0.06 to 0.62	0.30	1.04 to 5.87
1993	17.70	39.55 to 3.13	1.86	4.82 to 0.09	0.04	0.16 to 0.09	0.00	0.23 to 0.03	0.01	0.07 to 0.74	0.39	1.18 to 5.82
1994	17.68	39.57 to 3.37	2.03	5.16 to 0.09	0.04	0.16 to 0.09	0.00	0.23 to 0.05	0.01	0.10 to 0.87	0.50	1.34 to 5.74
1995	17.68	39.81 to 3.71	2.20	5.71 to 0.09	0.04	0.16 to 0.09	0.00	0.23 to 0.04	0.01	0.09 to 0.90	0.53	1.38 to 5.59
1996	17.57	39.49 to 3.74	2.27	5.69 to 0.09	0.04	0.16 to 0.09	0.00	0.23 to 0.05	0.01	0.10 to 0.91	0.54	1.39 to 5.53
1997	17.47	39.47 to 3.78	2.29	5.73 to 0.08	0.04	0.15 to 0.09	0.00	0.23 to 0.04	0.01	0.09 to 0.91	0.54	1.39 to 5.40
1998	17.67	39.35 to 4.28	1.68	8.56 to 0.08	0.03	0.14 to 0.06	0.00	0.20 to 0.05	0.01	0.11 to 0.97	0.50	1.57 to 5.30

1999	17.18	38.38 to 4.40	1.78	8.72 to 0.07	0.03	0.13 to 0.06	0.00	0.20 to 0.05	0.01	0.11 to 0.94	0.47	1.53 to 5.18
2000	17.13	38.39 to 4.07	1.66	8.27 to 0.07	0.02	0.12 to 0.06	0.00	0.20 to 0.05	0.01	0.11 to 0.77	0.42	1.22 to 5.15
2001	17.60	39.65 to 5.45	1.71	12.90 to 0.06	0.02	0.12 to 0.06	0.00	0.20 to 0.05	0.01	0.11 to 0.80	0.45	1.24 to 4.72
2002	17.84	39.86 to 5.29	1.62	12.67 to 0.05	0.01	0.10 to 0.06	0.00	0.20 to 0.06	0.01	0.12 to 0.70	0.37	1.12 to 4.64
2003	18.02	40.19 to 5.14	1.53	12.37 to 0.04	0.01	0.09 to 0.06	0.00	0.20 to 0.06	0.01	0.11 to 0.62	0.34	0.97 to 4.55
2004	17.78	43.90 to 4.22	1.30	10.74 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.05	0.01	0.09 to 0.51	0.23	0.88 to 4.49
2005	17.80	43.72 to 4.18	1.29	10.66 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.07	0.02	0.14 to 0.51	0.23	0.87 to 4.46
2006	17.66	43.26 to 4.16	1.24	10.72 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.07	0.02	0.14 to 0.51	0.23	0.88 to 4.43
2007	17.62	40.81 to 4.03	1.16	10.57 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.07	0.02	0.14 to 0.51	0.23	0.87 to 4.43
2008	17.42	40.10 to 3.88	1.06	10.37 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.06	0.01	0.12 to 0.46	0.23	0.75 to 4.44
2009	17.69	41.11 to 3.68	0.87	10.06 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.06	0.01	0.13 to 0.43	0.21	0.71 to 4.42
2010	17.72	40.96 to 3.81	0.87	10.25 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.07	0.02	0.13 to 0.43	0.21	0.71 to 4.38
2011	17.74	41.22 to 3.81	0.88	10.37 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.08	0.02	0.15 to 0.43	0.21	0.71 to 4.36
2012	17.69	40.91 to 3.78	0.88	10.20 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.08	0.02	0.15 to 0.43	0.21	0.71 to 4.33
2013	17.77	40.93 to 3.81	0.89	10.27 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.08	0.02	0.15 to 0.43	0.21	0.71 to 4.33
2014	17.79	40.98 to 3.82	0.86	10.25 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.08	0.02	0.15 to 0.43	0.21	0.71 to 4.33

Note: Estimates after 2010 are based on NRI data from 2010 and therefore do not fully reflect changes occurring in the latter part of the time series.

Table A-242: Annual Change in Soil Organic Carbon Stocks (95% Confidence Interval) for the Non-Federal Grasslands Land Base Estimated with the Tier 2 Analysis using U.S. Factor Values (MMT CO_2 Eq./yr)

Non-Federal Grasslands:	Grassland Remaining Grassland		Cropland Converted to Grassland		Forest Converted to Grassland		Other Land Converted to Grassland		Settlements Converted to Grassland		Wetlands Converted to Grassland	
Year	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI
Mineral Soils												
1990	-0.27	(0.60) to 0.01	-3.14	(4.54) to (1.90)	-0.48	(0.69) to (0.29)	-0.62	(0.90) to (0.38)	-0.09	(0.13) to (0.05)	-0.47	(0.68) to (0.28)
1991	-0.27	(0.60) to 0.0	-3.07	(4.41) to (1.87)	-0.47	(0.68) to (0.29)	-0.64	(0.93) to (0.39)	-0.09	(0.13) to (0.06)	-0.59	(0.85) to (0.36)
1992	-0.27	(0.58) to 0.01	-2.97	(4.27) to (1.80)	-0.45	(0.64) to (0.27)	-0.68	(0.98) to (0.41)	-0.09	(0.14) to (0.06)	-0.61	(0.87) to (0.37)
1993	-0.26	(0.57) to 0.0	-3.30	(4.74) to (1.99)	-0.47	(0.67) to (0.28)	-0.83	(1.19) to (0.50)	-0.11	(0.16) to (0.07)	-0.64	(0.92) to (0.39)
1994	-0.24	(0.53) to 0.0	-3.41	(4.90) to (2.07)	-0.45	(0.65) to (0.27)	-0.95	(1.37) to (0.58)	-0.12	(0.17) to (0.07)	-0.65	(0.94) to (0.40)
1995	-0.24	(0.52) to 0.0	-3.25	(4.68) to (1.97)	-0.47	(0.68) to (0.29)	-0.98	(1.40) to (0.59)	-0.12	(0.17) to (0.07)	-0.62	(0.90) to (0.38)
1996	-0.24	(0.51) to 0.0	-3.05	(4.39) to (1.85)	-0.45	(0.65) to (0.27)	-0.94	(1.36) to (0.57)	-0.12	(0.18) to (0.07)	-0.61	(0.88) to (0.37)
1997	-0.20	(0.44) to 0.0	-2.90	(4.14) to (1.79)	-0.43	(0.61) to (0.26)	-0.99	(1.40) to (0.61)	-0.13	(0.18) to (0.08)	-0.61	(0.87) to (0.38)
1998	-0.15	(0.48) to 0.16	-3.19	(4.64) to (1.93)	-0.41	(0.60) to (0.25)	-1.07	(1.56) to (0.65)	-0.14	(0.21) to (0.09)	-0.60	(0.87) to (0.36)
1999	-0.08	(0.37) to 0.19	-2.87	(4.16) to (1.74)	-0.40	(0.57) to (0.24)	-1.07	(1.55) to (0.65)	-0.15	(0.22) to (0.09)	-0.59	(0.86) to (0.36)
2000	-0.08	(0.37) to 0.20	-3.06	(4.44) to (1.85)	-0.38	(0.55) to (0.23)	-1.16	(1.68) to (0.70)	-0.16	(0.23) to (0.10)	-0.61	(0.88) to (0.37)
2001	-0.07	(0.34) to 0.20	-2.89	(4.20) to (1.74)	-0.36	(0.53) to (0.22)	-1.19	(1.73) to (0.71)	-0.16	(0.24) to (0.10)	-0.59	(0.86) to (0.35)
2002	-0.05	(0.32) to 0.20	-2.64	(3.86) to (1.57)	-0.33	(0.49) to (0.20)	-1.12	(1.63) to (0.66)	-0.16	(0.24) to (0.10)	-0.54	(0.80) to (0.32)
2003	-0.05	(0.31) to 0.21	-2.40	(3.55) to (1.41)	-0.27	(0.39) to (0.16)	-1.04	(1.54) to (0.61)	-0.15	(0.22) to (0.09)	-0.52	(0.76) to (0.30)

2004	-0.04	(0.30) to 0.21	-2.67	(3.94) to (1.57)	-0.28	(0.41) to (0.16)	-1.09	(1.60) to (0.64)	-0.15	(0.23) to (0.09)	-0.55	(0.81) to (0.32)
2005	-0.06	(0.32) to 0.19	-2.46	(3.63) to (1.45)	-0.28	(0.41) to (0.16)	-1.08	(1.60) to (0.64)	-0.15	(0.23) to (0.09)	-0.53	(0.79) to (0.31)
2006	-0.06	(0.31) to 0.18	-2.05	(3.10) to (1.15)	-0.24	(0.36) to (0.13)	-0.95	(1.44) to (0.53)	-0.14	(0.21) to (0.08)	-0.47	(0.71) to (0.26)
2007	-0.04	(0.28) to 0.20	-1.73	(2.66) to (0.95)	-0.18	(0.27) to (0.10)	-0.89	(1.36) to (0.49)	-0.13	(0.20) to (0.07)	-0.43	(0.66) to (0.24)
2008	-0.01	(0.25) to 0.23	-1.58	(2.43) to (0.87)	-0.16	(0.24) to (0.09)	-0.85	(1.30) to (0.46)	-0.13	(0.19) to (0.07)	-0.33	(0.51) to (0.18)
2009	0.00	(0.24) to 0.24	-1.52	(2.34) to (0.83)	-0.16	(0.24) to (0.09)	-0.84	(1.29) to (0.46)	-0.12	(0.19) to (0.07)	-0.27	(0.41) to (0.15)
2010	0.01	(0.22) to 0.24	-1.45	(2.22) to (0.79)	-0.16	(0.24) to (0.09)	-0.83	(1.28) to (0.45)	-0.13	(0.19) to (0.07)	-0.23	(0.35) to (0.12)
2011	0.01	(0.21) to 0.24	-1.44	(2.21) to (0.78)	-0.16	(0.24) to (0.08)	-0.83	(1.28) to (0.45)	-0.13	(0.19) to (0.07)	-0.22	(0.34) to (0.12)
2012	0.02	(0.21) to 0.24	-1.43	(2.20) to (0.76)	-0.15	(0.24) to (0.08)	-0.82	(1.27) to (0.44)	-0.12	(0.19) to (0.07)	-0.22	(0.34) to (0.12)
2013	0.02	(0.21) to 0.25	-1.42	(2.19) to (0.76)	-0.15	(0.24) to (0.08)	-0.82	(1.26) to (0.44)	-0.12	(0.19) to (0.07)	-0.22	(0.34) to (0.12)
2014	0.02	(0.20) to 0.26	-1.42	(2.19) to (0.76)	-0.15	(0.24) to (0.08)	-0.82	(1.26) to (0.43)	-0.12	(0.19) to (0.07)	-0.22	(0.34) to (0.12)

Note: Estimates after 2010 are based on NRI data from 2010 and therefore do not fully reflect changes occurring in the latter part of the time series.

Table A-243: Annual Change in Soil Organic Carbon Stocks (95% Confidence Interval) for the Federal Grasslands Land Base Estimated with the Tier 2 Analysis using U.S. Factor Values (MMT CO_2 Eq./yr)

Federal Grasslands:	Grassland Remaining Grassland		Cropland Converted to Grassland		Forest Converted to Grassland		Other Land Converted to Grassland		Settlements Converted to Grassland		Wetlands Converted to Grassland	
Year	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI
Mineral Soils												
1990	-0.21	(8.71) to 9.11	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1991	-0.30	(9.01) to 8.48	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1992	-0.30	(9.83) to 7.79	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1993	-1.17	(10.81) to 7.36	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1994	-1.46	(11.45) to 6.94	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1995	-1.46	(11.63) to 6.81	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1996	-0.81	(10.24) to 6.85	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1997	-0.74	(10.05) to 7.06	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0	0.00	0.0 to 0.0
1998	-1.42	(12.70) to 6.88	-0.02	(0.05) to 0.0	-0.68	(1.55) to 0.13	-0.04	(0.10) to 0.01	0.00	0.0 to 0.0	-0.04	(0.09) to 0.01
1999	-1.27	(12.52) to 6.99	-0.02	(0.05) to 0.0	-0.69	(1.56) to 0.13	-0.04	(0.10) to 0.01	0.00	0.0 to 0.0	-0.04	(0.09) to 0.01
2000	-1.46	(11.84) to 6.24	-0.02	(0.05) to 0.0	-0.69	(1.55) to 0.12	-0.04	(0.10) to 0.01	0.00	0.0 to 0.0	-0.04	(0.09) to 0.01
2001	-1.45	(11.92) to 6.34	-0.02	(0.05) to 0.0	-0.68	(1.55) to 0.12	-0.04	(0.10) to 0.01	0.00	0.0 to 0.0	-0.04	(0.09) to 0.01
2002	-2.46	(13.81) to 6.87	-0.02	(0.05) to 0.0	-0.70	(1.50) to 0.05	-0.05	(0.10) to 0.0	0.00	0.0 to 0.0	-0.04	(0.09) to 0.0
2003	-2.51	(13.94) to 7.52	-0.02	(0.05) to 0.0	-0.69	(1.49) to 0.05	-0.04	(0.10) to 0.0	0.00	0.0 to 0.0	-0.04	(0.09) to 0.0
2004	-1.21	(10.83) to 8.60	-0.02	(0.05) to 0.0	-0.69	(1.49) to 0.06	-0.04	(0.10) to 0.0	0.00	0.0 to 0.0	-0.04	(0.09) to 0.0
2005	-1.27	(10.93) to 8.31	0.00	0.0 to 0.0	-0.71	(1.68) to 0.20	-0.01	(0.02) to 0.0	0.00	0.0 to 0.0	-0.02	(0.05) to 0.01
2006	-1.39	(11.27) to 8.31	0.00	0.0 to 0.0	-0.71	(1.68) to 0.19	-0.01	(0.02) to 0.0	0.00	0.0 to 0.0	-0.02	(0.05) to 0.01
2007	-1.49	(11.36) to 7.92	0.00	0.0 to 0.0	-0.71	(1.67) to 0.20	-0.01	(0.02) to 0.0	0.00	0.0 to 0.0	-0.02	(0.05) to 0.01
2008	-1.53	(11.51) to 8.04	0.00	0.0 to 0.0	-0.70	(1.67) to 0.20	-0.01	(0.02) to 0.0	0.00	0.0 to 0.0	-0.02	(0.05) to 0.01
2009	-1.30	(10.95) to 7.01	0.00	0.0 to 0.0	-0.69	(1.66) to 0.21	-0.01	(0.02) to 0.0	0.00	0.0 to 0.0	-0.02	(0.05) to 0.01
2010	-1.37	(10.93) to 7.36	0.00	0.0 to 0.0	-0.68	(1.65) to 0.22	-0.01	(0.02) to 0.0	0.00	0.0 to 0.0	-0.02	(0.05) to 0.01

2011	-1.00	(10.18) to 7.87	0.00	0.0 to 0.0	-0.67	(1.64) to 0.23	-0.01	(0.02) to 0.0	0.00	0.0 to 0.0	-0.02	(0.05) to 0.01
2012	-0.52	(9.34) to 8.61	0.00	0.0 to 0.0	-0.66	(1.63) to 0.24	-0.01	(0.02) to 0.0	0.00	0.0 to 0.0	-0.02	(0.05) to 0.01
2013	-0.28	(8.76) to 9.05	0.00	0.0 to 0.0	-0.66	(1.63) to 0.25	-0.01	(0.02) to 0.0	0.00	0.0 to 0.0	-0.02	(0.05) to 0.01
2014	-0.28	(8.84) to 9.23	0.00	0.0 to 0.0	-0.66	(1.63) to 0.25	-0.01	(0.02) to 0.0	0.00	0.0 to 0.0	-0.02	(0.05) to 0.01

Note: Estimates after 2010 are based on NRI data from 2010 and therefore do not fully reflect changes occurring in the latter part of the time series.

Table A-244: Annual Change in Soil Organic Carbon Stocks (95% Confidence Interval) for the Total Grassland Land Base Estimated with the Tier 2 Analysis using U.S. Factor Values (MMT CO_2 Eq./yr)

Total Grasslands:	Grassland Remaining Grassland		Cropland Converted to Grassland		Forest Converted to Grassland		Other Land Converted to Grassland		Settlements Converted to Grassland		Wetlands Converted to Grassland	
Year	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI	Estimate	95% CI
Mineral Soils												
1990	-0.48	(8.98) to 8.84	-3.14	(4.54) to (1.90)	-0.48	(0.69) to (0.29)	-0.62	(0.90) to (0.38)	-0.09	(0.13) to (0.05)	-0.47	(0.68) to (0.28)
1991	-0.58	(9.29) to 8.21	-3.07	(4.41) to (1.87)	-0.47	(0.68) to (0.29)	-0.64	(0.93) to (0.39)	-0.09	(0.13) to (0.06)	-0.59	(0.85) to (0.36)
1992	-0.58	(10.09) to 7.53	-2.97	(4.27) to (1.80)	-0.45	(0.64) to (0.27)	-0.68	(0.98) to (0.41)	-0.09	(0.14) to (0.06)	-0.61	(0.87) to (0.37)
1993	-1.42	(11.08) to 7.10	-3.30	(4.74) to (1.99)	-0.47	(0.67) to (0.28)	-0.83	(1.19) to (0.50)	-0.11	(0.16) to (0.07)	-0.64	(0.92) to (0.39)
1994	-1.70	(11.69) to 6.71	-3.41	(4.90) to (2.07)	-0.45	(0.65) to (0.27)	-0.95	(1.37) to (0.58)	-0.12	(0.17) to (0.07)	-0.65	(0.94) to (0.40)
1995	-1.70	(11.87) to 6.57	-3.25	(4.68) to (1.97)	-0.47	(0.68) to (0.29)	-0.98	(1.40) to (0.59)	-0.12	(0.17) to (0.07)	-0.62	(0.90) to (0.38)
1996	-1.04	(10.48) to 6.62	-3.05	(4.39) to (1.85)	-0.45	(0.65) to (0.27)	-0.94	(1.36) to (0.57)	-0.12	(0.18) to (0.07)	-0.61	(0.88) to (0.37)
1997	-0.94	(10.25) to 6.86	-2.90	(4.14) to (1.79)	-0.43	(0.61) to (0.26)	-0.99	(1.40) to (0.61)	-0.13	(0.18) to (0.08)	-0.61	(0.87) to (0.38)
1998	-1.57	(12.85) to 6.73	-3.21	(4.66) to (1.95)	-1.09	(1.98) to (0.26)	-1.12	(1.61) to (0.69)	-0.15	(0.21) to (0.09)	-0.64	(0.91) to (0.40)
1999	-1.35	(12.60) to 6.91	-2.89	(4.18) to (1.76)	-1.09	(1.97) to (0.26)	-1.12	(1.60) to (0.69)	-0.15	(0.22) to (0.09)	-0.63	(0.90) to (0.39)
2000	-1.54	(11.92) to 6.16	-3.08	(4.46) to (1.88)	-1.07	(1.95) to (0.25)	-1.20	(1.72) to (0.74)	-0.16	(0.23) to (0.10)	-0.65	(0.93) to (0.40)
2001	-1.52	(12.0) to 6.27	-2.92	(4.23) to (1.76)	-1.05	(1.92) to (0.23)	-1.23	(1.77) to (0.76)	-0.16	(0.24) to (0.10)	-0.63	(0.90) to (0.39)
2002	-2.52	(13.87) to 6.82	-2.66	(3.89) to (1.59)	-1.03	(1.85) to (0.27)	-1.16	(1.68) to (0.71)	-0.16	(0.24) to (0.10)	-0.59	(0.84) to (0.36)
2003	-2.56	(13.99) to 7.48	-2.43	(3.57) to (1.44)	-0.96	(1.77) to (0.20)	-1.09	(1.58) to (0.65)	-0.15	(0.22) to (0.09)	-0.56	(0.81) to (0.34)
2004	-1.25	(10.87) to 8.56	-2.69	(3.96) to (1.59)	-0.97	(1.78) to (0.21)	-1.13	(1.65) to (0.68)	-0.15	(0.23) to (0.09)	-0.59	(0.85) to (0.36)
2005	-1.33	(10.99) to 8.25	-2.46	(3.63) to (1.45)	-0.99	(1.97) to (0.07)	-1.09	(1.61) to (0.65)	-0.15	(0.23) to (0.09)	-0.55	(0.81) to (0.33)
2006	-1.45	(11.33) to 8.26	-2.05	(3.10) to (1.15)	-0.95	(1.93) to (0.04)	-0.96	(1.45) to (0.54)	-0.14	(0.21) to (0.08)	-0.49	(0.73) to (0.28)
2007	-1.53	(11.40) to 7.89	-1.74	(2.66) to (0.95)	-0.88	(1.86) to 0.03	-0.90	(1.37) to (0.50)	-0.13	(0.20) to (0.07)	-0.45	(0.69) to (0.26)
2008	-1.54	(11.53) to 8.03	-1.58	(2.43) to (0.87)	-0.86	(1.83) to 0.05	-0.86	(1.31) to (0.47)	-0.13	(0.19) to (0.07)	-0.35	(0.54) to (0.20)
2009	-1.30	(10.95) to 7.01	-1.52	(2.34) to (0.83)	-0.85	(1.82) to 0.06	-0.85	(1.30) to (0.47)	-0.12	(0.19) to (0.07)	-0.29	(0.43) to (0.16)
2010	-1.36	(10.92) to 7.37	-1.45	(2.23) to (0.79)	-0.84	(1.81) to 0.07	-0.84	(1.29) to (0.46)	-0.13	(0.19) to (0.07)	-0.24	(0.37) to (0.14)
2011	-0.98	(10.17) to 7.89	-1.44	(2.22) to (0.78)	-0.83	(1.80) to 0.08	-0.84	(1.29) to (0.46)	-0.13	(0.19) to (0.07)	-0.24	(0.37) to (0.14)
2012	-0.51	(9.33) to 8.63	-1.43	(2.20) to (0.77)	-0.81	(1.78) to 0.09	-0.83	(1.28) to (0.45)	-0.12	(0.19) to (0.07)	-0.24	(0.36) to (0.13)
2013	-0.26	(8.75) to 9.07	-1.42	(2.20) to (0.76)	-0.81	(1.78) to 0.10	-0.83	(1.27) to (0.45)	-0.12	(0.19) to (0.07)	-0.24	(0.36) to (0.13)
2014	-0.26	(8.81) to 9.26	-1.42	(2.19) to (0.76)	-0.81	(1.78) to 0.10	-0.83	(1.27) to (0.44)	-0.12	(0.19) to (0.07)	-0.24	(0.36) to (0.13)
Organic Soils												
1990	3.46	9.59 to 0.54	0.23	.98 to 0.01	0.00	0.03 to 0.03	0.01	0.06 to 0.0	0.00	0.0 to 0.08	0.01	0.20 to 0.0
1991	3.39	9.47 to 0.53	0.23	.97 to 0.01	0.00	0.03 to 0.03	0.01	0.06 to 0.0	0.00	0.0 to 0.08	0.01	0.20 to 0.0
1992	3.31	9.25 to 0.53	0.23	.97 to 0.01	0.00	0.03 to 0.02	0.00	0.05 to 0.0	0.00	0.0 to 0.09	0.01	0.22 to 0.0

1993	3.29	9.19 to 0.64	0.29	1.15 to 0.02	0.01	0.04 to 0.02	0.00	0.06 to 0.01	0.00	0.02 to 0.15	0.05	0.30 to 0.0
1994	3.25	9.07 to 0.73	0.32	1.32 to 0.02	0.01	0.04 to 0.02	0.00	0.05 to 0.01	0.00	0.02 to 0.18	0.07	0.32 to 0.0
1995	3.15	8.84 to 0.74	0.33	1.34 to 0.02	0.01	0.04 to 0.02	0.00	0.05 to 0.01	0.00	0.02 to 0.18	0.07	0.33 to 0.0
1996	3.14	8.74 to 0.72	0.32	1.29 to 0.02	0.01	0.03 to 0.02	0.00	0.05 to 0.01	0.00	0.02 to 0.18	0.08	0.33 to 0.0
1997	3.06	8.53 to 0.70	0.31	1.27 to 0.02	0.01	0.03 to 0.01	0.00	0.04 to 0.01	0.00	0.02 to 0.18	0.08	0.34 to 0.0
1998	2.82	8.61 to 0.82	0.38	1.46 to 0.02	0.00	0.06 to 0.01	0.00	0.04 to 0.01	0.00	0.03 to 0.19	0.10	0.31 to 0.0
1999	2.74	8.44 to 0.76	0.35	1.35 to 0.02	0.00	0.05 to 0.01	0.00	0.04 to 0.01	0.00	0.03 to 0.19	0.10	0.31 to 0.0
2000	2.72	8.43 to 0.82	0.39	1.44 to 0.02	0.00	0.06 to 0.01	0.00	0.04 to 0.01	0.00	0.03 to 0.19	0.10	0.31 to 0.0
2001	2.54	7.63 to 0.89	0.43	1.57 to 0.02	0.00	0.06 to 0.01	0.00	0.04 to 0.01	0.00	0.03 to 0.19	0.10	0.31 to 0.0
2002	2.49	7.50 to 0.98	0.46	1.74 to 0.02	0.00	0.06 to 0.01	0.00	0.05 to 0.01	0.00	0.03 to 0.17	0.08	0.29 to 0.0
2003	2.43	7.35 to 0.91	0.42	1.62 to 0.02	0.00	0.06 to 0.01	0.00	0.04 to 0.01	0.00	0.03 to 0.15	0.08	0.24 to 0.0
2004	2.31	7.38 to 1.01	0.41	1.89 to 0.02	0.00	0.06 to 0.0	0.00	0.0 to 0.01	0.00	0.03 to 0.16	0.09	0.27 to 0.0
2005	2.30	7.38 to 1.02	0.41	1.91 to 0.02	0.00	0.06 to 0.0	0.00	0.0 to 0.01	0.00	0.03 to 0.19	0.10	0.31 to 0.0
2006	2.28	7.37 to 0.99	0.39	1.85 to 0.02	0.00	0.06 to 0.0	0.00	0.0 to 0.01	0.00	0.03 to 0.22	0.11	0.38 to 0.0
2007	2.26	7.34 to 0.97	0.38	1.82 to 0.01	0.00	0.05 to 0.0	0.00	0.0 to 0.01	0.00	0.03 to 0.25	0.13	0.43 to 0.0
2008	2.27	7.35 to 0.95	0.37	1.81 to 0.02	0.00	0.05 to 0.01	0.00	0.03 to 0.01	0.00	0.03 to 0.26	0.13	0.44 to 0.0
2009	2.28	7.35 to 1.18	0.43	2.33 to 0.0	0.00	0.01 to 0.01	0.00	0.03 to 0.01	0.00	0.03 to 0.30	0.15	0.52 to 0.0
2010	2.25	7.25 to 1.14	0.42	2.25 to 0.0	0.00	0.01 to 0.01	0.00	0.03 to 0.01	0.00	0.03 to 0.30	0.15	0.52 to 0.0
2011	2.23	7.20 to 1.16	0.43	2.29 to 0.0	0.00	0.01 to 0.01	0.00	0.03 to 0.01	0.00	0.03 to 0.30	0.15	0.52 to 0.0
2012	2.23	7.19 to 1.15	0.43	2.24 to 0.0	0.00	0.01 to 0.01	0.00	0.03 to 0.01	0.00	0.03 to 0.30	0.15	0.52 to 0.0
2013	2.23	7.16 to 1.16	0.44	2.27 to 0.0	0.00	0.01 to 0.01	0.00	0.03 to 0.01	0.00	0.03 to 0.30	0.15	0.52 to 0.0
2014	2.23	7.18 to 1.16	0.42	2.27 to 0.0	0.00	.01 to 0.01	0.00	0.03 to 0.01	0.00	0.03 to 0.30	0.15	0.52 to 0.0

Note: Estimates after 2010 are based on NRI data from 2010 and therefore do not fully reflect changes occurring in the latter part of the time series.

Step 3: Estimate Soil Organic C Stock Changes and Direct N_2O Emissions from Organic Soils

In this step, soil organic C losses and N_2O emissions are estimated for organic soils that are drained for agricultural production.

Step 3a: Direct N_2O Emissions Due to Drainage of Organic Soils in Cropland and Grassland

To estimate annual N_2O emissions from drainage of organic soils in cropland and grassland, the area of drained organic soils in croplands and grasslands for temperate regions is multiplied by the IPCC (2006) default emission factor for temperate soils and the corresponding area in sub-tropical regions is multiplied by the average (12 kg N_2O-N/ha cultivated) of IPCC (2006) default emission factors for temperate (8 kg N_2O-N/ha cultivated) and tropical (16 kg N_2O-N/ha cultivated) organic soils. The uncertainty is determined based on simple error propagation methods (IPCC 2006), including uncertainty in the default emission factor ranging from 2–24 kg N_2O-N/ha (IPCC 2006).

Step 3b: Soil Organic C Stock Changes Due to Drainage of Organic Soils in Cropland and Grassland

Change in soil organic C stocks due to drainage of cropland and grassland soils are estimated annually from 1990 through 2010, based on the land-use and management activity data in conjunction with appropriate loss rate emission factors. The activity data are based on annual data from 1990 through 2010 from the NRI. The results for 2010 are applied to the years 2011 through 2014. Organic Soil emission factors representative of U.S. conditions have been estimated from published studies (Ogle et al. 2003), based on subsidence studies in the United States and Canada (Table A-246). PDFs are constructed as normal densities based on the mean C loss rates and associated variances. Input values are randomly selected from PDFs in a Monte Carlo analysis to estimate SOC change for 50,000 times and produce a 95 percent confidence interval for the inventory results. Losses of soil organic C from drainage of cropland and grassland soils are provided in Table A-239 and Table A-242.

Step 4: Estimate Indirect N_2O Emissions for Croplands and Grasslands

In this step, N_2O emissions are estimated for the two indirect emission pathways (N_2O emissions due to volatilization, and N_2O emissions due to leaching and runoff of N), which are summed to yield total indirect N_2O emissions from croplands and grasslands.

Step 4a: Indirect Soil N_2O Emissions Due to Volatilization

Indirect emissions from volatilization of N inputs from synthetic and commercial organic fertilizers, and PRP manure, are calculated according to the amount of mineral N that is transported in gaseous forms from the soil profile and later emitted as soil N_2O following atmospheric deposition. See Step 1e for additional information about the methods used to compute N losses due to volatilization. The estimated N volatilized is multiplied by the IPCC default emission factor of 0.01 kg N_2O-N/kg N (IPCC 2006) to estimate total N_2O emissions from volatilization. The uncertainty is estimated using simple error propagation methods (IPCC 2006), by combining uncertainties in the amount of N volatilized, with uncertainty in the default emission factor ranging from 0.002–0.05 kg N_2O-N/kg N (IPCC 2006). The estimates and uncertainties are provided in Table A-247.

Step 4b: Indirect Soil N_2O Emissions Due to Leaching and Runoff

The amount of mineral N from synthetic fertilizers, commercial organic fertilizers, PRP manure, crop residue, N mineralization, asymbiotic fixation that is transported from the soil profile in aqueous form is used to calculate indirect emissions from leaching of mineral N from soils and losses in runoff of water associated with overland flow. See Step 1e for additional information about the methods used to compute N losses from soils due to leaching and runoff in overland water flows. The total amount of N transported from soil profiles through leaching and surface runoff is multiplied by the IPCC default emission factor of 0.0075 kg N_2O-N/kg N (IPCC 2006) to estimate emissions for this source. The emission estimates are provided in Table A-248. The uncertainty is estimated based on simple error propagation methods (IPCC 2006), including uncertainty in the default emission factor ranging from 0.0005 to 0.025 kg N_2O-N/kg N (IPCC 2006).

Step 5: Estimate Total Soil Organic C Stock Changes and N_2O Emissions for U.S. Soils

Step 5a: Estimate Total Soil N_2O Emissions

Total N_2O emissions are estimated by adding total direct emissions (from mineral cropland soils, drainage and cultivation of organic soils, and grassland management) to indirect emissions. Uncertainties in the final estimate are

combined using simple error propagation methods (IPCC 2006), and expressed as a 95 percent confidence interval. Estimates are provided in Table A-249.

Direct and indirect simulated emissions of soil N_2O vary regionally in croplands as a function of N input amount and timing of fertilization, tillage intensity, crop rotation sequence, weather, and soil type. Note that there are other management practices, such as fertilizer formulation (Halvorson et al. 2013), that influence emissions but are not represented in the model simulations. The highest total N_2O emissions occur in Iowa, Illinois, Kansas, Minnesota, Nebraska and Texas (Table A-251). On a per area unit basis, direct N_2O emissions are high in the Midwest, Northeast and many of the Mississippi River Basin states where there are high N inputs to hay, corn and soybean crops, and in some western states where irrigated crops are grown that require high N inputs (Figure A-16). Note that although the total crop area in the northeast is relatively low, emissions are high on a per unit area basis because a large portion of the cropland area in these states is used for hay production that receives large N inputs from both fertilizer and symbiotic fixation. Indirect emissions also tend to be high on a per unit of area basis in the Midwest, some Northeastern states and Florida (Figure A-17). In Florida, the high emission rates are driven by relatively high rainfall and coarse textured soils that facilitate N losses from leaching and runoff. Some Great Plains states also have indirect emissions where irrigation can contribute to leaching and runoff.

Direct and indirect emissions from non-federal grasslands are typically lower than the emissions from croplands (Table A-251, Figure A-18, and Figure A-19) because N inputs tend to be lower, particularly from synthetic fertilizer. Texas, Oklahoma, Kansas, Nebraska, Missouri, South Dakota and Montana are the highest emitters for this category due to large land areas used for pastures and rangeland (Table A-251). On a per unit of area basis, direct N_2O emissions are higher in the Northeastern United States, Lower Mississippi River Basin, some of the Great Lakes and Midwestern states because these grasslands are more intensively managed (legume seeding, fertilization) while western rangelands receive few, if any, N inputs (Figure A-18). Also, rainfall is limited in most of the western United States, and grasslands are not typically irrigated so minimal leaching and runoff of N occurs in these grasslands, and therefore there are lower indirect N_2O emissions (Figure A-19).

Figure A-16: Tier 3 Cropland,2014 Annual Direct N_2O Emissions, Estimated Using the DAYCENT Model, (kg N/ha/year)

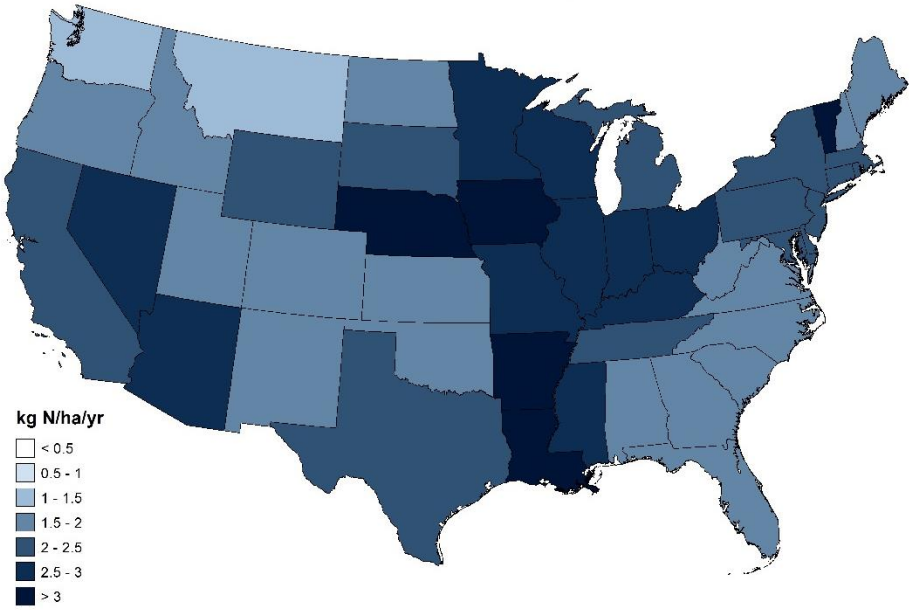


Figure A-17: Tier 3 Crops, 2014 Annual N Losses Leading to Indirect N_2O Emissions, Estimated Using the DAYCENT Model, (kg N/ha/year)

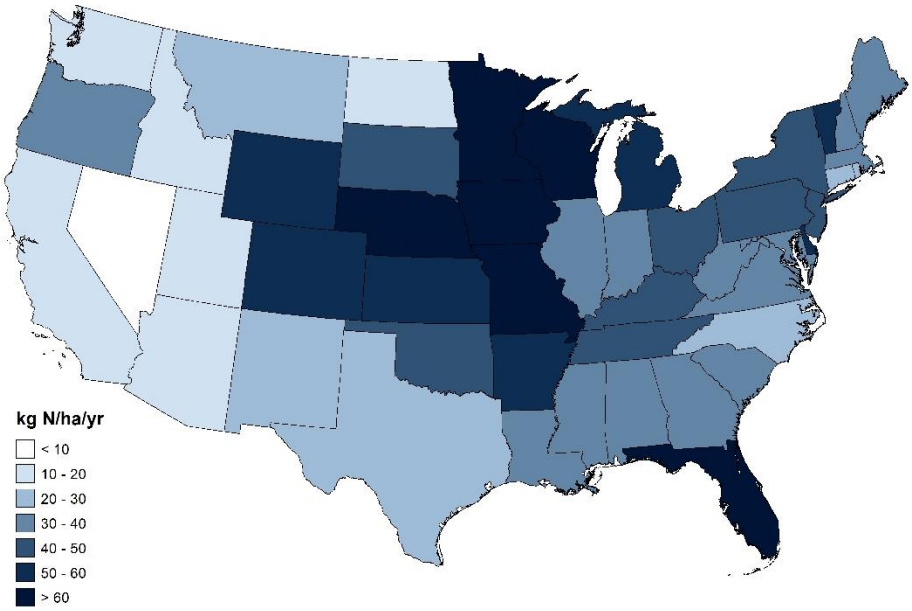


Figure A-18: Non-federal Grasslands, 2014 Annual Direct N_2O Emissions, Estimated Using the DAYCENT Model, (kg N/ha/year)

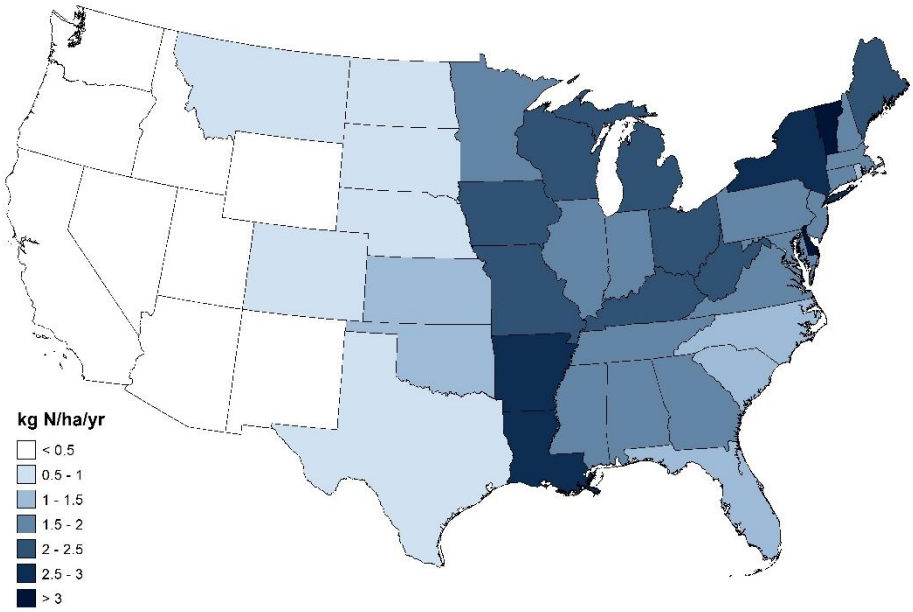
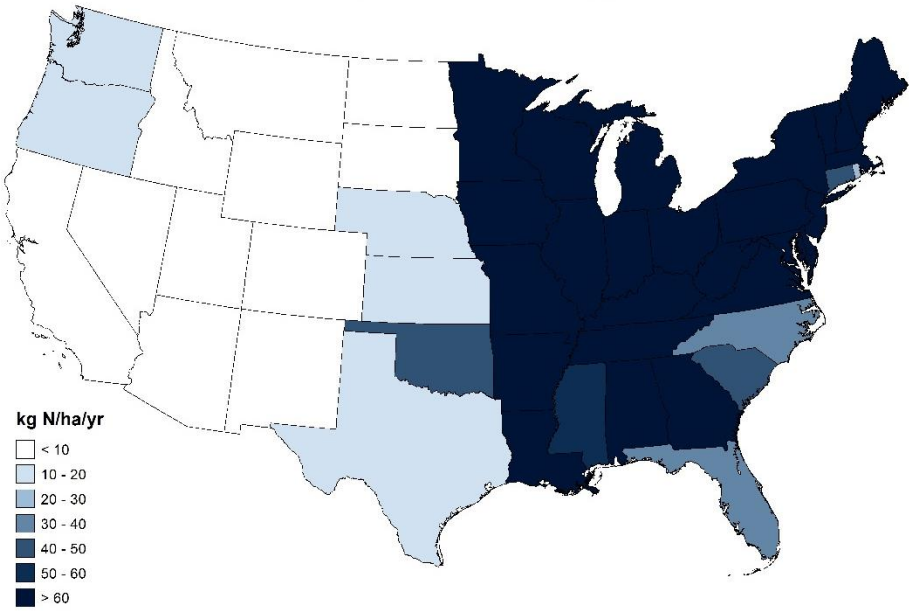


Figure A-19: Non-federal Grasslands, 2014 Annual N Losses Leading to Indirect N_2O Emissions, Estimated Using the DAYCENT Model, (kg N/ha/year)



Step 5b: Estimate Total Soil Organic Stock Change

The sum of total CO_2 emissions and removals from the Tier 3 DAYCENT Model Approach, Tier 2 IPCC Methods and additional land-use and management considerations are provided in Table A-252. The total change in soil organic C stocks (as seen in the *Land Use, Land-Use Change, and Forestry* chapter) as well as per hectare rate of change varies among the states (Figure A-20 and Figure A-21). The states with highest total amounts of C sequestration are California, Illinois, Iowa, Kentucky, Missouri, North Dakota and Tennessee (Table A-253). On a per hectare basis, the highest rates of C accumulation occur in states found in the Southeast, Northeast, Midwest and Pacific Coast. For organic soils, emission rates are highest in the regions that contain the majority of drained organic soils, including California, Florida, Indiana, Michigan, Minnesota, North Carolina and Wisconsin. On a per unit of area basis, the emission rate patterns are very similar to the total emissions in each state, with the highest rates in coastal states of the Southeast, states surrounding the Great Lakes, and California.

Figure A-20: Net C Stock Change, per Hectare, for Mineral Soils Under Agricultural Management, 2014

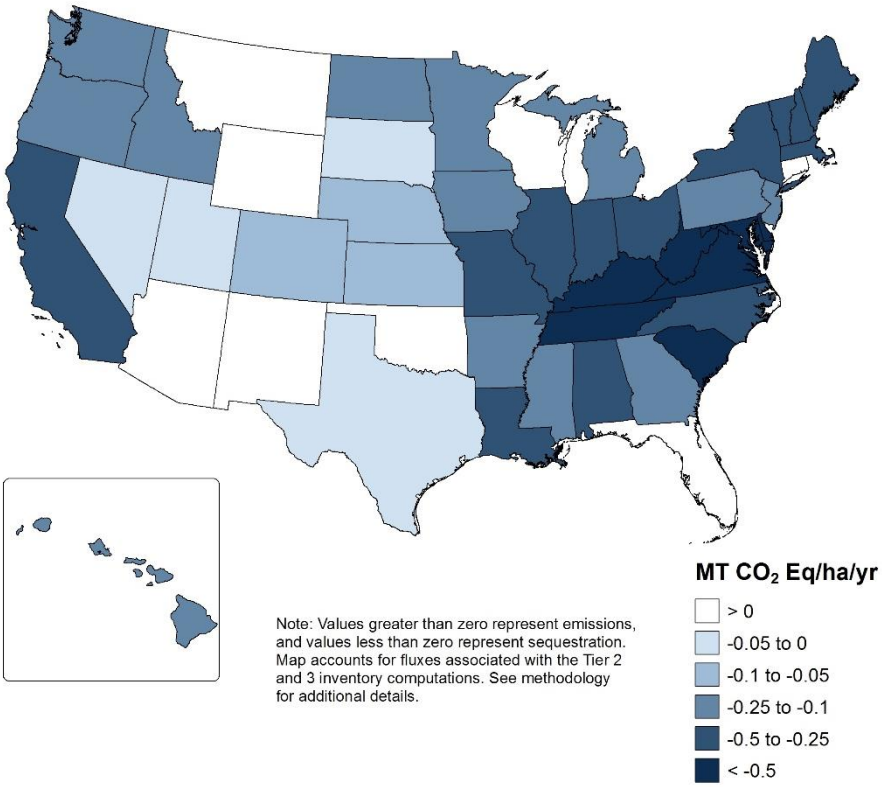
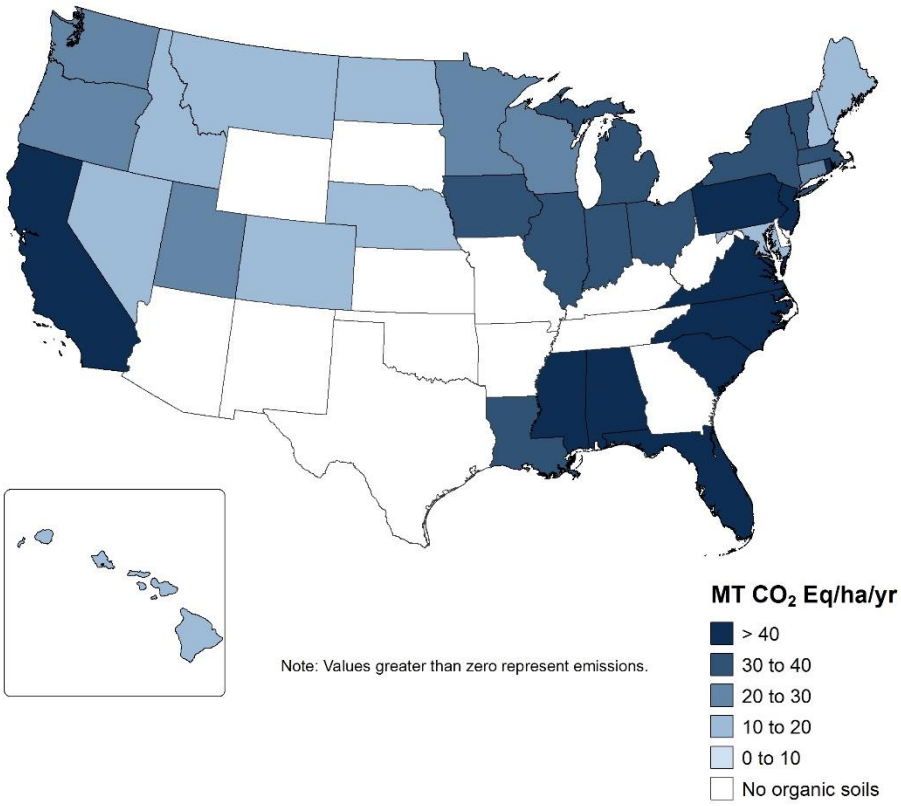


Figure A-21: Net C Stock Change, per Hectare, for Organic Soils Under Agricultural Management, 2014



Step 5c: Estimate Total CH_4 Emissions from Rice Cultivation

The sum of total CH_4 emissions from the Tier 3 DAYCENT Model Approach and Tier 1 IPCC Methods are provided in Table A-250. The states with highest total emissions are Arkansas, California, Louisiana and Texas (Table A-254). These states also have the largest areas of rice cultivation, and Louisiana and Texas have a relatively large proportion of fields with a second ratoon crop each year. Ratoon crops extend the period of time under flooded conditions, which leads to more CH_4 emissions.

Table A-245: Assumptions and Calculations to Estimate the Contribution to Soil Organic Carbon Stocks from Application of Sewage Sludge to Mineral Soils

	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001
Sewage Sludge N Applied to Agricultural Land (Mg N)[a]	51,848	55,107	58,480	61,971	64,721	67,505	72,081	75,195	78,353	80,932	83,523	86,124
Assimilative Capacity (Mg N/ha)b	0.120	0.120	0.120	0.122	0.122	0.122	0.122	0.122	0.122	0.122	0.122	0.122
Area covered by Available Sewage Sludge N (ha)[c]	432,067	459,226	487,336	507,957	530,503	553,322	590,828	616,357	642,240	663,381	684,612	705,932
Average Annual Rate of C storage (Mg C/ha-yr)[d]	0.38	0.38	0.38	0.38	0.38	0.38	0.38	0.38	0.38	0.38	0.38	0.38
Contribution to Soil C (MMT CO_2/yr)[e,f]	**(0.60)**	**(0.64)**	**(0.68)**	**(0.71)**	**(0.74)**	**(0.77)**	**(0.82)**	**(0.86)**	**(0.89)**	**(0.92)**	**(0.95)**	**(0.98)**

	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Sewage Sludge N Applied to Agricultural Land (Mg N)[a]	88,736	91,358	93,991	98,400	101,314	104,222	107,123	110,018	112,909	115,797	118,681	121,563	121,563
Assimilative Capacity (Mg N/ha)[b]	0.122	0.122	0.122	0.122	0.122	0.122	0.122	0.122	0.122	0.122	0.122	0.122	0.122
Area covered by Available Sewage Sludge N (ha)[c]	727,341	748,836	770,418	806,559	830,447	854,276	878,055	901,790	925,487	949,154	972,796	996,417	996,417
Average Annual Rate of C storage (Mg C/ha-yr)[d]	0.38	0.38	0.38	0.38	0.38	0.38	0.38	0.38	0.38	0.38	0.38	0.38	0.38
Contribution to Soil C (MMT CO_2/yr)[e,f]	**(1.01)**	**(1.04)**	**(1.07)**	**(1.12)**	**(1.16)**	**(1.19)**	**(1.22)**	**(1.26)**	**(1.29)**	**(1.32)**	**(1.36)**	**(1.39)**	**(1.39)**

[a] N applied to soils described in Step 1d.
[b] Assimilative Capacity is the national average amount of manure-derived N that can be applied on cropland without buildup of nutrients in the soil (Kellogg et al., 2000).
[c] Area covered by sewage sludge N available for application to soils is the available N applied at the assimilative capacity rate. The 1992 assimilative capacity rate was applied to 1990 – 1992 and the 1997 rate was applied to 1993-2014.
[d] Annual rate of C storage based on national average increase in C storage for grazing lands that is attributed to organic matter amendments (0.38 Mg/ha-yr)
[e] Contribution to Soil C is estimated as the product of the area covered by the available sewage sludge N and the average annual C storage attributed to an organic matter amendment.
[f] Some small, undetermined fraction of this applied N is probably not applied to agricultural soils, but instead is applied to forests, home gardens, and other lands.
Note: Values in parentheses indicate net C storage.

Table A-246: Carbon Loss Rates for Organic Soils Under Agricultural Management in the United States, and IPCC Default Rates (Metric Ton C/ha-yr)

		Cropland		Grassland
Region	**IPCC**	**U.S. Revised**	**IPCC**	**U.S. Revised**
Cold Temperate, Dry & Cold Temperate, Moist	1	11.2±2.5	0.25	2.8±0.5[a]
Warm Temperate, Dry & Warm Temperate, Moist	10	14.0±2.5	2.5	3.5±0.8[a]
Sub-Tropical, Dry & Sub-Tropical, Moist	1	11.2±2.5	0.25	2.8±0.5[a]

[a] There are not enough data available to estimate a U.S. value for C losses from grassland. Consequently, estimates are 25 percent of the values for cropland, which is an assumption that is used for the IPCC default organic soil C losses on grassland.

Table A-247: Indirect N_2O Emissions from Volatilization (MMT CO_2 Eq.)

Activity	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Croplands	13.0	13.2	12.8	12.8	13.8	14.3	14.0	14.1	14.5	13.6	13.4	13.5	13.7	14.1	13.8	13.8	14.4	14.0	14.1	13.4	13.9	14.3	14.5	14.2	14.2
Grasslands	4.4	4.4	4.4	4.4	4.5	4.7	4.7	4.7	4.8	4.4	4.2	4.3	4.4	4.6	4.9	4.7	4.7	4.7	4.7	4.7	4.8	4.7	4.6	4.5	4.5
Total	**17.3**	**17.5**	**17.2**	**17.3**	**18.3**	**19.0**	**18.7**	**18.7**	**19.3**	**18.0**	**17.7**	**17.9**	**18.0**	**18.7**	**18.7**	**18.5**	**19.1**	**18.7**	**18.7**	**18.1**	**18.6**	**18.9**	**19.1**	**18.8**	**18.7**

Table A-248: Indirect N_2O Emissions from Leaching and Runoff (MMT CO_2 Eq.)

Activity	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Croplands	23.2	18.7	21.7	32.0	14.7	24.5	22.2	19.2	26.0	20.8	16.4	20.8	19.4	18.6	24.5	20.2	22.1	25.1	22.6	22.4	25.8	26.4	26.6	26.0	26.0
Grasslands	17.7	13.5	15.5	16.2	13.0	14.6	18.7	12.6	15.9	12.3	13.1	16.9	16.3	14.6	19.2	10.2	16.3	13.7	15.5	20.2	12.4	13.2	12.9	12.6	12.6
Total	**40.9**	**32.1**	**37.1**	**48.2**	**27.8**	**39.1**	**40.9**	**31.7**	**41.9**	**33.0**	**29.6**	**37.6**	**35.7**	**33.3**	**43.7**	**30.4**	**38.3**	**38.7**	**38.1**	**42.6**	**38.2**	**39.6**	**39.4**	**38.7**	**38.6**

Table A-249: Total N_2O Emissions from Agricultural Soil Management (MMT CO_2 Eq.)

Activity	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001
Total Direct	**245.0**	**241.9**	**243.5**	**245.1**	**243.0**	**243.7**	**248.8**	**246.8**	**261.5**	**241.8**	**245.4**	**242.2**
Direct Emissions from Mineral Cropland Soils	**168.6**	**165.5**	**166.2**	**167.2**	**168.0**	**166.2**	**168.4**	**167.2**	**177.7**	**169.0**	**171.1**	**167.7**
Synthetic Fertilizer	59.2	58.8	60.8	58.3	63.3	57.3	62.4	60.7	61.4	59.5	61.7	58.6
Organic Amendment[a]	11.9	12.0	12.2	11.9	12.4	12.3	12.4	12.5	12.7	12.7	13.0	12.8
Residue N[b]	25.9	28.1	25.9	26.3	25.5	27.5	26.7	26.4	25.6	28.9	27.6	26.3
Mineralization and Asymbiotic Fixation	71.6	66.6	67.3	70.7	66.8	69.1	67.0	67.5	78.0	67.9	68.7	70.1
Direct Emissions from Drained Organic Cropland Soils	**3.2**	**3.2**	**3.2**	**3.1**	**3.1**	**3.2**	**3.2**	**3.2**	**3.2**	**3.2**	**3.2**	**3.3**
Direct Emissions from Mineral Grassland Soils	**70.3**	**70.4**	**71.4**	**71.9**	**69.0**	**71.5**	**74.4**	**73.7**	**77.8**	**66.8**	**68.3**	**68.2**
Synthetic Mineral Fertilizer	1.1	1.1	1.1	1.2	1.1	1.1	1.1	1.1	1.4	1.1	1.2	1.2
PRP Manure	13.4	12.8	13.3	13.9	14.7	15.3	16.0	14.6	14.7	12.3	12.5	12.0
Managed Manure	+	+	+	+	+	+	+	+	+	+	+	+
Sewage Sludge	0.2	0.3	0.3	0.3	0.3	0.3	0.3	0.4	0.4	0.4	0.4	0.4
Residue[b]	19.7	20.1	20.3	20.5	18.3	20.3	19.8	20.4	20.2	20.3	19.3	19.8
Mineralization and Asymbiotic Fixation	35.8	36.1	36.3	35.8	34.4	34.4	36.9	37.1	41.1	32.5	34.7	34.6
Direct Emissions from Drained Organic Grassland Soils	**2.9**	**2.8**	**2.8**	**2.8**	**2.9**	**2.9**	**2.9**	**2.8**	**2.8**	**2.8**	**2.8**	**3.0**
Total Indirect	**58.2**	**49.7**	**54.4**	**65.4**	**46.1**	**58.1**	**59.6**	**50.5**	**61.2**	**51.1**	**47.2**	**55.5**
Volatilization	17.3	17.5	17.2	17.3	18.3	19.0	18.7	18.7	19.3	18.0	17.7	17.9
Leaching/Runoff	40.9	32.1	37.1	48.2	27.8	39.1	40.9	31.7	41.9	33.0	29.6	37.6
Total Emissions	**303.3**	**291.5**	**297.9**	**310.5**	**289.1**	**301.8**	**308.4**	**297.3**	**322.8**	**292.9**	**292.6**	**297.6**

Table A-249 continued: Total N_2O Emissions from Agricultural Soil Management (MMT CO_2 Eq.)

Activity	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Total Direct	**245.4**	**249.7**	**268.7**	**248.3**	**252.2**	**260.4**	**251.2**	**253.5**	**263.8**	**264.5**	**264.5**	**261.2**	**261.0**
Direct Emissions from Mineral Cropland Soils	**168.4**	**172.4**	**181.3**	**171.2**	**172.2**	**178.8**	**172.1**	**171.8**	**182.6**	**183.9**	**184.9**	**182.2**	**182.0**
Synthetic Fertilizer	60.3	61.2	63.8	61.4	62.3	65.6	61.7	59.9	59.3	61.0	61.8	59.5	59.3
Organic Amendment[a]	13.0	13.1	12.9	12.9	13.4	13.5	13.3	13.2	13.4	13.5	13.6	13.5	13.5
Residue N[b]	26.7	27.6	25.5	26.6	26.1	26.3	25.6	25.2	27.8	27.6	27.5	27.5	27.6
Mineralization and Asymbiotic Fixation	68.3	70.5	79.1	70.3	70.3	73.3	71.5	73.4	82.2	81.8	82.0	81.6	81.6
Direct Emissions from Drained Organic Cropland Soils	**3.3**	**3.2**	**3.2**	**3.2**	**3.2**	**3.2**	**3.1**	**3.0**	**3.0**	**3.0**	**3.0**	**3.0**	**3.0**
Direct Emissions from Mineral Grassland Soils	**70.8**	**71.2**	**81.3**	**71.0**	**74.0**	**75.6**	**73.1**	**75.9**	**75.5**	**74.9**	**74.0**	**73.3**	**73.3**
Synthetic Mineral Fertilizer	1.3	1.3	1.5	1.3	1.4	1.3	1.3	1.4	1.3	1.2	1.2	1.2	1.4
PRP Manure	12.2	12.5	12.9	12.3	13.6	12.8	12.8	13.1	12.5	11.9	11.0	10.3	10.3
Managed Manure	+	+	+	+	+	+	+	+	+	+	+	+	+
Sewage Sludge	0.4	0.4	0.4	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.6	0.6	0.6
Residue[b]	20.1	20.2	20.9	21.0	20.3	21.5	20.7	20.3	21.8	21.7	21.7	21.7	21.6
Mineralization and Asymbiotic Fixation	36.7	36.6	45.3	35.8	38.0	39.3	37.7	40.5	39.1	39.4	39.4	39.4	39.2
Direct Emissions from Drained Organic Grassland Soils	**3.0**	**2.9**	**2.9**	**2.9**	**2.8**	**2.8**	**2.8**	**2.7**	**2.7**	**2.7**	**2.7**	**2.7**	**2.7**
Total Indirect	**53.7**	**51.9**	**62.4**	**48.9**	**57.4**	**57.5**	**56.8**	**60.8**	**56.9**	**58.6**	**58.5**	**57.4**	**57.3**
Volatilization	18.0	18.7	18.7	18.5	19.1	18.7	18.7	18.1	18.6	18.9	19.1	18.8	18.7
Leaching/Runoff	35.7	33.3	43.7	30.4	38.3	38.7	38.1	42.6	38.2	39.6	39.4	38.7	38.6
Total Emissions	**299.1**	**301.7**	**331.1**	**297.2**	**309.6**	**317.8**	**308.0**	**314.2**	**320.7**	**323.1**	**323.1**	**318.6**	**318.4**

+ Does not exceed 0.05 MMT CO_2 Eq.

[a] Organic amendment inputs include managed manure amendments, daily spread manure and other commercial organic fertilizer (i.e., dried blood, tankage, compost, and other).

[b] Residue N inputs include unharvested fixed N from legumes as well as crop residue N.

Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.

Table A-250: Total CH_4 Emissions from Cultivation of Rice Estimated with Tier 1 and 3 Inventory Approaches (MMT CO_2 Eq.)

	Rice Methane (MMT CO_2 Eq)																								
Approach	**1990**	**1991**	**1992**	**1993**	**1994**	**1995**	**1996**	**1997**	**1998**	**1999**	**2000**	**2001**	**2002**	**2003**	**2004**	**2005**	**2006**	**2007**	**2008**	**2009**	**2010**	**2011**	**2012**	**2013**	**2014**
Tier 1	1.05	1.04	1.10	0.98	1.07	0.71	0.95	0.81	1.09	1.21	1.56	1.08	1.18	1.13	1.33	1.08	1.02	0.99	1.10	1.08	1.14	1.13	1.18	1.20	1.18
Tier 3	12.08	11.93	11.91	12.65	9.32	12.41	11.45	10.47	12.39	11.37	12.83	10.97	14.01	9.87	9.70	11.93	9.25	8.95	7.84	9.88	10.71	10.71	10.72	10.71	10.72
Total	**13.13**	**12.97**	**13.01**	**13.64**	**10.39**	**13.12**	**12.40**	**11.28**	**13.48**	**12.59**	**14.39**	**12.05**	**15.18**	**11.01**	**11.03**	**13.01**	**10.27**	**9.95**	**8.94**	**10.96**	**11.85**	**11.84**	**11.90**	**11.91**	**11.89**

Table A-251: Total 2014 N_2O Emissions (Direct and Indirect) from Agricultural Soil Management by State (MMT CO_2 Eq.)

State	Croplands[a]	Grasslands[b]	Total	Lower Bound	Upper Bound
AL	1.45	1.46	2.94	2.34	4.16
AR	5.53	2.88	8.48	6.80	11.62
AZ	1.00	1.00	2.07	1.60	3.44
CA	7.12	0.84	8.41	5.88	17.07
CO	4.37	2.96	7.37	6.09	9.86
CT	0.09	0.04	0.13	0.09	0.21
DE	0.26	0.02	0.29	0.21	0.43
FL	2.45	2.98	5.52	4.29	9.63
GA	1.98	1.00	3.01	2.42	4.43
HI[c4]	0.02	0.15	0.17	0.06	0.33
IA	22.77	3.05	25.93	19.94	37.32
ID	2.90	0.71	3.67	2.91	5.63
IL	14.98	1.17	16.17	12.84	21.28
IN	8.08	0.77	8.93	7.27	11.78
KS	13.39	4.15	17.61	14.42	23.72
KY	2.63	2.97	5.64	4.49	7.93
LA	3.24	1.46	4.77	3.91	6.16
MA	0.12	1.24	0.19	0.15	0.28
MD	0.83	0.19	1.04	0.80	1.53
ME	0.20	0.26	0.32	0.23	0.54
MI	4.91	0.95	6.01	4.89	8.58
MN	14.61	1.88	17.10	13.83	23.42
MO	9.21	6.43	15.69	12.52	22.12
MS	3.42	1.14	4.53	3.72	6.05
MT	4.54	4.98	9.55	7.94	12.10
NC	2.05	0.64	2.79	1.95	5.02
ND	9.14	1.53	10.62	8.61	13.49
NE	16.40	3.63	20.11	15.93	28.48
NH	0.06	0.04	0.11	0.08	0.25
NJ	0.24	0.07	0.32	0.25	0.45
NM	1.08	2.61	3.61	2.86	5.21
NV	0.37	0.95	0.76	0.56	1.25
NY	3.20	1.39	4.65	3.73	6.75
OH	7.75	0.93	8.89	7.09	12.93
OK	3.99	5.54	9.52	7.65	13.22
OR	1.37	1.13	2.51	2.08	3.35
PA	2.92	0.93	3.89	3.09	5.81
RI	0.02	0.01	0.02	0.02	0.05
SC	0.91	0.32	1.25	1.01	1.83
SD	9.59	3.93	13.51	11.17	17.30
TN	2.15	2.50	4.69	3.69	6.77
TX	13.55	14.02	27.68	23.39	35.40
UT	0.78	0.73	1.53	1.22	2.22
VA	1.06	1.55	2.61	2.10	3.61
VT	0.54	0.34	0.89	0.70	1.37
WA	2.44	0.66	3.19	2.62	4.56
WI	9.50	1.91	11.81	9.10	18.40
WV	0.28	0.70	0.98	0.71	1.56
WY	1.49	2.60	4.13	3.37	5.47

[a] Emissions from non-manure organic N inputs for crops not simulated by DAYCENT were not estimated (NE) at the state level.
[b] Emissions from sewage sludge applied to grasslands and were not estimated (NE) at the state level
[c] N_2O emissions are not reported for Hawaii except from cropland organic soils.

Table A-252: Annual Soil C Stock Change in Cropland Remaining Cropland (CRC), Land Converted to Cropland (LCC), Grassland Remaining Grassland (GRG), and Land Converted to Grassland (LCG), in U.S. Agricultural Soils (MMT CO_2 Eq.)

	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Net emissions based on Tier 3 Century-based analysis (Step 2)																									
CRC	(56.2)	(57.0)	(58.3)	(37.1)	(48.5)	(43.0)	(50.6)	(47.1)	(33.5)	(46.5)	(51.1)	(50.9)	(42.2)	(33.0)	(38.1)	(37.2)	(37.7)	(37.8)	(29.7)	(22.3)	(22.0)	(36.7)	(36.7)	(36.7)	(36.7)
GCC	12.9	12.8	13.6	11.6	6.5	13.6	11.2	13.1	7.6	8.5	8.4	8.2	8.6	7.7	6.9	7.9	7.9	5.8	6.8	5.8	6.6	4.5	4.5	4.5	4.5
GRG	(17.9)	(8.4)	(9.3)	(8.7)	(24.2)	(7.4)	(16.5)	(9.9)	(13.1)	(3.8)	(19.2)	(8.5)	(6.8)	(5.1)	(7.4)	(5.3)	(14.4)	1.3	(8.4)	(10.8)	(9.0)	1.1	1.1	1.1	1.1
CCG	(3.8)	(4.7)	(4.7)	(5.1)	(5.4)	(5.6)	(6.0)	(6.6)	(6.8)	(6.9)	(7.1)	(7.6)	(8.2)	(7.3)	(8.0)	(7.2)	(8.0)	(7.9)	(8.1)	(8.0)	(7.7)	(7.2)	(7.2)	(7.2)	(7.2)
Net emissions based on the IPCC Tier 2 analysis (Step 3)																									
Mineral Soils																									
CRC	(6.2)	(6.7)	(7.4)	(7.1)	(7.0)	(6.5)	(6.1)	(7.7)	(7.3)	(6.6)	(7.1)	(6.9)	(6.8)	(6.1)	(5.5)	(5.6)	(4.7)	(4.6)	(4.0)	(3.9)	(4.0)	(3.7)	(3.3)	(3.1)	(3.2)
GCC	1.3	1.2	1.3	1.4	1.4	1.5	1.8	1.5	1.6	1.6	1.5	1.4	1.2	1.1	1.2	1.2	1.4	1.4	1.4	1.3	1.3	1.3	1.3	1.3	1.3
FCC	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
OCC	0.2	0.1	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.1	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2
SCC	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.0	0.0	0.0	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
WCC	0.1	0.1	0.1	0.1	0.1	0.2	0.2	0.1	0.2	0.2	0.2	0.2	0.2	0.1	0.1	0.1	0.2	0.2	0.1	0.1	0.1	0.1	0.1	0.1	0.1
GRG	(0.5)	(0.6)	(0.9)	(1.4)	(1.7)	(1.7)	(1.0)	(0.9)	(1.6)	(1.3)	(1.5)	(1.5)	(2.5)	(2.6)	(1.2)	(1.3)	(1.5)	(1.5)	(1.5)	(1.3)	(1.4)	(1.0)	(0.5)	(0.3)	(0.3)
CCG	(3.1)	(3.1)	(3.0)	(3.3)	(3.4)	(3.3)	(3.1)	(2.9)	(3.2)	(2.9)	(3.1)	(2.9)	(2.7)	(2.4)	(2.7)	(2.5)	(2.1)	(1.7)	(1.6)	(1.5)	(1.4)	(1.4)	(1.4)	(1.4)	(1.4)
FCG	(0.5)	(0.5)	(0.4)	(0.5)	(0.4)	(0.5)	(0.4)	(0.4)	(1.1)	(1.1)	(1.1)	(1.0)	(1.0)	(1.0)	(1.0)	(1.0)	(1.0)	(0.9)	(0.9)	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)
OCG	(0.6)	(0.6)	(0.7)	(0.8)	(1.0)	(1.0)	(0.9)	(1.0)	(1.1)	(1.1)	(1.2)	(1.2)	(1.2)	(1.1)	(1.1)	(1.1)	(1.0)	(0.9)	(0.9)	(0.9)	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)
SCG	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.2)	(0.2)	(0.2)	(0.2)	(0.1)	(0.2)	(0.2)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
WCG	(0.5)	(0.6)	(0.6)	(0.6)	(0.7)	(0.6)	(0.6)	(0.6)	(0.6)	(0.6)	(0.6)	(0.6)	(0.6)	(0.6)	(0.6)	(0.6)	(0.5)	(0.5)	(0.4)	(0.3)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)
Organic Soils																									
CRC	28.0	27.5	27.5	27.2	27.2	27.1	27.0	26.9	27.1	26.4	26.3	27.1	27.4	27.6	28.8	28.7	28.5	27.6	27.2	27.7	27.8	27.8	27.8	27.8	27.8
GCC	3.2	3.2	3.2	3.1	3.4	3.7	3.7	3.8	4.3	4.4	4.1	5.4	5.3	5.1	4.2	4.2	4.2	4.0	3.9	3.7	3.8	3.8	3.8	3.8	3.8
FCC	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
OCC	0.1	0.1	0.0	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
SCC	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.1	0.1	0.1	0.1	0.1	0.0	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
WCC	0.6	0.6	0.6	0.7	0.9	0.9	0.9	0.9	1.0	0.9	0.8	0.8	0.7	0.6	0.5	0.5	0.5	0.5	0.5	0.4	0.4	0.4	0.4	0.4	0.4
GRG	6.1	6.0	5.9	5.8	5.7	5.6	5.5	5.4	5.3	5.2	5.2	4.7	4.6	4.5	4.5	4.5	4.4	4.4	4.4	4.4	4.4	4.4	4.3	4.3	4.3
CCG	0.5	0.5	0.5	0.6	0.7	0.7	0.7	0.7	0.8	0.8	0.8	0.9	1.0	0.9	1.0	1.0	1.0	1.0	1.0	1.2	1.1	1.2	1.2	1.2	1.2
FCG	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
OCG	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
SCG	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
WCG	0.1	0.1	0.1	0.1	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.1	0.2	0.2	0.2	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3
Additional changes in net emissions from mineral soils based on application of sewage sludge to agricultural land (Step 4)																									
GRG	(0.6)	(0.6)	(0.7)	(0.7)	(0.7)	(0.8)	(0.8)	(0.9)	(0.9)	(0.9)	(1.0)	(1.0)	(1.0)	(1.0)	(1.1)	(1.1)	(1.2)	(1.2)	(1.2)	(1.3)	(1.3)	(1.3)	(1.4)	(1.4)	(1.4)
Additional changes in net emissions from mineral soils based on additional enrollment of CRP land (Step 4)																									
CRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	1.1	2.8	3.7
Total Stock Changes by Land Use/Land-Use Change Category (Step 5)																									
CRC	(34.3)	(36.2)	(38.2)	(17.0)	(28.3)	(22.3)	(29.7)	(27.9)	(13.7)	(26.8)	(31.8)	(30.6)	(21.6)	(11.5)	(14.8)	(14.1)	(13.8)	(14.7)	(6.5)	1.5	1.8	(12.5)	(11.2)	(9.3)	(8.4)
GCC	17.4	17.3	18.1	16.2	11.3	18.9	16.7	18.4	13.5	14.5	13.9	15.0	15.1	13.9	12.3	13.3	13.5	11.3	12.1	10.7	11.8	9.6	9.6	9.7	9.7
FCC	0.3	0.3	0.3	0.3	0.2	0.3	0.3	0.2	0.2	0.2	0.2	0.2	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
OCC	0.3	0.3	0.2	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2
SCC	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
WCC	0.7	0.7	0.7	0.9	1.0	1.1	1.1	1.1	1.2	1.1	1.0	1.0	0.9	0.8	0.7	0.6	0.7	0.7	0.6	0.6	0.6	0.6	0.6	0.6	0.6
GRG	(12.9)	(3.7)	(5.1)	(5.0)	(20.9)	(4.2)	(12.8)	(6.3)	(10.3)	(0.9)	(16.6)	(6.2)	(5.7)	(4.2)	(5.2)	(3.3)	(12.5)	3.0	(6.8)	(8.9)	(7.3)	3.1	3.6	3.8	3.8

CCG	(6.4)	(7.3)	(7.1)	(7.8)	(8.1)	(8.1)	(8.3)	(8.8)	(9.2)	(9.0)	(9.4)	(9.7)	(9.8)	(8.8)	(9.7)	(8.7)	(9.0)	(8.6)	(8.8)	(8.3)	(8.0)	(7.4)	(7.4)	(7.4)	(7.4)
FCG	(0.5)	(0.5)	(0.4)	(0.4)	(0.4)	(0.5)	(0.4)	(0.4)	(1.1)	(1.1)	(1.1)	(1.0)	(1.0)	(0.9)	(0.9)	(1.0)	(0.9)	(0.9)	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)
OCG	(0.6)	(0.6)	(0.7)	(0.8)	(0.9)	(1.0)	(0.9)	(1.0)	(1.1)	(1.1)	(1.2)	(1.2)	(1.1)	(1.1)	(1.1)	(1.1)	(1.0)	(0.9)	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)
SCG	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.2)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
WCG	(0.4)	(0.5)	(0.5)	(0.5)	(0.5)	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)	(0.5)	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)	(0.3)	(0.2)	(0.1)	0.0	0.1	0.1	0.1	0.1	0.1
Total *	**(36.4)**	**(30.2)**	**(32.7)**	**(13.9)**	**(46.3)**	**(16.0)**	**(34.2)**	**(25.0)**	**(20.8)**	**(23.2)**	**(45.1)**	**(32.9)**	**(23.5)**	**(12.1)**	**(19.0)**	**(14.3)**	**(23.1)**	**(10.1)**	**(10.8)**	**(5.9)**	**(2.5)**	**(7.9)**	**(6.2)**	**(4.0)**	**(3.1)**

Note: Totals may not sum due to independent rounding.

Table A-253: Soil C Stock Change for Mineral and Organic Soils in 2014 within individual states (MMT CO_2 Eq.)

State	Mineral Soil	Organic Soil	Total
AL	(1.29)	0.01	(1.27)
AR	(0.78)	-	(0.78)
AZ	1.14	-	1.14
CA	(3.88)	1.58	(2.29)
CO	(0.84)	0.00	(0.83)
CT	0.00	0.01	0.01
DE	(0.10)	-	(0.10)
FL	0.21	12.21	12.42
GA	(0.75)	-	(0.75)
HI	(0.10)	0.77	0.67
IA	(2.33)	0.73	(1.60)
ID	(0.76)	0.03	(0.73)
IL	(3.15)	0.52	(2.63)
IN	(1.68)	2.36	0.68
KS	(1.41)	-	(1.41)
KY	(2.74)	-	(2.74)
LA	(1.44)	0.51	(0.93)
MA	(0.07)	0.28	0.22
MD	(0.42)	0.01	(0.42)
ME	(0.11)	0.01	(0.10)
MI	(0.62)	3.40	2.78
MN	(1.79)	7.65	5.86
MO	(3.77)	-	(3.77)
MS	(0.55)	0.01	(0.53)
MT	0.46	0.15	0.61
NC	(1.24)	1.89	0.65
ND	(3.45)	0.01	(3.44)
NE	(1.44)	0.00	(1.43)
NH	(0.03)	0.02	(0.01)
NJ	(0.07)	0.12	0.05
NM	0.24	-	0.24
NV	(0.08)	0.00	(0.08)
NY	(1.14)	0.53	(0.61)
OH	(1.45)	0.48	(0.97)
OK	0.48	-	0.48
OR	(0.73)	0.30	(0.43)
PA	(0.46)	0.05	(0.41)
RI	0.01	0.02	0.03
SC	(0.90)	0.02	(0.88)
SD	(0.84)	-	(0.84)
TN	(2.23)	-	(2.23)
TX	(0.07)	-	(0.07)
UT	(0.02)	0.08	0.06
VA	(1.46)	0.00	(1.46)
VT	(0.12)	0.06	(0.05)
WA	(1.02)	0.38	(0.64)
WI	0.55	2.90	3.45
WV	(0.55)	-	(0.55)
WY	0.30	-	0.30

Note: Parentheses indicate net C accumulation. Estimates do not include soil C stock change associated with federal croplands and grasslands, CRP enrollment after 2010, or sewage sludge application to soils, which were only estimated at the national scale. The sum of state results will not match the national results because state results are generated in a separate programming package, the sewage sludge and CRP enrollment after 2010 are not included, and differences arise due to rounding of values in this table.

Table A-254: Total 2014 CH_4 Emissions from Rice Cultivation by State (MMT CO_2 Eq.)

State	Total
AL	-
AR	4.55
AZ	-
CA	2.36
CO	-

CT	-
DE	-
FL	-
GA	-
HI	-
IA	-
ID	-
IL	-
IN	-
KS	-
KY	-
LA	2.66
MA	-
MD	-
ME	-
MI	-
MN	0.01
MO	0.72
MS	0.25
MT	-
NC	-
ND	-
NE	-
NH	-
NJ	-
NM	-
NV	-
NY	-
OH	-
OK	-
OR	-
PA	-
RI	-
SC	-
SD	-
TN	-
TX	1.34
UT	-
VA	-
VT	-
WA	-
WI	-
WV	-
WY	-

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3.13. Methodology for Estimating Net Carbon Stock Changes in *Forest Land Remaining Forest Land* and *Land Converted to Forest Land*

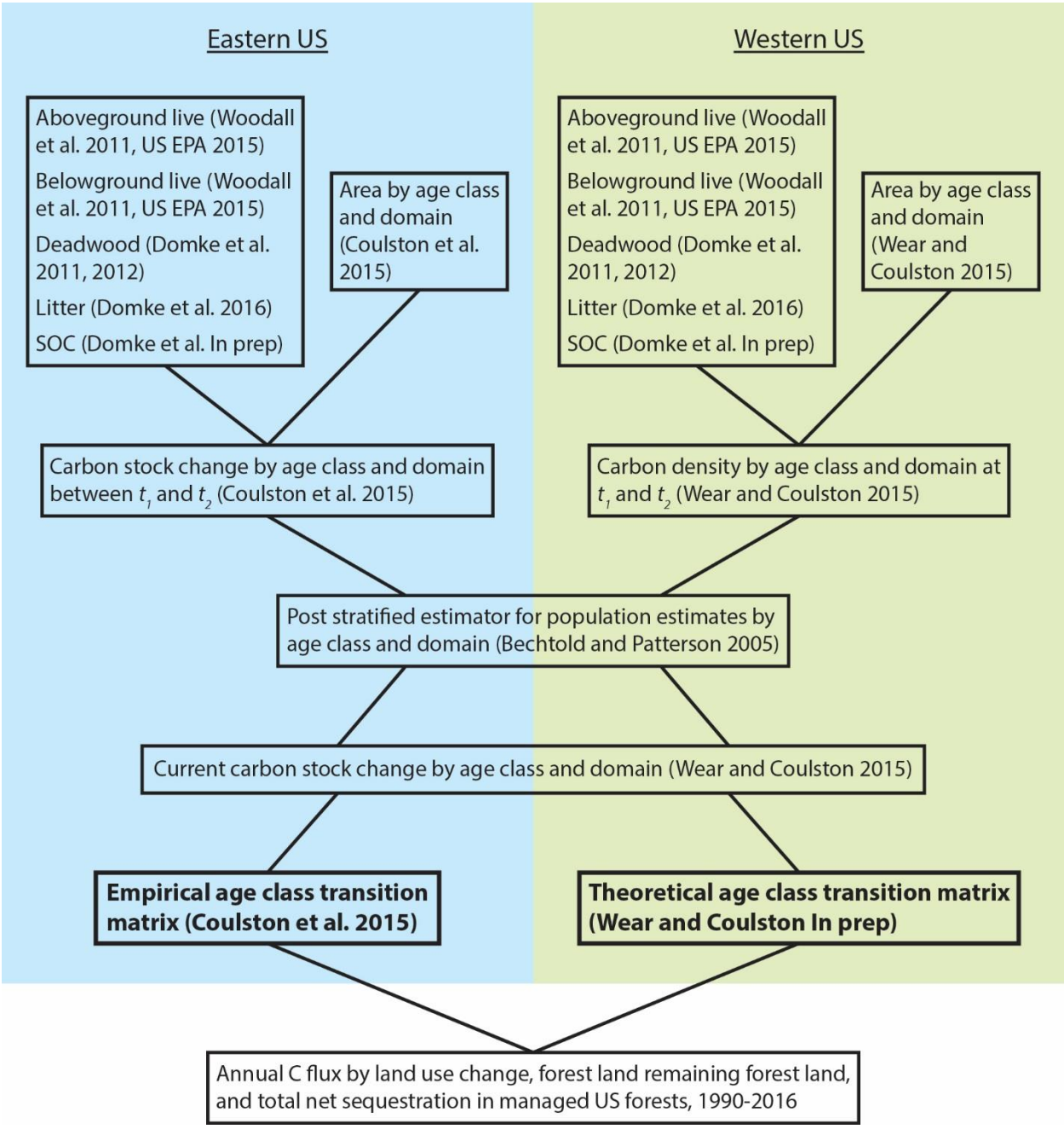
This sub-annex expands on the methodology used to estimate net changes in carbon (C) stocks in forest ecosystems and harvested wood products for *Forest Land Remaining Forest Land* and *Land Converted to Forest Land* as well as non-CO_2 emissions from forest fires. Full details of the C conversion factors and procedures may be found in the cited references. For details on the methods used to estimate changes in soil C stocks in the *Land Converted to Forest Land* section please refer to Annex 3.12.

Carbon stocks and net stock change in forest ecosystems

The inventory-based methodologies for estimating forest C stocks are based on Woodall et al. (2015a) and are consistent with IPCC (2003, 2006) stock-difference methods. Estimates of ecosystem C are based on data from the a network of annual inventory plots established and measured by the Forest Inventory and Analysis program within the USDA Forest Service; either direct measurements or attributes of forest inventories are the basis for estimating metric tons of C per hectare in IPCC pools (i.e., above- and belowground biomass, dead wood, litter, and soil organic carbon). Plot-level estimates are used to inform land area (by use) and stand age transition matrices across time which can be summed annually for an estimate of forest C stock change for *Forest Land Remaining Forest Land* and *Land Converted to Forest Land*. Recent publications (Coulston et al. 2015; Woodall et al. 2015a) detail the land use and stand age transition matrices that are informed by the annual forest inventory of the U.S. and were used in the accounting framework used in this Inventory. The annual forest inventories in the eastern U.S. have been remeasured which allows for empirical estimation of forest C stock net change within the accounting framework. In contrast, as numerous western states have not yet been remeasured, theoretical age transition matrices have been developed (Figure A-22).

A new accounting approach (Woodall et al. 2015a) was used to in this Inventory to be compliant with IPCC (2006) and UNFCCC reporting requirements. The United States' prior approach of comparing spatially and temporally inconsistent forest inventories of the 1980's and 1990's to the nationally consistent inventories of the 2000's not only resulted in highly uncertain estimates of individual forest C pools (see standing dead trees, Woodall et al. 2012), but also had an inability to disaggregate C stock changes by *Forest Land Remaining Forest Land* and *Land Converted to Forest Land* across the entire baseline (i.e., 1990 to the present) (Woodall et al. 2015a). The following subsections of this annex will describe the accounting framework used this year (Figure A-22) including the methods for estimating individual pools of forest C in addition to the eastern versus western approach to informing land use and stand age transitions.

Figure A-22: Flowchart of the inputs necessary in the accounting framework, including the methods for estimating individual pools of forest C in the eastern and western conterminous U.S. states and coastal Alaska



Note: An empirical age class transition matrix was used in the Eastern U.S. while a theoretical age class transition matrix was used in the Western U.S.

Forest Land Definition

The definition of forest land within the U.S. and used for this Inventory is defined in Oswalt et al. (2014) as "Land at least 120 feet (37 meters) wide and at least 1 acre (0.4 hectare) in size with at least 10 percent cover (or equivalent stocking) by live trees including land that formerly had such tree cover and that will be naturally or artificially regenerated. Trees are woody plants having a more or less erect perennial stem(s) capable of achieving at least 3 inches (7.6 cm) in diameter at breast height, or 5 inches (12.7 cm) diameter at root collar, and a height of 16.4 feet (5 meters) at maturity in situ. The definition here includes all areas recently having such conditions and currently regenerating or capable of attaining such condition in the near future. Forest land also includes transition zones, such as areas between forest and non-forest lands that have at least 10 percent cover (or equivalent stocking) with live trees and forest areas adjacent to urban and built-up lands. Unimproved roads and trails, streams, and clearings in forest areas are classified as forest if they are less than 120 feet (36.6 meters) wide or an acre (0.4 hectare) in size. Forest land does not include land that is predominantly under agricultural or urban land use." Timberland is productive forest land, which is on unreserved land and is producing or capable of producing crops of industrial wood. This is an important subclass of forest land because timberland is the primary source of C incorporated into harvested wood products. Productivity for timberland is at a minimum rate of 20 cubic feet per acre (1.4 cubic meters per hectare) per year of industrial wood (Woudenberg and Farrenkopf 1995). There are about 205 million hectares of timberland in the conterminous U.S., which represents 80 percent of all forest lands over the same area (Oswalt et al. 2014).

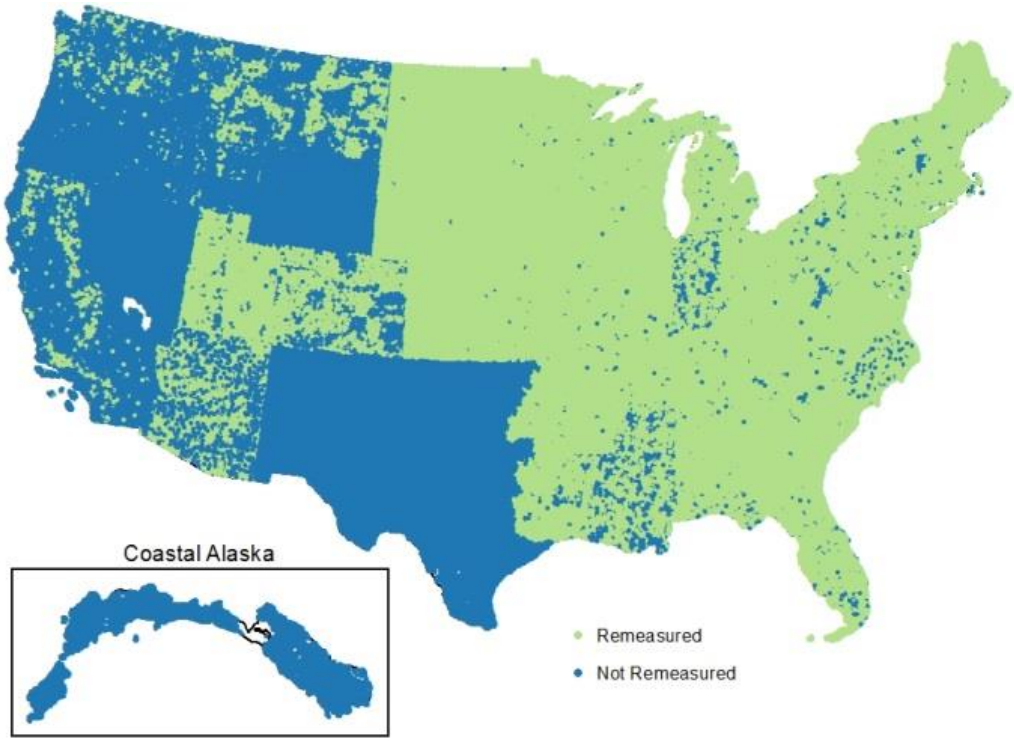
Forest Inventory Data

The estimates of forest C stocks are based on data from forest inventory surveys. Forest inventory data were obtained from the USDA Forest Service, Forest Inventory and Analysis (FIA) Program (Frayer and Furnival 1999; USDA Forest Service 2015a; USDA Forest Service 2015b). Forest Inventory and Analysis data include remote sensing information and a collection of measurements in the field at sample locations called plots. Tree measurements include diameter at breast height, height, and species. On a subset of plots, additional measurements or samples are taken of downed dead wood, litter, and soil attributes. The technical advances needed to estimate C stocks from these data are ongoing (Woodall et al. 2015a) with the latest research incorporated on an annual basis (see Domke et al. 2016). The field protocols are thoroughly documented and available for download from the USDA Forest Service (2015c). Bechtold and Patterson (2005) provide the estimation procedures for standard forest inventory results. The data are freely available for download at USDA Forest Service (2011b) as the FIA Database (FIADB) Version 6.0 (USDA Forest Service 2015b; USDA Forest Service 2015c); these data are the primary sources of forest inventory data used to estimate forest C stocks. In addition to the field sampling component, fine-scale remotely sensed imagery (National Agriculture Imagery Program; NAIP 2015; Woodall et al. 2015b) is used to assign the land use at each sample location which has a nominal spatial resolution (raster cell size) of 1 m^2. Prior to field measurement of each year's collection of annual plots due for measurement (i.e., panel), each sample location in the panel (i.e., systematic distribution of plots within each state each year) is photo-interpreted manually by a forester to determine land use. As annual forest inventories have only just begun in the U.S. territories and in Hawaii, there is an assumption that these areas account for a net C change of zero. Survey data are available for the temperate oceanic ecoregion of Alaska (southeast and south central). These inventory data are publicly available for 6 million hectares of forest land, and these inventoried lands, representing an estimated 12 percent of the total forest land in Alaska, contribute to the forest C stocks presented here. Agroforestry systems are also not currently accounted for in the U.S. Inventory, since they are not explicitly inventoried by either of the two primary national natural resource inventory programs: the FIA program of the USDA Forest Service and the National Resources Inventory (NRI) of the USDA Natural Resources Conservation Service (Perry et al. 2005). The majority of these tree-based practices do not meet the size and definitions for forests within each of these resource inventories.

A national plot design and annualized sampling (USDA Forest Service 2015a) were introduced by FIA with most new annual inventories beginning after 1998. These are the only forest inventories used in the current accounting framework and subsequently in this submission. These surveys involve the sampling of all forest land including reserved and lower productivity lands. Almost all states have annualized inventory data available with substantial remeasurement in the eastern US (Figure A-23). Annualized sampling means that a portion of plots throughout the state is sampled each year, with the goal of measuring all plots once every 5 to 10 years, depending on the region of the U.S. The full unique set of data with all measured plots, such that each plot has been measured one time, is called a cycle. Sampling is designed such that partial inventory cycles provide usable, unbiased samples of forest inventory within the state, but with higher sampling errors than the full cycle. After all plots have been measured once, the sequence continues with remeasurement of the first year's plots, starting the next new cycle. Most eastern states have completed one or two cycles of the annualized inventories, and some western states have begun remeasuring with a second annual cycle. Annually updated estimates of forest C stocks are affected by the redundancy in the data used to generate the annual updates of C stock. For example, a typical annual inventory update for an eastern state will include new data from remeasurement on 20 percent of plots; data from the remaining 80 percent of plots is identical to that included in the previous year's annual update. The interpretation and use

of the annual inventory data can affect trend estimates of C stocks and stock changes (e.g., estimates based on 60 percent of an inventory cycle will be different than estimates with a complete (100 percent) cycle). In general, the C stock and stock change calculations use annual inventory summaries (updates) with unique sets of plot-level data (that is, without redundant sets); the most-recent annual update (i.e., 2015) is the exception because it is included in stock change calculations in order to include the most recent available data for each state. The specific inventories used in this report are listed in Table A-255 and this list can be compared with the full set of summaries available for download (USDA Forest Service 2015b).

Figure A-23: Annual FIA plots (remeasured and not remeasured) across the U.S. including coastal Alaska through the 2014 field season



Note: Due to the vast number of plots (where land use is measured even if no forest is present) they appear as spatially contiguous when displayed at the scale and resolution presented in this figure.

It should be noted that as the FIA program explores expansion of its vegetation inventory beyond the forest land use to other land uses (e.g., woodlands and urban areas) subsequent inventory observations will need to be delineated between forest and other land uses as opposed to a strict forest land use inventory. The forest C estimates provided here represent C stocks and stock change on managed forest lands (IPCC 2006, see Section 6.1 Representation of the U.S. Land Base), which is how all forest lands are classified on the 48 conterminous states. However, Alaska is considered to have significant areas of both managed and unmanaged forest lands. A new model delineating managed versus unmanaged lands for the U.S. (Ogle et al. in preparation), and used in this Inventory, is consistent with the assumption of managed forest lands on the 48 states. However, the model of Ogle et al. (in preparation) identifies some of the forest land in south central and southeastern coastal Alaska as unmanaged; this is in contrast to past assumptions of "managed" for these forest lands included in the FIADB. Therefore, the estimates for coastal Alaska as included here reflect that adjustment, which effectively reduces the forest area included here by about 5 percent. A second modification to the use of the FIADB-defined forest land introduced this year is to identify plots that do not meet the height component of the definition of forestland (Coulston et al. in preparation). These plots were identified as "other wooded lands" (i.e., not forest land use) and were removed from forest estimates and classified as grassland.[105] Note that minor differences in identifying and classifying

[105] Efforts are underway to account for the C stock changes in these "other wooded lands" that have been reclassified as grassland. The estimates should be included in the 2017 submission.

woodland as "forest" versus "other wooded" exist between the current Resources Planning Act Assessment (RPA) data (Oswalt et al. 2014) and the FIADB (USDA Forest Service 2015b) due to a refined modelling approach developed specifically for this submission (Coulston et al. in preparation).

Table A-255: Specific annual forest inventories by state used in development of forest C stock and stock change estimates

Remeasured Annual Plots			Split Annual Cycle Plots		
State	Time 1 Year Range	Time 2 Year Range	State	Time 1 Year Range	Time 2 Year Range
Alabama	2001 - 2009	2006 - 2014	Alaska (Coastal)	2004 - 2008	2009 - 2013
Arkansas	2000, 2002, 2004 - 2010	2009 - 2014	Arizona	2004 - 2008	2009 - 2013
Connecticut	2004 - 2008	2009 - 2013	California	2001 - 2005	2006 - 2010
Delaware	2004 - 2008	2009 - 2013	Colorado	2004 - 2008	2009 - 2013
Florida	2002 - 2004, 2006 - 2007	2009 - 2013	Idaho	2004 - 2008	2009 - 2013
Georgia	1998 - 2009	2005 - 2007, 2009 - 2013	Montana	2004 - 2008	2009 - 2013
Illinois	2004 - 2009	2009 - 2014	Nevada	2004 - 2008	2009 - 2013
Indiana	2004 - 2008	2009 - 2013	New Mexico	1999	2005 - 2013
Iowa	2004 - 2009	2009 - 2014	Oklahoma (West)	2009 - 2010	2011 - 2013
Kansas	2004 - 2009	2009 - 2014	Oregon	2001 - 2005	2006 - 2010
Kentucky	2000 - 2009	2005 - 2006, 2008 - 2012	Texas (West)	2004 - 2007	2008 - 2012
Louisiana	2001 - 2005, 2008	2009 - 2013	Utah	2004 - 2008	2009 - 2013
Maine	2004 - 2008	2009 - 2013	Washington	2002 - 2006	2007 - 2011
Maryland	2004 - 2008	2009 - 2013	Wyoming	2000	2011 - 2013
Massachusetts	2004 - 2008	2009 - 2013			
Michigan	2004 - 2009	2009 - 2014			
Minnesota	2005 - 2009	2010 - 2014			
Mississippi	2006	2009 - 2014			
Missouri	2004 - 2009	2009 - 2014			
Nebraska	2004 - 2008	2009 - 2013			
New Hampshire	2003 - 2008	2009 - 2013			
New Jersey	2004 - 2008	2009 - 2013			
New York	2003 - 2008	2009 - 2013			
North Carolina	2002 - 2007	2003, 2005 - 2007, 2009 - 2014			
North Dakota	2004 - 2009	2009 - 2014			
Ohio	2003 - 2008	2009 - 2013			
Oklahoma (East)	2008	2010 - 2013			
Pennsylvania	2004 - 2008	2009 - 2013			
Rhode Island	2004 - 2008	2009 - 2013			
South Carolina	2002 - 2010	2007 - 2013			
South Dakota	2004 - 2009	2009 - 2014			
Tennessee	2000 - 2009	2005 - 2012			
Texas (East)	2002 - 2008	2005, 2007 - 2012			
Vermont	2004 - 2008	2009 - 2013			
Virginia	2002 - 2003, 2005 - 2010	2008 - 2013			
West Virginia	2004 - 2008	2009 - 2013			
Wisconsin	2004 - 2009	2009 - 2014			

Note: Remeasured annual plots represent a complete inventory cycle between measurements of the same plots while spilt annual cycle plots represent a single inventory cycle of plots that are split where remeasurements have yet to occur.

Estimating Forest Inventory Plot-Level C-Density

For each inventory plot in each state, field data from the FIA program are used alone or in combination with auxiliary information (e.g., climate, surficial geology, elevation) to predict C density for each IPCC pool (i.e., aboveground and belowground biomass, dead wood, litter, SOC). In the past, most of the conversion factors and models used for inventory-based forest C estimates (Smith et al. 2010; Heath et al. 2011) were initially developed as an offshoot of the forest C simulation model FORCARB (Heath et al. 2010). The conversion factors and model coefficients were usually categorized by region and forest type. Thus, region and type are specifically defined for each set of estimates. More recently, the coarse approaches of the past have been updated with empirical information regarding C attributes of individual forest C pools such as dead wood and litter (e.g., Domke et al. 2013 and Domke et al. 2016). Factors are applied to the forest inventory data at the scale of FIA inventory plots which are a systematic sample of all forests attributes and land uses within each state. The results are estimates of C density (T per hectare) for the various forest pools. Carbon density for live trees, standing dead trees, understory vegetation, downed dead wood, litter, and soil organic matter are estimated. All non-soil C pools except litter can be separated into aboveground and belowground components. The live tree and understory C pools are combined into the biomass pool in this inventory. Similarly, standing dead trees and downed dead wood are pooled as dead wood in this inventory. C stocks and fluxes for *Forest Land Remaining Forest Land* are reported in pools following IPCC (2006).

Live tree C pools

Live tree C pools include aboveground and belowground (coarse root) biomass of live trees with diameter at diameter breast height (d.b.h.) of at least 2.54 cm at 1.37 m above the forest floor. Separate estimates are made for above- and below-ground biomass components. If inventory plots include data on individual trees, tree C is based on Woodall et al. (2011), which is also known as the component ratio method (CRM), and is a function of volume, species, diameter, and, in some regions, tree height and site quality. The estimated sound volume (i.e., after rotten/missing deductions) provided in the tree table of the FIADB is the principal input to the CRM biomass calculation for each tree (Woodall et al. 2011). The estimated volumes of wood and bark are converted to biomass based on the density of each. Additional components of the trees such as tops, branches, and coarse roots, are estimated according to adjusted component estimates from Jenkins et al. (2003). Live trees with d.b.h of less than 12.7 cm do not have estimates of sound volume in the FIADB, and CRM biomass estimates follow a separate process (see Woodall et al. 2011 for details). An additional component of foliage, which was not explicitly included in Woodall et al. (2011), was added to each tree following the same CRM method. Carbon is estimated by multiplying the estimated oven-dry biomass by a C constant of 0.5 because biomass is 50 percent of dry weight (IPCC 2006). Further discussion and example calculations are provided in Woodall et al. 2011 and Domke et al. 2012.

Understory vegetation

Understory vegetation is a minor component of total forest ecosystem biomass. Understory vegetation is defined as all biomass of undergrowth plants in a forest, including woody shrubs and trees less than one-inch d.b.h. In this inventory, it is assumed that 10 percent of understory C mass is belowground. This general root-to-shoot ratio (0.11) is near the lower range of temperate forest values provided in IPCC (2006) and was selected based on two general assumptions: ratios are likely to be lower for light-limited understory vegetation as compared with larger trees, and a greater proportion of all root mass will be less than 2 mm diameter.

Estimates of C density are based on information in Birdsey (1996), which was applied to FIA permanent plots. These were fit to the model:

$$\text{Ratio} = e^{(A - B \times \ln(\text{live tree C density}))} \qquad (1)$$

In this model, the ratio is the ratio of understory C density (T C/ha) to live tree C density (above- and below-ground) according to Jenkins et al. (2003) and expressed in T C/ha. An additional coefficient is provided as a maximum ratio; that is, any estimate predicted from the model that is greater than the maximum ratio is set equal to the maximum ratio. A full set of coefficients are in Table A-256. Regions and forest types are the same classifications described in Smith et al. (2003). As an example, the basic calculation for understory C in aspen-birch forests in the Northeast is:

$$\text{Understory (T C/ha)} = (\text{live tree C density}) \times e^{(0.855 - 1.03 \times \ln(\text{tree C density}))} \qquad (2)$$

This calculation is followed by three possible modifications. First, the maximum value for the ratio is set to 2.02 (see value in column "maximum ratio"); this also applies to stands with zero tree C, which is undefined in the above model. Second, the minimum ratio is set to 0.005 (Birdsey 1996). Third, nonstocked (i.e., currently lacking tree cover but still in the forest land use) and pinyon/juniper forest types (see Table A-256) are set to coefficient A, which is a C density (T C/ha) for these types only.

Table A-256: Coefficients for Estimating the Ratio of C Density of Understory Vegetation (above- and belowground, T C/ha)[a] by Region and Forest Type

Region[b]	Forest Type[b]	A	B	Maximum ratio[c]
NE	Aspen-Birch	0.855	1.032	2.023
	MBB/Other Hardwood	0.892	1.079	2.076
	Oak-Hickory	0.842	1.053	2.057
	Oak-Pine	1.960	1.235	4.203
	Other Pine	2.149	1.268	4.191
	Spruce-Fir	0.825	1.121	2.140
	White-Red-Jack Pine	1.000	1.116	2.098
	Nonstocked	2.020	2.020	2.060
NLS	Aspen-Birch	0.777	1.018	2.023
	Lowland Hardwood	0.650	0.997	2.037
	Maple-Beech-Birch	0.863	1.120	2.129
	Oak-Hickory	0.965	1.091	2.072
	Pine	0.740	1.014	2.046
	Spruce-Fir	1.656	1.318	2.136
	Nonstocked	1.928	1.928	2.117
NPS	Conifer	1.189	1.190	2.114
	Lowland Hardwood	1.370	1.177	2.055
	Maple-Beech-Birch	1.126	1.201	2.130
	Oak-Hickory	1.139	1.138	2.072
	Oak-Pine	2.014	1.215	4.185
	Nonstocked	2.052	2.052	2.072
PSW	Douglas-fir	2.084	1.201	4.626
	Fir-Spruce	1.983	1.268	4.806
	Hardwoods	1.571	1.038	4.745
	Other Conifer	4.032	1.785	4.768
	Pinyon-Juniper	4.430	4.430	4.820
	Redwood	2.513	1.312	4.698
	Nonstocked	4.431	4.431	4.626
PWE	Douglas-fir	1.544	1.064	4.626
	Fir-Spruce	1.583	1.156	4.806
	Hardwoods	1.900	1.133	4.745
	Lodgepole Pine	1.790	1.257	4.823
	Pinyon-Juniper	2.708	2.708	4.820
	Ponderosa Pine	1.768	1.213	4.768
	Nonstocked	4.315	4.315	4.626
PWW	Douglas-fir	1.727	1.108	4.609
	Fir-Spruce	1.770	1.164	4.807
	Other Conifer	2.874	1.534	4.768
	Other Hardwoods	2.157	1.220	4.745
	Red Alder	2.094	1.230	4.745
	Western Hemlock	2.081	1.218	4.693
	Nonstocked	4.401	4.401	4.589
RMN	Douglas-fir	2.342	1.360	4.731
	Fir-Spruce	2.129	1.315	4.749
	Hardwoods	1.860	1.110	4.745
	Lodgepole Pine	2.571	1.500	4.773
	Other Conifer	2.614	1.518	4.821
	Pinyon-Juniper	2.708	2.708	4.820
	Ponderosa Pine	2.099	1.344	4.776
	Nonstocked	4.430	4.430	4.773
RMS	Douglas-fir	5.145	2.232	4.829
	Fir-Spruce	2.861	1.568	4.822
	Hardwoods	1.858	1.110	4.745
	Lodgepole Pine	3.305	1.737	4.797
	Other Conifer	2.134	1.382	4.821
	Pinyon-Juniper	2.757	2.757	4.820
	Ponderosa Pine	3.214	1.732	4.820
	Nonstocked	4.243	4.243	4.797
SC	Bottomland Hardwood	0.917	1.109	1.842
	Misc. Conifer	1.601	1.129	4.191
	Natural Pine	2.166	1.260	4.161

	Oak-Pine	1.903	1.190	4.173
	Planted Pine	1.489	1.037	4.124
	Upland Hardwood	2.089	1.235	4.170
	Nonstocked	4.044	4.044	4.170
	Bottomland Hardwood	0.834	1.089	1.842
	Misc. Conifer	1.601	1.129	4.191
	Natural Pine	1.752	1.155	4.178
SE	Oak-Pine	1.642	1.117	4.195
	Planted Pine	1.470	1.036	4.141
	Upland Hardwood	1.903	1.191	4.182
	Nonstocked	4.033	4.033	4.182

[a] Prediction of ratio of understory C to live tree C is based on the model: Ratio=exp(A − B × ln(tree_carbon_tph)), where "ratio" is the ratio of understory C density to live tree (above-and below- ground) C density, and "tree_carbon_density" is live tree (above-and below- ground) C density in T C/ha. Note that this ratio is multiplied by tree C density on each plot to produce understory vegetation.
[b] Regions and types as defined in Smith et al. (2003).
[c] Maximum ratio: any estimate predicted from the model that is greater than the maximum ratio is set equal to the maximum ratio.

Dead Wood

The standing dead tree estimates are primarily based on plot-level measurements (Domke et al. 2011; Woodall et al. 2011). This C pool includes aboveground and belowground (coarse root) mass and includes trees of at least 12.7 cm d.b.h. Calculations follow the basic CRM method applied to live trees (Woodall et al. 2011) with additional modifications to account for decay and structural loss. In addition to the lack of foliage, two characteristics of standing dead trees that can significantly affect C mass are decay, which affects density and thus specific C content (Domke et al. 2011; Harmon et al. 2011), and structural loss such as branches and bark (Domke et al. 2011). Dry weight to C mass conversion is by multiplying by 0.5.

Downed dead wood, inclusive of logging residue, are sampled on a subset of FIA plots. Despite a reduced sample intensity, a single down woody material population estimate (Woodall et al. 2010; Domke et al. 2013; Woodall et al. 2013) per state is now incorporated into these empirical downed dead wood estimates. Downed dead wood is defined as pieces of dead wood greater than 7.5 cm diameter, at transect intersection, that are not attached to live or standing dead trees. It also includes stumps and roots of harvested trees. Ratio estimates of downed dead wood to live tree biomass were developed using FORCARB2 simulations and applied at the plot level (Smith et al. 2004). Estimates for downed dead wood correspond to the region and forest type classifications described in Smith et al. (2003). A full set of ratios is provided in Table A-257. An additional component of downed dead wood is a regional average estimate of logging residue based on Smith et al. (2006) applied at the plot level. These are based on a regional average C density at age zero and first order decay; initial densities and decay coefficients are provided in Table A-258. These amounts are added to explicitly account for downed dead wood following harvest. The sum of these two components are then adjusted by the ratio of population totals; that is, the ratio of plot-based to modeled estimates (Domke et al. 2013). An example of this 3-part calculation for downed dead wood in a 25-year-old naturally regenerated loblolly pine forest with 82.99 T C/ha in live trees (Jenkins et al. 2003) in Louisiana is as follows:

First, an initial estimate from live tree C density and Table A-257 (SC, Natural Pine)

$$\text{C density} = 82.99 \times 0.068 = 5.67 \text{ (T C/ha)}$$

Second, an average logging residue from age and Table A-257 (SC, softwood)

$$\text{C density} = 5.5 \times e^{(-25/17.9)} = 1.37 \text{ (T C/ha)}$$

Third, adjust the sum by the downed dead wood ratio plot-to-model for Louisiana, which was 27.6/31.1 = 0.886

$$\text{C density} = (5.67 + 1.37) \times 0.886 = 6.24 \text{ (T C/ha)}$$

Table A-257: Ratio for Estimating Downed Dead Wood by Region and Forest Type

Region[a]	Forest type[a]	Ratio[b]	Region (cont'd)	Forest type (cont'd)	Ratio[b] (cont'd)
	Aspen-Birch	0.078		Douglas-fir	0.100
	MBB/Other Hardwood	0.071		Fir-Spruce	0.090
	Oak-Hickory	0.068		Other Conifer	0.073
NE	Oak-Pine	0.061	PWW	Other Hardwoods	0.062
	Other Pine	0.065		Red Alder	0.095
	Spruce-Fir	0.092		Western Hemlock	0.099
	White-Red-Jack Pine	0.055		Nonstocked	0.020

	Nonstocked	0.019
NLS	Aspen-Birch	0.081
	Lowland Hardwood	0.061
	Maple-Beech-Birch	0.076
	Oak-Hickory	0.077
	Pine	0.072
	Spruce-Fir	0.087
	Nonstocked	0.027
NPS	Conifer	0.073
	Lowland Hardwood	0.069
	Maple-Beech-Birch	0.063
	Oak-Hickory	0.068
	Oak-Pine	0.069
	Nonstocked	0.026
PSW	Douglas-fir	0.091
	Fir-Spruce	0.109
	Hardwoods	0.042
	Other Conifer	0.100
	Pinyon-Juniper	0.031
	Redwood	0.108
	Nonstocked	0.022
PWE	Douglas-fir	0.103
	Fir-Spruce	0.106
	Hardwoods	0.027
	Lodgepole Pine	0.093
	Pinyon-Juniper	0.032
	Ponderosa Pine	0.103
	Nonstocked	0.024
RMN	Douglas-fir	0.062
	Fir-Spruce	0.100
	Hardwoods	0.112
	Lodgepole Pine	0.058
	Other Conifer	0.060
	Pinyon-Juniper	0.030
	Ponderosa Pine	0.087
	Nonstocked	0.018
RMS	Douglas-fir	0.077
	Fir-Spruce	0.079
	Hardwoods	0.064
	Lodgepole Pine	0.098
	Other Conifer	0.060
	Pinyon-Juniper	0.030
	Ponderosa Pine	0.082
	Nonstocked	0.020
SC	Bottomland Hardwood	0.063
	Misc. Conifer	0.068
	Natural Pine	0.068
	Oak-Pine	0.072
	Planted Pine	0.077
	Upland Hardwood	0.067
	Nonstocked	0.013
SE	Bottomland Hardwood	0.064
	Misc. Conifer	0.081
	Natural Pine	0.081
	Oak-Pine	0.063
	Planted Pine	0.075
	Upland Hardwood	0.059
	Nonstocked	0.012

[a] Regions and types as defined in Smith et al. (2003).
[b] The ratio is multiplied by the live tree C density on a plot to produce downed dead wood C density (T C/ha).

Table A-258: Coefficients for Estimating Logging Residue Component of Downed Dead Wood

Region[a]	Forest Type Group[b] (softwood/ hardwood)	Initial C Density (T/ha)	Decay Coefficient
Alaska	hardwood	6.9	12.1
Alaska	softwood	8.6	32.3
NE	hardwood	13.9	12.1
NE	softwood	12.1	17.9
NLS	hardwood	9.1	12.1
NLS	softwood	7.2	17.9
NPS	hardwood	9.6	12.1
NPS	softwood	6.4	17.9
PSW	hardwood	9.8	12.1
PSW	softwood	17.5	32.3
PWE	hardwood	3.3	12.1
PWE	softwood	9.5	32.3
PWW	hardwood	18.1	12.1
PWW	softwood	23.6	32.3
RMN	hardwood	7.2	43.5
RMN	softwood	9.0	18.1
RMS	hardwood	5.1	43.5
RMS	softwood	3.7	18.1
SC	hardwood	4.2	8.9
SC	softwood	5.5	17.9
SE	hardwood	6.4	8.9
SE	softwood	7.3	17.9

[a] Regions are defined in Smith et al. (2003) with the addition of coastal Alaska.
[b] Forest types are according to majority hardwood or softwood species.

Litter carbon

Carbon in the litter layer is currently sampled on a subset of the FIA plots. Litter C is the pool of organic C (including material known as duff, humus, and fine woody debris) above the mineral soil and includes woody fragments with diameters of up to 7.5 cm. Because litter attributes are only collected on a subset of FIA plots, a model was developed to predict C density based on plot/site attributes for plots that lacked litter information (Domke et al. 2016).

As the litter, or forest floor, estimates are an entirely new model this year, a more detailed overview of the methods is provided here. The first step in model development was to evaluate all relevant variables—those that may influence the formation, accumulation, and decay of forest floor organic matter—from annual inventories collected on FIADB plots (P2) using all available estimates of forest floor C (n = 4,530) from the P3 plots (hereafter referred to as the research dataset) compiled from 2000 through 2014 (Domke et al. 2016).

Random forest, a machine learning tool (Domke et al. 2016), was used to evaluate the importance of all relevant forest floor C predictors available from P2 plots in the research dataset. Given many of the variables were not available due to regional differences in sampling protocols during periodic inventories, the objective was to reduce the random forest regression model to the minimum number of relevant predictors without substantial loss in explanatory power. The form of the full random forest model was:

$$P(FFC_{Full}) = f(lat, lon, elev,\ fortypgrp, above,\ ppt, t\max, gmi) + u \qquad (3)$$

where: *lat* = latitude, *lon* = longitude, *elev* = elevation, *fortypgrp* = forest type group, *above* = aboveground live tree C (trees ≥ 2.54 cm dbh), *ppt* = mean annual precipitation, *tmax* = average maximum temperature, *gmi* = the ratio of precipitation to potential evapotranspiration, *u* = the uncertainty in the prediction resulting from the sample-based estimates of the model parameters and observed residual variability around this prediction.

For each replacement, u was independently and randomly generated from a N(0,σ) distribution with σ incorporating the variability from both sources. This process of randomly selecting and incorporating u may be considered an imputation. Each model prediction was replaced independently m times and m separate estimates were combined where m = 1,000 in this analysis.

Due to data limitation in certain regions and inventory periods a series of reduced random forest regression models were used rather than replacing missing variables with imputation techniques in random forest. Database records used to compile estimates for this report were grouped by variable availability and the approaches described herein were applied to replace forest floor model predictions from Smith and Heath (2002). Forest floor C predictions are expressed in $T \bullet ha^{-1}$.

Soil organic carbon

Soil organic carbon (SOC) is the largest terrestrial C sink, and management of this pool is a critical component of efforts to mitigate atmospheric C concentrations. In the U.S., SOC in forests is monitored by the national forest inventory conducted by the FIA program (O'Neill et al. 2005). In previous C inventory submissions, SOC predictions were based, in part, on a model using the State Soil Geographic (STATSGO) database compiled by the Natural Resources Conservation Service (NRCS) (Amichev and Glabraith 2004), hereafter referred to as the country-specific (CS_{soc}) model. Estimates of forest SOC found in the STATSGO database may be based on expert opinion and/or lack systematic field observations, but these country-specific model predictions have been used in past C inventory submissions. The FIA program has been consistently measuring soil attributes as part of the inventory since 2001 and has amassed an extensive inventory of SOC in forest land in the conterminous U.S. and coastal Alaska (O'Neill et al. 2005). More than 5,000 profile observations of SOC on forest land from FIA and the International Soil Carbon Monitoring Network (ISCN 2015) were used to develop and implement a modeling framework used for UNFCCC reporting that includes site-, stand-, and climate-specific variables that yield predictions of SOC stocks and stock changes specific to forest land in the U.S. This section provides a summary of the methodology used to predict SOC for this report. A complete description of the approach is being written by Domke et al. (In prep.).

The data used to develop the new modeling framework to predict SOC on forest land came from the FIA program and the ISCN. Since 2001, the FIA program has collected soil samples on every 16th base intensity plot distributed approximately every 38,848 ha, where at least one forested condition exists (Woodall et al. 2010). On fully forested plots, mineral and organic soils were sampled adjacent to subplots 2, 3, and 4 by taking a single core at each location from two layers: 0 to 10.16 cm and 10.16 to 20.32 cm. The texture of each soil layer was estimated in the field, and physical and chemical properties were determined in the laboratory (U.S. Forest Service 2011). For this analysis, estimates of SOC from the FIA program were calculated following O'Neill et al. (2005):

$$\sum SOC_{FIA_TOTAL} = C_i \cdot BD_i \cdot t_i \cdot ucf \quad (4)$$

Where $\sum SOC_{FIA_TOTAL}$ = total mass (Mg C ha^{-1}) of the mineral and organic soil C over all *i*th layers, C_i = percent organic C in the *i*th layer, BD_i = bulk density calculated as weight per unit volume of soil (g·cm^{-3}) at the *i*th soil layer, t_i = thickness (cm) of the *i*th soil layer (either 0 to 10.16 cm or 10.16 to 20.32 cm), and ucf = unit conversion factor (100).

The SOC_{FIA_TOTAL} estimates from each plot were assigned by forest condition on each plot, resulting in 3,667 profiles with SOC layer observations at 0 to 10.16 and 10.16 to20.32 cm depths. Since the U.S. has historically reported SOC estimates to a depth of 100 cm (Heath et al. 2011, USEPA 2015), ISCN data from forests in the U.S. were harmonized with the FIA soil layer observations to develop model functions of SOC by soil order to a depth of 100 cm. All observations used from the ISCN were contributed by the Natural Resources Conservation Service. A total of 16,504 soil layers from 2,037 profiles were used from ISCN land uses defined as deciduous, evergreen, or mixed forest. The FIA-ISCN harmonized dataset used for model selection and prediction included a total of 5,704 profiles with 23,838 layer observations at depths ranging from 0 to 1,148 cm.

The modeling framework developed to predict SOC for this report was built around strategic-level forest and soil inventory information and auxiliary variables available for all FIA plots in the U.S. The first phase of the new estimation approach involved fitting models using the midpoint of each soil layer from the harmonized dataset and SOC estimates at those midpoints. Several linear and nonlinear models were evaluated, and a log-log model provided the optimal fit to the harmonized data:

$$\log_{10} SOC_i = I + \log_{10} Depth \quad (5)$$

Where $\log_{10} SOC_i$ = SOC density (Mg C ha^{-1} cm depth^{-1}) at the midpoint depth, I = intercept, $\log_{10} Depth$ = profile midpoint depth (cm).

The model was validated by partitioning the complete harmonized dataset multiple times into training and testing groups and then repeating this step for each soil order to evaluate model performance by soil order. Extra sum of squares F tests were used to evaluate whether there were statistically significant differences between the model coefficients from the model fit to the complete harmonized dataset and models fit to subsets of the data by soil order. Model coefficients for each soil order were used to predict SOC for the 20.32 to 100 cm layer for all FIA plots with soil profile observations. Next, the SOC layer observations from the FIA and predictions over the 100 cm profile for each FIA plot were summed:

$$SOC_{100} = SOC_{FIA_TOTAL} + SOC_{20\text{–}100} \quad (6)$$

Where SOC_{100} = total estimated SOC density from 0-100 cm for each forest condition with a soil sample in the FIA database, SOC_{FIA_TOTAL} as previously defined in model (1), $SOC_{20\text{–}100}$ = predicted SOC from 20.32 to 100 cm from model (2). Note that bias correction factors will be incorporated into the $SOC_{20\text{–}100}$ predictions and evaluated in Domke et al. (In prep.) but were not included in the SOC_{100} estimates used in this analysis.

In the second phase of the modeling framework, SOC_{100} estimates for FIA plots were used to predict SOC for plots lacking SOC_{100} estimates using Random forests , a machine learning tool that uses bootstrap aggregating (i.e., bagging) to develop models to improve prediction (Breimen 2001). Random forests also relies on random variable selection to develop a forest of uncorrelated regression trees. These trees recognize the relationship between a dependent variable, in this case SOC_{100}, and a set of predictor variables. All relevant predictor variables—those that may influence the formation, accumulation, and loss of SOC—from annual inventories collected on all base intensity plots and auxiliary climate, soil, and topographic variables obtained from the PRISM climate group (Northwest Alliance 2015), Natural Resources Conservation Service (NRCS 2015), and U.S. Geological Survey (Danielson and Gesch 2011), respectively, were included in the RF analysis. Due to regional differences in sampling protocols, many of the predictor variables included in the RF variable selection process were not available for all base intensity plots. To avoid problems with data limitations, pruning was used

to reduce the RF models to the minimum number of relevant predictors (including both continuous and categorical variables) without substantial loss in explanatory power or increase in root mean squared error (RMSE). The general form of the full RF models were:

$$P(SOC) = f(lat, lon, elev, fortypgrp, ppt, t\max, gmi, order, surfgeo) + u \quad (7)$$

where lat = latitude, lon = longitude, $elev$ = elevation, $fortypgrp$ = forest type group, ppt = mean annual precipitation, $t\max$ = average maximum temperature, gmi = the ratio of precipitation to potential evapotranspiration, $order$ = soil order, $surfgeo$ = surficial geological description, and u = the uncertainty in the prediction resulting from the sample-based estimates of the model parameters and observed residual variability around this prediction. For each replacement, u was independently and randomly generated from a N(0,σ) distribution, with σ incorporating the variability from both sources. This process of randomly selecting and incorporating u may be considered an imputation. Each model prediction was replaced independently m times, and m separate predictions were combined following Rubin (1987):

$$\hat{\bar{C}} = \frac{1}{m}\sum_{k=1}\hat{C}^k \quad (8)$$

where $\hat{\bar{C}}$ is the estimate for the kth completion of the data set. In this analysis, m = 1000, which is markedly larger than the m recommended by Rubin (1987), but given the extremely high level of replacement in this study, it was deemed necessary (Bodner 2008).

Moving the Annual Forest Inventory Backwards and Forwards in Time: Transition Matrices

The accounting framework used this year is fundamentally driven by the annual forest inventory system conducted by the FIA program of the U.S. Forest Service (2015a-d). Unfortunately, the annual inventory system does not extend into the 1990's and the periodic data are not consistent (e.g., different plot design) with the annual inventory necessitating the adoption of a system to "backcast" the annual C estimates. Likewise, forecasting the annual inventory can enable the monitoring of U.S. greenhouse gas emission reduction targets, however, that is an activity beyond the scope of this document. To facilitate the backcasting of the U.S. annual forest inventory C estimates, the accounting framework is comprised of a forest dynamics module (age transition matrices) and a land use dynamics module (land area transition matrices). The forest dynamics module assesses forest sequestration, forest aging, and disturbance effects (i.e., disturbances such as wind, fire, and floods identified by foresters on inventory plots). The land use dynamics module assesses C stock transfers associated with afforestation and deforestation (e.g., Woodall et al. 2015b). Both modules are developed from land use area statistics and C stock change or C stock transfer by age class. The required inputs are estimated from more than 625,000 forest and nonforest observations in the FIA national database (U.S. Forest Service 2015a-c). Model predictions for before or after the annual inventory period are constructed from the accounting framework using only the annual observations. This modeling framework includes opportunities for user-defined scenarios to evaluate the impacts of land use change and disturbance rates on future C stocks and stock changes. As annual forest inventories in the eastern U.S. have largely completed at least one cycle and been remeasured, age and area transition matrices can be empirically informed. In contrast, as annual inventories in western states are still undergoing their first complete cycle they are still in the process of being remeasured, and as a result theoretical transition matrices need to be developed.

Wear and Coulston (2015) and Coulston et al. (2015) provide the framework for the projection model. The overall objective is to estimate unmeasured historical changes and future changes in forest C consistent with annual forest inventory measurements. For most regions, forest conditions are observed at time t_0 and at a subsequent time $t_1=t_{0+s}$, where s is the time step (time measured in years) and is indexed by discrete (5 year) forest age classes. The inventory from t_0 is then backcasted to the year 1990 (on average about 16 years) and projected from t_1 to 2016 (about 5 years for the next inventory report). This backcasting/projection approach requires simulating changes in the age-class distribution resulting from forest aging and disturbance events and then applying C density estimates for each age class. For the North, South (except for west Texas and west Oklahoma), and Rocky Mountains regions of the country, age class transition matrices are estimated from observed changes in age classes between t_0 and t_1. In the remainder of the regions (Pacific Coast including Alaska, west Texas, and west Oklahoma), only one inventory was available (t_0) so transition matrices were derived from theory but informed by the condition of the observed inventory to backcast from t_0 to 1990 and project from t_0 to 2016.

Theoretical Age Transition Matrices

Without any mortality-inducing disturbance, a projection of forest conditions would proceed by increasing all forest ages by the length of the time step until all forest resided in a terminal age class where the forest is retained indefinitely (this is by assumption, where forest C per unit area reaches a stable maximum). For the most basic case, disturbances (e.g., wildfire or timber harvesting) can reset some of the forest to the first age class. Disturbance can also alter the age class in more subtle ways. If a portion of trees in a multiple-age forest dies, the trees comprising the average age calculation change, thereby shifting the average age higher or lower (generally by one age class).

With *n* age classes**,** the age transition matrix (**T)** is an n x n matrix, and each element ($\mathbf{T}_{qr}$) defines the proportion of forest area in class *q* transitioning to class *r* during the time step (*s*). The values of the elements of **T** depend on a number of factors, including forest disturbances such as harvests, fire, storms, and the value of s, especially relative to the span of the age classes. For example, holding area fixed, allowing for no mortality, defining the time step *s* equivalent to the span of age classes, and defining five age classes results in:

$$\boldsymbol{T} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \end{pmatrix} \tag{9}$$

where all forest area progresses to the next age class and forests within the terminal age class are retained forever. With this version of **T**, after five time steps all forests would be in the terminal age class. Relaxing these assumptions changes the structure of **T**. If all disturbances, including harvesting and fire, that result in stand regeneration are accounted for and stochastic elements in forest aging are allowed, **T** defines a traditional Lefkovitch matrix population model (e.g., Caswell 2001) and becomes:

$$\boldsymbol{T} = \begin{pmatrix} 1-t_1-d_1 & d_2 & d_3 & d_4 & d_5 \\ t_1 & 1-t_2-d_2 & 0 & 0 & 0 \\ 0 & t_2 & 1-t_3-d_3 & 0 & 0 \\ 0 & 0 & t_3 & 1-t_4-d_4 & 0 \\ 0 & 0 & 0 & t_4 & 1-d_5 \end{pmatrix} \tag{10}$$

Where $\mathrm{t_q}$ is the proportion of forest of age class q transitioning to age class q+1, d_q is the proportion of age class q that experiences a stand-replacing disturbance, and $(1-t_q-d_q)$ is the proportion retained within age class q ($\mathbf{T}_{qr}$).

Projections and Backcast for Pacific Coast, Rocky Mountains, West Texas, and West Oklahoma

Projections of forest C in the Pacific (including Alaska), Rocky Mountains, west Texas and west Oklahoma are based on a life stage model:

$$\Delta \mathrm{C_t} = \mathrm{C_{t+m}} - \mathrm{C_t} = (\mathbf{F_t T} - \mathbf{F_t}) \cdot \mathbf{Den} + \mathbf{L_t} \cdot \mathbf{Den} \tag{11}$$

In this framework **T** is an age transition matrix that shifts the age distribution of the forest **F**. The difference in forest area by age class between time *t* and *t*+*s* is $\mathbf{F_t T}$-$\mathbf{F_t}$. This quantity is multiplied by C density by age class (**Den**) to estimate C stock change of forest remaining forest between *t* and *t*+*s*. Land use change is accounted for by the addition of $\mathbf{L_t}$·**Den,** where $\mathbf{L_t}$ identifies the age distribution of net land shifts into or out of forests. A query of the forest inventory databases provides estimates of **F** and **Den**, while inventory observations and modeling assumptions are used to estimate **T**. By expanding **Den** to a matrix of C contained in all the constituent pools of forest carbon, projections for all pools are generated.

Land use change is incorporated as a 1 x n vector **L,** with positive entries indicating increased forest area and negative entries indicating loss of forest area, which provides insights of net change only. Implementing a forest area change

requires some information and assumptions about the distribution of the change across age classes (the n dimension of **L**). In the eastern states, projections are based on the projection of observed gross area changes by age class. In western states, total forest area changes are applied using rules. When net gains are positive, the area is added to the youngest forest age class; when negative, area is subtracted from all age classes in proportion to the area in each age class category.

Backcasting forest C inventories generally involve the same concepts as forecasting. An initial age class distribution is shifted at regular time steps backwards through time, using a transition matrix (B):

$$\boldsymbol{F}_{t-s} = \boldsymbol{F}_t \cdot \boldsymbol{B} \tag{12}$$

B is constructed based on similar logic used for creating **T**. The matrix cannot simply be derived as the inverse of **T** ($\boldsymbol{F_{t-s}} = \boldsymbol{F_t T^{-1}}$) because of the accumulating final age class (i.e., **T** does not contain enough information to determine the proportion of the final age class derived from the n-1 age class and the proportion that is retained in age class n from the previous time step).[106] However, **B** can be constructed using observed changes from the inventory and assumptions about transition/accumulation including nonstationary elements of the transition model:

$$\boldsymbol{B} = \begin{pmatrix} 1-\sum_q d_q & \mathrm{b}_2 & 0 & 0 & 0 \\ d_1 & 1-b_2 & \mathrm{b}_3 & 0 & 0 \\ d_2 & 0 & 1-b_3 & \mathrm{b}_4 & 0 \\ d_3 & 0 & 0 & 1-b_4 & \mathrm{b}_r \\ d_4 & 0 & 0 & 0 & 1-\mathrm{b}_r \end{pmatrix} \tag{13}$$

Forest area changes need to be accounted for in the backcasts as well:

$$\boldsymbol{F}_{t-s} = \boldsymbol{F_t B} - \boldsymbol{L_t} \tag{14}$$

Where $\mathbf{L_t}$ is the forest area change between t_1 and t_0 as previously defined.

In the Rocky Mountains, age class transition matrices were empirically derived from observed changes in age classes between t_0 and t_1. The frequency of transitions was constructed between age classes observed at t_0 and t_1 to define **T** and between age classes t_1 and t_0 to define **B.** In the Pacific Coast region, including Alaska, west Texas, and west Oklahoma, the theoretical life-stage models described by matrices (9) and (10) were applied. The disturbance factors (d) in both **T** and **B** are derived from the current inventory by assuming that the area of forest in age class 1 resulted from disturbance in the previous period, the area in age class 2 resulted from disturbance in the period before that, and so on. The source of disturbed forest was assumed to be proportional to the area of forest in each age class. For projections (**T**), the average of implied disturbance for the previous two periods was applied. For the backcast (**B**), we move the disturbance frequencies implied by the age class distribution for each time step. For areas with empirical transition matrices, change in forest area ($\mathbf{L}_t$) was backcasted/projected using the change in forest area observed for the period t_0 to t_1. In the Pacific, including Alaska, west Texas, and west Oklahoma, it was assumed that total forest land area remained constant for the time period examined.

Projections and Backcast for North, South, east Texas, and east Oklahoma

For the eastern U.S. a full set of remeasured plots were available. When remeasured data are available, the previously described approach is extended to estimate change more directly; in this case $\Delta C_t = F_t \cdot \delta C$ where ΔC is net stock change by pool within the analysis area, F is as previously defined, and δC is an *n* x cp matrix of per unit area forest C stock change per year by pool (cp) arrayed by forest age class. Inter-period forest C dynamics are previously described, and the age transition matrix (T) is estimated from the observed data directly. Forest C change at the end of the next period is defined as: $\Delta C_{t+s} = F_t \cdot T \cdot \delta C$. Land use change and disturbances such as cutting, fire, weather, insects, and diseases were incorporated by generalizing to account for the change vectors and undisturbed forest remaining as undisturbed forest:

[106] Simulation experiments show that a population that evolves as a function of **T** can be precisely backcast using $\mathbf{T}^{-1}$. However, applying the inverse to a population that is not consistent with the long-run outcomes of the transition model can result in projections of negative areas within some stage age classes.

$$\Delta C_{t+s} = \sum_{d \in L} (A_{td} \cdot T_d \cdot \delta C_d) \quad (15)$$

Where A_{td} = area by age class of each mutually exclusive land category in L which includes d disturbances at time t.

L = (FF, NFF, FNF, F_{cut}, F_{fire}, $F_{weather}$, F_{id}) where FF=undisturbed forest remaining as undisturbed forest, NFF=nonforest to forest conversion, FNF=forest to nonforest conversion, F_{cut}=cut forest remaining as forest, F_{fire}=forest remaining as forest disturbed by fire, $F_{weather}$=forest remaining as forest disturbed by weather, and F_{id}=forest remaining as forest disturbed by insects and diseases. In the case of land transfers (FNF and NFF), T_d is an n x n identity matrix and δC_d is a C stock transfer rate by age. Paired measurements for all plots in the inventory provide direct estimates of all elements of $\delta C, T_d,$ and A_{td} matrices.

Projections are developed by specifying either F_{t+s} or A_{t+sd} for either a future or a past state. To move the system forward, T is specified so that the age transition probabilities are set up as the probability between a time 0 and a time 1 transition. To move the system backward, T is replaced by B so that the age transition probabilities are for transitions from time 1 to time 0. Forecasts were developed by assuming the observed land use transitions and disturbance rates would continue for the next 5 years. Backcasts were developed using a Markov Chain process for land use transitions, observed disturbance rates for fire, weather, and insects. Historical forest cutting was incorporated by using the relationship between the area of forest cutting estimated from the inventory plots and the volume of roundwood production from the Timber Products Output program (U.S. Forest Service 2015d). This relationship allowed for the modification of F_{cut} such that it followed trends described by Oswalt et al. (2014).

Carbon in Harvested Wood Products

Estimates of the Harvested Wood Product (HWP) contribution to forest C sinks and emissions (hereafter called "HWP Contribution") are based on methods described in Skog (2008) using the WOODCARB II model and the U.S. forest products module (Ince et al. 2011). These methods are based on IPCC (2006) guidance for estimating HWP C. The *2006 IPCC Guidelines* provide methods that allow Parties to report HWP Contribution using one of several different accounting approaches: production, stock change, and atmospheric flow, as well as a default method. The various approaches are described below. The approaches differ in how HWP Contribution is allocated based on production or consumption as well as what processes (atmospheric fluxes or stock changes) are emphasized.

- **Production approach**: Accounts for the net changes in C stocks in forests and in the wood products pool, but attributes both to the producing country.
- **Stock-change approach**: Accounts for changes in the product pool within the boundaries of the consuming country.
- **Atmospheric-flow approach**: Accounts for net emissions or removals of C to and from the atmosphere within national boundaries. Carbon removal due to forest growth is accounted for in the producing country while C emissions to the atmosphere from oxidation of wood products are accounted for in the consuming country.
- **Default approach**: Assumes no change in C stocks in HWP. IPCC (2006) requests that such an assumption be justified if this is how a Party is choosing to report.

The U.S. uses the production accounting approach (as in previous years) to report HWP Contribution (Table A-259). Annual estimates of change are calculated by tracking the additions to and removals from the pool of products held in end uses (i.e., products in use such as housing or publications) and the pool of products held in solid waste disposal sites (SWDS).

The annual HWP variables that were used to estimate HWP contribution using the production approach are:

(1) annual change of C in wood and paper products in use in the U.S. and other countries where the wood came from trees harvested in the U.S., and

(2) annual change of C in wood and paper products in SWDS in the U.S. and other countries where the wood came from trees harvested in the U.S.

The sum of these variables yield estimates for HWP contribution under the production accounting approach.

Table A-259: Harvested Wood Products from Wood Harvested in the U.S.—Annual Additions of C to Stocks and Total Stocks Under the Production Approach (Parentheses Indicate Net C Sequestration (i.e., a Net Removal of C from the Atmosphere)

Year	Net C additions per year (MMT C per year)			Total C stocks (MMT C)		
	Total	Products in use	Products in SWDS			
		Total	Total	Total	Products in use	Products in SWDS
1990	-34.0	-18.8	-15.2	1,897	1,250	647
1991	-33.8	-17.4	-16.4	1,931	1,265	665
1992	-33.0	-18.0	-15.1	1,964	1,282	683
1993	-33.5	-17.5	-16.0	1,997	1,297	701
1994	-32.6	-17.3	-15.4	2,031	1,313	718
1995	-30.9	-16.6	-14.3	2,064	1,328	736
1996	-32.2	-17.3	-14.9	2,094	1,342	752
1997	-31.2	-17.8	-13.5	2,127	1,357	769
1998	-32.6	-18.4	-14.2	2,158	1,371	787
1999	-30.9	-18.0	-12.9	2,190	1,385	806
2000	-25.7	-16.8	-8.9	2,221	1,398	824
2001	-26.8	-17.2	-9.5	2,247	1,407	840
2002	-25.6	-16.2	-9.4	2,274	1,416	858
2003	-28.3	-16.3	-12.0	2,299	1,426	874
2004	-28.0	-16.3	-11.7	2,328	1,438	890
2005	-29.3	-17.3	-12.1	2,356	1,449	906
2006	-28.0	-17.4	-10.6	2,385	1,461	924
2007	-20.9	-17.1	-3.8	2,413	1,472	941
2008	-14.8	-16.7	1.9	2,434	1,476	958
2009	-25.2	-17.2	-8.0	2,449	1,474	975
2010	-25.9	-17.6	-8.3	2,474	1,482	992
2011	-27.0	-17.9	-9.0	2,500	1,490	1,010
2012	-28.2	-18.3	-9.9	2,527	1,499	1,028
2013	-29.4	-18.6	-10.8	2,555	1,509	1,046
2014	-30.6	-19.0	-11.7	2,584	1,520	1,065
2015	-	-	-	2,615	1,531	1,084

- Not reported or zero

Table A-260: Harvested Wood Products Sectoral Background Data for LULUCF—U.S. (Production Approach)

	1A	1B	2
Inventory year	Annual Change in stock of HWP in use produced from domestic harvest	Annual Change in stock of HWP in SWDS produced from domestic harvest	HWP Contribution to AFOLU CO_2 emissions/ removals
	$\Delta C_{HWP\ IU\ DH}$	$\Delta C_{HWP\ SWDS\ DH}$	kt CO_2/yr
1990	-15,162	-18,845	-124,692
1991	-16,393	-17,446	-124,076
1992	-15,059	-17,971	-121,110
1993	-16,001	-17,500	-122,836
1994	-15,361	-17,269	-119,644
1995	-14,339	-16,552	-113,267
1996	-14,864	-17,294	-117,911
1997	-13,456	-17,765	-114,476
1998	-14,176	-18,384	-119,389
1999	-12,946	-18,001	-113,469
2000	-8,877	-16,817	-94,213
2001	-9,527	-17,236	-98,131
2002	-9,414	-16,198	-93,910
2003	-12,042	-16,297	-103,910
2004	-11,674	-16,315	-102,626
2005	-12,059	-17,289	-107,609
2006	-10,604	-17,408	-102,711
2007	-3,830	-17,110	-76,779
2008	1,888	-16,663	-54,175
2009	-7,968	-17,222	-92,364
2010	-8,286	-17,578	-94,835
2011	-9,034	-17,937	-98,894
2012	-9,919	-18,289	-103,431
2013	-10,790	-18,630	-107,873
2014	-11,650	-18,965	-112,254

Annual estimates of variables 1A and1B were calculated by tracking the additions to and removals from the pool of products held in end uses (e.g., products in uses such as housing or publications) and the pool of products held in SWDS. The pools include products exported and held in other countries and the pools in the U.S. exclude products made from wood harvested in other countries. Solidwood products added to pools include lumber and panels. End-use categories for solidwood include single and multifamily housing, alteration and repair of housing, and other end uses. There is one product category and one end-use category for paper. Additions to and removals from pools are tracked beginning in 1900, with the exception that additions of softwood lumber to housing begins in 1800. Solidwood and paper product production and trade data are from USDA Forest Service and other sources (Hair and Ulrich 1963; Hair 1958; USDC Bureau of Census 1976; Ulrich, 1985, 1989; Steer 1948; AF&PA 2006a, 2006b; Howard 2003).

The rate of removals from products in use and the rate of decay of products in SWDS are specified by first order (exponential) decay curves with given half-lives (time at which half of amount placed in use will have been discarded from use). Half-lives for products in use, determined after calibration of the model to meet two criteria, are shown in Table A-261. The first criterion is that the WOODCARB II model estimate of C in houses standing in 2001 needed to match an independent estimate of C in housing based on U.S. Census and USDA Forest Service survey data. The second criterion is that the WOODCARB II model estimate of wood and paper being discarded to SWDS needed to match EPA estimates of discards over the period 1990 to 2000. This calibration influences the estimate of variable 2A. The calibration also determines the amounts going to SWDS. In addition, WOODCARB II landfill decay rates have been validated by making sure that estimates of methane emissions from landfills based on EPA data are reasonable in comparison to methane estimates based on WOODCARB II landfill decay rates. Decay parameters for products in SWDS are shown in Table A-262.

A key assumption for estimating these variables is that products exported from the U.S. and held in pools in other countries have the same half-lives for products in use, the same percentage of discarded products going to SWDS, and the same decay rates in SWDS. Summaries of net fluxes and stocks for harvested wood in products and SWDS are in Land Converted to Forest Land – Soil C Methods.

Table A-261: Half-life of Solidwood and Paper Products in End-Uses

Parameter	Value	Units
Half-life of wood in single family housing 1920 and before	78.0	Years
Half-life of wood in single family housing 1920–1939	78.0	Years
Half-life of wood in single family housing 1940–1959	80.0	Years
Half-life of wood in single family housing 1960–1979	81.9	Years
Half-life of wood in single family housing 1980 +	83.9	Years
Ratio of multifamily half live to single family half life	0.61	
Ratio of repair and alterations half-life to single family half life	0.30	
Half-life for other solidwood product in end uses	38.0	Years
Half-life of paper in end uses	2.54	Years

Source: Skog, K.E. (2008) "Sequestration of C in harvested wood products for the U.S." *Forest Products Journal* 58:56–72.

Table A-262: Parameters Determining Decay of Wood and Paper in SWDS

Parameter	Value	Units
Percentage of wood and paper in dumps that is subject to decay	100	Percent
Percentage of wood in landfills that is subject to decay	23	Percent
Percentage of paper in landfills that is subject to decay	56	Percent
Half-life of wood in landfills / dumps (portion subject to decay)	29	Years
Half-life of paper in landfills/ dumps (portion subject to decay)	14.5	Years

Source: Skog, K.E. (2008) "Sequestration of C in harvested wood products for the U.S." *Forest Products Journal* 58:56–72

Table A-263: Net CO_2 Flux from Forest Pools in *Forest Land Remaining Forest Land* and Harvested Wood Pools (MMT CO_2 Eq.)

Carbon Pool	1990	1991	1992	1993	1994	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Forest	**(598.8)**	**(605.4)**	**(605.0)**	**(605.1)**	**(598.8)**	**(594.1)**	**(563.6)**	**(560.8)**	**(564.4)**	**(568.6)**	**(570.7)**	**(584.3)**	**(596.0)**	**(605.5)**	**(613.2)**	**(619.9)**	**(647.2)**	**(637.8)**	**(632.4)**	**(631.2)**	**(630.1)**
Aboveground Biomass	(312.4)	(312.3)	(312.1)	(312.0)	(307.5)	(311.3)	(259.1)	(264.5)	(267.1)	(269.5)	(272.1)	(310.3)	(310.4)	(316.4)	(321.0)	(324.5)	(331.2)	(329.4)	(324.6)	(323.5)	(322.5)
Belowground Biomass	(66.6)	(66.6)	(66.5)	(66.4)	(65.5)	(66.5)	(54.3)	(55.6)	(56.0)	(56.5)	(57.0)	(65.7)	(65.4)	(66.7)	(67.6)	(68.2)	(69.6)	(69.3)	(68.2)	(67.9)	(67.6)
Dead Wood	(34.8)	(36.3)	(36.7)	(37.0)	(37.2)	(39.9)	(47.9)	(37.7)	(38.0)	(38.6)	(37.6)	(44.0)	(46.2)	(46.7)	(47.4)	(48.2)	(50.2)	(52.9)	(53.7)	(53.9)	(54.2)
Litter	(35.9)	(35.3)	(35.2)	(35.1)	(34.8)	(34.4)	(24.5)	(26.2)	(26.5)	(26.8)	(27.1)	(28.5)	(28.0)	(28.6)	(29.2)	(29.0)	(34.5)	(33.9)	(33.1)	(32.9)	(32.7)
Soil Organic Carbon	(149.2)	(154.8)	(154.5)	(154.6)	(153.8)	(142.1)	(177.8)	(176.8)	(176.8)	(177.3)	(176.8)	(135.8)	(145.9)	(147.1)	(148.1)	(150.0)	(161.7)	(152.4)	(152.8)	(152.9)	(153.1)
Harvested Wood	**(124.7)**	**(124.1)**	**(121.1)**	**(122.8)**	**(119.6)**	**(113.3)**	**(94.2)**	**(98.1)**	**(93.9)**	**(103.9)**	**(102.6)**	**(107.6)**	**(102.7)**	**(76.8)**	**(54.2)**	**(92.4)**	**(94.8)**	**(98.9)**	**(103.4)**	**(107.9)**	**(112.3)**
Products in Use	(55.6)	(60.1)	(55.2)	(58.7)	(56.3)	(52.6)	(32.6)	(34.9)	(34.5)	(44.2)	(42.8)	(44.2)	(38.9)	(14.0)	6.9	(29.2)	(30.4)	(33.1)	(36.4)	(39.6)	(42.7)
SWDS	(69.1)	(64.0)	(65.9)	(64.2)	(63.3)	(60.7)	(61.7)	(63.2)	(59.4)	(59.8)	(59.8)	(63.4)	(63.8)	(62.7)	(61.1)	(63.1)	(64.5)	(65.8)	(67.1)	(68.3)	(69.5)
Total Net Flux	**(723.5)**	**(729.4)**	**(726.1)**	**(728.0)**	**(718.5)**	**(707.4)**	**(657.8)**	**(658.9)**	**(658.3)**	**(672.5)**	**(673.3)**	**(691.9)**	**(698.7)**	**(682.2)**	**(667.4)**	**(712.3)**	**(742.0)**	**(736.7)**	**(735.8)**	**(739.1)**	**(742.3)**

Table A-264: Net C Flux from Forest Pools in *Forest Land Remaining Forest Land* and Harvested Wood Pools (MMT C)

Carbon Pool	1990	1991	1992	1993	1994	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Forest	**(163.3)**	**(165.1)**	**(165.0)**	**(165.0)**	**(163.3)**	**(162.0)**	**(153.7)**	**(152.9)**	**(153.9)**	**(155.1)**	**(155.6)**	**(159.3)**	**(162.5)**	**(165.1)**	**(167.2)**	**(169.1)**	**(176.5)**	**(173.9)**	**(172.5)**	**(172.2)**	**(171.8)**
Aboveground Biomass	(85.2)	(85.2)	(85.1)	(85.1)	(83.9)	(84.9)	(70.7)	(72.1)	(72.8)	(73.5)	(74.2)	(84.6)	(84.7)	(86.3)	(87.5)	(88.5)	(90.3)	(89.8)	(88.5)	(88.2)	(88.0)
Belowground Biomass	(18.2)	(18.2)	(18.1)	(18.1)	(17.9)	(18.1)	(14.8)	(15.2)	(15.3)	(15.4)	(15.6)	(17.9)	(17.8)	(18.2)	(18.4)	(18.6)	(19.0)	(18.9)	(18.6)	(18.5)	(18.4)
Dead Wood	(9.5)	(9.9)	(10.0)	(10.1)	(10.2)	(10.9)	(13.1)	(10.3)	(10.4)	(10.5)	(10.2)	(12.0)	(12.6)	(12.7)	(12.9)	(13.1)	(13.7)	(14.4)	(14.6)	(14.7)	(14.8)
Litter	(9.8)	(9.6)	(9.6)	(9.6)	(9.5)	(9.4)	(6.7)	(7.2)	(7.2)	(7.3)	(7.4)	(7.8)	(7.6)	(7.8)	(8.0)	(7.9)	(9.4)	(9.3)	(9.0)	(9.0)	(8.9)
Soil Organic Carbon	(40.7)	(42.2)	(42.1)	(42.2)	(41.9)	(38.8)	(48.5)	(48.2)	(48.2)	(48.3)	(48.2)	(37.0)	(39.8)	(40.1)	(40.4)	(40.9)	(44.1)	(41.6)	(41.7)	(41.7)	(41.7)
Harvested Wood	**(34.0)**	**(33.8)**	**(33.0)**	**(33.5)**	**(32.6)**	**(30.9)**	**(25.7)**	**(26.8)**	**(25.6)**	**(28.3)**	**(28.0)**	**(29.3)**	**(28.0)**	**(20.9)**	**(14.8)**	**(25.2)**	**(25.9)**	**(27.0)**	**(28.2)**	**(29.4)**	**(30.6)**
Products in Use	(15.2)	(16.4)	(15.1)	(16.0)	(15.4)	(14.3)	(8.9)	(9.5)	(9.4)	(12.0)	(11.7)	(12.1)	(10.6)	(3.8)	1.9	(8.0)	(8.3)	(9.0)	(9.9)	(10.8)	(11.7)
SWDS	(18.8)	(17.4)	(18.0)	(17.5)	(17.3)	(16.6)	(16.8)	(17.2)	(16.2)	(16.3)	(16.3)	(17.3)	(17.4)	(17.1)	(16.7)	(17.2)	(17.6)	(17.9)	(18.3)	(18.6)	(19.0)
Total Net Flux	**(197.3)**	**(198.9)**	**(198.0)**	**(198.5)**	**(196.0)**	**(192.9)**	**(179.4)**	**(179.7)**	**(179.5)**	**(183.4)**	**(183.6)**	**(188.7)**	**(190.5)**	**(186.1)**	**(182.0)**	**(194.3)**	**(202.4)**	**(200.9)**	**(200.7)**	**(201.6)**	**(202.5)**

Table A-265: Forest area (1,000 ha) and C Stocks in *Forest Land Remaining Forest Land* and Harvested Wood Pools (MMT C)

	1990	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
Forest Area (1000 ha)	**261,796**	**263,780**	**265,918**	**266,336**	**266,754**	**267,179**	**267,604**	**268,029**	**268,454**	**268,874**	**269,271**	**269,668**	**270,065**	**270,462**	**270,871**	**271,280**	**271,719**	**272,158**
Carbon Pools (MMT C)																		
Forest	**84,891**	**85,713**	**86,500**	**86,653**	**86,806**	**86,960**	**87,115**	**87,271**	**87,430**	**87,593**	**87,758**	**87,925**	**88,094**	**88,271**	**88,445**	**88,617**	**88,789**	**88,961**
Aboveground Biomass	11,896	12,321	12,713	12,784	12,856	12,929	13,002	13,076	13,161	13,246	13,332	13,419	13,508	13,598	13,688	13,777	13,865	13,953
Belowground Biomass	2,442	2,532	2,615	2,630	2,645	2,660	2,676	2,691	2,709	2,727	2,745	2,764	2,782	2,801	2,820	2,839	2,857	2,876
Dead Wood	2,404	2,454	2,519	2,532	2,543	2,553	2,564	2,574	2,586	2,599	2,611	2,624	2,637	2,651	2,665	2,680	2,695	2,710
Litter	5,833	5,881	5,922	5,929	5,936	5,943	5,950	5,958	5,966	5,973	5,981	5,989	5,997	6,006	6,016	6,025	6,034	6,042
Soil Organic Carbon	62,316	62,525	62,730	62,779	62,827	62,875	62,923	62,972	63,009	63,048	63,089	63,129	63,170	63,214	63,255	63,297	63,339	63,381
Harvested Wood	**1,897**	**2,064**	**2,221**	**2,247**	**2,274**	**2,299**	**2,328**	**2,356**	**2,385**	**2,413**	**2,434**	**2,449**	**2,474**	**2,500**	**2,527**	**2,555**	**2,584**	**2,615**
Products in Use	1,250	1,328	1,398	1,407	1,416	1,426	1,438	1,449	1,461	1,472	1,476	1,474	1,482	1,490	1,499	1,509	1,520	1,531
SWDS	647	736	824	840	858	874	890	906	924	941	958	975	992	1,010	1,028	1,046	1,065	1,084
Total Stock	**86,788**	**87,777**	**88,721**	**88,900**	**89,080**	**89,260**	**89,443**	**89,627**	**89,815**	**90,006**	**90,192**	**90,374**	**90,568**	**90,771**	**90,972**	**91,172**	**91,374**	**91,576**

Land Converted to Forest Land – Soil C Methods

A Tier 2 method is applied to estimate soil C stock changes for *Land Converted to Forest Land* (Ogle et al. 2003, 2006; IPCC 2006). For this method, land is stratified by climate, soil types, land-use, and land management activity, and then assigned reference C levels and factors for the forest land and the previous land use. The difference between the stocks is reported as the stock change under the assumption that the change occurs over 20 years. Reference C stocks have been estimated from data in the National Soil Survey Characterization Database (USDA-NRCS 1997), and U.S.-specific stock change factors have been derived from published literature (Ogle et al. 2003; Ogle et al. 2006). Land use and land use change patterns are determined from a combination of the Forest Inventory and Analysis Dataset (FIA), the 2010 National Resources Inventory (NRI) (USDA-NRCS 2013), and National Land Cover Dataset (NLCD) (Homer et al. 2007). See Annex 3.12 for more information about this method (Methodology for Estimating N_2O Emissions, CH_4 Emissions and Soil Organic C Stock Changes from Agricultural Soil Management).

Table A-266 summarizes the annual change in mineral soil C stocks from U.S. soils that were estimated using a Tier 2 method (Tg C/yr). The range is a 95 percent confidence interval from 50,000 simulations (Ogle et al. 2003, 2006). Table A-267 summarizes the total land areas by land use/land use change subcategory for mineral soils between 1990 and 2014 estimated with a Tier 2 approach and based on analysis of USDA National Resources Inventory data (USDA-NRCS 2013).

Table A-266: Annual change in Mineral Soil C stocks from U.S. agricultural soils that were estimated using a Tier 2 method (Tg C/yr)

Category	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999
Cropland Converted to Forest Land	0.04 (0.02 to 0.07)	0.05 (0.02 to 0.08)	0.04 (0.01 to 0.08)	0.05 (0.02 to 0.09)	0.05 (0.02 to 0.09)	0.06 (0.02 to 0.1)	0.06 (0.02 to 0.1)	0.06 (0.02 to 0.1)	0.06 (0.02 to 0.11)	0.07 (0.02 to 0.12)
Grassland Converted to Forest Land	0.12 (0.06 to 0.19)	0.13 (0.05 to 0.22)	0.12 (0.03 to 0.21)	0.14 (0.05 to 0.25)	0.15 (0.05 to 0.26)	0.17 (0.07 to 0.28)	0.16 (0.06 to 0.27)	0.17 (0.07 to 0.29)	0.17 (0.05 to 0.29)	0.18 (0.06 to 0.32)
Other Lands Converted to Forest Land	0.02 (0.01 to 0.03)	0.02 (0.01 to 0.03)	0.02 (0.01 to 0.03)	0.02 (0.01 to 0.04)	0.02 (0.01 to 0.04)	0.03 (0.01 to 0.04)	0.03 (0.01 to 0.04)	0.03 (0.01 to 0.04)	0.03 (0.01 to 0.05)	0.03 (0.01 to 0.05)
Settlements Converted to Forest Land	0.00 (0 to 0)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)
Wetlands Converted to Forest Land	0.00 (0 to 0)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.01 (0 to 0.01)	0.01 (0 to 0.01)	0.01 (0 to 0.01)	0.01 (0 to 0.01)
Total Lands Converted to Forest Lands	**0.18**	**0.20**	**0.19**	**0.22**	**0.23**	**0.26**	**0.25**	**0.27**	**0.27**	**0.29**

Note: The range is a 95% confidence interval from 50,000 simulations (Ogle et al. 2003, 2006).

Category	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009
Cropland Converted to Forest Land	0.06 (0.02 to 0.11)	0.06 (0.02 to 0.11)	0.05 (0.02 to 0.09)	0.05 (0.01 to 0.1)	0.05 (0.01 to 0.1)	0.05 (0.01 to 0.09)	0.02 (0 to 0.05)	0.02 (0.01 to 0.05)	0.02 (0 to 0.04)	0.02 (0 to 0.04)
Grassland Converted to Forest Land	0.17 (0.05 to 0.31)	0.17 (0.05 to 0.31)	0.15 (0.04 to 0.27)	0.15 (0.04 to 0.28)	0.14 (0.03 to 0.26)	0.14 (0.03 to 0.26)	0.07 (0.01 to 0.13)	0.07 (0.02 to 0.14)	0.07 (0.01 to 0.13)	0.07 (0.01 to 0.13)
Other Lands Converted to Forest Land	0.03 (0.01 to 0.05)	0.03 (0.01 to 0.05)	0.03 (0.01 to 0.05)	0.03 (0.01 to 0.05)	0.02 (0.01 to 0.05)	0.03 (0.01 to 0.05)	0.01 (0 to 0.02)	0.01 (0 to 0.02)	0.01 (0 to 0.02)	0.01 (0 to 0.02)
Settlements Converted to Forest Land	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

	(0 to 0.01)	(0 to 0.01)	(0 to 0.01)	(0 to 0.01)	(0 to 0.01)	(0 to 0.01)	(0 to 0)	(0 to 0)	(0 to 0)	(0 to 0)
Wetlands Converted to Forest Land	0.01 (0 to 0.01)	0.01 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0.01)	0.00 (0 to 0)	0.00 (0 to 0)	0.00 (0 to 0)	0.00 (0 to 0)
Total Lands Converted to Forest Lands	**0.27**	**0.27**	**0.24**	**0.24**	**0.23**	**0.22**	**0.11**	**0.11**	**0.10**	**0.10**

Note: The range is a 95% confidence interval from 50,000 simulations (Ogle et al. 2003, 2006).

Category	2010	2011	2012	2013	2014
Cropland Converted to Forest Land	0.02 (0 to 0.04)	0.02 (0 to 0.04)	0.02 (0 to 0.04)	0.02 (0 to 0.04)	0.02 (0 to 0.04)
Grassland Converted to Forest Land	0.07 (0.01 to 0.13)	0.07 (0.01 to 0.13)	0.06 (0 to 0.13)	0.06 (0 to 0.13)	0.06 (0 to 0.13)
Other Lands Converted to Forest Land	0.01 (0 to 0.01)	0.01 (0 to 0.01)	0.01 (0 to 0.01)	0.01 (0 to 0.01)	0.01 (0 to 0.01)
Settlements Converted to Forest Land	0.00 (0 to 0)	0.00 (0 to 0)	0.00 (0 to 0)	0.00 (0 to 0)	0.00 (0 to 0)
Wetlands Converted to Forest Land	0.00 (0 to 0)	0.00 (0 to 0)	0.00 (0 to 0)	0.00 (0 to 0)	0.00 (0 to 0)
Total Lands Converted to Forest Lands	**0.10**	**0.10**	**0.10**	**0.10**	**0.10**

Note: The range is a 95 percent confidence interval from 50,000 simulations (Ogle et al. 2003, 2006).

Table A-267: Total land areas (hectares) by land use/land use change subcategory for mineral soils between 1990 and 2014

Conversion Land Areas (Hectares x 10^6)	1990	1991	1992	1993	1994	1995	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Cropland Converted to Forest Land	0.22	0.21	0.21	0.22	0.21	0.25	0.23	0.23	0.23	0.23	0.24	0.23	0.22	0.21	0.21	0.21	0.21	0.21	0.20	0.20	0.20
Grassland Converted to Forest Land	0.58	0.59	0.59	0.62	0.64	0.70	0.65	0.66	0.66	0.64	0.64	0.64	0.65	0.63	0.64	0.65	0.65	0.65	0.66	0.66	0.66
Other Lands Converted to Forest Land	0.09	0.09	0.09	0.10	0.10	0.11	0.11	0.11	0.11	0.11	0.11	0.12	0.12	0.08	0.08	0.07	0.07	0.07	0.07	0.07	0.07
Settlements Converted to Forest Land	0.01	0.02	0.02	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02
Wetlands Converted to Forest Land	0.01	0.01	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02
Total Lands Converted to Forest Lands	**0.91**	**0.91**	**0.92**	**0.97**	**0.98**	**1.09**	**1.03**	**1.03**	**1.04**	**1.02**	**1.02**	**1.02**	**1.03**	**0.96**	**0.97**	**0.97**	**0.97**	**0.97**	**0.97**	**0.97**	**0.97**

Note: Estimated with a Tier 2 approach and based on analysis of USDA National Resources Inventory data (USDA-NRCS 2013).

Uncertainty Analysis

The uncertainty analyses for total net flux of forest C (see Table 6-14 in the FLRFL section) are consistent with the IPCC-recommended Tier 1 methodology (IPCC 2006). Specifically, they are considered approach 1 (propagation of error [Section 3.2.3.1]) (IPCC 2006). To better understand the effects of covariance, the contributions of sampling error and modeling error were parsed out. In addition, separate analyses were produced for forest ecosystem and HWP flux.

Estimates of forest C stocks in the U.S. are based on C estimates assigned to each of several thousand inventory plots from a regular grid. Uncertainty in these estimates and uncertainty associated with change estimates arise from many sources including sampling error and modeling error. Here we focus on these two types of error but acknowledge several other sources of error are present in the overall stock and stock change estimates. In terms of sampling based uncertainty, Design based estimators described by Bechtold and Patterson (2005) were used to quantify the variance of C stock estimates. In this section we denote the estimate of C stock at time *t* as C_t and the variances of the estimate of C stock for time *t* as $Var(C_t)$. These calculations follow Bechtold and Patterson (2005). The variance of stock change is then:

$$Var(C_{t2}-C_{t1})=Var(C_{t2})+Var(C_{t1})-2\cdot Cov(C_{t2},C_{t1}) \quad (16)$$

The uncertainty of a stock estimate associated with sampling error is $U(C_t)_s= Var(C_t)^{0.5}$. The uncertainty of a stock changes estimate associated with sampling error is $U(\Delta C)_s=Var(C_{t2}-C_{t1})^{0.5}$.

Model-based uncertainty is important because the pool-level C models have error. The total modeling mean-squared error (MSE_m)is approximately 1622 $(Mg/ha)^2$. The percent modeling error at time *t* is

$$\%U(C_t)_m =100\cdot MSE_m/d_t \quad (17)$$

Where d_t is the total C stock density at time $_t$ calculated as C_t/A_t where A_t is the forest area at time *t*.

The uncertainty of C_t from modeling error is

$$U(C_t)_m=C_t\cdot\%U(C_t)_m/100 \quad (18)$$

The model-based uncertainty with respect to stock change is then

$$U(\Delta C)_m=(U(C_{t1})_m + U(C_{t2})_m - 2\cdot Cov(U(C_{t1m},C_{t2m})))^{0.5} \quad (19)$$

The sampling and model based uncertainty are combined for an estimate of total uncertainty. We considered these sources of uncertainty independent and combined as follow for stock change for stock change (ΔC):

$$U(\Delta C)=(U(\Delta C)_m^2+ U(\Delta C)_s^2)^{0.5} \text{ and the 95 percent confidence bounds was } +- 2\cdot U(\Delta C) \quad (20)$$

The mean square error (MSE) of pool models was (MSE, $[Mg\ C/ha]^2$): soil C (1143.0), litter (78.0), live tree (259.6), dead trees (101.5), understory (0.9), down dead wood (38.9), total MSE (1621.9).

Numerous assumptions were adopted for creation of the forest ecosystem uncertainty estimates. Potential pool error correlations were ignored. Given the magnitude of the MSE for soil, including correlation among pool error would not appreciably change the modeling error contribution. Modeling error correlation between time 1 and time 2 was assumed to be 1. Because the MSE was fixed over time we assumed a linear relationship dependent on either the measurements at two points in time or an interpolation of measurements to arrive at annual flux estimates. Error associated with interpolation to arrive at annual flux is not included.

Uncertainty about net C flux in HWP is based on Skog et al. (2004) and Skog (2008). Latin hypercube sampling is the basis for the HWP Monte Carlo simulation. Estimates of the HWP variables and HWP Contribution under the production approach are subject to many sources of uncertainty. An estimate of uncertainty is provided that evaluated the effect of uncertainty in 13 sources, including production and trade data and parameters used to make the estimate. Uncertain data and parameters include data on production and trade and factors to convert them to C, the census-based estimate of C in housing in 2001, the EPA estimate of wood and paper discarded to SWDS for 1990 to 2000, the limits on decay of wood and paper in SWDS, the decay rate (half-life) of wood and paper in SWDS, the proportion of products produced in the United States made with wood harvested in the United States, and the rate of storage of wood and paper C in other countries that came from U.S. harvest, compared to storage in the United States.

The uncertainty about HWP and forest ecosystem net C flux were combined and assumed to be additive. Typically when propagating error from two estimates the variances of the estimates are additive. However, the uncertainty around the HWP flux was approximated using a Monte Carlo approach which resulted in the lack of a variance estimate for HWP C flux. Therefore, we considered the uncertainty additive between the HWP sequestration and the *Forest Land Remaining Forest Land* sequestration. Further, we assumed there was no covariance between the two estimates which is plausible as the observations used to construct each estimate are independent.

Emissions from Forest Fires

CO_2 Emissions from Forest Fires

As stated in other sections, the forest inventory approach implicitly accounts for emissions due to disturbances. Net C stock change is estimated from successive C stock estimates. A disturbance, such as a forest fire, removes C from the forest. The inventory data, on which net C stock estimates are based, already reflects the C loss from such disturbances because only C remaining in the forest is estimated. Estimating the CO_2 emissions from a disturbance such as fire and adding those emissions to the net CO_2 change in forests would result in double-counting the loss from fire because the inventory data already reflect the loss. There is interest, however, in the size of the CO_2, CH_4, and N_20 emissions from disturbances such as fire. These estimated emissions from forest fires are based on IPCC (2006) methodology, which includes a combination of U.S. specific data on area burned and potential fuel available for combustion along with IPCC default combustion and emission factors.

Emissions were calculated following IPCC (2006) methodology, according to equation 2.27 of IPCC (2006, Volume 4, Chapter 2), which in general terms is:

$$\text{Emissions} = \text{Area burned} \times \text{Fuel available} \times \text{Combustion factor} \times \text{Emission factor} \times 10^{-3} \quad (21)$$

Where the estimate for emissions is in units of metric tons (MT), which is generally summarized as million metric tons (MMT) per year. Area burned is the annual total area of forest fire in hectares. Fuel available is the mass of fuel available for combustion in metric tons dry weight per hectare. Combustion factor is the proportion of fuel consumed by fire and is unitless. The emission factor is gram of emission (in this case CO_2) per kilogram dry matter burnt, and the "10^{-3}" balances units. The first two factors are based on datasets specific to U.S. forests, whereas the last two factors employ IPCC (2006) default values.

Area burned is based on annual area of forest fires according to Monitoring Trends in Burn Severity (MTBS) MTBS Data Summaries 2015; Eidenshink et al. 2007) dataset summaries,[107] which include fire data for all 49 states that are a part of these estimates. That is, the MTBS data used here include the 48 conterminous states as well as Alaska, including interior Alaska; but note that the fire data used are also reduced to only include managed land. Summary information includes fire identity, year, location, area burned, fire intensity, and other fire characteristics. In addition to forest fires, the MTBS data include all wildland and prescribed fires on other ecosystems such as grasslands and rangelands; the "forest fire" distinction is not included as a part of identifying information for each fire. An additional spatial dataset – National MTBS Burned Area Boundaries – provides information to locate fires.[108] These individual-fire boundary data were used to partition the area burned in each fire to forest versus non-forest.

The MTBS fire data records include land cover information from the National Land Cover (NLCD) dataset (Homer et al. 2015), which can be used to distinguish forest fires from other wildland fires within the MTBS data. However, the forest land cover of the NLCD data, including the 2011 land cover (Homer et al. 2015) provides an estimate of forest land that is approximately 20 percent lower than forest area identified by the forest inventory of the USDA Forest Service (USDA Forest Service 2015b, e.g., data as of 2 June 2015) for the conterminous U.S. This suggests that annual area of forest fires identified with the NLCD cover data may underestimate area of forest burned, but the difference between USDA Forest Service (2015) and Homer et al. (2015) for each individual fire, if any, is dependent on specific areas where the fires actually occur. As an alternative data source, forest area for conterminous U.S. and Alaska are defined by Ruefenacht et al. (2008). The forest area for the conterminous states representative of approximately 2002 is within 2 percent of the forest areas estimated for 1990 through 2014 in US EPA (2015). These data were used to partition the perimeter data to forest for each fire (that is, area of forest relative to entire area of the fire for each MTBS fire). We assume that while changes in forests have occurred both before and since the data for Ruefenacht et al. (2008) were compiled, changes in forest versus non-forest status on lands subject to wildfires are likely minimal enough to make this dataset appropriate for this use. In addition, the Alaska forest area was allocated to managed and unmanaged areas according to Ogle et al. (in preparation), as discussed in more detail above.

The burned area perimeter dataset also was used to identify Alaska fires that were co-located with the area of permanent inventory plots of the USDA Forest Service's (2015b) forest inventory along the southern coastal portion of the state. The only MTBS-identified burned forest areas in Alaska that coincide with the Forest Service's permanent plot

[107] See <http://www.mtbs.gov/dataaccess.html>.
[108] See <http://www.mtbs.gov/dataaccess.html>.

inventoried area were on the northern (or Cook Inlet) side of the Kenai Peninsula, which is generally identified as boreal forest. From this, all MTBS fires of interest identified in Alaska are considered boreal forests.

Estimates of fuel availability are based on plot level forest inventory data, which are summarized by state and applied to all fires within the respective states. Plot level C stocks are defined by C conversion factors applied to current USDA Forest Service inventory data (USDA Forest Service 2015b; US EPA 2015; Smith et al. 2010) and summarized by state. We assume that while changes in forests have occurred over the years since the 1990 start of the reporting interval, the current general range of plot level C densities as determined by forest types and stand structures can be used as a representation of the potential fuel availability over the forest lands of a given state. We use the current forest inventory data[109] and the distribution of metric tons dry matter per hectare as the inputs for fuel availability. Fuel estimated for wildfires included all aboveground biomass (live trees and understory) as well as standing dead trees, down dead wood, and forest floor litter; whereas, fuel estimated for prescribed fires was based on the non-living components only.

The combustion factor used here for temperate forests is 0.45 (see Table 2.6 Volume 4, Chapter 2 of IPCC 2006). Similarly, the emission factor is an IPCC (2006) default, which for CO_2 is 1,569 g CO_2 per kg dry matter of fuel (see Table 2.5 Volume 4, Chapter 2 of IPCC 2006). With the application of equation 2.27 of IPCC (2006, in Volume 4, Chapter 2) defaults were used for mass of fuel available for the Alaska estimates because of the very limited coverage of boreal forests in the available U.S. forest inventories (see Table 2.4 Volume 4, Chapter 2 of IPCC 2006). Note that the values used for Alaska (Table 2.4 of IPCC 2006) represent the product of fuel available and the combustion factor.

Table A-268 provides summary values of annual area burned, area identified as forest fire, and emissions calculated according to equation 2.27 of IPCC (2006, in Volume 4, Chapter 2). The emission factor for CO_2 from Table 2.5 Volume 4, Chapter 2 of IPCC (2006) is provided in Table A-269. Separate calculations were made for each wild and prescribed fire in each state for each year. The results as MT CO_2 were summed to the MMT CO_2 per year values represented in Table A-268, and C emitted per year (Table A-268 and Table A-271) was based on multiplying by the conversion factor 12/44 (IPCC 2006).

Table A-268: Areas (Hectares) from Wildfire Statistics and Corresponding Estimates of C and CO_2 (MMT/yr) Emissions for Wildfires and Prescribed Fires[a]

	Conterminous 48 States - Wildfires				Alaska - Wildfires				Prescribed Fires (all 49 states)			
Year	Reported area burned (1000 ha)	Forest area burned (1000 ha)	C emitted (MMT/yr)	CO_2 emitted (MMT/yr)	Reported area burned (1000 ha)	Forest area burned (1000 ha)	C emitted (MMT/yr)	CO_2 emitted (MMT/yr)	Reported area burned (1000 ha)	Forest area burned (1000 ha)	C emitted (MMT/yr)	CO_2 emitted (MMT/yr)
1990	464	185	5.8	21.3	572	304	5.3	19.5	10	6	0.0	0.2
1991	549	309	9.3	34.2	308	186	3.3	12.0	12	8	0.1	0.2
1992	598	180	5.5	20.3	12	8	0.1	0.5	5	4	0.0	0.1
1993	422	80	1.2	4.4	161	114	2.0	7.3	4	2	0.0	0.0
1994	1,305	629	19.1	70.2	97	68	1.2	4.4	16	10	0.1	0.2
1995	544	131	2.5	9.0	13	10	0.2	0.6	16	11	0.1	0.2
1996	2,047	509	13.0	47.7	0	0	0.0	0.0	25	18	0.1	0.5
1997	233	74	1.6	6.0	0	0	0.0	0.0	40	10	0.1	0.3
1998	648	271	6.4	23.5	71	51	0.9	3.3	52	21	0.1	0.5
1999	1,743	463	13.6	49.9	349	164	2.9	10.5	103	49	0.3	1.1
2000	2,266	1,020	26.1	95.8	312	161	2.8	10.4	83	23	0.2	0.6
2001	1,113	469	13.8	50.6	44	40	0.7	2.6	56	30	0.2	0.8
2002	1,594	1,114	29.2	107.0	857	636	11.2	40.9	30	16	0.1	0.5
2003	1,453	792	20.4	74.7	234	157	2.8	10.1	19	13	0.1	0.3
2004	491	321	6.5	23.8	2,656	1,963	34.4	126.3	62	35	0.2	0.9
2005	1,730	601	11.7	42.9	1,964	1,254	22.0	80.7	107	62	0.3	1.3
2006	3,609	947	26.5	97.1	106	81	1.4	5.2	111	80	0.6	2.1
2007	3,208	1,488	40.3	147.9	239	81	1.4	5.2	158	97	0.6	2.2
2008	1,614	724	23.9	87.7	38	17	0.3	1.1	322	252	1.6	6.0
2009	1,488	493	9.6	35.0	1,143	683	12.0	44.0	412	318	2.1	7.8
2010	582	141	3.3	12.2	311	174	3.1	11.2	771	658	5.0	18.4
2011	3,166	1,226	20.2	73.9	96	55	1.0	3.5	998	245	1.6	5.9
2012	3,429	1,463	36.5	133.7	102	42	0.7	2.7	151	110	0.8	2.9

[109] Retrieved from <http://apps.fs.fed.us/fiadb-downloads/datamart.html> on June 2, 2015.

2013	1,091	637	17.6	64.7	498	347	6.1	22.3	277	267	1.5	5.3
2014[b]	1,091	637	17.6	64.7	498	347	6.1	22.3	277	267	1.5	5.3

[a] These emissions have already been accounted for in the estimates of net annual changes in C stocks, which accounts for the amount sequestered minus any emissions, including the assumption that combusted wood may continue to decay through time.
[b] The data for 2014 were incomplete when these estimates were summarized; therefore 2013, the most recent available estimate, is applied to 2014.

Table A-269: Emission Factors for Extra Tropical Forest Burning and 100-year GWP (AR4), or equivalence ratios, of CH_4 and N_2O to CO_2

Emission Factor (g per kg dry matter burned)[a]		Equivalence Ratios[b]	
CH_4	4.70	CH_4 to CO_2	25
N_2O	0.26	N_2O to CO_2	298
CO_2	1,569	CO_2 to CO_2	1

[a] IPCC (2006)
[b] IPCC (2007)

The set of fire emissions estimates using MODIS imagery and post-fire observations developed for Alaska by Veraverbeke et al. (2015a) is used here to provide a comparison with the estimates developed here (i.e., Table A-16). The spatial Alaskan Fire Emissions Database (AKFED, Veraverbeke et al. 2015b) was partitioned to forest land based on both Ruefenacht et al. (2008) and Homer et al. (2015) as well as managed/unmanaged (Ogle et al. in preparation). The estimates of annual C emitted from fire are in Table A-270, which also includes the estimates for managed forest land (both wildland and prescribed) that underlie the values provided in Table A-268. Note that the values in the six rightmost columns effectively partition the C emissions estimates provided in Veraverbeke et al. (2015a, see Table 2). That is, Table A-270, column 2 provides the estimates developed for this Inventory while each of columns 3-5 and 6-8 sum to the emissions estimates of Veraverbeke et al. (2015a); the differences between the two sets are how they are partitioned according to forest land.

Table A-270: Estimated C emissions (MMT/yr) for fire based on the AKFED, and partitioned to managed forest land in Alaska

		Forest land based on Ruefenacht et al. (2008)			Forest land based on Homer et al. (2015)		
Year[a]	Managed forest land (Table A-14)[b]	Managed forest land	Unmanaged forest land	Non-forest land	Managed forest land	Unmanaged forest land	Non-forest land
				C emitted (MMT/year)			
2001	0.7	0.8	0.3	0.0	0.1	0.0	1.1
2002	11.2	12.7	3.3	0.8	1.5	0.4	14.8
2003	2.8	4.0	1.4	0.0	0.6	0.2	4.7
2004	34.4	51.8	16.6	1.0	7.0	2.5	59.9
2005	22.0	29.8	14.1	1.7	4.1	1.9	39.6
2006	1.4	0.7	0.1	0.0	0.1	0.0	0.7
2007	1.5	1.4	1.0	2.9	0.3	0.1	4.9
2008	0.3	0.4	0.4	0.1	0.1	0.0	0.8
2009	12.0	16.3	9.8	0.2	1.5	0.7	24.1
2010	4.7	4.6	1.1	0.3	0.7	0.1	5.1
2011	1.0	1.5	0.3	0.1	0.8	0.2	0.9
2012	0.8	0.8	0.2	0.2	0.4	0.2	0.6
2013	6.1	7.4	2.5	0.3	4.7	1.7	3.7

[a] The AKFED data include the years 2001-2013 (Veraverbeke et al. 2015b).
[b] Values include both wildland and prescribed fires in Alaska.

Non-CO_2 Emissions from Forest Fires

Emissions of non-CO_2 gasses – specifically, methane (CH_4) and nitrous oxide (N_2O) – from forest fires are estimated using the same methodology described above (i.e., equation 2.27 of IPCC 2006, Volume 4, Chapter 2). The only difference in calculations is the gas-specific emission factors, which are listed in Table A-269. The summed annual estimates are provided in Table A-271. Conversion of the CH_4 and N_2O estimates to CO_2 equivalents (as provided in Chapter 6-2) is based on global warming potentials (GWPs) provided in the IPCC Fourth Assessment Report (AR4) (IPCC 2007), which are the equivalence ratios listed in Table A-269. An example application of these ratios for the current year's estimate of CH_4 emissions is: 7.34 MMT CO_2 equiv. = 293,836 MT CH_4 × (25 kg CO_2 / 1 kg CH_4) × 10^{-6}.

Uncertainty about the non-CO_2 estimates is based on assigning a probability distribution to represent the estimated precision of each factor in equation 2.27 (of IPCC 2006). These probability distributions are randomly sampled with each calculation, and this is repeated a large number of times to produce a histogram, or frequency distribution of values for the calculated emissions. That is, a simple Monte Carlo ("Approach 2") method was employed to propagate uncertainty in the equation (IPCC 2006). In general, probability densities are normal and also considered marginal distributions.

Estimates of burned forest area from the MTBS data (MTBS Data Summaries 2015; Ruefenacht et al. 2008; Ogle et al. in preparation) are assigned a normal distribution with relatively low uncertainty with a standard deviation of 4 percent, and these were sampled independently by year (Homer et al. 2015; Hao and Larkin 2014; Eidenshink et al. 2007). Fuel available is based on the distribution of plot level C densities (as metric tons dry matter per hectare) as defined within the current USDA Forest Service inventory data (USDA Forest Service 2015; US EPA 2015). We assume that current data adequately represent the general range of plot level C densities within a state's forest land, given the limitations of the older inventory data as discussed elsewhere in this report. The plot-level C densities are summarized as dry weight densities (metric tons per hectare) for each plot with all aboveground dry weight summed as potential fuel for wildfires and all non-living components of aboveground dry weight assigned as potential fuel for prescribed fires. Frequency distributions of the plot data indicate that densities are distributed approximately lognormally. Each state's data are fit to a lognormal distribution, and these were sampled independently by state and year. Note that each state has separate lognormal distributions for wild versus prescribed fire fuels, yet the same sampling sequence was used (i.e., jointly distributed within each state by year). Estimates for the Alaska fuel-by-combustion value as well as the combustion factor and emission factors are normal distributions with mean and standard deviations as defined in the tables (IPCC 2006 Tables 2.4, 2.5, and 2.6). These were sampled independently by year, and truncated to positive values where necessary. The equivalence ratios (Table A-269) to represent estimates as CO_2 equivalent were not considered uncertain values for these results.

Table A-271: Estimated C Released and Estimates of Non-CO_2 Emissions (MMT/yr) for U.S. forests

Year	C emitted (MMT/yr)	CH_4 emitted (MMT/yr)	N_2O (MMT/yr)
1990	11.2	0.13	0.007
1991	12.6	0.15	0.008
1992	5.7	0.07	0.004
1993	3.2	0.04	0.002
1994	20.4	0.23	0.013
1995	2.7	0.03	0.002
1996	13.2	0.15	0.008
1997	1.7	0.02	0.001
1998	7.4	0.09	0.005
1999	16.8	0.20	0.011
2000	29.1	0.33	0.018
2001	14.7	0.17	0.010
2002	40.5	0.48	0.026
2003	23.2	0.26	0.015
2004	41.2	0.48	0.027
2005	34.1	0.40	0.022
2006	28.5	0.33	0.018
2007	42.4	0.49	0.027
2008	25.8	0.32	0.018
2009	23.7	0.28	0.016
2010	11.4	0.13	0.007
2011	22.7	0.27	0.015
2012	38.0	0.44	0.024
2013	25.2	0.29	0.016

2014[a]	25.2	0.29	0.016

[a] The data for 2014 were incomplete when these estimates were summarized; therefore 2013, the most recent available estimate, is applied to 2014.

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3.14. Methodology for Estimating CH_4 Emissions from Landfills

Landfill gas is a mixture of substances generated when bacteria decompose the organic materials contained in solid waste. By volume, landfill gas is about half CH_4 and half CO_2.[110] The amount and rate of CH_4 generation depends upon the quantity and composition of the landfilled material, as well as the surrounding landfill environment.

Not all CH_4 generated within a landfill is emitted to the atmosphere. The CH_4 can be extracted and either flared or utilized for energy, thus oxidizing the CH_4 to CO_2 during combustion. Of the remaining CH_4, a portion oxidizes to CO_2 as it travels through the top layer of the landfill cover. In general, landfill-related CO_2 emissions are of biogenic origin and primarily result from the decomposition, either aerobic or anaerobic, of organic matter such as food or yard wastes.[111] To estimate the amount of CH_4 produced in a landfill in a given year, information is needed on the type and quantity of waste in the landfill, as well as the landfill characteristics (e.g., size, aridity, waste density). This information is not available for the majority of landfills in the United States. Consequently, to estimate CH_4 generation, a methodology was developed (i.e., the first order decay waste model) based on the quantity of waste placed in landfills nationwide each year and model parameters from the analysis of measured CH_4 generation rates for U.S. landfills with gas recovery systems.

From various studies, surveys, and regulatory reporting programs (i.e., the Greenhouse Gas Reporting Program [GHGRP]) of the generation and disposal of solid waste, estimates of the amount of waste placed in MSW and industrial waste landfills were developed for previous Inventory years. The current Inventory relied heavily on the GHGRP data for municipal solid waste (MSW) landfills for current and historical (since the year the landfill started accepting waste) reported waste disposal quantities. A database of measured CH_4 generation rates at MSW landfills with gas recovery systems was compiled and analyzed. The results of this analysis and other studies were used to develop an estimate of the CH_4 generation potential for use in the first order decay model. In addition, the analysis and other studies provided estimates of the CH_4 generation rate constant as a function of precipitation. The first order decay (FOD) model was applied to annual waste disposal estimates for each year and for three ranges of precipitation to estimate CH_4 generation rates nationwide for the years of interest. Based on the organic content of industrial wastes and the estimates of the fraction of these wastes sent to industrial waste landfills, CH_4 emissions from industrial waste landfills were also estimated using the first order decay model. Total CH_4 emissions were estimated by adding the CH_4 generation from MSW and industrial landfills and subtracting the amounts of CH_4 recovered for energy or flaring at MSW landfills[112] and the amount oxidized in the soil at MSW and industrial landfills. The steps taken to estimate CH_4 emissions from U.S. landfills for the years 1990 through the current inventory year are discussed in greater detail below.

Figure A-24 presents the CH_4 emissions process—from waste generation to emissions—in graphical format.

Step 1: Estimate Annual Quantities of Solid Waste Placed in Landfills

For 1989 to 2014, estimates of the annual quantity of waste placed in MSW landfills were developed from a survey of State agencies as reported in the State of Garbage (SOG) in America surveys (Shin 2014; BioCycle 2010), adjusted to include U.S. territories.[113] The SOG survey is the only continually updated nationwide survey of waste disposed in landfills in the United States. Table A-272 shows estimates of waste quantities contributing to CH_4 emissions. The table shows SOG estimates of total waste generated and total waste landfilled (adjusted for U.S. territories) for various years over the 1990 to 2013 timeframe.

State-specific landfill waste generation data and a national average disposal factor for 1989 through 2008 were obtained from the SOG survey for every two years (i.e., 2002, 2004, 2006, and 2008 as published in BioCycle 2006; 2008, and 2010). The most recent SOG survey was published in 2014 (Shin 2014) for the 2011 year. A linear interpolation was used for the amount of waste generated in 2001, 2003, 2005, 2007, 2009, 2010, 2012, 2013, and 2014 because no SOG surveys were published for those years. Upon publication of the next SOG survey, the waste landfilled for 2012 to 2014 will be updated. Estimates of the quantity of waste landfilled from 1989 to the current inventory year are determined by applying a waste disposal factor to the total amount of waste generated (i.e., the SOG data). A waste disposal factor is determined for each year a SOG survey is published and is the ratio of the total amount of waste landfilled to the total amount of waste

[110] Typically, landfill gas also contains small amounts of nitrogen, oxygen, and hydrogen, less than 1 percent nonmethane volatile organic compounds (NMVOCs), and trace amounts of inorganic compounds.

[111] See Box 7-1 "Biogenic Emissions and Sinks of Carbon" in the Waste chapter for additional background on how biogenic emissions of landfill CO_2 are addressed in the U.S. Inventory.

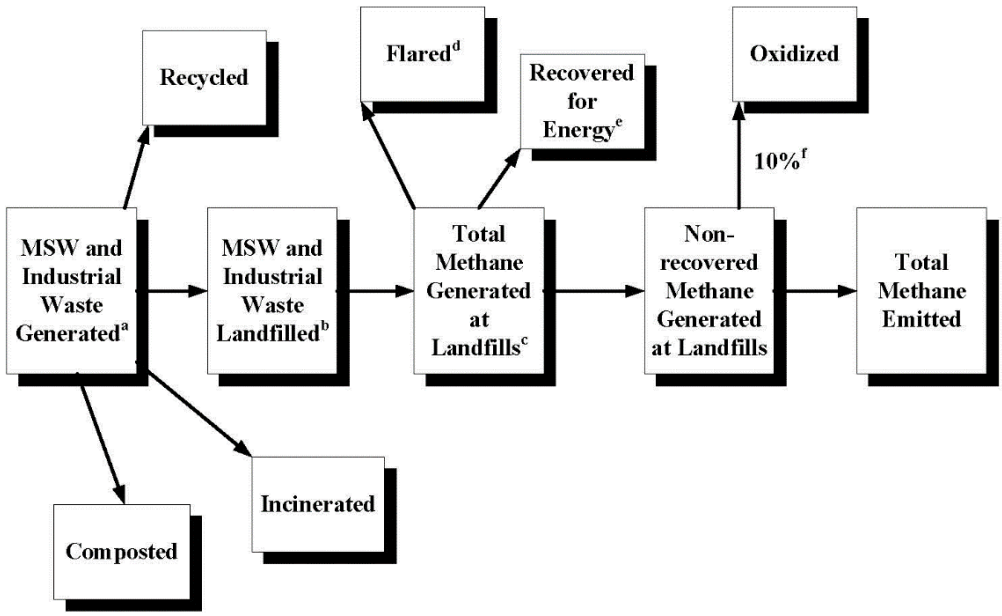
[112] Landfill gas recovery is only estimated for MSW landfills due to a lack of national data on industrial waste landfills. Approximately 1 percent of the industrial waste landfills reporting under the GHGRP have active landfill gas collection systems.

[113] Since the SOG survey does not include U.S. territories, waste landfilled in U.S. territories was estimated using population data for the U.S territories (U.S. Census Bureau 2013) and the per capita rate for waste landfilled from BioCycle (2010).

generated. The waste disposal factor is interpolated for the years in-between the SOG surveys and extrapolated for years after the last SOG survey. Methodological changes have occurred over the time that the SOG survey has been published, and this has affected the fluctuating trends observed in the data (RTI 2013).

Historical waste data, preferably since 1940, are required for the FOD model to estimate CH_4 generation for the Inventory time series. Estimates of waste placed in landfills in the 1940s and 1950s were developed based on U.S. population for each year and the per capital disposal rates from the 1960s. Estimates of the annual quantity of waste placed in landfills from 1960 through 1983 were developed from EPA's 1993 Report to Congress (EPA 1993) and a 1986 survey of MSW landfills (EPA 1988).

Figure A-24: Methane Emissions Resulting from Landfilling Municipal and Industrial Waste



[a] MSW waste generation is not calculated because annual quantities of waste disposal are available through EPA 2015c; annual production data used for industrial waste (Lockwood Post's Directory and the USDA).
[b] 1940 through 1988 based on EPA 1988 and EPA 1993; 1989 through 2008 based on *BioCycle* 2010; 2009 through 2014 based on Shin 2014.
[c] *2006 IPCC Guidelines* – First Order Decay Model.
[d] EIA 2007, flare vendor database, EPA (GHGRP) 2015b.
[e] EIA 2007, EPA (LMOP) 2015a, and EPA (GHGRP) 2015b.
[f] *2006 IPCC Guidelines*; Mancinelli and McKay 1985; Czepiel et al 1996.

Table A-272: Solid Waste in MSW Landfills Contributing to CH_4 Emissions (MMT unless otherwise noted)

	1990		2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Total MSW Generated[a]	270		458	454	429	403	405	406	408	411	414	418
Percent of MSW Landfilled[a]	77%		64%	65%	67%	69%	66%	66%	63%	63%	63%	63%
Total MSW Landfilled	205		290	289	283	275	265	266	256	258	259	262
MSW last 30 years[b]	4,876		6,281	6,415	6,539	6,653	6,753	6,851	6,935	7,018	7,099	7,180
MSW since 1940[c]	6,808		10,214	10,503	10,786	11,061	11,326	11,591	11,847	12,104	12,364	12,625
Total Industrial Waste Landfilled	10		11	11	11	11	10	11	11	11	11	11

[a] This estimate represents the waste that has been in place for 30 years or less, which contributes about 90 percent of the CH_4 generation. Values are based on EPA (1993) for years 1940 to years 1988 (not presented in table), *BioCycle* 2010 and Shin 2014 for years 1989 to 2014 (years prior to 1990 and 1991 to 2004 are not presented in table).
[b] Source: Data for years 1990 through 2014 are from the GHGRP for MSW landfills EPA (GHGRP) 2015, EPA (LMOP) 2015, and state agencies (EPA 2015c).
[c] This estimate represents the cumulative amount of waste that has been placed in landfills since 1940 to the year indicated and is the sum of the annual disposal rates used in the first order decay model. Values are based on EPA 1993, *BioCycle* 2010 and Shin 2014.

Step 2: Estimate CH_4 Generation at Municipal Solid Waste Landfills

The CH_4 generation was estimated from the integrated form of the FOD model using the procedures and spreadsheets from IPCC (2006) for estimating CH_4 emissions from solid waste disposal. The form of the FOD model that was applied incorporates a time delay of 6 months after waste disposal before the generation of CH_4 begins.

The input parameters needed for the FOD model equations are the mass of waste disposed each year, which was discussed in the previous section, degradable organic carbon (DOC), and the decay rate constant (k). The DOC is determined from the CH_4 generation potential (L_0 in m^3 CH_4/Mg waste), which is discussed in more detail in subsequent paragraphs, and the following equation:

$$DOC = [L_0 \times 6.74 \times 10^{-4}] \div [F \times 16/12 \times DOC_f \times MCF]$$

where,

DOC	=	degradable organic carbon (fraction, kt C/kt waste),
L_0	=	CH_4 generation potential (m^3 CH_4/Mg waste),
6.74×10^{-4}	=	CH_4 density (Mg/m^3),
F	=	fraction of CH_4 by volume in generated landfill gas (equal to 0.5)
16/12	=	molecular weight ratio CH_4/C,
DOC_f	=	fraction of DOC that can decompose in the anaerobic conditions in the landfill (fraction equal to 0.5 for MSW), and
MCF	=	methane correction factor for year of disposal (fraction equal to 1 for anaerobic managed sites).

The DOC value used in the CH_4 generation estimates from MSW landfills is 0.203 based on the CH_4 generation potential of 100 m^3 CH_4/Mg waste as described below. Data from a set of 52 representative landfills across the U.S. in different precipitation ranges were chosen to evaluate L_o, and ultimately the country-specific DOC value. The 2004 Chartwell Municipal Solid Waste Facility Directory confirmed that each of the 52 landfills chosen accepted or accepts both MSW and construction and demolition (C&D) waste (Chartwell 2004; RTI 2009).

The methane generation potential (L_o) varies with the amount of organic content of the waste material. A higher L_o occurrs with a higher content of organic waste. Waste composition data are not collected for all landfills nationwide; thus a default value must be used. Values for L_o were evaluated from landfill gas recovery data for this set of 52 landfills, which resulted in a best fit value for L_o of 99 m^3/Mg of waste (RTI 2004). This value compares favorably with a range of 50 to 162 (midrange of 106) m^3/Mg presented by Peer, Thorneloe, and Epperson (1993); a range of 87 to 91 m^3/Mg from a detailed analysis of 18 landfills sponsored by the Solid Waste Association of North America (SWANA 1998); and a value of 100 m^3/Mg recommended in EPA's compilation of emission factors (EPA 1998; EPA 2008) based on data from 21 landfills. Based on the results from these studies, a value of 100 m^3/Mg appears to be a reasonable best estimate to use in the FOD model for the national inventory.

The FOD model was applied to the gas recovery data for the 52 landfills to calculate the decay rate constant (k) directly for L_0 = 100 m^3/Mg. The rate constant was found to increase with annual average precipitation; consequently, average values of k were developed for three ranges of precipitation, shown in Table A-273 and recommended in EPA's compilation of emission factors (EPA 2008).

Table A-273: Average Values for Rate Constant (k) by Precipitation Range (yr^{-1})

Precipitation range (inches/year)	k (yr^{-1})
<20	0.020
20-40	0.038
>40	0.057

These values for k show reasonable agreement with the results of other studies. For example, EPA's compilation of emission factors (EPA 1998; EPA 2008) recommends a value of 0.02 yr^{-1} for arid areas (less than 20 inches/year of precipitation) and 0.04 yr^{-1} for non-arid areas. The SWANA (1998) study of 18 landfills reported a range in values of k from 0.03 to 0.06 yr^{-1} based on CH_4 recovery data collected generally in the time frame of 1986 to 1995.

Using data collected primarily for the year 2000, the distribution of waste in place versus precipitation was developed from over 400 landfills (RTI 2004). A distribution was also developed for population vs. precipitation for comparison. The two distributions were very similar and indicated that population in areas or regions with a given precipitation range was a reasonable proxy for waste landfilled in regions with the same range of precipitation. Using U.S. Census data and rainfall data, the distributions of population versus rainfall were developed for each Census decade from

1950 through 2000. The distributions showed that the U.S. population has shifted to more arid areas over the past several decades. Consequently, the population distribution was used to apportion the waste landfilled in each decade according to the precipitation ranges developed for k, as shown in Table A-274.

Table A-274: Percent of U.S. Population within Precipitation Ranges (%)

Precipitation Range (inches/year)	1950	1960	1970	1980	1990	2000	2010
<20	10	13	14	16	19	19	18
20-40	40	39	37	36	34	33	44
>40	50	48	48	48	48	48	38

Source: Years 1950 through 2000 are from RTI (2004) using population data from the U.S. Census Bureau and precipitation data from the National Climatic Data Center's National Oceanic and Atmospheric Administration. Year 2010 is based on the methodology from RTI (2004) and the U.S. Bureau of Census and precipitation data from the National Climatic Data Center's National Oceanic and Atmospheric Administration where available.

In developing the Inventory, the proportion of waste disposed of in managed landfills versus open dumps prior to 1980 was re-evaluated. Based on the historical data presented by Mintz et al. (2003), a timeline was developed for the transition from the use of open dumps for solid waste disposed to the use of managed landfills. Based on this timeline, it was estimated that 6 percent of the waste that was land disposed in 1940 was disposed of in managed landfills and 94 percent was managed in open dumps. Between 1940 and 1980, the fraction of waste that was land disposed transitioned towards managed landfills until 100 percent of the waste was disposed of in managed landfills in 1980. For wastes disposed of in dumps, a methane correction factor (MCF) of 0.6 was used based on the recommended IPCC default value for uncharacterized land disposal (IPCC 2006); this MCF is equivalent to assuming 50 percent of the open dumps are deep and 50 percent are shallow. The recommended IPCC default value for the MCF for managed landfills of 1 was used for the managed landfills (IPCC 2006).

Step 3: Estimate CH_4 Generation at Industrial Landfills

Industrial waste landfills receive waste from factories, processing plants, and other manufacturing activities. In national inventories prior to the 1990 through 2005 inventory, CH_4 generation at industrial landfills was estimated as seven percent of the total CH_4 generation from MSW landfills, based on a study conducted by EPA (1993). For the 1990 through current inventories, the methodology was updated and improved by using activity factors (industrial production levels) to estimate the amount of industrial waste landfilled each year and by applying the FOD model to estimate CH_4 generation. A nationwide survey of industrial waste landfills found that over 99 percent of the organic waste placed in industrial landfills originated from two sectors: food processing (meat, vegetables, fruits) and pulp and paper (EPA 1993). Data for annual nationwide production for the food processing and pulp and paper sectors were taken from industry and government sources for recent years; estimates were developed for production for the earlier years for which data were not available. For the pulp and paper sector, production data published by the Lockwood-Post's Directory were used for years 1990 to 2001 and production data published by the U.S. Department of Agriculture were used for years 2002 through 2013. An extrapolation based on U.S. real gross domestic product was used for years 1940 through 1964. For the food processing sector, production levels were obtained or developed from the U.S. Department of Agriculture for the years 1990 through 2013 (ERG 2014). An extrapolation based on U.S. population was used for the years 1940 through 1989, and for the year 2014.

In addition to production data for the pulp and paper and food processing sectors, the following inputs are needed to use the FOD model for estimating CH_4 generation from industrial landfills: 1) quantity of waste that is disposed in industrial waste landfills (as a function of production), 2) CH_4 generation potential (L_0) or DOC, and 3) the decay rate constant (k). Research into waste generation and disposal in landfills for the pulp and paper sector indicated that the quantity of waste landfilled was about 0.050 MT/MT of product compared to 0.046 MT/MT product for the food processing sector (RTI 2006). These factors were applied to estimates of annual production to estimate annual waste disposal in industrial waste landfills. Estimates for DOC were derived from available data (EPA, 2015b; Heath et al., 2010; NCASI, 2005; Kraft and Orender, 1993; NCASI 2008; Flores et al. 1999 as documented in RTI 2015b). The DOC value for industrial pulp and paper waste is estimated at 0.15 (L_o of 49 m^3/MT); the DOC value for industrial food waste is estimated as 0.26 (L_o of 128 m^3/MT) (RTI 2015b; RTI 2014). Estimates for k were taken from the default values in the *2006 IPCC Guidelines*; the value of k given for food waste with disposal in a wet temperate climate is 0.19 yr^{-1}, and the value given for paper waste is 0.06 yr^{-1}.

A literature review was conducted for the 1990 to 2010 and 1990 to 2014 inventory years with the intent of updating values for L_o (specifically DOC) and k in the pulp and paper sector. Where pulp and paper mill wastewater treatment residuals or sludge are the primary constituents of pulp and paper waste landfilled, values for k available in the literature

range from 0.01/yr to 0.1/yr, while values for L_o range from 50 m^3/Mt to 200 m^3/Mt.[114] Values for these factors are highly variable and are dependent on the soil moisture content, which is generally related to rainfall amounts. At this time, sufficient data were available through the GHGRP to warrant a change to the L_o (DOC) from 99 to 49 m^3/MT, but sufficient data were not obtained to warrant a change to k for the current inventory year. EPA will consider an update to the k values for the pulp and paper sector as new data arises and will work with stakeholders to gather data and other feedback on potential changes to these values.

As with MSW landfills, a similar trend in disposal practices from open dumps to managed landfills was expected for industrial waste landfills; therefore, the same time line that was developed for MSW landfills was applied to the industrial landfills to estimate the average MCF. That is, between 1940 and 1980, the fraction of waste that was land disposed transitioned from 6 percent managed landfills in 1940 and 94 percent open dumps to 100 percent managed landfills in 1980 and on. For wastes disposed of in dumps, an MCF of 0.6 was used and for wastes disposed of in managed landfills, an MCF of 1 was used, based on the recommended IPCC default values (IPCC 2006).

The parameters discussed above were used in the integrated form of the FOD model to estimate CH_4 generation from industrial waste landfills.

Step 4: Estimate CH_4 Emissions Avoided

The estimated landfill gas recovered per year (R) at MSW landfills was based on a combination of four databases and including recovery from flares and/or landfill gas-to-energy projects:

- EPA's GHGRP dataset for MSW landfills (EPA 2015b)
- a database developed by the Energy Information Administration (EIA) for the voluntary reporting of greenhouse gases (EIA 2007)
- a database of LFGTE projects that is primarily based on information compiled by EPA LMOP (EPA 2015a), and
- the flare vendor database (contains updated sales data collected from vendors of flaring equipment).

EPA's GHGRP MSW landfills database was first introduced as a data source for the 1990 to 2013 Inventory. The GHGRP MSW landfills database contains facility-reported data that undergoes rigorous verification and is considered to contain the least uncertain data of the four databases. However, this database is unique in that it only contains a portion of the landfills in the U.S. (although, presumably the highest emitters since only those landfills that meet the methane generation threshold must report) and only contains data from 2010 and later.

The destruction efficiencies reported through EPA's GHGRP were applied to the landfills in the GHGRP MSW landfills database. The median value of the reported destruction efficiencies was 99 percent for all reporting years (2010 through 2014, EPA 2015b). A destruction efficiency of 99 percent was applied to CH_4 recovered to estimate CH_4 emissions avoided for the other three databases. This value for destruction efficiency was selected based on the range of efficiencies (86 to 99+ percent) recommended for flares in EPA's *AP-42 Compilation of Air Pollutant Emission Factors*, Draft Chapter 2.4, Table 2.4-3 (EPA 2008). A typical value of 97.7 percent was presented for the non-methane components (i.e., volatile organic compounds and non-methane organic compounds) in test results (EPA 2008). An arithmetic average of 98.3 percent and a median value of 99 percent are derived from the test results presented in EPA 2008. Thus, a value of 99 percent for the destruction efficiency of flares has been used in Inventory methodology. Other data sources supporting a 99 percent destruction efficiency include those used to establish New Source Performance Standards (NSPS) for landfills and in recommendations for closed flares used in the EPA's LMOP.

Step 4a: Estimate CH_4 Emissions Avoided Through Landfill Gas-to-Energy (LFGTE) and Flaring Projects

The quantity of CH_4 avoided due to LFGTE systems was estimated based on information from three sources: (1) a database developed by the EIA for the voluntary reporting of greenhouse gases (EIA 2007); (2) a database compiled by LMOP and referred to as the LFGTE database for the purposes of this inventory (EPA 2015a); and (3) the GHGRP MSW landfills dataset (EPA 2015b). The EIA database included location information for landfills with LFGTE projects, estimates of CH_4 reductions, descriptions of the projects, and information on the methodology used to determine the CH_4 reductions. In general, the CH_4 reductions for each reporting year were based on the measured amount of landfill gas collected and the percent CH_4 in the gas. For the LFGTE database, data on landfill gas flow and energy generation (i.e., MW capacity) were used to estimate the total direct CH_4 emissions avoided due to the LFGTE project. The GHGRP MSW landfills database contains the most detailed data on landfills that reported under the GHGRP for years 2010 through 2014, however the amount of CH_4 recovered is not specifically allocated to a flare versus a LFGTE project. The allocation into flares or LFGTE was

[114] Sources reviewed included Heath et al. 2010; Miner 2008; Skog 2008; Upton et al. 2008; Barlaz 2006; Sonne 2006; NCASI 2005; Barlaz 1998; and Skog and Nicholson 2000.

performed by matching landfills to the EIA and LMOP databases for LFGTE projects and to the flare database for flares. Detailed information on the landfill name, owner or operator, city, and state are available for both the EIA and LFGTE databases; consequently, it was straightforward to identify landfills that were in both databases against those in EPA's GHGRP MSW landfills database. EPA's GHGRP MSW landfills database was given priority because CH_4 recovery and other supporting information were reported for each year and were based on direct measurements. The EIA database was given second priority (for landfills not in the GHGRP MSW landfills database) for years 1990 through 2005 because CH_4 recovery based on direct measurements was reported by landfill during this period. Landfills in the LMOP database that were also in EPA's GHGRP MSW landfills database and/or EIA database were not included in the total recovery values to avoid double or triple counting across the databases.

If a landfill in EPA's GHGRP MSW landfills database was also in the EIA, LFGTE, and/or flare vendor database, the avoided emissions were only based on EPA's GHGRP MSW landfills database to avoid double or triple counting the recovery amounts. In other words, the recovery from the same landfill was not included in the total recovery from the EIA, LFGTE, or flare vendor databases.

If a landfill in the EIA database was also in the LFGTE and/or the flare vendor database, the CH_4 recovery was based on the EIA data because landfill owners or operators directly reported the amount of CH_4 recovered using gas flow concentration and measurements, and because the reporting accounted for changes over time. However, as the EIA database only includes facility-reported data through 2006, the amount of CH_4 recovered for years 2007 and later were assumed to be the same as in 2006 for landfills that are in the EIA database, but not in the GHGRP or LFGTE databases. This quantity likely underestimates flaring because the EIA database does not have information on all flares in operation for the years after 2006. However, nearly all (93 percent) of landfills in the EIA database also report to the GHGRP, which means that only seven percent of landfills in the EIA database are counted in the total recovery.

If both the flare data and LFGTE recovery data were available for any of the remaining landfills (i.e., not in the EIA or GHGRP databases), then the avoided emissions were based on the LFGTE data, which provides reported landfill-specific data on gas flow for direct use projects and project capacity (i.e., megawatts) for electricity projects. The LFGTE database is based on the most EPA LMOP database (published annually).The remaining portion of avoided emissions is calculated by the flare vendor database, which estimates CH_4 combusted by flares using the midpoint of a flare's reported capacity. New flare vendor sales data were unable to be obtained for the current Inventory year. Given that each LFGTE project is likely to also have a flare, double counting reductions from flares and LFGTE projects in the LFGTE database was avoided by subtracting emission reductions associated with LFGTE projects for which a flare had not been identified from the emission reductions associated with flares (referred to as the flare correction factor).

Step 4b: Estimate CH_4 Emissions Avoided Through Flaring for the Flare Database

To avoid double counting, flares associated with landfills in EPA's GHGRP, EIA and LFGTE databases were not included in the total quantity of CH_4 recovery from the flare vendor database. As with the LFGTE projects, reductions from flaring landfill gas in the EIA database were based on measuring the volume of gas collected and the percent of CH_4 in the gas. The information provided by the flare vendors included information on the number of flares, flare design flow rates or flare dimensions, year of installation, and generally the city and state location of the landfill. When a range of design flare flow rates was provided by the flare vendor, the median landfill gas flow rate was used to estimate CH_4 recovered from each remaining flare (i.e., for each flare not associated with a landfill in the EIA, GHGRP, or LFGTE databases). Several vendors provided information on the size of the flare rather than the flare design gas flow rate. To estimate a median flare gas flow rate for flares associated with these vendors, the size of the flare was matched with the size and corresponding flow rates provided by other vendors. Some flare vendors reported the maximum capacity of the flare. An analysis of flare capacity versus measured CH_4 flow rates from the EIA database showed that the flares operated at 51 percent of capacity when averaged over the time series and at 72 percent of capacity for the highest flow rate for a given year. For those cases when the flare vendor supplied maximum capacity, the actual flow was estimated as 50 percent of capacity. Total CH_4 avoided through flaring from the flare vendor database was estimated by summing the estimates of CH_4 recovered by each flare for each year. Flare sales data were not provided to the EPA for the previous and current Inventory year.

Step 4c: Reduce CH_4 Emissions Avoided Through Flaring

As mentioned in Step 4b, flares in the flare vendor database associated with landfills in the GHGRP MSW landfills, EIA, and LMOP databases were not accounted for in the flare reduction estimates in the flare vendor database. If comprehensive data on flares were available, each LFGTE project in EPA's GHGRP, EIA, and LFGTE databases would have an identified flare because it is assumed that most LFGTE projects have flares. However, given that the flare vendor database only covers approximately 50 to 75 percent of the flare population, an associated flare was not identified for all LFGTE projects. These LFGTE projects likely have flares, yet flares were unable to be identified for one of two reasons: 1)

inadequate identifier information in the flare vendor data, or 2) a lack of the flare in the flare vendor database. For those projects for which a flare was not identified due to inadequate information, CH_4 avoided would be overestimated, as both the CH_4 avoided from flaring and the LFGTE project would be counted. To avoid overestimating emissions avoided from flaring, the CH_4 avoided from LFGTE projects with no identified flares was determined and the flaring estimate from the flare vendor database was reduced by this quantity (referred to as a flare correction factor) on a state-by-state basis. This step likely underestimates CH_4 avoided due to flaring, but was applied to be conservative in the estimates of CH_4 emissions avoided.

Additional effort was undertaken to improve the methodology behind the flare correction factor for the 1990 to 2009 and 1990 to 2014 inventory years to reduce the total number of flares in the flare vendor database that were not matched to landfills and/or LFGTE projects in the EIA and LFGTE databases. Each flare in the flare vendor database not associated with a LFGTE project in the EIA, LFGTE, or GHGRP databases was investigated to determine if it could be matched. For some unmatched flares, the location information was missing or incorrectly transferred to the flare vendor database and was corrected during the review. In other instances, the landfill names were slightly different between what the flare vendor provided and the actual landfill name as listed in the EIA, LFGTE and GHGRP databases. The remaining flares did not have adequate information through the name, location, or owner to identify it to a landfill in any of the recovery databases or through an Internet search; it is these flares that are included in the flare correction factor for the current inventory year.

A large majority of the unmatched flares are associated with landfills in the LFGTE database that are currently flaring, but are also considering LFGTE. These landfills projects considering a LFGTE project are labeled as candidate, potential, or construction in the LFGTE database. The flare vendor database was improved in the 1990 to 2009 inventory year to match flares with operational, shutdown as well as candidate, potential, and construction LFGTE projects, thereby reducing the total number of unidentified flares in the flare vendor database, all of which are used in the flare correction factor. The results of this effort significantly decreased the number of flares used in the flare correction factor, and consequently, increased recovered flare emissions, and decreased net emissions from landfills for the 1990 through 2009 Inventory. The revised state-by-state flare correction factors were applied to the entire Inventory time series.

Step 5: Estimate CH_4 Oxidation

A portion of the CH_4 escaping from a landfill oxidizes to CO_2 in the top layer of the soil. The amount of oxidation depends upon the characteristics of the soil and the environment. For purposes of this analysis, it was assumed that of the CH_4 generated, minus the amount of gas recovered for flaring or LFGTE projects, 10 percent was oxidized in the soil (Jensen and Pipatti 2002; Mancinelli and McKay 1985; Czepiel et al 1996). The factor of 10 percent is consistent with the value recommended in the 2006 IPCC revised guidelines for managed and covered landfills, and was therefore applied to the estimates of CH_4 generation minus recovery for both MSW and industrial landfills

A literature review was conducted in 2011 (RTI 2011) to provide recommendations for the most appropriate oxidation rate assumptions. It was found that oxidation values are highly variable and range from zero to over 100 percent (i.e., the landfill is considered to be an atmospheric sink by virtue of the landfill gas extraction system pulling atmospheric methane down through the cover). There is considerable uncertainty and variability surrounding estimates of the rate of oxidation because oxidation is difficult to measure and varies considerably with the presence of a gas collection system, thickness and type of the cover material, size and area of the landfill, climate, and the presence of cracks and/or fissures in the cover material through which methane can escape. IPCC (2006) notes that test results from field and laboratory studies may lead to over-estimations of oxidation in landfill cover soils because they largely determine oxidation using uniform and homogeneous soil layers. In addition, a number of studies note that gas escapes more readily through the side slopes of a landfill as compared to moving through the cover thus complicating the correlation between oxidation and cover type or gas recovery.

Sites with landfill gas collection systems are generally designed and managed better to improve gas recovery. More recent research (2006 to 2012) on landfill cover methane oxidation has relied on stable isotope techniques that may provide a more reliable measure of oxidation. Results from this recent research consistently point to higher cover soil methane oxidation rates than the IPCC (2006) default of 10 percent. A continued effort will be made to review the peer-reviewed literature to better understand how climate, cover type, and gas recovery influence the rate of oxidation at active and closed landfills. At this time, the IPCC recommended oxidation factor of 10 percent will continue to be used for all landfills.

Step 6: Estimate Total CH_4 Emissions

Total CH_4 emissions were calculated by adding emissions from MSW and industrial landfills, and subtracting CH_4 recovered and oxidized, as shown in Table A-275.

Table A-275: CH_4 Emissions from Landfills (kt)

	1990	1995	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
MSW CH_4 Generation	8,214	9,140	10,084	10,383	10,769	11,135	11,482	11,809	12,118	12,395	12,638	12,839	13,008	13,144	13,280	13,418
Industrial CH_4 Generation	484	537	612	618	625	629	636	639	643	648	653	656	657	659	661	665
Potential Emissions	**8,698**	**9,676**	**10,696**	**11,001**	**11,394**	**11,765**	**12,117**	**12,448**	**12,760**	**13,043**	**13,291**	**13,495**	**13,665**	**13,803**	**13,942**	**14,083**
Emissions Avoided	**(718)**	**(1,935)**	**(4,616)**	**(4,894)**	**(4,995)**	**(5,304)**	**(5,272)**	**(5,707)**	**(5,954)**	**(6,176)**	**(6,424)**	**(7,178)**	**(7,249)**	**(7,480)**	**(7,529)**	**(7,507)**
Oxidation at MSW Landfills	(750)	(720)	(547)	(549)	(577)	(583)	(621)	(610)	(616)	(622)	(621)	(566)	(576)	(566)	(575)	(591)
Oxidation at Industrial Landfills	(48)	(54)	(61)	(62)	(63)	(63)	(64)	(64)	(64)	(65)	(65)	(66)	(66)	(66)	(66)	(67)
Net Emissions	**7,182**	**6,967**	**5,472**	**5,496**	**5,759**	**5,815**	**6,161**	**6,066**	**6,126**	**6,180**	**6,180**	**5,685**	**5,774**	**5,691**	**5,772**	**5,919**

Notes: MSW generation in Table A-248 represents emissions before oxidation. In other tables throughout the text, MSW generation estimates account for oxidation. Totals may not sum exactly to the last significant figure due to rounding. Parentheses denote negative values.

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