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**CASWELL FILE**

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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
WASHINGTON, D.C. 20460

JUN 28 1993

OFFICE OF  
PREVENTION, PESTICIDES AND  
TOXIC SUBSTANCES

MEMORANDUM

Subject: Fatty Alcohol Blend: Review of Mutagenicity Studies

FROM: Steven L. Malish, Ph.D., Toxicologist *S.L. Malish 6/22/93*  
Tox. Branch II, Review Section IV  
HED (H7509C)

TO: Bruce Sidwell, Product Manager (53)  
Margarita Collantes, PM Team Reviewer  
Reregistration Division (H7508W)

THRU: Jess Rowland, M.S., Acting Section Head *Jess Rowland 6/22/93*  
Tox. Branch II, Review Section IV  
HED (H7509C)

and

Marcia van Gemert, Ph.D., Branch Chief *Marcia van Gemert 6/23/93*  
Tox Branch II; HED (H7509C)

Task Identification: Submission: S421382 DP Barcode: D180480  
P.C. Code: 079029 Caswell No.: 456E

ACTION REQUESTED: Review of Mutagenicity Studies [MRID No.: 423720-01, -02, -03, -04].

Response:

Data Evaluation Reports for the above referenced studies are attached. Summaries are provided below.

1. MRID 243720-01: In vivo mammalian cytogenetics micronucleus assay in mice.

Negative for micronucleus induction in bone marrow cells of male and female CD-1 mice harvested 24 or 48 hours post-administration of three daily oral gavage doses of 500, 1000, or 2000 mg/kg/day (total dosages of 1500, 3000 or 6000 mg/kg). No overt toxicity in the treated animals or cytotoxicity in the target organ seen in any treatment group.

CORE CLASSIFICATION: Acceptable. This study satisfies the Guideline requirements (84-2b) for genetic effects, Category II, Structural Chromosome Aberrations, and is acceptable for regulatory purposes.

2. MRID 243720-02: Salmonella typhimurium/mammalian microsomal mutagenicity assay

Negative for reverse gene mutation in Salmonella typhimurium TA 1535, TA 1537, TA 1538, TA 98 and TA 100, exposed in the presence or absence of S9 activation to six doses ranging from 1.5 ug/plate to 500 ug/plate (two independent trails). Cytotoxicity was apparent for all strains at 500 ug/plate +/- S9.

CORE CLASSIFICATION: Acceptable. This study satisfies the Guideline requirements (84-2a) for genetic effects, Category I, Gene Mutation and is acceptable for regulatory purposes.

3. MRID 243720-03: Gene mutation in cultured mammalian cells (mouse lymphoma cells)

Under the conditions of the mouse lymphoma forward mutation assay, fatty alcohol blend was tested in two independent assays with and without activation and found not to be mutagenic. In the initial assay, non-activated and S9-activated levels ranging from 9.4 ug/ml to 37.5 ug/ml were evaluated; doses  $\geq 75$  ug/ml were severely cytotoxic. In the confirmatory assay, 10-50 ug/ml -S9 and 30-70 ug/ml +S9 were evaluated. Severe cytotoxicity was observed at non-activated levels  $\geq 60$  ug/ml and at S9-activated 80 ug/ml. Based on these findings, we conclude that the fatty alcohol blend was tested to cytotoxic levels and found not to be mutagenic in this assay system.

CORE CLASSIFICATION: Acceptable. This study satisfies the Guideline requirements (84-2a) for genetic effects, Category I, Gene Mutations and is acceptable for regulatory purposes.

4. MRID 243720-04: Establishment of Methodology for the Analysis of Fatty Alcohol Blend in Dosing Solutions, Assessment of Homogeneity, Accuracy and Stability and the Routine Analysis of Dosing Solutions; the information contained in this report was incorporated in the data evaluation reports listed above.

**FINAL**

DATA EVALUATION REPORT

FATTY ALCOHOL BLEND

Study Type: Mutagenicity: In Vivo Micronucleus Assay with Mice

Prepared for:

Health Effects Division  
Office of Pesticide Programs  
Environmental Protection Agency  
1921 Jefferson Davis Highway  
Arlington, VA 22202

Prepared by:

Clement International Corporation  
9300 Lee Highway  
Fairfax, VA 22031-1207

|                      |   |      |                |
|----------------------|---|------|----------------|
| Principal Reviewer   | <u>Kristin Jacobson</u><br>Kristin Jacobson, MSPH     | Date | <u>5/21/93</u> |
| Independent Reviewer | <u>Nancy E. McCarroll</u><br>Nancy E. McCarroll, B.S. | Date | <u>5/21/93</u> |
| QA/QC Manager        | <u>Sharon A. Segal</u><br>Sharon Segal, Ph.D.         | Date | <u>5/24/93</u> |

Contract Number: 68D10075  
Work Assignment Number: 2-40  
Clement Number: 123  
Project Officer: Caroline Gordon

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GUIDELINE SERIES 84: MUTAGENICITY  
MICRONUCLEUS

MUTAGENICITY STUDIES

EPA Reviewer: Steve Malish, Ph.D. Signature: S. J. Malish  
Review Section IV, Toxicology Branch II (H7509C) Date: 6/22/93  
EPA Acting Section Head: Jess Rowland, M.S. Signature: Jess Rowland  
Section IV, Toxicology Branch II (H7509C) Date: 6/21/93

DATA EVALUATION REPORT

CHEMICAL: Fatty alcohol blend, lot number CSI-91FA01-27

EPA IDENTIFICATION NUMBERS:

Submission No.: S421382

Caswell No.: 456E

DP Barcode: 180480

PC Code: 079029

MRID Number: 423720-01

STUDY TYPE: In vivo mammalian cytogenetics micronucleus assay in mice

SYNONYMS: None indicated

SPONSOR: Compliance Services International, Sunnyvale, CA, for: Fatty Alcohol Task Force

TESTING FACILITY: Inveresk Research International, Ltd., Tranent, Scotland

TITLE OF REPORT: Fatty Alcohol Blend Micronucleus Test in Bone Marrow of CD-1 Mice

AUTHORS: M. Holmstrom and D. Innes

STUDY NUMBERS: IRI Project No. 751943; IRI Report No. 8568

REPORT ISSUED: February 11, 1992

CONCLUSIONS--EXECUTIVE SUMMARY: Negative for micronucleus induction in bone marrow cells of male and female CD-1 mice harvested 24 or 48 hours post-administration of three daily oral gavage doses of 500, 1000, or 2000 mg/kg/day (total dosage 1500, 3000, or 6000 mg/kg). No overt toxicity in the treated animals or cytotoxic effects on the target organ were seen in any treatment group.

CORE CLASSIFICATION: Acceptable. The study satisfies Guideline requirements (§84-2b) for genetic effects Category II, Structural Chromosome Aberrations, and is acceptable for regulatory purposes.

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A. MATERIALS

1. Test Material: Fatty alcohol blend

Description: Colorless liquid  
Identification number: Lot no. CSI-91FA01-27  
Purity: Total average weight % alcohol: 96.6% (55.3% decanol,  
40.7% octanol, 0.4% dodecanol, and 0.2% hexanol)  
Receipt date: October 24, 1991  
Stability: Not reported  
Contaminants: None listed  
Vehicle used: Corn oil  
Other provided information: The test material was stored at room  
temperature in the dark. Analytical determinations were performed  
on test solutions used in the micronucleus assay. The frequency of  
dosing solution preparation was not reported; however, the stability  
of the dosing solutions was verified. The methodology used for the  
stability testing was not provided.

2. Control Materials:

(a) Acute dose-range finding study:

Vehicle/route of administration: Mice received corn oil via oral  
gavage once a day for 3 days at a dosing volume of 10 ml/kg

(b) Cytogenetic assay:

Vehicle/route of administration: Corn oil was administered by  
oral gavage once a day for 3 days at a dosing volume of 10 mL/kg.

Positive/final concentration/route of administration: Cyclophos-  
phamide (CP) was dissolved in distilled water and administered by  
oral gavage once a day for 3 days at a final concentration of  
40 mg/kg/day and a dosing volume of 10 mL/kg.

3. Test Compound:

Route of administration: Three daily administrations by oral gavage

Volume of test substance administered: 10 mL/kg

Dose levels used:

- Preliminary toxicity test: 400, 800, 1200, 1600, and  
2000 mg/kg/day
- Main toxicity test: 2000 mg/kg/day
- Micronucleus assay: 500, 1000, and 2000 mg/kg/day

Note: Dosing was based on individual body weights determined  
immediately before compound administration. In the micronucleus

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assay, animals were assigned to dose groups using a computer-generated randomization.

4. Test Animals:

(a) Species: Mouse Strain: CD-1 Age: ≈5-8 weeks (at dosing)  
Weight Range:

- Preliminary toxicity test: 26-31 g (males); 20-23 g (females)
- Main toxicity test: 27-30 g (males); 18-23 g (females)
- Micronucleus assay: 26-36 g (males); 19-27 g (females)

Sex: Male and female Source: Charles River, Ltd., Kent, UK.

(b) Number of animals used (per dose, per sacrifice time):

- Preliminary toxicity test: 2 males, 2 females
- Main toxicity test: 5 males, 5 females
- Micronucleus assay:

Treatment groups: 5 males 5 females

Positive control: 5 males 5 females

Vehicle control: 5 males 5 females

(c) Were test animals properly maintained? Yes. Although humidity in the animal rooms (ranging from 29 to 48%) was outside the range recommended by NIH guidelines (40-70%), this deviation was judged to have not adversely affected the outcome of the study.

B. TEST PERFORMANCE:

1. Range-finding Studies: Animals received three administrations of the selected doses of the test material and were observed for signs of toxicity and/or mortality on the day of dosing and daily thereafter for 5-6 days. At study termination, animals were killed by CO<sub>2</sub> asphyxiation and gross necropsies were performed on all treated animals.

2. Micronucleus Assay:

Treatment and sampling times:

(a) Test compound:

Dosing: \_\_\_\_\_ once \_\_\_\_\_ twice

x other: three administrations (24 hours apart)

Sampling (after last dose): \_\_\_\_\_ 6 hours \_\_\_\_\_ 12 hours

x 24 hours x 48 hours \_\_\_\_\_ 72 hours

Note: Sampling was performed on the low- and mid-dose animals only at 24 hours.

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(b) Vehicle control:

Dosing: \_\_\_\_\_ once \_\_\_\_\_ twice  
 other: three administrations (24 hours apart)  
Sampling (after last dose):  24 hours  48 hours  
\_\_\_\_\_ 72 hours

(c) Positive control:

Dosing: \_\_\_\_\_ once \_\_\_\_\_ twice  
 other: three administrations (24 hour apart)  
Sampling (after last dose):  24 hours \_\_\_\_\_ 48 hours  
\_\_\_\_\_ 72 hours

3. Tissues and Cells Examined:

bone marrow \_\_\_\_\_ other (list):  
Number of polychromatic erythrocytes (PCEs) examined per animal: 1000  
Number of normochromatic erythrocytes (NCEs, more mature RBCs)  
examined per animal: Number observed while scoring 300 erythrocytes  
(PCEs + NCEs)

4. Details of Cell Harvest and Slide Preparation: At 24 and 48 hours after the final administration of the high dose (2000 mg/kg/day) of the test material or the vehicle control, the appropriate groups of animals were sacrificed by cervical dislocation. Animals in the low- and mid-dose groups (500 and 1000 mg/kg/day) and in the positive control group were sacrificed 24 hours postexposure. Bone marrow cells from one femur of each animal were flushed into coded tubes containing fetal calf serum and 0.8% trisodium citrate in Sorenson's buffer (1:1 solution), centrifuged, resuspended and spread onto slides. Slides were air-dried, fixed in methanol, stained with 1% May-Grunwald, counterstained with 15% Giemsa, cleared with Histo-Clear® and coverslipped. Two slides were prepared per animal, and the "better" of the two coded slides was scored for micronucleated PCEs (MPEs) and the PCE:NCE ratio.

5. Statistical Methods: The data were not analyzed statistically.

6. Evaluation Criteria: Using the criteria of Salamone et al. (1980)<sup>1</sup> and assuming a background frequency of MPEs in CD-1 mice of 0.122% for both sexes combined (per Tamura et al. (1990)<sup>2</sup>), the test material was considered positive if, in a group of five animals, there were >14 (>0.28%) MPEs. For the combined results of groups of ten animals (5/sex), a positive response required >24 (>0.24%) MPEs.

<sup>1</sup>Salamone, M.F., Heddle, J.A., and Katz, M. (1980). The mutagenic activity of 41 compounds in the *in vivo* micronucleus assay. In: J. Ashby and F. deSerres (eds.). Proceedings of the International Program for the Evaluation of Short-Term Tests for Carcinogenicity, Elsevier, Amsterdam, pp. 686-697.

<sup>2</sup>Tamura, R.N., Garriott, M.L., and Parton, J.W. (1990). Pooled inference across sexes for the *in vivo* micronucleus assay. *Mutat Res* 240:127-133.

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Note: The cumulative historical incidence of the performing laboratory for micronuclei in CD-1 mice treated with distilled water, corn oil, or 0.5% carboxymethyl cellulose was reported to be 0.121%.

7. Protocol: Not provided

C. REPORTED RESULTS:

1. Range-finding Studies: In the preliminary toxicity test, two animals per sex were exposed to doses ranging from 400-2000 mg/kg/day for three consecutive days. No mortality occurred. The only clinical signs that were noted were piloerection (all dose levels in both sexes) and reduced activity (one animal at 1200 mg/kg/day); neither the incidence nor duration of these symptoms was reported. There were no observable macroscopic changes at necropsy.

Based on these results, five animals per sex were exposed to 2000 mg/kg/day for 3 consecutive days. There were no deaths, adverse effects, or gross changes reported in any treated animals. The study authors, therefore, determined that the MTD was >2000 mg/kg/day and, as directed by the sponsor, selected dose levels of 500, 1000, and 2000 mg/kg/day for the micronucleus assay.

2. Micronucleus Assay: In agreement with the findings of the two toxicity tests, there were no deaths or adverse clinical signs in animals exposed to 2000 mg/kg/day for three consecutive days in the micronucleus assay. There were also no appreciable increases in MPEs for any treated animals or sexes combined (see Attachment A). In addition, no cytotoxicity was observed (i.e., there were no appreciable reductions in mean PCE:NCE ratios). By contrast, the positive control (40 mg/kg/day CP) induced marked increases in the frequency of both MPEs and micro-nucleated NCEs.
3. Analytical Determination: Analysis of the dosing solutions revealed that the low-dose solution (target concentration 50 mg/mL) was 13.6-14.4% below the target; the achieved concentrations for the two higher dose groups were within 5% of the target concentrations. The deviation in the low-dose solution was judged not to have affected the outcome of the study.

Based on the overall results, the study authors concluded that fatty alcohol blend (lot no. CSI-91FA01-27) was not genotoxic in this in vivo mouse micronucleus assay.



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- D. REVIEWERS' DISCUSSION/CONCLUSIONS: In bone marrow erythrocytes of male and female mice exposed to 500, 1000, or 2000 mg/kg/day fatty alcohol blend (lot no. CSI-91FA01-27) by oral gavage for three consecutive days, there were no appreciable increases in the frequency of micronucleated cells. No signs of overt toxicity in the animals or cytotoxicity in the target organ were seen, and the total high dosage delivered to the animals (6000 mg/kg) exceeded the limit dose for short term testing. It was, therefore, concluded that the test material was adequately evaluated and failed to induce a genotoxic response in this in vivo test system.
- E. QUALITY ASSURANCE MEASURES: Was the test performed under GLPs? Yes. (A quality assurance statement, indicating that the report was audited and that the in-life phase of this type of study is inspected on a pre-determined schedule, was signed and dated June 16, 1992.)
- F. ATTACHMENTS: Attachment A, Summarized Study Results, Report p. 25.

CORE CLASSIFICATION: Acceptable. The study satisfies Guideline requirements (§84-2b) for genetic effects Category II, Structural Chromosome Aberrations, and is acceptable for regulatory purposes.

**ATTACHMENT A**  
**SUMMARIZED STUDY RESULTS**  
Study Report p. 25

TABLE 1

Fatty Alcohol Blend: Micronucleus Test in Bone Marrow of CD-1 Mice

| Treatment   | Time of Dosing (h) | Time of Sampling (h) | Sex | No. of Dosed Mice (No. of Surviving Mice) | Erythrocytes               |                           |              |               | PCE/NCE Mean $\pm$ S.D. |
|---|--------------------|----------------------|-----|---|----------------------------|---------------------------|--------------|---------------|-------------------------|
|   |                    |                      |     |   | Normochromatic Cells (NCE) | Polychromatic Cells (PCE) |              |               |                         |
|   |                    |                      |     |   |                            | No. of MN-NCE             | PCE Analysed | No. of MN-PCE |                         |
| 10 ml Corn oil.kg <sup>-1</sup> .day <sup>-1</sup>              | 0+24+48            | 72                   | ♂   | 5 (5)                                     | 2                          | 5000                      | 6            | 0.12          | 0.89 $\pm$ 0.23         |
|   |                    |                      | ♀   | 5 (5)                                     | 1                          | 5000                      | 8            | 0.16          | 0.97 $\pm$ 0.07         |
|   |                    |                      | ♂♀  | 10 (10)                                   | 3                          | 10000                     | 14           | 0.14          | 0.93 $\pm$ 0.16         |
|   |                    | 96                   | ♂   | 5 (5)                                     | 2                          | 5000                      | 3            | 0.06          | 1.05 $\pm$ 0.15         |
|   |                    |                      | ♀   | 5 (5)                                     | 2                          | 5000                      | 7            | 0.14          | 0.98 $\pm$ 0.24         |
|   |                    |                      | ♂♀  | 10 (10)                                   | 4                          | 10000                     | 10           | 0.10          | 1.02 $\pm$ 0.19         |
| 40 mg Cyclophosphamide.kg <sup>-1</sup> .day <sup>-1</sup>      | 0+24+48            | 72                   | ♂   | 5 (5)                                     | 41 $\phi$                  | 5000                      | 81*          | 1.62          | 0.39 $\pm$ 0.18         |
|   |                    |                      | ♀   | 5 (5)                                     | 50 $\phi$                  | 5000                      | 69*          | 1.38          | 0.53 $\pm$ 0.11         |
|   |                    |                      | ♂♀  | 10 (10)                                   | 91 $\phi$                  | 10000                     | 150*         | 1.50          | 0.46 $\pm$ 0.16         |
| 500 mg Fatty Alcohol Blend.kg <sup>-1</sup> .day <sup>-1</sup>  | 0+24+48            | 72                   | ♂   | 5 (5)                                     | 2                          | 5000                      | 4            | 0.08          | 0.90 $\pm$ 0.19         |
|   |                    |                      | ♀   | 5 (5)                                     | 3                          | 5000                      | 5            | 0.10          | 1.10 $\pm$ 0.23         |
|   |                    |                      | ♂♀  | 10 (10)                                   | 5                          | 10000                     | 9            | 0.09          | 1.00 $\pm$ 0.23         |
| 1000 mg Fatty Alcohol Blend.kg <sup>-1</sup> .day <sup>-1</sup> | 0+24+48            | 72                   | ♂   | 5 (5)                                     | 3                          | 5000                      | 6            | 0.12          | 1.01 $\pm$ 0.10         |
|   |                    |                      | ♀   | 5 (5)                                     | 4                          | 5000                      | 3            | 0.06          | 0.86 $\pm$ 0.23         |
|   |                    |                      | ♂♀  | 10 (10)                                   | 7                          | 10000                     | 9            | 0.09          | 0.94 $\pm$ 0.18         |
| 2000 mg Fatty Alcohol Blend.kg <sup>-1</sup> .day <sup>-1</sup> | 0+24+48            | 72                   | ♂   | 5 (5)                                     | 2                          | 5000                      | 4            | 0.08          | 0.84 $\pm$ 0.29         |
|   |                    |                      | ♀   | 5 (5)                                     | 6                          | 5000                      | 4            | 0.08          | 0.87 $\pm$ 0.13         |
|   |                    |                      | ♂♀  | 10 (10)                                   | 8                          | 10000                     | 8            | 0.08          | 0.86 $\pm$ 0.21         |
|   |                    | 96                   | ♂   | 5 (5)                                     | 5                          | 5000                      | 12           | 0.24          | 0.78 $\pm$ 0.18         |
|   |                    |                      | ♀   | 5 (5)                                     | 3                          | 5000                      | 12           | 0.24          | 1.02 $\pm$ 0.09         |
|   |                    |                      | ♂♀  | 10 (10)                                   | 8                          | 10000                     | 24           | 0.24          | 0.90 $\pm$ 0.18         |

PCE = Polychromatic erythrocytes  
 NCE = Normochromatic erythrocytes  
 \* = Positive response in PCE

MN-PCE = Micronucleated PCE  
 MN-NCE = Micronucleated NCE  
 $\phi$  = Positive response in NCE

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**FINAL**

DATA EVALUATION REPORT

FATTY ALCOHOL BLEND

Study Type: Mutagenicity: Salmonella typhimurium/Mammalian Microsome  
Mutagenicity Assay

Prepared for:

Health Effects Division  
Office of Pesticide Programs  
Environmental Protection Agency  
1921 Jefferson Davis Highway  
Arlington, VA 22202

Prepared by:

Clement International Corporation  
9300 Lee Highway  
Fairfax, VA 22031-1207

|                      |   |      |                |
|----------------------|---|------|----------------|
| Principal Reviewer   | <u>Kristin Jacobson</u><br>Kristin Jacobson, MSPH     | Date | <u>5/21/93</u> |
| Independent Reviewer | <u>Nancy E. McCarroll</u><br>Nancy E. McCarroll, B.S. | Date | <u>5/21/93</u> |
| QA/QC Manager        | <u>Sharon A. Segal</u><br>Sharon Segal, Ph.D.         | Date | <u>5/24/93</u> |

Contract Number: 68D10075  
Work Assignment Number: 2-40  
Clement Number: 124  
Project Officer: Caroline Gordon

MUTAGENICITY STUDIES

EPA Reviewer: Steven Malish, Ph.D.  
Section IV, Toxicology Branch II (H7509C)  
EPA Acting Section Head: Jess Rowland, M.S.  
Review Section IV, Toxicology Branch II (H7509C)

Signature: S. J. Malish  
Date: 6/22/93  
Signature: Jess Rowland  
Date: 6/21/93

DATA EVALUATION REPORT

CHEMICAL: Fatty alcohol blend, lot number CSI-91FA01-27

EPA IDENTIFICATION NUMBERS:

Submission No.: S421382

Caswell No.: 456E

DP Barcode: 180480

PC Code: ,079029

MRID Number: 423720-02

STUDY TYPE: Salmonella typhimurium/mammalian activation gene mutation assay

SYNONYMS: None indicated

SPONSOR: Compliance Services International, Sunnyvale, CA, for Fatty Alcohol Task Force

TESTING FACILITY: Inveresk Research International, Ltd., Tranent, Scotland

TITLE OF REPORT: Fatty Alcohol Blend Lot No.: CSI-91FA01-27 — Testing for Mutagenic Activity with Salmonella typhimurium TA 1535, TA 1537, TA 1538, TA 98 and TA 100

AUTHORS: D.M. Dillon and M.A. McCartney

STUDY NUMBERS: IRI Project No. 751938; IRI Report No. 8604

REPORT ISSUED: January 31, 1992

CONCLUSIONS--EXECUTIVE SUMMARY: Negative for reverse gene mutations in Salmonella typhimurium TA 1535, TA1537, TA1538, TA98, and TA100 exposed in the presence or absence of S9 activation to six doses ranging from 1.5 µg/plate to 500 µg/plate (two independent trials). Cytotoxicity was apparent for all strains at 500 µg/plate +/- S9.

CORE CLASSIFICATION: Acceptable. The study satisfies Guideline requirements (§84-2a) for genetic effects Category I, Gene Mutation, and is acceptable for regulatory purposes.

A. MATERIAL:1. Test Material: Fatty alcohol blend lot no. CSI-91FA01-27

Description: Clear colorless liquid  
 Identification number: Lot no. CSI-91FA01-27  
 Purity: 96.6% alcohol (55.3% decanol, 40.7% octanol, 0.4% dodecanol, and 0.2% hexanol)  
 Receipt date: October 24, 1991  
 Stability: Not reported  
 Contaminants: None listed  
 Solvent used: Acetone<sup>a</sup>  
 Other provided information: The test material was stored at room temperature in the dark. The frequency of dosing solution preparation was not reported. Dosing solutions were analyzed by the performing laboratory.

2. Control Materials:

Negative: None

Solvent/final concentration: Acetone/50 µL/plate

Positive:

Nonactivation:

|                 |           |                          |
|-----------------|-----------|--------------------------|
| Sodium azide    | <u>1</u>  | µg/plate TA 1535, TA 100 |
| 9-Aminoacridine | <u>80</u> | µg/plate TA 1537         |
| 2-Nitrofluoride | <u>1</u>  | µg/plate TA 1538, TA 98  |

Activation:

|                          |            |                                 |
|--------------------------|------------|---------------------------------|
| 2-Aminoanthracene (2-AA) | <u>2</u>   | µg/plate TA 1535, TA 1537       |
|                          | <u>0.5</u> | µg/plate TA 1538, TA 98, TA 100 |

3. Activation: S9 derived from adult male Fischer 344

|  |   |   |   |
|--|---|---|---|
| <input checked="" type="checkbox"/> Aroclor 1254 | <input checked="" type="checkbox"/> induced | <input checked="" type="checkbox"/> rat | <input checked="" type="checkbox"/> liver |
| <input type="checkbox"/> phenobarbital           | <input type="checkbox"/> noninduced         | <input type="checkbox"/> mouse          | <input type="checkbox"/> lung             |
| <input type="checkbox"/> none                    |   | <input type="checkbox"/> hamster        | <input type="checkbox"/> other            |
| <input type="checkbox"/> other                   |   | <input type="checkbox"/> other          |   |

Two batches of the rat liver S9 homogenate identified as Batch Numbers FLI063 and FLI064 were prepared by the testing laboratory and used in this study. Prior to use, the two batches were characterized for total protein (27.3 and 25.7 mg/mL for Batch Nos. FLI063 and FLI064, respectively), and cytochrome P450/P<sub>1</sub>-450 and N-demethylase activity. The ability to metabolize 2-AA, 2-acetylaminofluorene, 4-acetylaminofluorene, benzo(a)pyrene, and dimethylaminoazobenzene was evaluated with strain TA 1538.

<sup>a</sup>Dimethyl sulfoxide (DMSO) was used as the solvent in the preliminary cytotoxicity test. The study authors reported that acetone rather than DMSO was used in the mutation assay because high levels of toxicity "could not be detected accurately in DMSO during analysis."

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SALMONELLA

The S9 mix contained the following components:

| <u>Component:</u>         | <u>Final Concentration</u> |
|---------------------------|----------------------------|
| Phosphate buffer (pH 7.4) | 50 mM                      |
| Glucose-6-phosphate       | 25 mM                      |
| NADP                      | 4 mM                       |
| MgCl <sub>2</sub>         | 8 mM                       |
| KCl                       | 33 mM                      |
| S9                        | 10%                        |

Note: The phosphate buffer and cofactors were filter-sterilized prior to the addition of the S9 homogenate.

4. Test Organism Used: S. typhimurium strains  
 \_\_\_\_\_ TA 97     TA 98     TA 100    \_\_\_\_\_ TA 102    \_\_\_\_\_ TA 104  
 TA 1535     TA 1537     TA 1538  
 list any others:

Test organisms were properly maintained? Yes.  
 Checked for appropriate genetic markers (rfa mutation, R factor)? Yes.

5. Test Compound Concentrations Used:

- (a) Preliminary cytotoxicity assay: Six dose levels (33, 100, 333, 1000, 3333, and 10,000 µg/plate +/- S9) were evaluated in strain TA 100, using a single plate per dose, per condition.
- (b) Mutation assay: In two independent assays, six levels (1.5, 5, 15, 50, 150, and 500 µg/plate +/- S9) were evaluated in the five tester strains, using triplicate plates per dose, per strain, per condition.

B. TEST PERFORMANCE:

1. Type of Salmonella Assay:     Standard plate test  
 \_\_\_\_\_ Pre-incubation (\_\_\_\_\_) minutes  
 \_\_\_\_\_ "Prival" modification  
 \_\_\_\_\_ Spot test  
 \_\_\_\_\_ Other (describe)

2. Cytotoxicity/Mutation assays: Similar procedures were used for the cytotoxicity and mutation assays. A mixture containing 0.1 mL of the appropriate concentration of test material, solvent or positive control, 0.1 mL of a 16-hour oxoid broth culture ( $\approx 2 \times 10^9$  cells/mL) of the appropriate tester strain, and 0.5 mL of the S9 mix or phosphate buffer was added to 2 mL of top agar. The contents of the tubes were mixed and poured onto minimal Vogel-Bonner medium supplemented with 0.05 mM L-histidine and 0.05 mM biotin. Following incubation at 37°C for 48 hours, revertant colonies were counted, and means and standard deviations were determined for the mutation assays. In addition, the background lawn of growth was evaluated.

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3. Evaluation Criteria:

- (a) Valid assay: The assay was considered valid if (1) the appropriate genetic markers were verified for each tester strain; (2) the number of spontaneous revertants on at least two of the three plates for each strain was within the stated expected range; (3) there was a  $\geq 1.5$ -fold increase in revertant colonies for the positive controls for strain TA 100, and a  $\geq 2$ -fold increase in the positive controls for the other four tester strains (a value of  $\geq 10$  revertant colonies in the appropriate solvent control was assumed); (4) for each strain, there were at least four noncytotoxic dose levels without contamination; and (5) in cases where a mutagenic response was observed, "no more than one dose level was discarded before the dose which gave the highest significant mean [revertant] colony number."
- (b) Positive response: The test material was considered positive if it caused a  $\geq 1.5$ -fold dose-related, reproducible increase in the revertant colonies of strain TA 100, or a  $\geq 2$ -fold dose-related, reproducible increase in the revertant colonies of any of the other four strains. A value of  $\geq 10$  revertants in the corresponding solvent control was assumed.

4. Protocol: Not providedC. REPORTED RESULTS:

1. Preliminary Cytotoxicity Assay: No compound precipitation was observed at concentrations up to 10,000  $\mu\text{g}/\text{plate}$  +/- S9. With strain TA 100, doses  $\geq 1000$   $\mu\text{g}/\text{plate}$  +/- S9 were severely cytotoxic, and nonactivated 333  $\mu\text{g}/\text{plate}$  resulted in 50% cytotoxicity. The remaining levels (33 and 100  $\mu\text{g}/\text{plate}$  +/- S9) were not cytotoxic. Accordingly, concentrations selected for the mutation assay ranged from 1.5  $\mu\text{g}/\text{plate}$  to 500  $\mu\text{g}/\text{plate}$  +/- S9 and the solvent was changed from DMSO to acetone.
2. Mutation Assays: In agreement with the preliminary cytotoxicity assay, cytotoxicity was observed during both trials at 500  $\mu\text{g}/\text{plate}$  +/- S9 in the majority of tester strains. There were, however, no appreciable increases in revertants in any strain in either trial, in the presence or absence of S9 activation. By contrast, the positive controls induced the expected responses in the appropriate tester strains, with or without S9 activation. Summarized findings from the initial trial, which are representative of the overall study, are shown in Table 1.

Based on these findings, the study authors concluded that fatty alcohol blend (lot no. CSI-91FA01-27) was not mutagenic in this bacterial test system.



Table 1: Representative Results of the Initial Salmonella typhimurium/Mammalian Microsome Mutation Assay with Fatty Alcohol Blend (Lot no. CSI-91FA01-27)

| Substance                | Dose per Plate      | S9 Activation | Revertants per Plate of Bacterial Tester Strain <sup>a</sup> |           |          |              |          |
|--------------------------|---------------------|---------------|--|-----------|----------|--------------|----------|
|                          |                     |               | TA 1535  | TA 1537   | TA 1538  | TA 98 TA 100 |          |
| <u>Solvent Control</u>   |                     |               |  |           |          |              |          |
| Acetone                  | 50 µL               | -             | 18 ± 2   | 10 ± 3    | 23 ± 5   | 26 ± 2       | 124 ± 13 |
|                          |                     | +             | 23 ± 5   | 14 ± 2    | 19 ± 4   | 39 ± 8       | 132 ± 14 |
| <u>Positive Controls</u> |                     |               |  |           |          |              |          |
| Sodium azide             | 1 µg                | -             | 245 ± 26   | --        | --       | --           | 747 ± 47 |
| 9-Aminoacridine          | 80 µg               | -             | --   | 1334 ± 16 | --       | --           | --       |
| 2-Nitrofluorene          | 1 µg                | -             | --   | --        | 206 ± 21 | 186 ± 21     | --       |
| 2-Aminoanthracene        | 0.5 µg              | +             | --   | --        | 569 ± 21 | 522 ± 21     | 598 ± 24 |
|                          | 2 µg                | +             | 250 ± 5  | 150 ± 10  | --       | --           | --       |
| <u>Test Material</u>     |                     |               |  |           |          |              |          |
| Fatty alcohol blend      | 150 µg <sup>b</sup> | -             | 14 ± 1   | 8 ± 4     | 13 ± 4   | 23 ± 4       | 119 ± 11 |
|                          | 500 µg <sup>c</sup> | -             | 10 ± 4   | 2 ± 2     | 3 ± 2    | 7 ± 1        | 58 ± 16  |
|                          | 150 µg <sup>b</sup> | +             | 19 ± 6   | 16 ± 1    | 20 ± 7   | 27 ± 3       | 118 ± 12 |
|                          | 500 µg <sup>c</sup> | +             | 13 ± 1   | 6 ± 2     | 7 ± 4    | 20 ± 4       | 89 ± 8   |

Table 1

<sup>a</sup>Means and standard deviations of the counts from three plates  
<sup>b</sup>Results for lower doses (1.5, 5, 15, and 50 µg/plate +/- S9) did not suggest a mutagenic effect.  
<sup>c</sup>Highest dose tested; thinning of the background lawn of growth was reported for all strains at this concentration with or without S9 activation.

Note: Data were extracted from the study report, pp. 23-24.

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## SALMONELLA

- D. REVIEWERS' DISCUSSION/CONCLUSIONS: We assess that the study authors' interpretation of the data was correct. Doses of 1.5-500 µg/plate +/- S9 did not induce a mutagenic response. Levels as high as 10,000 µg/plate +/- S9 were soluble, and cytotoxicity was observed in the majority of strains at 500 µg/plate +/- S9. In addition, the sensitivity of the test system to detect mutagenesis was adequately demonstrated by the results obtained with the positive controls. We conclude, therefore, that fatty alcohol blend (lot no. CSI-91FA01-27) was tested over an appropriate range of concentrations and was negative in this bacterial assay system.
- E. QUALITY ASSURANCE MEASURES: Was the test performed under GLP? Yes. (A quality assurance statement, indicating that the report was audited and that this type of short-term study is only inspected according to a predetermined schedule, was signed and dated June 16, 1992.)

CORE CLASSIFICATION: Acceptable. The study satisfies Guideline requirements (§84-2a) for genetic effects Category I, Gene Mutation, and is acceptable for regulatory purposes.

**FINAL**

DATA EVALUATION REPORT

FATTY ALCOHOLS

Study Type: Mutagenicity: Gene Mutation in Cultured Mammalian Cells  
(Mouse Lymphoma Cells)

Prepared for:

Health Effects Division  
Office of Pesticide Programs  
Environmental Protection Agency  
1921 Jefferson Davis Highway  
Arlington, VA 22202

Prepared by

Clement International Corporation  
9300 Lee Highway  
Fairfax, VA 22031-1207

Principal Reviewer *Lynne Haber* Date 5/7/93  
Lynne Haber, Ph.D.

Independent Reviewer *Nancy E. McCarroll* Date 6/7/93  
Nancy E. McCarroll, B.S.

QA/QC Manager *Sharon Segal* Date 6/7/93  
Sharon Segal, Ph.D.

Contract Number: 68D10075  
Work Assignment Number: 2-40  
Clement Number: 125  
Project Officer: Caroline Gordon

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GUIDELINE SERIES 84: MUTAGENICITY  
MAMMALIAN CELLS IN CULTURE GENE MUTATIONS

MUTAGENICITY STUDIES

EPA Reviewer: Steven Malish, Ph.D.  
Review Section IV,  
Toxicology Branch II/HED H7509C  
Secondary Reviewer: Jess Rowland, M.S.  
Acting Section Head, Review Section IV,  
Toxicology Branch II/HED H7509C

Signature: Steven J. Malish  
Date: 6/14/93  
Signature: Jess Rowland  
Date: 6/22/92

DATA EVALUATION REPORT

STUDY TYPE: Mutagenicity: Gene mutation in cultured mammalian cells (mouse lymphoma cells)

EPA IDENTIFICATION Numbers:

Submission: S421382  
DP Barcode: D180480  
Caswell Number: 456E  
P.C. Code: 079029  
MRID Number: 423720-03

TEST MATERIAL: Fatty alcohol blend

SYNONYM: None reported. However, the composition of the test material (average percent weight alcohol) was listed as: hexanol, 0.1629%; octanol, 40.7239%; decanol, 55.2961%; and dodecanol, 0.4196%.

SPONSOR: Compliance Services International, Sunnyvale, CA

STUDY NUMBERS: Project number: 751985; Report number: 8715

TESTING FACILITY: Inveresk Research International, Ltd., Tranent, Scotland

TITLE OF REPORT: Fatty Alcohol Blend Mouse Lymphoma Gene Mutation Assay

AUTHORS: Cattanach, P.J. and Riach, C.G.

REPORT ISSUED: February 17, 1992

CONCLUSIONS-EXECUTIVE SUMMARY: Under the conditions of the mouse lymphoma forward mutation assay, fatty alcohol blend was tested in two independent assays with and without activation and found not to be mutagenic. In the initial assay, nonactivated and S9-activated levels ranging from 9.4 µg/mL to 37.5 µg/mL were evaluated; doses ≥75 µg/mL were severely cytotoxic. In the confirmatory assay, 10-50 µg/mL -S9 and 30-70 µg/mL +S9 were evaluated.

MAMMALIAN CELLS IN CULTURE GENE MUTATION

Severe cytotoxicity was observed at nonactivated levels  $\geq 60$   $\mu\text{g/mL}$  and at S9-activated 80  $\mu\text{g/mL}$ . Based on these findings, we conclude that fatty alcohol blend was tested to cytotoxic levels and found not to be mutagenic in this assay system.

CORE CLASSIFICATION: Acceptable. This study satisfies Guideline requirements (§84-2a) for genetic effects, Category I, Gene Mutations and is acceptable for regulatory purposes.

A. MATERIALS:

1. Test Material: Fatty alcohol blend

Description: Colorless liquid  
Identification number: Batch number: CSI-91FA01-27  
Purity: 96.6%  
Receipt date: October 24, 1991  
Stability: Not reported  
Contaminants: None listed  
Solvent used: Dimethyl sulfoxide (DMSO) for the preliminary cytotoxicity assay and acetone for the mutagenicity assay<sup>1</sup>  
Other provided information: The test material was stored at room temperature in the dark. The frequency of test solution preparation was not reported. Analytical determinations were performed on all dosing solutions to verify actual concentrations.

2. Control Materials:

Negative: None

Solvent/final concentration: Acetone at a final concentration of 10  $\mu\text{l/mL}$ .

Positive: Nonactivation (concentrations, solvent): Ethyl methanesulfonate (EMS) was prepared in acetone to yield a final concentration of 250  $\mu\text{g/mL}$ .

Activation (concentrations, solvent): 3-Methylcholanthrene (3-MC) was prepared in acetone to yield a final concentration of 2.5  $\mu\text{g/mL}$ .

3. Activation: S9 derived from male Fischer-344 (average weight 223 g)
- |                             |                          |                       |                     |
|-----------------------------|--------------------------|-----------------------|---------------------|
| <u>  x  </u> Aroclor 1254   | <u>  x  </u> induced     | <u>  x  </u> rat      | <u>  x  </u> liver  |
| <u>      </u> phenobarbital | <u>      </u> noninduced | <u>      </u> mouse   | <u>      </u> lung  |
| <u>      </u> none          |                          | <u>      </u> hamster | <u>      </u> other |
| <u>      </u> other         |                          | <u>      </u> other   |                     |

The S9 liver homogenate (batch FLI 067) was prepared by the study laboratory on October 30 1991; the expiration date was listed as April 30, 1992. The total protein concentration was determined to be

<sup>1</sup>The solvent was reportedly changed due to impurity peaks in the gas chromatograms, as described in IRI Project 353278/Report No. 8818.

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26.6 mg/mL, and the cytochrome P450/P<sub>1</sub>450 concentration was 8.85 nmol/mL. N-demethylase activity was determined to be 643 nmol HCHO/mL/min. Additionally, the ability of the S9 to convert several promutagens (2-aminoanthracene, 2-acetylaminofluorene, 4-acetylaminofluorene, benzo(a)pyrene, and dimethylaminoazobenzene) to forms mutagenic in Salmonella typhimurium strain TA1538 was also assessed.

S9 mix composition:

| <u>Component</u>    | <u>Concentration in S9 mix</u> |
|---------------------|--------------------------------|
| NADP                | 4 mM                           |
| Glucose 6-phosphate | 25 mM                          |
| S9 homogenate       | 10%                            |

4. Test Cells: Mammalian cells in culture

- mouse lymphoma L5178Y cells
- Chinese hamster ovary (CHO) cells
- V79 cells (Chinese hamster lung fibroblasts)
- other (list):

Properly maintained? Yes.

Periodically checked for mycoplasma contamination? Not reported.

Periodically checked for karyotype stability? Not reported.

Periodically "cleansed" against high spontaneous background? Yes.

5. Locus Examined:

- thymidine kinase (TK)
  - Selection agent:  bromodeoxyuridine (BrdU)
  - (give concentration)  fluorodeoxyuridine (FdU)
  - 3 µg/mL  trifluorothymidine (TFT)
- hypoxanthine-guanine-phosphoribosyl transferase (HGPRT)
  - Selection agent:  8-azaguanine (8-AG)
  - (give concentration)  6-thioguanine (6-TG)
- Na<sup>+</sup>/K<sup>+</sup>ATPase
  - Selection agent:  ouabain
  - (give concentration)
- other (locus and/or selection agent; give details):

6. Test Compound Concentrations Used:

(a) Cytotoxicity assay: Five doses (0.4, 4.3, 43.2, 432, and 4320 µg/mL) were evaluated in the presence and absence of S9 activation.

(b) Mutation assay:

(1) Initial assay: Six doses (9.4, 18.8, 37.5, 75, 150, and 300 µg/mL) were assayed in the presence and absence of S9

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## MAMMALIAN CELLS IN CULTURE GENE MUTATION

activation; cultures treated with levels  $\geq 75$   $\mu\text{g/mL}$  +/- S9 were severely cytotoxic and were not cloned.

### (2) Confirmatory assay:

- Nonactivated assay: Eight doses (10, 20, 30, 40, 50, 60, 70, and 80  $\mu\text{g/mL}$ ) were assayed. Cultures treated with concentrations  $\leq 50$   $\mu\text{g/mL}$  were cloned.
- S9-activated assay: Eight doses (10, 20, 30, 40, 50, 60, 70, and 80  $\mu\text{g/mL}$ ) were assayed. Cultures treated with concentrations  $\geq 30$   $\mu\text{g/mL}$  and  $\leq 70$   $\mu\text{g/mL}$  were cloned.

## B. TEST PERFORMANCE:

### 1. Cell Treatments:

- (a) Cells were exposed to the test compound or negative, solvent, or positive controls  
4 hours (nonactivated) 4 hours (activated).
- (b) After washing, cells were cultured for 2 days (expression period) before cell selection.
- (c) After expression, cells seeded at  $2 \times 10^5$  cells/plate (3 plates with 5 mL each) were cultured for 11-14 days in selection medium to determine the numbers of mutants; cells seeded at 200 cells/plate (3 plates) were cultured for 11-14 days without selection medium to determine cloning efficiency (CE).

2. Statistical Methods: The data were not evaluated for statistical significance.

### 3. Evaluation Criteria:

- (a) Assay validity: The assay was considered valid if (1) the mean CE of the solvent control cultures was  $\geq 50\%$ , (2) the mutation frequency (MF) of the solvent control culture was  $\geq 12 \times 10^{-6}$  and  $< 100 \times 10^{-6}$ , and (3) the MF of the positive control cultures was at least double the value of the solvent control and  $\geq 100 \times 10^{-6}$  at a concentration where the CE was  $> 10\%$ .
- (b) Positive result: The test material was considered positive if it induced a reproducible  $\geq 1.7$ -fold increase in the mutation frequency (MF) compared to the solvent control at test material levels with a CE  $\geq 10\%$ , and the effect was dose related or associated with an increase in mutant numbers.

4. Protocol: Not provided; however, a copy of the primary data was included in the study report.

## MAMMALIAN CELLS IN CULTURE GENE MUTATION

### C. REPORTED RESULTS:

1. Cytotoxicity Assay: The preliminary cytotoxicity test was conducted with five concentrations of fatty alcohol blend ranging from 0.4 µg/mL to 4320 µg/mL +/-S9 activation. No cells survived treatment with S9-activated and nonactivated levels  $\geq 432$  µg/mL. At 43.2 µg/mL, relative suspension growth (RSG) was 53 and 55% in the absence and presence of S9, respectively. There was no appreciable cytotoxicity at doses  $\leq 4.32$  µg/mL +/-S9. Based on these results, the initial mutation assay was conducted with 9.4-300 µg/mL +/-S9 activation.
2. Analytical Determination: All dosing solutions were analyzed to verify actual concentrations. All solutions used in the initial assay were within 10% of the nominal concentrations, and all solutions used in the confirmatory assay were within 2% of the intended level.
3. Mutation Assays: In the initial assay, levels  $\geq 75$  µg/mL +/-S9 were severely cytotoxic and were not plated for mutants and survivors (Table 1). At 37.5 µg/mL, RSG was  $>80\%$  in both the presence and absence of S9 activation. However, there was no evidence of mutagenicity at any dose. Therefore, the confirmatory assay was performed with nonactivated and S9-activated doses ranging from 10 µg/mL to 80 µg/mL. Concentrations  $\geq 60$  µg/mL -S9 and 80 µg/mL +S9 were also severely cytotoxic and not plated. RSG at the highest levels plated for mutant selection (50 µg/mL -S9 and 70 µg/mL +S9) was 40.8 and 28.4%, respectively (Table 2). Presumably because a sufficient number of higher doses were available, cultures exposed to S9-activated 10 and 20 µg/mL were not cloned. In agreement with earlier findings, there was no evidence of mutagenicity at any dose. The nonactivated (EMS at 250 µg/mL) and S9-activated (3-MC at 2.5 µg/mL) positive controls induced marked increases in MFs and mutant colonies. Based on the overall results, the study authors concluded that fatty alcohol blend was not mutagenic in the mouse lymphoma assay.

D. REVIEWERS' DISCUSSION AND INTERPRETATION OF RESULTS: We assess that the study authors correctly concluded that fatty alcohol blend was not mutagenic in the mouse lymphoma mutation assay. We further agree that the test material was adequately tested to cytotoxic levels, and that the steep cytotoxicity curve made it difficult to obtain mutagenicity data at RSG  $\leq 20\%$ . In addition, the sensitivity of the test system to detect a mutagenic response was adequately demonstrated by the results achieved with the positive controls. We, therefore, conclude that this study is acceptable.

E. QUALITY ASSURANCE MEASURES: Was test performed under GLPs? Yes. (A quality assurance statement was signed and dated June 16, 1992. However, the laboratory phase of the study was not inspected by quality assurance personnel.)

F. CBI APPENDICES: Appendix A, Materials and Methods, CBI pp. 9-20.

CORE CLASSIFICATION: Acceptable. This study satisfies Guideline requirements (§84-2a) for genetic effects, Category I (Gene Mutations) and is acceptable for regulatory purposes.



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**APPENDIX A**  
**MATERIALS AND METHODS**  
**CBI pp. 9-20**

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