

CAR Correlation Table - General Provisions
(40 CFR Part 61, Subpart A - 61.01 through 61.19)

Citations Part 61, Subpart A (General Provisions)	Citations, Part 65 ^{a,b}	Description	Type of Change ^c	Comments
61.01	[Referencing General Provisions]	List of pollutants and applicability of part 61	R	The CAR does not contain any provisions related to applicability of referencing subparts. However, these provisions remain applicable to sources complying with the CAR.
61.02	65.2 and [Referencing General Provisions]	Definitions	S	Words not used in the CAR and words used for applicability provisions only are not defined in the CAR. However these definitions remain applicable to sources complying with the CAR. See the Definition Correlation Tables for details.
61.03	[Not Consolidated]	Units and abbreviations	NC	No abbreviations are used in the CAR.
61.04	65.5(g)(1)	Submission of Reports	N	No significant changes.
	65.14	The list of addresses	N	The CAR includes addresses for all State and local agencies, while 61.04 includes addresses for only those agencies whose requests for delegation have been approved.
	[Not Consolidated]	Submit reports in duplicate	BR	This provision is not included in the CAR. Duplicate reports are not required.
61.05(a)	[Referencing Subpart]	Written approval prior to commencing construction or modification	R	These provisions address applicability. The CAR does not contain any provisions related to applicability of referencing subpart. However, these provisions remain applicable to sources complying with the CAR.
61.05(b) and (c)	65.11(b)	Prohibited Activities	C	The CAR provides additional guidance on how the requirement to have a Title V permit affects prohibited activities. It also provides for an extension of compliance granted by a State with an approved permit program.
61.05(d)	[Not Consolidated]	Shall report as required	NC	The CAR does not specifically state that the facility must report as required. The CAR relies on the requirements to report made when the reports are described.
61.06	[Referencing General Provisions]	Determination of construction or modification	R	Provisions regarding construction, reconstruction, and modification are related to applicability. The CAR does not contain any provisions related to applicability of referencing subparts. However, these provisions remain applicable to sources complying with the CAR.
61.07	[Referencing General Provisions]	Application for approval of construction or modification	R	Provisions regarding construction, reconstruction, and modification are related to applicability. The CAR does not contain any provisions related to applicability of referencing subparts. However, these provisions remain applicable to sources complying with the CAR.

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61.08	[Referencing General Provisions]	Approval of construction or modification	R	Provisions regarding construction, reconstruction, and modification are related to applicability. The CAR does not contain any provisions related to applicability of referencing subparts. However, these provisions remain applicable to sources complying with the CAR.
61.09(a)	[Not Consolidated]	Startup notifications	NC	This is an introductory paragraph not necessary under the CAR structure
61.09(a)(1)	[Not Consolidated]	Notification of anticipated startup	NC	The EPA has proposed (61 FR 47840, 9/11/96) revisions to the general provisions for 40 CFR parts 60, 61, and 63 to delete requirements for notification of anticipated startup. Therefore, these provisions have not been consolidated.
61.09(a)(2)	65.5(a)(1) and 65.5(b)	Notification of actual startup	N	No significant change.
61.09(b)	65.5(g)(2)	Overlap with State reports	N	§ 61.09(b) allows sources to submit to EPA copies of reports submitted to State or local agencies if the state or local report contains all the information required by EPA. The CAR allows submittal of copies of State reports, but not local agency reports.
61.10(a)	[Not Consolidated]	Source reporting for existing sources and sources with initial startup before effective date.	NC	The reports specified in § 60.10 will already have been due and submitted for sources subject to the referencing subparts. Therefore, this provision is not consolidated in the CAR. While the CAR does not require an equivalent source report per se, most of the information itemized in § 60.10(a)(1) through (a)(7) is required in either the Initial Notification for Part 65 Applicability [§ 65.5(c)], the Initial Compliance Status Report [§65.5(d)], or as part of the CAR's general report content requirements [65.5(f)]. Information required under 60.10(a) that is not required in the CAR, or the equivalent, would be reported in a Title V permit application.
61.10(b)	[Referencing General Provisions]	Request for waiver of compliance	R	These provisions address compliance schedules for sources subject to part 61 standards. Provisions regarding compliance schedules are not included in the CAR. However, these provisions remain applicable to sources complying with the CAR.
61.10(c)	[Referencing General Provisions]	Source reporting: report any changes in source information	R	These reporting requirements are not consolidated, but remain applicable to part 61 sources complying with the CAR.

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61.10(d)	[Not Consolidated]	Source reporting: suggested format	NC	§ 61.10(d) provides a suggested format for the source report required in § 61.10(a). This suggested format was not consolidated since this particular report is not required in the CAR.
61.10(e)	65.2	Source reporting: time periods are in "calendar" days	N	The CAR definition of "day" reads: "means a calendar day."
61.10(f)	65.5(g)(3) and (g)(4)	Source reporting: postmark by the specified dates	C	§ 61.10(f) states that if a specific postmark date is not given, then submittals must be postmarked by the specified due date. The CAR requires that submittals be "sent on or before specified dates". Where postmark dates are specified, the CAR also allows submittal by fax or by courier. In addition, the CAR allows submittal by electronic media if approved by the Administrator.
61.10(g)	65.5(h)(5)	Source reporting: timing may be changed by mutual agreement	N	No significant change.
61.10(h)	65.5(h)(2)	Source reporting: consolidation to a common schedule with State requirements.	C,S	A generalized paragraph based on 63.9(a) is used in the CAR to clarify and simplify provisions allowing the coordination to a common reporting schedule.
61.10(i)	65.5(h)(2)	Source reporting: consolidation to a common schedule for multiple sources under same ownership.	C,S	A generalized paragraph based on 63.9(a) is used in the CAR to clarify and simplify provisions allowing the coordination to a common reporting schedule.
61.10(j)	65.5(h)(3)-(h)(7)	Source reporting and waiver request	N	No significant change.
61.10(j)(1)(i)	65.5(h)(3)	Requirements remain applicable until schedule adjustments are approved by the Administrator.	N	No significant change.
61.10(j)(1)(ii)	65.5(h)(4)	Request for schedule adjustment required for each change.	C	The CAR language is similar but clarifies for these provisions by specifying that adjustments for periodic reports need only be requested once.
61.10(j)(2)	65.5(h)(5)	Adjustment to schedules by mutual agreement.	N	No significant change.

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61.10(j)(3)	65.5(h)(6)	Approval of request for adjustment	N	No significant change.
61.10(j)(4)	65.5(h)(7)	Administrator does not meet a schedule	N	Not significant change.
61.11	[Referencing General Provisions]	Waiver of compliance	R	These provisions address compliance schedules for sources subject to part 61 standards. Provisions regarding compliance schedules are not included in the CAR. However, these provisions remain applicable to sources complying with the CAR.
61.12(a)	65.3(b)(4)	Compliance with numerical emission limits determined by emissions testing.	C	<ul style="list-style-type: none"> - The CAR uses the terms emission standards and performance tests, however the requirement are essentially the same. Section 65.3(b)(4)(i) states that compliance with these requirements is determined based on results of performance tests. - The CAR also includes in 65.3(b)(4)(ii) clarification that the Administrator will also determine compliance with emission standards by evaluating the conformance with operation and maintenance requirement.
61.12(b)	65.3(b)(5)	Compliance: design, equipment, work practice, and operating standards	S	Section 61.12 states that compliance determination provisions are specified in individual subparts. In the CAR, the possible compliance determination basis for design, equipment, work practices, or operational standards are listed at 65.3(b)(5), however, the requirements in the individual subparts are also relied on.

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61.12(c)	65.3(a) and 65.6(b)	Operate source in a manner consistent with good air pollution control practices	N	While the CAR does not include language explicitly citing good air pollution control practices for the regulated source, the CAR's SSM plan provisions [§ 65.6(b)] and operation and maintenance requirements [65.3(a)] constitute the equivalent of operating consistent with good air pollution control. The SSM plan required under 65.6(b) ensures proper operation procedures for the regulated source, including process equipment, air pollution control equipment, and CPMS. The operation and maintenance requirements under 65.3(a) state that emission standards and established parameter ranges apply at all times, and that equipment required for compliance with part 65, including CPMS, may not be shutdown at any time when emissions are being routed to that equipment. In addition, 65.156(c)(2) states that CPMS must be operated in a manner consistent with good air pollution control practices.
	65.3(b)(3)	Compliance: determination of acceptable operation and maintenance procedure	N	No significant change.
61.12(d)(1)	65.8(a)	Compliance: alternative means of emission limitation	C	The CAR provides clarification by explicitly excluding performance standards from alternative means of emissions limitations. This exclusion is implicit in part 61.
	65.8(a)(1)	Administrator may condition the permission	N	No significant change.
61.12(d)(2)	65.8(a)(2)	Opportunity for public review and hearing	N	No significant change.
61.12(d)(3)	65.8(b)(1)	Compliance: submit results of testing and monitoring	BR	§ 61.12(d)(3) specifies that applications to use an alternative means of emission limitation must consist of a proposed test plan, or a description and results of testing already performed. The CAR provisions are more flexible in that it allows submittal of any information demonstrating that the alternative achieves equivalent reductions.

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61.13(a)	65.157(c)(1)	Emission tests: timing	S, BR	Section 61.13(a) requires emissions testing within 90 days. The CAR standardizes on the Part 63 General Provision schedule: 180 days to complete the performance test, and 60 days after that to submit the report. This not only simplifies the reporting schedules for the various subparts, but also reduces the burden associated with performance test timing when multiple tests are required due to multiple control devices..
61.13(b)	65.157(c)(2)	Emission tests: Administrator may request performance tests and compliance determinations	BR, C	The CAR clarifies the regulatory language by following the CAR convention of referring to flare compliance determinations and to other control device performance tests. "Compliance determinations" is therefore added to many provisions that concern general "performance test" requirements that pertain to flares. This change occurs in many places, but is only mentioned here.
61.13(c)	65.164(b)(1)	Emission tests: notification prior to tests	C	The CAR requires notification 30 days before testing, as does 61.13(c). The CAR also includes additional provisions for rescheduling if the test is delayed.
61.13(d)	65.157(d)	Emission tests: testing facilities	N	Section 61.13(d) refers to "emissions tests," while the CAR uses the term "performance test." This is consistent throughout, but is mentioned only here. No significant change otherwise.
61.13(d)(1)	65.157(d)(1)	Emissions tests: adequate sampling ports required	C	CAR provisions for sampling ports are more specific, clarifying what constitutes "adequate sampling ports."
61.13(d)(2)-(d)(5)	65.157(d)(2)-(d)(5)	Emissions tests: emissions testing facilities	N	No significant change
61.13(e)	65.158(a)(1)	Emission tests: conditions of performance tests	S	CAR uses language from 63.103(b)(3) regarding "maximum representative operating conditions". § 61.13(e) states that the Administrator shall specify the basis for the test.
61.13(f)	[Not Consolidated]	Emission tests: analyze samples within 30 days	BR	The CAR does not include provisions specifying when sample analysis must be performed.
	65.164(b)(2)	Emission tests: report submittal due within 31 business days	N	The CAR requires test reports due within 60 days of completion of the test or determination.

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61.13(g)	65.4(a)	Emission tests: 2 year retention of emissions information	C	§ 61.13(g) specifies 2 year retention. The CAR requires Title V sources to retain records for 5 years; non-Title V sources must retain records for the period specified in an applicable subpart. If the retention period is not specified in an applicable subpart, then non-Title V sources must retain records for at least 2 years.
	65.4(c)(1)	Emissions tests: retain information on site	N	61.13(g) specifies that required emissions information records must be retained on site. The CAR states that all required records be retained on site as follows: for sources referenced from the HON subparts G and H, the most recent six months of records must be retained on site or made available on site to an inspector within 2 hours after requested; for all other sources, records for the most recent 2 years must be kept on site or must be accessible to an inspector while on site.
61.13(h)(1)	65.158(a)(2)	Emission tests: general requirement	BR	The CAR provisions are less burdensome in that they include an exception for shorter sampling times or smaller sample volumes when necessitated by process conditions, and for use of approved equivalent methods at 65.158(a)(2)(iii).
61.13(h)(1)(i)	65.158(a)(2)(i)	Exceptions for emissions test procedures: minor changes to reference method	N	No significant change.
61.13(h)(1)(ii)	65.158(a)(2)(ii)	Exceptions for emissions test procedures: alternative test method	C	The CAR specifies that alternative methods shall be validated using Method 301 of Appendix A of Part 63.
61.13(h)(1)(iii)	65.158(a)(2)(iv)	Exceptions for emissions test procedures: waiver of requirement	N	No significant change.
61.13(h)(2)	[Not Consolidated]	Emission tests: reasonable grounds to dispute alternative	NC	No corresponding provisions are included in the CAR because the Administrator always retains the right to dispute results of testing.
61.13(h)(3)	[Not Consolidated]	Request for approval of alternative methods: exceptions for initial emissions test.	NC	By not specifying, the CAR allows requests at any time for approval to use alternative methods of emissions tests, while these provisions in part 61 require these requests at specified time frames in certain situations.
61.13(i)(1)	65.157(b)(2)	Emission tests: waivers	N	No significant changes.

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61.13(i)(2)	65.164(b)(3)	Emission tests: waiver applications	N	§ 61.13(i)(2) specifies that requests for emission test waivers must accompany either the source report (61.10) or the notice of initial startup (61.09). These reports are required 90 days after the effective date and 30 to 60 days prior to initial startup, respectively. The CAR provisions do not require that the waiver request accompany another report, but do require that the request be submitted at least 90 calendar days before the performance test or compliance determination is required. Performance tests and compliance determinations for the CAR are required within 180 days after effective dates, startup dates, or compliance dates, as applicable. Thus, the CAR generally requires submittal of a request for waiver of performance tests or compliance determinations 90 days after the applicable effective date, startup date, or compliance date.
61.13(i)(3)	65.157(b)(3)	Emission tests: cancellation of waivers	N	No significant change.
61.14(a)	[Not Consolidated]	Monitoring requirements: applicability and pointer paragraph	NC	This paragraph contains introductory language not needed in the CAR structure.
61.14(b)	65.156(c)(2)	Monitoring requirements: good air pollution control	N	The CAR incorporates the provisions from part 63 general provisions [63.8(c)(1)]. The HON language is essentially the same as that in 61.14(b).
	65.156(c)(2)(i)	Repair of malfunction of monitoring system	N	CAR includes language from Part 63 with similar intentions. 61.14(b) requires repair of unavoidable malfunction "as soon as practical". § 65.156(c)(2)(i) requires "immediate" repair of routine or predictable malfunctions.
	65.156(c)(2)(iii)	Determination of acceptable procedures	N	No significant change.
61.14(c)	[Not Consolidated]	Monitoring requirements: performance evaluation is required if specified in applicable subpart.	NC	61.14(c) applies when a performance evaluation is required by the applicable subpart. None of the referencing subparts includes requirements for performance evaluations. Therefore these provisions are not consolidated.

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61.14(d)	[Not Consolidated]	Monitoring requirements: CEMs	NC	This provision applies to CEMS only. There are no CEMS requirements in the SOCFI rules being consolidated. Therefore, these provisions are not consolidated.
61.14(e)	[Not Consolidated]	Monitoring requirements: reduce data as specified in applicable subparts.	NC	§ 61.14(e) directs sources to applicable subparts for data reduction requirements. This provision is not consolidated in the CAR because data reduction provisions are specified in § 65.161.
	65.161(b)	Monitoring requirements: data not to include in averages	BR	The CAR provisions exclude the same data from average calculations as § 61.14 but additionally exclude periods of process non-operation resulting in cessation of the emissions being monitored, and periods of startup, shutdown, and malfunction.
61.14(f)	65.162(a)	Monitoring requirements: records of malfunction, calibration, etc.	C, BR	§ 61.14(f) makes a general statement that monitoring records must be maintained of all monitoring data, calibration checks, and occurrences of malfunction or non-operation. The provisions in the CAR clearly specify what records must be maintained. As a result, fewer records are required under the CAR than under the less precise provisions in §61.14(f).
	65.4(a)	Record retention time	C	[See note at 61.13(g)]
61.14(g)(1)	65.156(b)(1)	Monitoring requirements: conduct of monitoring	N	No significant change.
61.14(g)(2)	65.7(d)(3)	Monitoring requirements: reasonable grounds to dispute alternatives	C	The CAR provisions are very similar, but include additional language to provide for situations when the alternative and specified monitoring method results do not agree.
61.15	[Referencing General Provisions]	Modification	R	Provisions regarding construction, reconstruction, and modification are related to applicability. The CAR does not contain any provisions related to applicability of referencing subparts. However, these provisions remain applicable to sources complying with the CAR.
61.16	65.9(a)	Availability of information	C	Provisions in the CAR are very similar, but include additional details describing the reports that are available to the public.
61.17	65.10	State authority	N	No significant change.

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61.18	65.13	Incorporation by reference	N	Incorporation by reference provisions list materials such as test methods that are incorporated into the provisions of the rule. These provisions are different for each particular subpart. The CAR incorporation by reference provisions therefore list different materials for incorporation than does 61.18.
61.19	65.11(a)	Circumvention	N	No significant change.
New	65.1 and 65.5(c)	Implementation of the CAR	BI	In order to implement the CAR a notification and/or a Title V permit amendment (if applicable) are required.
New	65.3(b)(1) and (b)(2)	Parameter monitoring	BR	The CAR clarifies that excursions of the parameter monitoring ranges constitute violations unless the excursion happened during a period of startup, shutdown or malfunction or during periods of non operation of the source. This provision is coupled with reduced recordkeeping -- sources do not have to keep every monitored value if all data is within the parameter ranges. This scheme was incorporated into the CAR as a whole program. These paragraphs are marked as a burden reduction because the whole program is a burden reduction.
New	65.3(c)	Finding of Compliance	C	The CAR clarifies the information the Administrator will use to make a finding of compliance.
New	65.3(d)	Compliance Time	C	The CAR provides additional clarification on changing time periods for completing tasks, procedures when compliance is a standard calendar period, and procedures when a task is to be completed during multiple successive periods.
New	65.4(b)	Maintaining copies of reports	C	The CAR clarifies that copies of the reports need not be maintained if they were submitted to the EPA Regional Office.
New	65.4(c)(3)	Maintain records in hard copy or computer-readable form	C	The CAR clarifies that records can be maintained in either hard copy or computer-readable form.
New	65.5(d), (e), (f), and (i)	Reporting	C	The CAR clarifies the contents and due date of each report, the general report contents, and information that must be submitted with the Title V permit.
New	65.5(h)(1)	Alignment with Title V submission	BR	The CAR allows periodic reports to be aligned with the Title V periodic reports schedule.

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New	65.6	Startup, shutdown and malfunction	BR	The CAR requires that a startup, shutdown, and malfunction (SSM) plan be prepared and that reports be submitted. The General Provisions of part 61 do not specifically contain any SSM provisions (except for malfunctions of the CPMS). However, it is implicit in the requirement to comply with the rule at all time, that any period of noncompliance must be documented. Therefore any periods of SSM must be documented. The CAR represents a burden reduction to these implicit provisions because it allows an SSM plan to be developed outlining how these conditions will be handled. When one of the conditions occur and the facility follows their SSM plan, that is all that must be recorded and reported. This simplifies and reduces the reporting and recordkeeping resulting from an SSM.
New	65.7(a)	Waiver of recordkeeping and reporting requirements	BR	The CAR provides for waivers to the recordkeeping and reporting requirements under certain conditions.
New	65.7(b), (c) and (d)	Alternative monitoring or recordkeeping	BR	The CAR provides for alternative monitoring and recordkeeping provisions. It contains the details on how to apply, approval and denial of the request, and the use of the alternative. The part 61 General Provisions allow alternative monitoring in 65.14(g) but does not contain the clarifying details that are included in the CAR.
New	65.8(b)(2)-(b)(4), (c), and (d)	Alternative means of emission limitation	C	The CAR provides additional clarifying provisions regarding alternative means of emission limitation, including contents of request, clarification that manufacturers can request the alternative, and a statement that the alternative must be complied with once approved.
New	65.9(b)	Confidentiality	C	The CAR clarifies that information entitled to protection from disclosure under section 114 of the Act shall get the protection.
New	65.11(c)	Severability	C	The CAR clarifies that the requirements of this part are federally enforceable.
New	65.12	Delegation of authority	C	The CAR specifies which provisions are not delegated. Under part 61 these are stated in the subparts of part 61 and not in the General Provisions of part 61.

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^a**[Not Consolidated]** - Provisions that are not consolidated in the CAR because they are not relevant to SOCOMI sources or needed in the CAR.

^b**[Referencing Subpart]** - Provisions that are not consolidated in the CAR but remain in the Referencing Subpart and remain applicable to sources complying with the CAR.

^c Letters in this column indicate the following:

- C - clarification
- S - simplification
- BR - burden reduction
- BI - burden increase
- N - no significant change
- NC - not consolidated
- R - provisions retained in referencing subpart.